



Legislative, Judicial, and Executive

Governmental bodies classified under the Legislative, Judicial, and Executive section of the Governor's Budget are either established as independent entities under the California Constitution, or are departments with a recognized need to operate outside of the administrative oversight and control of an agency secretary. Constitutionally-established bodies include the Legislature, Judicial Branch, Governor's Office, and constitutional officers. This section also includes such independent entities as the Inspector General and the California State Lottery.

0110 Senate

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Senate is comprised of 40 members who are elected for 4-year terms. Members of the Legislature may serve a maximum of 12 years total in the Senate, the Assembly, or both, in any combination of terms. Members first elected before June 6, 2012, however, may generally serve a maximum of 14 years total, with no more than two terms in the Senate and three terms in the Assembly.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0960 Support of the Senate	40.0	40.0	40.0	\$116,247	\$121,536	\$128,779
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	40.0	40.0	40.0	\$116,247	\$121,536	\$128,779
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$116,247	\$121,536	\$128,779
TOTALS, EXPENDITURES, ALL FUNDS				\$116,247	\$121,536	\$128,779

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article IV, Section 2.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Legislative Action: Constitutional Adjustment	\$-	\$-	-	\$7,243	\$-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$7,243	\$-	-
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$-	\$-	-	\$-	\$-	-
Totals, Other Workload Budget Adjustments	\$-	\$-	-	\$-	\$-	-
Totals, Workload Budget Adjustments	\$-	\$-	-	\$7,243	\$-	-
Totals, Budget Adjustments	\$-	\$-	-	\$7,243	\$-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0110 Senate - Continued

Senate Expenditures by Category

	2014-15*	2015-16*	2016-17*
General Fund Expenses:			
Salaries of Senators	\$4,472	\$5,308	\$5,488
Mileage of Senators	11	11	11
Session Per Diem	1,167	1,478	1,512
Totals, General Fund Expenses	\$5,650	\$6,797	\$7,011
Operating Fund Expenses:			
Salaries and Employee Benefits	\$101,632	\$104,331	\$108,806
Travel and Per Diem	1,302	1,376	1,735
Automotive Expenses	367	414	465
Automotive Repairs	43	41	48
Telephone	13	15	20
Postage	0	500	1,000
Freight	56	57	75
Office Supplies	167	177	250
Printing	329	430	485
Publications	57	56	85
Building Expense	1,967	2,230	3,100
Office Alterations	0	0	50
Furniture and Equipment Expense	18	135	325
Contracts	172	50	60
Meals	54	60	70
Ceremonies and Events	20	18	32
All Other Expenses	465	735	803
Total, Operating Fund Expenses	\$106,662	\$110,625	\$117,409
Operating Fund Transfers:			
Legislative Analyst	\$3,935	\$4,114	\$4,359
Total, Fund Transfers	\$3,935	\$4,114	\$4,359
TOTAL, Senate Expenses	\$116,247	\$121,536	\$128,779

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0110 Senate - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation (Senate)	<u>\$116,247</u>	<u>\$121,536</u>	<u>\$128,779</u>
TOTALS, EXPENDITURES	\$116,247	\$121,536	\$128,779
0348 Senate Operating Fund			
APPROPRIATIONS			
Government Code section 9129	<u>\$116,247</u>	<u>\$121,536</u>	<u>\$128,779</u>
TOTALS, EXPENDITURES	\$116,247	\$121,536	\$128,779
Less funding provided by General Fund	<u>-116,247</u>	<u>-121,536</u>	<u>-128,779</u>
NET TOTALS, EXPENDITURES	\$-	\$-	\$-
Total Expenditures, All Funds, (State Operations)	\$116,247	\$121,536	\$128,779

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
0348 Senate Operating Fund ^s			
BEGINNING BALANCE	<u>\$12</u>	<u>\$12</u>	<u>\$12</u>
Adjusted Beginning Balance	<u>\$12</u>	<u>\$12</u>	<u>\$12</u>
Total Resources	\$12	\$12	\$12
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0110 Senate (State Operations)	116,247	121,536	128,779
Expenditure Adjustments:			
Less funding provided by General Fund (State Operations)	<u>-116,247</u>	<u>-121,536</u>	<u>-128,779</u>
FUND BALANCE	\$12	\$12	\$12
Reserve for economic uncertainties	12	12	12

0120 Assembly

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Assembly is comprised of 80 members who are elected for 2-year terms. Members of the Legislature may serve a maximum of 12 years total in the Senate, the Assembly, or both, in any combination of terms. Members first elected before June 6, 2012, however, may generally serve a maximum of 14 years total, with no more than two terms in the Senate and three terms in the Assembly.

3-YR EXPENDITURES AND POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0970 Support of the Assembly	<u>80.0</u>	<u>80.0</u>	<u>80.0</u>	<u>\$153,170</u>	<u>\$160,139</u>	<u>\$169,683</u>
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	80.0	80.0	80.0	\$153,170	\$160,139	\$169,683
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				<u>\$153,170</u>	<u>\$160,139</u>	<u>\$169,683</u>
TOTALS, EXPENDITURES, ALL FUNDS				\$153,170	\$160,139	\$169,683

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0120 Assembly - Continued

California Constitution, Article IV, Section 2.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Legislative Action: Constitutional Adjustment	\$-	\$-	-	\$9,544	\$-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$9,544	\$-	-
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$-	\$-	-	\$-	\$-	-
Totals, Other Workload Budget Adjustments	\$-	\$-	-	\$-	\$-	-
Totals, Workload Budget Adjustments	\$-	\$-	-	\$9,544	\$-	-
Totals, Budget Adjustments	\$-	\$-	-	\$9,544	\$-	-

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0120 Assembly - Continued

Assembly Expenditures By Category

	2014-15*	2015-16*	2016-17*
General Fund Expenses:			
Salaries of Assembly Members	\$8,353	\$9,858	\$10,686
Mileage of Assembly Members	0	8	8
Session Per Diem	2,414	2,790	2,996
Totals, General Fund Expenses	\$10,767	\$12,656	\$13,690
Operating Fund Expenses:			
Salaries and Employee Benefits	\$119,212	\$129,464	\$137,224
Travel and Per Diem	2,085	2,294	2,430
Automotive Expenses	73	85	88
Automotive Repairs	40	50	54
Equipment and Furniture	360	450	490
Building Utilities, Maintenance, and Rent	3,259	3,650	3,820
Office Alterations	0	90	90
Telephone	516	547	560
Postage	200	221	232
Freight	134	143	146
Communications	2,077	2,984	3,025
Office Supplies	522	574	621
Printing	1,480	1,628	1,645
Publications	96	110	110
Study Contracts	0	90	90
Meals	2	6	6
Ceremonies and Events	23	43	43
All Other Expenses	650	940	960
Total, Operating Fund Expenses	\$130,729	\$143,369	\$151,634
Operating Fund Transfers:			
Office of the Legislative Analyst	\$3,935	\$4,114	\$4,359
State Agencies	7,739	0	0
Total, Fund Transfers	\$11,674	\$4,114	\$4,359
TOTAL, Assembly Expenses	\$153,170	\$160,139	\$169,683

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0120 Assembly - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
011 Budget Act appropriation (Assembly)	<u>\$153,170</u>	<u>\$160,139</u>	<u>\$169,683</u>
TOTALS, EXPENDITURES	\$153,170	\$160,139	\$169,683
0125 Assembly Operating Fund			
APPROPRIATIONS			
Government Code section 9129	<u>\$153,170</u>	<u>\$160,139</u>	<u>\$169,683</u>
TOTALS, EXPENDITURES	\$153,170	\$160,139	\$169,683
Less funding provided by General Fund	<u>-153,170</u>	<u>-160,139</u>	<u>-169,683</u>
NET TOTALS, EXPENDITURES	\$-	\$-	\$-
Total Expenditures, All Funds, (State Operations)	\$153,170	\$160,139	\$169,683

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
0125 Assembly Operating Fund^s			
BEGINNING BALANCE	\$149	\$137	\$137
Prior Year Adjustments	<u>-12</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	<u>\$137</u>	<u>\$137</u>	<u>\$137</u>
Total Resources	\$137	\$137	\$137
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0120 Assembly (State Operations)	153,170	160,139	169,683
Expenditure Adjustments:			
Less funding provided by General Fund (State Operations)	<u>-153,170</u>	<u>-160,139</u>	<u>-169,683</u>
FUND BALANCE	\$137	\$137	\$137
Reserve for economic uncertainties	137	137	137
0160 Operating Funds of the Assembly and Senate^s			
BEGINNING BALANCE	\$31	\$8	\$8
Prior Year Adjustments	<u>-23</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	<u>\$8</u>	<u>\$8</u>	<u>\$8</u>
Total Resources	<u>\$8</u>	<u>\$8</u>	<u>\$8</u>
FUND BALANCE	\$8	\$8	\$8
Reserve for economic uncertainties	8	8	8

0130 Joint Expenses

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Senate is comprised of 40 members who are elected for 4-year terms. The State Assembly is comprised of 80 members who are elected for 2-year terms. Members of the Legislature may serve a maximum of 12 years total in the Senate, the Assembly, or both, in any combination of terms. Members first elected before June 6, 2012, however, may generally serve a maximum of 14 years total, with no more than two terms in the Senate and three terms in the Assembly.

3-YR EXPENDITURES AND POSITIONS

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0130 Joint Expenses - Continued

		Positions			Expenditures		
		2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0980	Support of the Office of the Legislative Analyst	-	-	-	\$7,870	\$8,228	\$8,718
0985	Transferred from Item 0110-001-0001	-	-	-	-3,935	-4,114	-4,359
0990	Transferred from Item 0120-011-0001	-	-	-	-3,935	-4,114	-4,359
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		-	-	-	\$-	\$-	\$-

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0980	SUPPORT OF THE OFFICE OF THE LEGISLATIVE ANALYST			
State Operations:				
0001	General Fund	\$7,870	\$8,228	\$8,718
Totals, State Operations		\$7,870	\$8,228	\$8,718
PROGRAM REQUIREMENTS				
0985	TRANSFERRED FROM ITEM 0110-001-0001			
State Operations:				
0001	General Fund	-\$3,935	-\$4,114	-\$4,359
Totals, State Operations		-\$3,935	-\$4,114	-\$4,359
PROGRAM REQUIREMENTS				
0990	TRANSFERRED FROM ITEM 0120-011-0001			
State Operations:				
0001	General Fund	-\$3,935	-\$4,114	-\$4,359
Totals, State Operations		-\$3,935	-\$4,114	-\$4,359
TOTALS, EXPENDITURES				
Totals, Expenditures		\$-	\$-	\$-

0150 Contributions to the Legislators' Retirement System

Established in 1947, the Legislators' Retirement System provides retirement and death benefits for legislators, constitutional officers, and legislative statutory officers.

The Legislators' Retirement System is funded through contributions from the state, members of the system, and investment earnings. Between 2000-01 and 2011-12, the Legislators' Retirement System was super-funded and neither the state nor members were required to contribute. Beginning in 2012-13, contributions resumed for the state and members.

The California Public Employees' Retirement System (CalPERS) administers the Legislators' Retirement System on behalf of its members. Retirement benefits paid are based upon age, years of service, and final compensation. Benefits for death, disability, and surviving dependents of deceased members are also provided under specific conditions.

Proposition 140, approved by voters in 1990, closed the Legislators' Retirement System to all new legislators after November 6, 1990.

Effective January 1, 2013, the Legislators' Retirement System was closed to all new members by the California Public Employees' Pension Reform Act of 2013, Chapter 296, Statutes of 2012 (AB 340). New constitutional officers, statutory officers, Sergeant-at-Arms, and the Insurance Commissioner retain optional membership rights in CalPERS.

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0110	Legislators' Retirement System	-	-	-	\$7,393	\$7,506	\$7,925
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		-	-	-	\$7,393	\$7,506	\$7,925

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0150 Contributions to the Legislators' Retirement System - Continued

FUNDING	2014-15*	2015-16*	2016-17*
0820 Legislators Retirement Fund	\$7,393	\$7,506	\$7,925
TOTALS, EXPENDITURES, ALL FUNDS	\$7,393	\$7,506	\$7,925

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 2, Part 1, Chapter 3.5.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$-	-\$1,167	-	\$-	-\$748	-
Totals, Other Workload Budget Adjustments	\$-	-\$1,167	-	\$-	-\$748	-
Totals, Workload Budget Adjustments	\$-	-\$1,167	-	\$-	-\$748	-
Totals, Budget Adjustments	\$-	-\$1,167	-	\$-	-\$748	-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

4 UNCLASSIFIED	2014-15*	2015-16*	2016-17*
0820 Legislators Retirement Fund			
APPROPRIATIONS			
Government Code section 9359.1	\$7,938	\$8,673	\$7,925
Past year adjustments	-806	-	-
Revised Estimates	261	-1,167	-
TOTALS, EXPENDITURES	\$7,393	\$7,506	\$7,925
Total Expenditures, All Funds, (Unclassified)	\$7,393	\$7,506	\$7,925

0160 Legislative Counsel Bureau

The Legislative Counsel Bureau (Bureau) provides legal assistance to the two houses of the Legislature, and their members and committees, by resolving a large volume of complex legal problems arising in connection with the legislative process. The legal services furnished include rendering opinions, drafting bills, counseling, attending as counsel meetings of legislative committees, and representing the Legislature in litigation. The attorney-client relationship is maintained and all work is confidential.

In addition, the Bureau prepares and provides necessary indices and appropriate tables necessary to identify legislative measures and compiles and indexes statutes and codes.

The Bureau operates the Legislative Data Center, which provides information technology services in support of the legislative information system and the processing of legislative measures.

Pursuant to Chapter 46 of the Statutes of 2012, the California Commission on Uniform State Laws was transferred into the Legislative Counsel Bureau. The California Commission on Uniform State Laws presents to the Legislature uniform laws recommended by the National Conference of Commissioners on Uniform State Laws and then promotes passage of these uniform acts.

3-YR EXPENDITURES AND POSITIONS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0160 Legislative Counsel Bureau - Continued

		Positions			Expenditures		
		2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0120	Support	536.4	630.0	630.0	\$95,235	\$97,438	\$97,619
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		536.4	630.0	630.0	\$95,235	\$97,438	\$97,619

FUNDING		2014-15*	2015-16*	2016-17*
0001	General Fund	\$78,013	\$80,253	\$80,428
0995	Reimbursements	95	131	131
9740	Central Service Cost Recovery Fund	17,127	17,054	17,060
TOTALS, EXPENDITURES, ALL FUNDS		\$95,235	\$97,438	\$97,619

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Sections 10200-10248 and 10270-10282.

DETAILED BUDGET ADJUSTMENTS

		2015-16*		2016-17*			
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments							
Other Workload Budget Adjustments							
•	Salary Adjustments	\$906	\$199	-	\$906	\$199	-
•	Benefit Adjustments	496	109	-	644	142	-
•	Miscellaneous Baseline Adjustments	-	-	-	489	-489	-
•	Retirement Rate Adjustments	318	70	-	318	70	-
Totals, Other Workload Budget Adjustments		\$1,720	\$378	-	\$2,357	-\$78	-
Totals, Workload Budget Adjustments		\$1,720	\$378	-	\$2,357	-\$78	-
Totals, Budget Adjustments		\$1,720	\$378	-	\$2,357	-\$78	-

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0120	SUPPORT			
State Operations:				
0001	General Fund	\$78,013	\$80,253	\$80,428
0995	Reimbursements	95	131	131
9740	Central Service Cost Recovery Fund	17,127	17,054	17,060
Totals, State Operations		\$95,235	\$97,438	\$97,619
TOTALS, EXPENDITURES				
State Operations		95,235	97,438	97,619
Totals, Expenditures		\$95,235	\$97,438	\$97,619

EXPENDITURES BY CATEGORY

1 State Operations		Positions			Expenditures		
		2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES							
Baseline Positions		630.0	630.0	630.0	\$46,727	\$47,339	\$47,339
Total Adjustments		-93.6	-	-	-4,056	1,105	1,105

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0160 Legislative Counsel Bureau - Continued

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Net Totals, Salaries and Wages	536.4	630.0	630.0	\$42,671	\$48,444	\$48,444
Staff Benefits	-	-	-	19,952	22,724	22,905
Totals, Personal Services	536.4	630.0	630.0	\$62,623	\$71,168	\$71,349
OPERATING EXPENSES AND EQUIPMENT				\$32,612	\$26,270	\$26,270
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$95,235	\$97,438	\$97,619

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$75,921	\$78,533	\$80,428
Allocation for employee compensation	708	906	-
Allocation for staff benefits	299	496	-
Past year adjustments	-1	-	-
Section 3.60 pension contribution adjustment	1,086	318	-
TOTALS, EXPENDITURES	\$78,013	\$80,253	\$80,428
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$95	\$131	\$131
TOTALS, EXPENDITURES	\$95	\$131	\$131
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$16,665	\$16,676	\$17,060
Allocation for employee compensation	156	199	-
Allocation for staff benefits	66	109	-
Past year adjustments	1	-	-
Section 3.60 pension contribution adjustment	239	70	-
TOTALS, EXPENDITURES	\$17,127	\$17,054	\$17,060
Total Expenditures, All Funds, (State Operations)	\$95,235	\$97,438	\$97,619

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	630.0	630.0	630.0	\$46,727	\$47,339	\$47,339
Salary and Other Adjustments	-93.6	-	-	-4,056	1,105	1,105
Totals, Adjustments	-93.6	-	-	-\$4,056	\$1,105	\$1,105
TOTALS, SALARIES AND WAGES	536.4	630.0	630.0	\$42,671	\$48,444	\$48,444

0250 Judicial Branch

Article VI of the Constitution creates the Supreme Court of California and the Courts of Appeal to exercise the judicial power of the state at the appellate level. Article VI also creates the Judicial Council of California to administer the state's judicial system. Chapter 869, Statutes of 1997, created the California Habeas Corpus Resource Center to represent any person financially unable to employ appellate counsel in capital cases.

The Lockyer-Isenberg Trial Court Funding Act of 1997 (Chapter 850, Statutes of 1997) provided a stable and consistent funding source for the trial courts. Beginning with fiscal year 1997-98, consolidation of the costs of operation of the trial courts was implemented at the state level, with the exception of facility, revenue collection, and local judicial benefit costs. This implementation capped the counties' general purpose revenue contributions to trial court costs at a revised 1994-95

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0250 Judicial Branch - Continued

level. The county contributions become part of the Trial Court Trust Fund, which supports all trial court operations. Fine and penalty revenue collected by each county is retained or distributed in accordance with statute. Each county makes quarterly payments to the Trial Court Trust Fund equal to the fine and penalty revenue received by the state General Fund in 1994-95, as adjusted by amounts equivalent to specified fine and fee revenues that counties benefited from in 2003-04. The Trial Court Facilities Act of 2002 (Chapter 1082, Statutes of 2002) provided a process for the responsibility for court facilities to be transferred from the counties to the state by July 1, 2007, which was extended to December 31, 2009, by Chapter 9, Statutes of 2008. The Trial Court Facilities Act of 2002 also established several new revenue sources, which went into effect on January 1, 2003. These revenues are deposited into the State Court Facilities Construction Fund for the purpose of funding the construction and maintenance of court facilities throughout the state. Counties contribute revenues for the ongoing operation and maintenance of court facilities based upon historical expenditures for facilities transferred to the state.

The mission of the Judicial Branch is to resolve disputes arising under the law and to interpret and apply the law consistently, impartially, and independently to protect the rights and liberties guaranteed by the Constitutions of California and the United States, in a fair, accessible, effective, and efficient manner.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the Judicial Branch's Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0130	Supreme Court	165.2	156.2	156.2	\$43,363	\$46,324	\$46,438
0135	Courts of Appeal	788.7	795.0	795.0	211,101	219,274	224,784
0140	Judicial Council	596.0	550.5	553.5	134,104	137,335	133,173
0145	Judicial Branch Facility Program	124.2	131.2	131.2	320,469	374,125	409,904
0150	State Trial Court Funding	-	-	-	2,537,897	2,674,464	2,817,624
0155	Habeas Corpus Resource Center	78.1	81.1	81.1	12,819	14,525	15,015
0170	Offset from Local Property Tax Revenue	-	-	-	-30,756	-26,662	-34,491
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		1,752.2	1,714.0	1,717.0	\$3,228,997	\$3,439,385	\$3,612,447

FUNDING		2014-15*	2015-16*	2016-17*
0001	General Fund	\$1,404,319	\$1,601,237	\$1,710,510
0044	Motor Vehicle Account, State Transportation Fund	187	198	199
0159	State Trial Court Improvement and Modernization Fund	24,934	27,010	28,108
0327	Court Interpreters Fund	163	163	164
0587	Family Law Trust Fund	1,206	1,813	1,736
0890	Federal Trust Fund	3,994	6,660	6,656
0932	Trial Court Trust Fund	1,400,313	1,290,085	1,332,312
0942	Special Deposit Fund	15	338	-
0995	Reimbursements	74,375	88,586	88,589
3037	State Court Facilities Construction Fund	118,522	141,237	142,829
3060	Appellate Court Trust Fund	2,660	6,774	6,684
3066	Court Facilities Trust Fund	105,637	109,711	104,030
3085	Mental Health Services Fund	1,058	1,070	1,078
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	90,996	148,399	188,550
3259	Recidivism Reduction Fund	1,483	14,827	-
8059	State Community Corrections Performance Incentive Fund	931	1,275	1,000
9728	Judicial Branch Workers Compensation Fund	-1,796	2	2
TOTALS, EXPENDITURES, ALL FUNDS		\$3,228,997	\$3,439,385	\$3,612,447

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

California Constitution, Article VI.

PROGRAM AUTHORITY

0150-State Trial Court Funding:

California Constitution, Article VI, Section 4.

0150037-Court Interpreters:

Trial Court Interpreter Employment and Labor Relations Act, Government Code Sections 71800-71829.

0155-Habeas Corpus Resource Center:

Government Code Sections 68660-68666.

MAJOR PROGRAM CHANGES

- Trial Court Employee Costs - The Budget includes \$16.1 million General Fund for trial court employee benefit costs, of which \$7.5 million reflects funding for trial courts that have made progress towards meeting the Public Employees' Pension Reform Act of 2013 standard for employees sharing the cost of pension funding. Recognizing that many of these costs are beyond the control of the trial courts, the Administration will continue to fund future increases related to existing health benefits and retirement costs for trial court employees and retirees.
- Court Innovations Grant Program - The Budget includes \$25 million General Fund for the Judicial Council to develop and administer a competitive grant program to encourage courts to develop new ways of doing business. The competitive grant program will focus on high-priority innovations, modernizations, and efficiencies in the courts; \$12 million to be spent on collaborative courts; \$8 million on Self-Help, Family and Juvenile courts; and \$5 million on other efficiencies across all types of courts.
- Equal Access - The Budget includes \$15.4 million General Fund and \$5.5 million Trial Court Trust Fund for the Equal Access program which is a \$5 million increase. The Equal Access program provides funding to legal service agencies and programs that offer legal assistance in civil matters to low-income Californians.
- Language Access - The Budget includes \$101.5 General Fund for interpreter services to improve language access for limited English proficient court users to provide court interpreter services in civil proceedings.
- Trial Court Civil Case Management System (V3) Replacement - The Budget includes \$12.4 million General Fund to replace V3 Court Case Management Systems in the Superior Courts in Orange, Sacramento, San Diego, and Ventura counties. This funding will enable these trial courts to establish a digital court foundation by implementing a modern and supportable case management system needed to efficiently deliver services to the public.
- Peremptory Challenges - The Budget includes a reduction to the number of peremptory challenges in misdemeanor jury trials from 10 to 6, from January 1, 2017, until January 1, 2021. This is expected to result in approximately 5,000 fewer jurors being called to jury service per year statewide. The Judicial Council is also required to report on the effectiveness of the peremptory challenge reduction by January 1, 2020.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Court Innovations Grant Program	\$-	\$-	-	\$25,000	\$-	-
• Proposition 47 Workload	-	-	-	21,400	-	-
• Support to Trial Court Operations	-	-	-	20,000	-	-
• Civil Case Management System (V3) Replacement	-	-	-	12,400	-	-
• Judicial Council State Operations	-	-	-	8,700	-	-
• Language Access	-	-	-	7,000	-	-
• Appellate Court - Appointed Counsel	-	-	-	4,301	-	-
• Information System Control Enhancements	-	-	-	3,191	-	3.0
• Trial Court Employee Health Benefit & Retirement Cost Adjustment	-	-	-	531	-	-
• Court Provided Non-Sheriff Security	-	-	-	343	-	-

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0250 Judicial Branch - Continued

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Trial Court Facilities Costs	-	-	-	-	3,500	-
• New Alameda Courthouse Funding Plan	-	-	-	-	377	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$102,866	\$3,877	3.0
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$20,675	\$7,278	-	\$20,675	\$7,278	-
• Trial Court Employee Health Benefit & Retirement Adjustment	-	-	-	15,593	-	-
• State-Level Reserve	-	-	-	10,000	-	-
• Trial Court Trust Fund Revenue Shortfall	-	-	-	8,800	-8,800	-
• 0250-001-0159 Prov.1	-	4,000	-	-	-	-
• Expenses on Behalf of the Trial Courts	-	-	-	-	-	-
• Section 26, Trial Court Facility Modification	-	3,000	-	-	-	-
• Offset from Local Property Tax Revenue Adjustment CS 15.45	3,338	-	-	-4,491	-	-
• Salary Adjustments	11,923	652	-	11,923	652	-
• Benefit Adjustments	1,675	356	-	2,108	456	-
• Retirement Rate Adjustments	1,329	284	-	1,329	284	-
• Pro Rata	-	-	-	-	1,178	-
• Carryover/Reappropriation	-	15,068	-	-	-	-
• SWCAP	-	-	-	-	-9	-
• Lease Revenue Debt Service Adjustment	-1,119	324	-	-1,458	35,024	-
• Budget Position Transparency	-20,675	-7,278	-248.3	-20,675	-7,278	-248.3
• Miscellaneous Baseline Adjustments	-6,321	-59,814	-	-28,353	-42,928	-
Totals, Other Workload Budget Adjustments	\$10,825	-\$36,130	-248.3	\$15,451	-\$14,143	-248.3
Totals, Workload Budget Adjustments	\$10,825	-\$36,130	-248.3	\$118,317	-\$10,266	-245.3
Policy Adjustments						
• Equal Access	\$-	\$-	-	\$5,000	\$-	-
Totals, Policy Adjustments	\$-	\$-	-	\$5,000	\$-	-
Totals, Budget Adjustments	\$10,825	-\$36,130	-248.3	\$123,317	-\$10,266	-245.3

PROGRAM DESCRIPTIONS

0130 - SUPREME COURT

The Supreme Court is the highest court in the California judicial system. Its decisions are binding on all other California state courts. The Chief Justice of California and the six Associate Justices entertain petitions seeking review of decisions from the Courts of Appeal, original petitions for extraordinary relief (such as writs of mandate or habeas corpus), and recommendations for discipline of judicial officers and attorneys. The Court grants review and issues opinions in order to settle legal questions of statewide importance. In addition, under the California Constitution, all death penalty judgments are appealed directly to the Supreme Court.

0135 - COURTS OF APPEAL

Established by a constitutional amendment in 1904, the Courts of Appeal are California's intermediate courts of review. The six District Courts of Appeal hear appeals and original proceedings at nine different locations around the state. Cases before the Courts of Appeal involve every area of civil and criminal law.

0140 - JUDICIAL COUNCIL

The Judicial Council of California is the constitutional policy-making body for the state judiciary. The Council consists of 21 voting members and 11 advisory members; the Chief Justice of California serves as chair. The Judicial Council staff serve as the administrative arm of the Council. Staff provide policy support to the Council, administrative accountability in the operation of the courts as specified by law, strategic planning for capital outlay, design, and construction of court facilities; and administrative support for courts in areas such as budget, fiscal services, coordination of the assignment of retired

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0250 Judicial Branch - Continued

judges, technology, education, legal advice and services, human resources, legislative advocacy, and research.

Consistent with the judiciary's mission, the Judicial Council is guided by the following principles:

- To make decisions in the best interests of the public and the court system as a whole.
- To conduct the Council's business based on an underlying commitment to equal and timely justice and public access to an independent forum for the resolution of disputes.
- To provide leadership in the administration of justice by planning and advocating for policies and resources that are necessary for courts to fulfill their mission.
- To ensure the continued development of an accessible, independent court system through planning, research, and evaluation programs, and through the use of modern management approaches and technological developments.
- To provide leadership in the administration of justice by establishing broad and consistent policies for the operation of the courts and appropriate uniform statewide rules and forms.
- To promote a competent, responsive, and ethical judiciary and staff through a comprehensive program of judicial education and training for court employees.
- To contribute to the public's understanding of the judicial process through a continuing program of public education.
- To provide assistance to the courts in developing action plans that are consistent with the Council's Strategic Plan and that address local needs and priorities.

0145 - JUDICIAL BRANCH FACILITY PROGRAM

The Judicial Branch Facility Program administers the acquisition, planning, construction, operations, and maintenance of judicial branch facilities. This program is responsible for the development of long-term facilities master plans, facility and real estate management, and new courthouse planning, design, and construction.

0150 - STATE TRIAL COURT FUNDING

0150010 - SUPPORT FOR THE OPERATION OF THE TRIAL COURTS

This program's objective is to provide the resources necessary for the statewide trial court system to adjudicate civil and criminal cases. This program includes all allowable trial court administrative costs under Chapter 850, Statutes of 1997, except salaries and benefits of Superior Court judges, compensation for assigned judges, and support for language interpreters.

0150019 - COMPENSATION OF SUPERIOR COURT JUDGES

This program provides funding for the salaries and state benefits for Superior Court judges.

0150028 - ASSIGNED JUDGES

This program provides support for the salaries and related costs of retired as well as active judges who are assigned by the Chief Justice to positions in courts which require assistance due to caseload backlogs or other factors impacting the ability of a court to avoid case delay.

0150037 - COURT INTERPRETERS

This program supports the provision of qualified language interpreters in criminal or juvenile proceedings as required by statute.

0155 - HABEAS CORPUS RESOURCE CENTER

The Habeas Corpus Resource Center provides legal representation for indigent petitioners in death penalty habeas corpus proceedings before the Supreme Court of California and the federal courts. The Center also recruits and trains attorneys to expand the pool of private counsel qualified to accept appointments in death penalty habeas corpus proceedings, serves as a resource to them, and thereby helps to reduce the number of unrepresented indigents on California's death row.

DETAILED EXPENDITURES BY PROGRAM

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
PROGRAM REQUIREMENTS				
0130	SUPREME COURT			
	State Operations:			
0001	General Fund	\$43,067	\$45,154	\$45,283
3060	Appellate Court Trust Fund	364	1,170	1,155
9728	Judicial Branch Workers Compensation Fund	-68	-	-
	Totals, State Operations	\$43,363	\$46,324	\$46,438
PROGRAM REQUIREMENTS				
0135	COURTS OF APPEAL			

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0250 Judicial Branch - Continued

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
	State Operations:			
0001	General Fund	\$208,909	\$213,669	\$219,255
0995	Reimbursements	-	1	-
3060	Appellate Court Trust Fund	2,296	5,604	5,529
9728	Judicial Branch Workers Compensation Fund	-104	-	-
	Totals, State Operations	\$211,101	\$219,274	\$224,784
	PROGRAM REQUIREMENTS			
0140	JUDICIAL COUNCIL			
	State Operations:			
0001	General Fund	\$84,846	\$88,089	\$99,764
0044	Motor Vehicle Account, State Transportation Fund	187	198	199
0159	State Trial Court Improvement and Modernization Fund	13,289	13,389	9,533
0327	Court Interpreters Fund	163	163	164
0587	Family Law Trust Fund	1,206	1,813	1,736
0890	Federal Trust Fund	2,649	3,359	3,355
0932	Trial Court Trust Fund	19,719	14,948	3,309
0942	Special Deposit Fund	15	187	-
0995	Reimbursements	4,717	6,076	6,082
3037	State Court Facilities Construction Fund	5,612	6,766	6,951
3085	Mental Health Services Fund	1,058	1,070	1,078
8059	State Community Corrections Performance Incentive Fund	931	1,275	1,000
9728	Judicial Branch Workers Compensation Fund	-288	2	2
	Totals, State Operations	\$134,104	\$137,335	\$133,173
	SUBPROGRAM REQUIREMENTS			
0140010	Judicial Council			
	State Operations:			
0001	General Fund	\$84,846	\$88,089	\$99,764
0044	Motor Vehicle Account, State Transportation Fund	187	198	199
0159	State Trial Court Improvement and Modernization Fund	13,289	13,389	9,533
0327	Court Interpreters Fund	163	163	164
0587	Family Law Trust Fund	1,206	1,813	1,736
0890	Federal Trust Fund	2,649	3,359	3,355
0932	Trial Court Trust Fund	4,096	3,558	3,309
0942	Special Deposit Fund	15	187	-
0995	Reimbursements	4,717	6,076	6,082
3037	State Court Facilities Construction Fund	5,612	6,766	6,951
3085	Mental Health Services Fund	1,058	1,070	1,078
8059	State Community Corrections Performance Incentive Fund	931	1,275	1,000
9728	Judicial Branch Workers Compensation Fund	-288	2	2
	Totals, State Operations	\$118,481	\$125,945	\$133,173
	SUBPROGRAM REQUIREMENTS			
0140019	Trial Court Operations			
	State Operations:			
0932	Trial Court Trust Fund	\$15,623	\$11,390	\$-

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0250 Judicial Branch - Continued

	2014-15*	2015-16*	2016-17*
Totals, State Operations	\$15,623	\$11,390	\$-
PROGRAM REQUIREMENTS			
0145 JUDICIAL BRANCH FACILITY PROGRAM			
State Operations:			
0001 General Fund	\$8,398	\$9,391	\$9,446
0942 Special Deposit Fund	-	151	-
0995 Reimbursements	12,528	22,002	22,000
3037 State Court Facilities Construction Fund	112,910	134,471	135,878
3066 Court Facilities Trust Fund	105,637	109,711	104,030
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund	80,996	98,399	138,550
Totals, State Operations	\$320,469	\$374,125	\$409,904
PROGRAM REQUIREMENTS			
0150 STATE TRIAL COURT FUNDING			
State Operations:			
0932 Trial Court Trust Fund	\$-	-\$274	\$-
Totals, State Operations	\$-	-\$274	\$-
Local Assistance:			
0001 General Fund	\$1,077,010	\$1,258,097	\$1,357,264
0159 State Trial Court Improvement and Modernization Fund	11,645	13,621	18,575
0890 Federal Trust Fund	1,345	2,275	2,275
0932 Trial Court Trust Fund	1,380,594	1,275,411	1,329,003
0995 Reimbursements	57,130	60,507	60,507
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund	10,000	50,000	50,000
3259 Recidivism Reduction Fund	1,483	14,827	-
9728 Judicial Branch Workers Compensation Fund	-1,310	-	-
Totals, Local Assistance	\$2,537,897	\$2,674,738	\$2,817,624
SUBPROGRAM REQUIREMENTS			
0150010 Support for Operation of Trial Courts			
Local Assistance:			
0001 General Fund	\$616,545	\$663,158	\$749,429
0159 State Trial Court Improvement and Modernization Fund	11,645	13,621	18,575
0932 Trial Court Trust Fund	1,379,243	1,275,411	1,317,678
0995 Reimbursements	-	1	1
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund	10,000	50,000	50,000
3259 Recidivism Reduction Fund	1,483	14,827	-
9728 Judicial Branch Workers Compensation Fund	-5,220	-	-
Totals, Local Assistance	\$2,013,696	\$2,017,018	\$2,135,683
SUBPROGRAM REQUIREMENTS			
0150011 Court Appointed Dependency Counsel			
Local Assistance:			
0001 General Fund	\$-	\$114,700	\$114,700
Totals, Local Assistance	\$-	\$114,700	\$114,700
SUBPROGRAM REQUIREMENTS			

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0250 Judicial Branch - Continued

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
0150019	Compensation of Superior Court Judges			
	Local Assistance:			
0001	General Fund	\$318,454	\$336,356	\$336,649
0932	Trial Court Trust Fund	1,350	-	-
9728	Judicial Branch Workers Compensation Fund	<u>3,910</u>	<u>-</u>	<u>-</u>
	Totals, Local Assistance	\$323,714	\$336,356	\$336,649
	SUBPROGRAM REQUIREMENTS			
0150028	Assigned Judges			
	Local Assistance:			
0001	General Fund	\$24,792	\$26,646	\$26,646
0932	Trial Court Trust Fund	<u>1</u>	<u>-</u>	<u>-</u>
	Totals, Local Assistance	\$24,793	\$26,646	\$26,646
	SUBPROGRAM REQUIREMENTS			
0150037	Court Interpreters			
	Local Assistance:			
0001	General Fund	<u>\$96,803</u>	<u>\$95,856</u>	<u>\$103,459</u>
	Totals, Local Assistance	\$96,803	\$95,856	\$103,459
	SUBPROGRAM REQUIREMENTS			
0150051	Child Support Commissioner Program (AB 1058)			
	Local Assistance:			
0995	Reimbursements	<u>53,936</u>	<u>54,332</u>	<u>54,332</u>
	Totals, Local Assistance	\$53,936	\$54,332	\$54,332
	SUBPROGRAM REQUIREMENTS			
0150055	California Collaborative and Drug Court Projects			
	Local Assistance:			
0001	General Fund	\$1,160	\$1,160	\$1,160
0995	Reimbursements	<u>1,973</u>	<u>4,588</u>	<u>4,588</u>
	Totals, Local Assistance	\$3,133	\$5,748	\$5,748
	SUBPROGRAM REQUIREMENTS			
0150059	Federal Child Access and Visitation Grant Program			
	Local Assistance:			
0890	Federal Trust Fund	<u>\$770</u>	<u>\$800</u>	<u>\$800</u>
	Totals, Local Assistance	\$770	\$800	\$800
	SUBPROGRAM REQUIREMENTS			
0150063	Federal Court Improvement Grant Program			
	Local Assistance:			
0890	Federal Trust Fund	<u>\$96</u>	<u>\$700</u>	<u>\$700</u>
	Totals, Local Assistance	\$96	\$700	\$700
	SUBPROGRAM REQUIREMENTS			
0150067	Court Appointed Special Advocate (CASA) Program			
	Local Assistance:			
0001	General Fund	<u>\$2,213</u>	<u>\$2,213</u>	<u>\$2,213</u>
	Totals, Local Assistance	\$2,213	\$2,213	\$2,213
	SUBPROGRAM REQUIREMENTS			
0150071	Model Self-Help Program			
	Local Assistance:			
0001	General Fund	\$957	\$957	\$957

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0250 Judicial Branch - Continued

	2014-15*	2015-16*	2016-17*
Totals, Local Assistance	\$957	\$957	\$957
SUBPROGRAM REQUIREMENTS			
0150075 Grants-Other			
Local Assistance:			
0995 Reimbursements	1,221	1,586	1,586
Totals, Local Assistance	\$1,221	\$1,586	\$1,586
SUBPROGRAM REQUIREMENTS			
0150079 Federal Grants-Other			
Local Assistance:			
0890 Federal Trust Fund	\$479	\$775	\$775
Totals, Local Assistance	\$479	\$775	\$775
SUBPROGRAM REQUIREMENTS			
0150083 Equal Access Fund			
State Operations:			
0932 Trial Court Trust Fund	\$-	-\$274	\$-
Totals, State Operations	\$-	-\$274	\$-
Local Assistance:			
0001 General Fund	\$14,909	\$15,874	\$20,874
Totals, Local Assistance	\$14,909	\$15,874	\$20,874
SUBPROGRAM REQUIREMENTS			
0150087 Family Law Information Centers			
Local Assistance:			
0001 General Fund	\$345	\$345	\$345
Totals, Local Assistance	\$345	\$345	\$345
SUBPROGRAM REQUIREMENTS			
0150091 Civil Case Coordination			
Local Assistance:			
0001 General Fund	\$832	\$832	\$832
Totals, Local Assistance	\$832	\$832	\$832
SUBPROGRAM REQUIREMENTS			
0150095 Expenses on Behalf of the Trial Courts			
Local Assistance:			
0932 Trial Court Trust Fund	\$-	\$-	\$11,325
Totals, Local Assistance	\$-	\$-	\$11,325
PROGRAM REQUIREMENTS			
0155 HABEAS CORPUS RESOURCE CENTER			
State Operations:			
0001 General Fund	\$12,845	\$13,499	\$13,989
0890 Federal Trust Fund	-	1,026	1,026
9728 Judicial Branch Workers Compensation Fund	-26	-	-
Totals, State Operations	\$12,819	\$14,525	\$15,015
PROGRAM REQUIREMENTS			
0170 OFFSET FROM LOCAL PROPERTY TAX REVENUE			
Local Assistance:			
0001 General Fund	-\$30,756	-\$26,662	-\$34,491
Totals, Local Assistance	-\$30,756	-\$26,662	-\$34,491
TOTALS, EXPENDITURES			

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0250 Judicial Branch - Continued

	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
State Operations	721,856	791,309	829,314
Local Assistance	2,507,141	2,648,076	2,783,133
Totals, Expenditures	\$3,228,997	\$3,439,385	\$3,612,447

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	1,962.8	1,962.3	1,962.3	\$202,530	\$204,969	\$204,969
Budget Position Transparency	-	-248.3	-248.3	-	-27,953	-27,953
Total Adjustments	<u>-210.6</u>	<u>-</u>	<u>3.0</u>	<u>-23,690</u>	<u>4,379</u>	<u>4,686</u>
Net Totals, Salaries and Wages	1,752.2	1,714.0	1,717.0	\$178,840	\$181,395	\$190,402
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>70,565</u>	<u>82,883</u>	<u>83,585</u>
Totals, Personal Services	1,752.2	1,714.0	1,717.0	\$249,405	\$264,278	\$273,987
OPERATING EXPENSES AND EQUIPMENT				\$396,237	\$447,241	\$478,394
SPECIAL ITEMS OF EXPENSES				<u>76,214</u>	<u>79,790</u>	<u>76,933</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$721,856	\$791,309	\$829,314

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$343,748	\$351,288	\$374,721
Allocation for employee compensation	717	3,727	-
Allocation for staff benefits	1,350	1,556	-
Budget Position Transparency	-	-20,675	-
Expenditure by Category Redistribution	-	20,675	-
Past Year Adjustment	224	-	-
Section 3.60 pension contribution adjustment	4,372	1,329	-
Tenant Rent Adjustment	-	-281	-
003 Budget Act appropriation	5,046	4,967	4,962
Lease Revenue	-	-838	-
Section 4.30 lease revenue payment adjustment	-63	-	-
011 Budget Act appropriation (transfer to Judicial Branch Workers' Compensation Fund)	1	1	1
Past Year Adjustment	1,073	-	-
012 Budget Act appropriation (transfer to Court Facilities Trust Fund)	<u>8,053</u>	<u>8,053</u>	<u>8,053</u>
Totals Available	\$364,521	\$369,802	\$387,737
Unexpended balance, estimated savings	<u>-6,456</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$358,065	\$369,802	\$387,737
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$195	\$198	\$199
Section 3.60 pension contribution adjustment	<u>4</u>	<u>-</u>	<u>-</u>
Totals Available	\$199	\$198	\$199
Unexpended balance, estimated savings	-12	-	-

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0250 Judicial Branch - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
TOTALS, EXPENDITURES	\$187	\$198	\$199
0159 State Trial Court Improvement and Modernization Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$9,216	\$9,533	\$9,533
0250-001-0159 Prov.1	-	4,000	-
Allocation for employee compensation	-	178	-
Allocation for staff benefits	17	100	-
Budget Position Transparency	-	-2,044	-
Expenditure by Category Redistribution	-	2,044	-
Miscellaneous Baseline Adjustment	1,450	-500	-
Past Year Adjustment	2,839	-	-
Section 3.60 pension contribution adjustment	300	78	-
Totals Available	\$13,822	\$13,389	\$9,533
Unexpended balance, estimated savings	-533	-	-
TOTALS, EXPENDITURES	\$13,289	\$13,389	\$9,533
0327 Court Interpreters Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$164	\$163	\$164
Totals Available	\$164	\$163	\$164
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$163	\$163	\$164
0587 Family Law Trust Fund			
APPROPRIATIONS			
Family Code section 1852	\$1,675	\$1,813	\$1,736
Allocation for staff benefits	1	-	-
Past Year Adjustment	-499	-	-
Section 3.60 pension contribution adjustment	29	-	-
TOTALS, EXPENDITURES	\$1,206	\$1,813	\$1,736
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,249	\$4,321	\$4,381
Allocation for employee compensation	-	33	-
Allocation for staff benefits	3	17	-
Budget Position Transparency	-	-260	-
Expenditure by Category Redistribution	-	260	-
Past Year Adjustment	-1,663	-	-
Section 3.60 pension contribution adjustment	60	14	-
TOTALS, EXPENDITURES	\$2,649	\$4,385	\$4,381
0932 Trial Court Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$24,459	\$17,877	\$3,309
Allocation for employee compensation	-	41	-
Allocation for staff benefits	4	26	-
Budget Position Transparency	-	-613	-
Expenditure by Category Redistribution	-	613	-
Miscellaneous Baseline Adjustment	-2,748	-3,288	-
Past Year Adjustment	3,022	-	-
Section 3.60 pension contribution adjustment	51	18	-

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0250 Judicial Branch - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Totals Available	\$24,788	\$14,674	\$3,309
Unexpended balance, estimated savings	-5,069	-	-
TOTALS, EXPENDITURES	\$19,719	\$14,674	\$3,309
0942 Special Deposit Fund			
APPROPRIATIONS			
Carryover	-	\$187	-
Carryover for Administration of Justice Fund	99	-	-
Past Year Adjustment	-84	-	-
Carryover	-	151	-
TOTALS, EXPENDITURES	\$15	\$338	\$-
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$17,245	\$28,079	\$28,082
TOTALS, EXPENDITURES	\$17,245	\$28,079	\$28,082
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$78,580	\$79,946	\$81,922
7A FI\$CAL Current Service Level Adjustment	-1	-	-
Allocation for employee compensation	-	352	-
Allocation for staff benefits	121	183	-
Budget Position Transparency	-	-4,076	-
Expenditure by Category Redistribution	-	4,076	-
Past Year Adjustment	4,447	-	-
Section 3.60 pension contribution adjustment	528	154	-
003 Budget Act appropriation	51,097	60,872	60,907
Lease Revenue	-	-270	-
Section 4.30 lease revenue payment adjustment	137	-	-
Totals Available	\$134,909	\$141,237	\$142,829
Unexpended balance, estimated savings	-16,387	-	-
TOTALS, EXPENDITURES	\$118,522	\$141,237	\$142,829
3060 Appellate Court Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6,791	\$6,756	\$6,684
Allocation for employee compensation	-	9	-
Allocation for staff benefits	2	5	-
Budget Revision (BR-002)	6,143	-	-
Past Year Adjustment	-6,143	-	-
Section 3.60 pension contribution adjustment	14	4	-
Totals Available	\$6,807	\$6,774	\$6,684
Unexpended balance, estimated savings	-4,147	-	-
TOTALS, EXPENDITURES	\$2,660	\$6,774	\$6,684
3066 Court Facilities Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$109,809	\$111,734	\$112,083
Miscellaneous Baseline Adjustment	6,143	-	-
Past Year Adjustment	25	-	-
Provision 1 Item 0250-001-3066 - Increased operational costs	-	6,030	-

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0250 Judicial Branch - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
014 Budget Act appropriation (transfer to Immediate and Critical Needs Account, State Court Facilities Construction Fund)	-	-	(377)
Totals Available	\$115,977	\$117,764	\$112,083
Unexpended balance, estimated savings	-2,287	-	-
TOTALS, EXPENDITURES	\$113,690	\$117,764	\$112,083
Less funding provided by General Fund	-8,053	-8,053	-8,053
NET TOTALS, EXPENDITURES	\$105,637	\$109,711	\$104,030
3085 Mental Health Services Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,037	\$1,050	\$1,078
Allocation for employee compensation	-	10	-
Allocation for staff benefits	6	6	-
Budget Position Transparency	-	-134	-
Expenditure by Category Redistribution	-	134	-
Section 3.60 pension contribution adjustment	15	4	-
TOTALS, EXPENDITURES	\$1,058	\$1,070	\$1,078
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$27,177	\$30,239	\$35,886
002 Budget Act appropriation	54,214	54,214	54,320
003 Budget Act appropriation	528	13,352	48,344
Lease Revenue	-	594	-
Section 4.30 lease revenue payment adjustment	-7	-	-
Totals Available	\$81,912	\$98,399	\$138,550
Unexpended balance, estimated savings	-916	-	-
TOTALS, EXPENDITURES	\$80,996	\$98,399	\$138,550
8059 State Community Corrections Performance Incentive Fund			
APPROPRIATIONS			
Carryover	-	\$271	-
Carryover for Community Corrections Grant Fund	206	-	-
Penal Code section 1233.6	1,000	1,000	1,000
Budget Position Transparency	-	-151	-
Carryover	-	4	-
Expenditure by Category Redistribution	-	151	-
Totals Available	\$1,206	\$1,275	\$1,000
Balance available in subsequent years	-275	-	-
TOTALS, EXPENDITURES	\$931	\$1,275	\$1,000
9728 Judicial Branch Workers Compensation Fund			
APPROPRIATIONS			
Government Code section 68114.10	\$3	\$3	\$3
Past Year Adjustment	584	-	-
TOTALS, EXPENDITURES	\$587	\$3	\$3
Less funding provided by General Fund	-1,073	-1	-1
NET TOTALS, EXPENDITURES	-\$486	\$2	\$2
Total Expenditures, All Funds, (State Operations)	\$721,856	\$791,309	\$829,314

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0250 Judicial Branch - Continued

2 LOCAL ASSISTANCE	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$17,753	\$17,753	\$22,753
Past Year Adjustment	3,376	-	-
102 Budget Act appropriation	71,502	71,502	71,502
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	911,419	935,409	1,016,691
Allocation for employee compensation	9,528	8,196	-
Allocation for staff benefits	1,702	119	-
Past Year Adjustment	-1	-	-
112 Budget Act appropriation (transfer to State Trial Court Improvement and Modernization Fund)	38,709	44,218	56,618
113 Budget Act appropriation (transfer to Trial Court Trust Fund)	30,900	66,200	75,000
114 Budget Act appropriation (transfer to Trial Court Trust Fund)	-	114,700	114,700
Totals Available	\$1,084,888	\$1,258,097	\$1,357,264
Unexpended balance, estimated savings	<u>-7,878</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,077,010	\$1,258,097	\$1,357,264
Offset from local property tax revenue per Control Section 15.45	<u>-30,756</u>	<u>-26,662</u>	<u>-34,491</u>
NET TOTALS, EXPENDITURES	\$1,046,254	\$1,231,435	\$1,322,773
0159 State Trial Court Improvement and Modernization Fund			
APPROPRIATIONS			
102 Budget Act appropriation	\$63,000	\$60,359	\$75,193
Miscellaneous Baseline Adjustment	-7,601	-2,520	-
Past Year Adjustment	7,601	-	-
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	<u>(20,594)</u>	<u>(594)</u>	<u>(594)</u>
Totals Available	\$63,000	\$57,839	\$75,193
Unexpended balance, estimated savings	<u>-12,646</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$50,354	\$57,839	\$75,193
Less funding provided by General Fund	<u>-38,709</u>	<u>-44,218</u>	<u>-56,618</u>
NET TOTALS, EXPENDITURES	\$11,645	\$13,621	\$18,575
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,275	\$2,275	\$2,275
Past Year Adjustment	<u>-930</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,345	\$2,275	\$2,275
0932 Trial Court Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,335,226	\$2,337,627	\$2,420,693
Allocation for employee compensation	9,528	8,196	-
Allocation for staff benefits	1,702	119	-
Miscellaneous Baseline Adjustment	-42,409	-61,536	-
Past Year Adjustment	28,102	-	-
102 Budget Act appropriation	-	114,700	114,700
115 Budget Act appropriation (transfer to Judicial Branch Workers Compensation Fund)	1	1	1
Past Year Adjustment	17,886	-	-
Prior Year Balances Available:			
Chapter 193, Statutes of 2011	1	-	-
Chapter 26, Statutes of 2012	<u>1,632</u>	<u>928</u>	<u>-</u>
Totals Available	\$2,351,669	\$2,400,035	\$2,535,394

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0250 Judicial Branch - Continued

2 LOCAL ASSISTANCE	2014-15*	2015-16*	2016-17*
Unexpended balance, estimated savings	-16,598	-	-
Balance available in subsequent years	<u>-929</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$2,334,142	\$2,400,035	\$2,535,394
Less funding provided by General Fund	-922,648	-943,724	-1,016,691
Less funding provided by General Fund	-	-114,700	-114,700
Less funding provided by General Fund	<u>-30,900</u>	<u>-66,200</u>	<u>-75,000</u>
NET TOTALS, EXPENDITURES	\$1,380,594	\$1,275,411	\$1,329,003
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$57,130</u>	<u>\$60,507</u>	<u>\$60,507</u>
TOTALS, EXPENDITURES	\$57,130	\$60,507	\$60,507
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	<u>(\$5,486)</u>	<u>(\$5,486)</u>	<u>(\$5,486)</u>
TOTALS, EXPENDITURES	\$-	\$-	\$-
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$10,000</u>	<u>\$50,000</u>	<u>\$50,000</u>
TOTALS, EXPENDITURES	\$10,000	\$50,000	\$50,000
3259 Recidivism Reduction Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$15,000	\$1,300	-
Prior Year Balances Available:			
Item 0250-101-3259, Budget Act of 2014	<u>-</u>	<u>13,527</u>	<u>-</u>
Totals Available	\$15,000	\$14,827	\$-
Balance available in subsequent years	<u>-13,517</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,483	\$14,827	\$-
9728 Judicial Branch Workers Compensation Fund			
APPROPRIATIONS			
Government Code section 68114.10	\$1	\$1	\$1
Past Year Adjustment	<u>16,575</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$16,576	\$1	\$1
Less funding provided by Trial Court Trust Fund	<u>-17,886</u>	<u>-1</u>	<u>-1</u>
NET TOTALS, EXPENDITURES	-\$1,310	\$-	\$-
Total Expenditures, All Funds, (Local Assistance)	\$2,507,141	\$2,648,076	\$2,783,133
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$3,228,997	\$3,439,385	\$3,612,447

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
0159 State Trial Court Improvement and Modernization Fund^s			
BEGINNING BALANCE			
Prior Year Adjustments	\$26,206	\$9,255	\$933
Adjusted Beginning Balance	<u>2,877</u>	<u>-</u>	<u>-</u>
	\$29,083	\$9,255	\$933
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140000 Document Sales	533	552	542
4163000 Investment Income - Surplus Money Investments	101	156	141
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	2	-	-
4172000 Fines and Forfeitures	<u>38,433</u>	<u>31,971</u>	<u>29,385</u>

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0250 Judicial Branch - Continued

	2014-15*	2015-16*	2016-17*
4172500 Miscellaneous Revenue	28	-	-
Transfers and Other Adjustments			
Revenue Transfer from the State Trial Court Improvement and Modernization Fund to the Trial Court Trust Fund per Government Code Section 77209(j)	-13,397	-13,397	-13,397
Revenue Transfer from the State Trial Court Improvement and Modernization Fund to the Trial Court Trust Fund per Item 0250-111-0159, Budget Acts of 2014, 2015, and 2016	-20,594	-594	-594
Total Revenues, Transfers, and Other Adjustments	<u>\$5,106</u>	<u>\$18,688</u>	<u>\$16,077</u>
Total Resources	\$34,189	\$27,943	\$17,010
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	13,289	13,389	9,533
0250 Judicial Branch (Local Assistance)	50,354	57,839	75,193
Expenditure Adjustments:			
Less funding provided by General Fund (Local Assistance)	<u>-38,709</u>	<u>-44,218</u>	<u>-56,618</u>
Total Expenditures and Expenditure Adjustments	<u>\$24,934</u>	<u>\$27,010</u>	<u>\$28,108</u>
FUND BALANCE	\$9,255	\$933	-\$11,098
Reserve for economic uncertainties	9,255	933	-11,098
0327 Court Interpreters Fund^s			
BEGINNING BALANCE	\$438	\$505	\$583
Prior Year Adjustments	<u>-9</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$429	\$505	\$583
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 Other Regulatory Licenses and Permits	<u>239</u>	<u>241</u>	<u>241</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$239</u>	<u>\$241</u>	<u>\$241</u>
Total Resources	\$668	\$746	\$824
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	<u>163</u>	<u>163</u>	<u>164</u>
Total Expenditures and Expenditure Adjustments	<u>\$163</u>	<u>\$163</u>	<u>\$164</u>
FUND BALANCE	\$505	\$583	\$660
Reserve for economic uncertainties	505	583	660
0587 Family Law Trust Fund^s			
BEGINNING BALANCE	\$3,131	\$4,108	\$4,251
Prior Year Adjustments	<u>21</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$3,152	\$4,108	\$4,251
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	9	15	10
4172500 Miscellaneous Revenue	<u>2,154</u>	<u>1,941</u>	<u>1,797</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$2,163</u>	<u>\$1,956</u>	<u>\$1,807</u>
Total Resources	\$5,315	\$6,064	\$6,058
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	<u>1,207</u>	<u>1,813</u>	<u>1,736</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,207</u>	<u>\$1,813</u>	<u>\$1,736</u>
FUND BALANCE	\$4,108	\$4,251	\$4,322
Reserve for economic uncertainties	4,108	4,251	4,322

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0250 Judicial Branch - Continued

	2014-15*	2015-16*	2016-17*
0932 Trial Court Trust Fund^s			
BEGINNING BALANCE	\$21,217	\$7,157	\$22,913
Prior Year Adjustments	5,626	-	-
Adjusted Beginning Balance	\$26,843	\$7,157	\$22,913
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	498,600	498,600	498,600
4163000 Investment Income - Surplus Money Investments	151	273	273
4170700 Civil and Criminal Violation Assessment	159,372	125,983	130,260
4171200 Court Filing Fees and Surcharges	495,884	474,903	463,256
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	109	114	156
4172000 Fines and Forfeitures	162,037	161,853	161,853
4172500 Miscellaneous Revenue	176	126	263
4173000 Penalty Assessments - Other	24,995	24,686	24,579
Transfers and Other Adjustments			
Revenue Transfer from the State Trial Court Improvement and Modernization Fund to the Trial Court Trust Fund per Government Code Section 77209(j)	13,397	13,397	13,397
Revenue Transfer from the State Court Facilities Construction Fund to the Trial Court Trust Fund per Item 0250-111-3037, Budget Acts of 2014, 2015, and 2016	5,486	5,486	5,486
Revenue Transfer from the State Trial Court Improvement and Modernization Fund to the Trial Court Trust Fund per Item 0250-111-0159, Budget Acts of 2014, 2015, and 2016	20,594	594	594
Total Revenues, Transfers, and Other Adjustments	\$1,380,801	\$1,306,015	\$1,298,717
Total Resources	\$1,407,644	\$1,313,172	\$1,321,630
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	19,719	14,674	3,309
0250 Judicial Branch (Local Assistance)	2,334,142	2,400,035	2,535,394
0840 State Controller (State Operations)	174	174	174
Expenditure Adjustments:			
Less funding provided by General Fund (Local Assistance)	-	-114,700	-114,700
Less funding provided by General Fund (Local Assistance)	-922,648	-943,724	-1,016,691
Less funding provided by General Fund (Local Assistance)	-30,900	-66,200	-75,000
Total Expenditures and Expenditure Adjustments	\$1,400,487	\$1,290,259	\$1,332,486
FUND BALANCE	\$7,157	\$22,913	-\$10,856
Reserve for economic uncertainties	7,157	22,913	-10,856
3037 State Court Facilities Construction Fund^s			
BEGINNING BALANCE	\$132,833	\$244,952	\$417,931
Prior Year Adjustments	-189	-	-
Adjusted Beginning Balance	\$132,644	\$244,952	\$417,931
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	60	60	10,061
4163000 Investment Income - Surplus Money Investments	263	714	800
4171200 Court Filing Fees and Surcharges	22,509	24,817	24,821
4172500 Miscellaneous Revenue	2,868	1,169	50
4172900 Penalty Assessments - Criminal Fines	71,142	61,196	57,067
4173000 Penalty Assessments - Other	12,558	11,746	11,484
Transfers and Other Adjustments			

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0250 Judicial Branch - Continued

	2014-15*	2015-16*	2016-17*
Loan Repayment from the General Fund to the State Court Facilities Construction Fund per Item 0250-012-3037, Budget Act of 2011	130,000	220,000	-
Revenue Transfer from the State Court Facilities Construction Fund to the Trial Court Trust Fund per Item 0250-111-3037, Budget Acts of 2014, 2015, and 2016	-5,486	-5,486	-5,486
Total Revenues, Transfers, and Other Adjustments	<u>\$233,914</u>	<u>\$314,216</u>	<u>\$98,797</u>
Total Resources	\$366,558	\$559,168	\$516,728
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	118,523	141,237	142,829
0250 Judicial Branch (Capital Outlay)	<u>3,083</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$121,606</u>	<u>\$141,237</u>	<u>\$142,829</u>
FUND BALANCE	\$244,952	\$417,931	\$373,899
Reserve for economic uncertainties	244,952	417,931	373,899
3060 Appellate Court Trust Fund^s			
BEGINNING BALANCE	\$4,592	\$7,729	\$6,571
Prior Year Adjustments	<u>-4</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$4,588	\$7,729	\$6,571
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	16	32	20
4171200 Court Filing Fees and Surcharges	<u>5,785</u>	<u>5,584</u>	<u>5,425</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$5,801</u>	<u>\$5,616</u>	<u>\$5,445</u>
Total Resources	\$10,389	\$13,345	\$12,016
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	<u>2,660</u>	<u>6,774</u>	<u>6,684</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,660</u>	<u>\$6,774</u>	<u>\$6,684</u>
FUND BALANCE	\$7,729	\$6,571	\$5,332
Reserve for economic uncertainties	7,729	6,571	5,332
3066 Court Facilities Trust Fund^s			
BEGINNING BALANCE	\$8,134	\$12,292	\$8,310
Prior Year Adjustments	<u>2,842</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$10,976	\$12,292	\$8,310
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	98,909	97,929	98,016
4152500 Rental of State Property	7,942	7,637	6,790
4163000 Investment Income - Surplus Money Investments	93	113	140
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	3	2	2
4172500 Miscellaneous Revenue	6	48	5
Transfers and Other Adjustments			
Revenue Transfer to the Immediate and Critical Needs Account, State Court Facilities Construction Fund from the Court Facilities Trust Fund per Item 0250-014-3066, Budget Act of 2016	-	-	-377
Total Revenues, Transfers, and Other Adjustments	<u>\$106,953</u>	<u>\$105,729</u>	<u>\$104,576</u>
Total Resources	\$117,929	\$118,021	\$112,886
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	113,690	117,764	112,083

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0250 Judicial Branch - Continued

	2014-15*	2015-16*	2016-17*
Expenditure Adjustments:			
Less funding provided by General Fund (State Operations)	<u>-8,053</u>	<u>-8,053</u>	<u>-8,053</u>
Total Expenditures and Expenditure Adjustments	<u>\$105,637</u>	<u>\$109,711</u>	<u>\$104,030</u>
FUND BALANCE	\$12,292	\$8,310	\$8,856
Reserve for economic uncertainties	12,292	8,310	8,856
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund^s			
BEGINNING BALANCE	\$86,266	\$152,622	\$202,188
Prior Year Adjustments	<u>1,321</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$87,587	\$152,622	\$202,188
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4152500 Rental of State Property	4	-	-
4163000 Investment Income - Surplus Money Investments	196	419	405
4171200 Court Filing Fees and Surcharges	25,415	26,458	26,458
4172500 Miscellaneous Revenue	19,192	17,358	22,226
4172900 Penalty Assessments - Criminal Fines	164,090	135,572	129,168
4173000 Penalty Assessments - Other	25,915	24,652	23,111
4173800 Traffic Violations	26,133	22,049	20,340
Transfers and Other Adjustments			
Revenue Transfer from the State Court Facilities Construction Fund to the Immediate and Critical Needs Account, State Court Facilities Construction Fund per Item 0250-014-3066, Budget Act of 2016	-	-	377
Total Revenues, Transfers, and Other Adjustments	<u>\$260,945</u>	<u>\$226,508</u>	<u>\$222,085</u>
Total Resources	\$348,532	\$379,130	\$424,273
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	80,996	98,399	138,550
0250 Judicial Branch (Local Assistance)	10,000	50,000	50,000
0250 Judicial Branch (Capital Outlay)	<u>104,914</u>	<u>28,543</u>	<u>147,876</u>
Total Expenditures and Expenditure Adjustments	<u>\$195,910</u>	<u>\$176,942</u>	<u>\$336,426</u>
FUND BALANCE	\$152,622	\$202,188	\$87,847
Reserve for economic uncertainties	152,622	202,188	87,847

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	1,962.8	1,962.3	1,962.3	\$202,530	\$204,969	\$204,969
Budget Position Transparency	-	-248.3	-248.3	-	-27,953	-27,953
Salary and Other Adjustments	-210.6	-	-	-23,690	4,379	4,379
Workload and Administrative Adjustments						
Information System Control Enhancements						
Various	<u>-</u>	<u>-</u>	<u>3.0</u>	<u>-</u>	<u>-</u>	<u>307</u>
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	<u>-</u>	<u>-</u>	<u>3.0</u>	<u>\$-</u>	<u>\$-</u>	<u>\$307</u>
Totals, Adjustments	<u>-210.6</u>	<u>-248.3</u>	<u>-245.3</u>	<u>-\$23,690</u>	<u>-\$23,574</u>	<u>-\$14,567</u>
TOTALS, SALARIES AND WAGES	<u>1,752.2</u>	<u>1,714.0</u>	<u>1,717.0</u>	<u>\$178,840</u>	<u>\$181,395</u>	<u>\$190,402</u>

INFRASTRUCTURE OVERVIEW

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

The Judicial Council facilities consist of the offices of its staff, the Supreme Court, the Courts of Appeal, the Habeas Corpus Resource Center, the Commission on Judicial Performance, and all Trial Courts statewide. The Supreme Court is located within the Earl Warren Building of the Ronald M. George State Office Complex in San Francisco (98,155 square feet) and the Ronald Reagan State Building in Los Angeles (7,598 sf). The Courts of Appeal are organized into six districts, operate in nine different locations, and consist of 508,386 sf. The Trial Courts are located in 58 counties statewide consisting of more than 500 buildings and 2,100 courtrooms and approximately 13 million sf of usable area. The space includes public areas, such as courtrooms, waiting areas, clerks' offices, child waiting, records viewing, rooms for jury assembly and deliberation, and centers for self-help, alternative dispute resolution, and mediation, as well as private areas, such as judicial officer chambers, staff workspace, storage space, training rooms, and conference rooms. Judicial Council staff facilities, occupying approximately 261,500 sf, are located in San Francisco (Headquarters), Burbank, Sacramento, and field offices throughout the state. Judicial Council responsibility and management has gradually increased to what is now approximately 20 million square feet of facility space statewide.

SUMMARY OF PROJECTS

		State Building Program Expenditures	2014-15*	2015-16*	2016-17*
0165	CAPITAL OUTLAY				
	Projects				
0000071	Alameda County: New East County Courthouse		39,113	-	-
	Acquisition		39,113	-	-
0000072	Butte County: New North County Courthouse		-	2,692	-
	Construction		-	2,692	-
0000076	El Dorado County: New Placerville Courthouse		-	1,084	3,696
	Acquisition		-	1,084	-
	Preliminary Plans		-	-	3,696
0000078	Glenn County: Renovation and Addition to Willows Courthouse		-	1,611	33,182
	Construction		-	1,547	33,182
	Design Build		-	64	-
0000079	Imperial County: New El Centro Courthouse		3,344	-	39,277
	Working Drawings		3,344	-	-
	Construction		-	-	39,277
0000080	Inyo County: New Inyo County Courthouse		-	-	1,930
	Acquisition		-	-	696
	Preliminary Plans		-	-	1,234
0000083	Kings County: New Hanford Courthouse		-	9,558	-
	Construction		-	9,558	-
0000084	Lake County: New Lakeport Courthouse		4,450	40,803	-
	Working Drawings		4,450	-	-
	Construction		-	40,803	-
0000086	Los Angeles County: New Eastlake Juvenile Courthouse		-	-	18,891
	Acquisition		-	-	18,891
0000088	Los Angeles County: New Hollywood Courthouse		2,500	-	56,832
	Design Build		2,500	-	56,832
0000092	Mendocino County: New Ukiah Courthouse		-	8,016	6,068
	Acquisition		-	3,466	-
	Preliminary Plans		-	4,550	-
	Working Drawings		-	-	6,068
0000093	Merced County: New Los Banos Courthouse		21,889	-	-
	Construction		21,889	-	-
0000101	Riverside County: New Indio Juvenile and Family Courthouse		3,484	-	44,074
	Working Drawings		3,484	-	-
	Construction		-	-	44,074

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

State Building Program Expenditures		2014-15*	2015-16*	2016-17*
0000102	Riverside County: New Mid-County Civil Courthouse	-	4,259	5,666
	Preliminary Plans	-	4,259	-
	Working Drawings	-	-	5,666
0000103	Sacramento County: New Sacramento Courthouse	17,347	-	16,000
	Acquisition	6,347	-	-
	Preliminary Plans	11,000	-	-
	Working Drawings	-	-	16,000
0000104	San Benito County: New Hollister Courthouse	130	-	-
	Construction	130	-	-
0000106	San Diego County: New San Diego Courthouse	1,497	832	-
	Construction	1,497	832	-
0000107	San Joaquin County: New Stockton Courthouse	-	244	-
	Construction	-	244	-
0000109	Santa Barbara County: New Santa Barbara Criminal Courthouse	4,411	-	6,294
	Preliminary Plans	4,411	-	-
	Working Drawings	-	-	5,894
	Construction	-	-	400
0000110	Santa Clara County: New Family Justice Center	-	2,886	-
	Construction	-	2,886	-
0000111	Shasta County: New Redding Courthouse	6,028	8,675	135,378
	Preliminary Plans	6,028	-	-
	Working Drawings	-	8,675	-
	Construction	-	-	135,378
0000112	Siskiyou County: New Yreka Courthouse	4,518	56,936	-
	Working Drawings	4,518	-	-
	Construction	-	56,936	-
0000113	Solano County: Renovation to Old Solano Courthouse	55	-	-
	Construction	55	-	-
0000114	Sonoma County: New Santa Rosa Criminal Courthouse	7,670	-	11,252
	Preliminary Plans	7,670	-	-
	Working Drawings	-	-	11,252
0000115	Stanislaus County: New Modesto Courthouse	12,083	-	17,318
	Acquisition	1,057	-	-
	Preliminary Plans	11,026	-	-
	Working Drawings	-	-	15,252
	Construction	-	-	2,066
0000116	Sutter County: New Yuba City Courthouse	1,500	9,879	-
	Construction	1,500	9,879	-
0000117	Tehama County: New Red Bluff Courthouse	46,275	-	387
	Construction	46,275	-	387
0000119	Tuolumne County: New Sonora Courthouse	3,049	4,066	55,445
	Preliminary Plans	3,049	-	-
	Working Drawings	-	4,066	-
	Construction	-	-	55,445
0000120	Yolo County: New Woodland Courthouse	-	17,581	-
	Construction	-	17,581	-
TOTALS, EXPENDITURES, ALL PROJECTS		\$179,343	\$169,122	\$451,690

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

FUNDING	2014-15*	2015-16*	2016-17*
0660 Public Buildings Construction Fund	\$185	\$244	\$-
0668 Public Buildings Construction Fund Subaccount	71,161	140,335	303,814
3037 State Court Facilities Construction Fund	3,083	-	-
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund	104,914	28,543	147,876
TOTALS, EXPENDITURES, ALL FUNDS	\$179,343	\$169,122	\$451,690

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY	2014-15*	2015-16*	2016-17*
0660 Public Buildings Construction Fund			
Prior Year Balances Available:			
Item 0250-301-0660, Budget Act of 2010 as reappropriated by Items 0250-490 and 0250-491, BA of 2011 and as partially reappropriated by Item 0250-490, BA of 2013	-	244	-
0000104 - San Benito County, Hollister Courthouse: Augmentation per Government Code 16352 - C	130	-	-
0000113 - Old Solano Courthouse Renovation, Solano County - Augmentation per Government Code Sections 16352, 16409, and 16354 - C	55	-	-
Various Projects: Carryover/Reappropriation Adjustments	244	-	-
Totals Available	\$429	\$244	\$-
Balance available in subsequent years	-244	-	-
TOTALS, EXPENDITURES	\$185	\$244	\$-
0668 Public Buildings Construction Fund Subaccount			
APPROPRIATIONS			
301 Budget Act appropriation	\$101,733	\$97,739	\$270,245
Prior Year Balances Available:			
Item 0250-301-0668, Budget Act of 2012	41,210	41,210	-
Item 0250-301-0668, Budget Act of 2013	-	1,497	-
Item 0250-301-0668, Budget Act of 2014 as reappropriated by Item 0250-493, Budget Act of 2015	-	33,182	-
Item 0250-302-0668, Budget Act of 2012 as added by Chapter 29, Statutes of 2012	2,886	2,886	-
0000078 - Glenn County: Renovation and Addition to Willows Courthouse - Reappropriation - C	-	-	33,182
Various Projects: Carryover Adjustments	-	-1,110	387
Various Projects: Carryover/Reappropriation Adjustments	1,497	-	-
Various Projects: Miscellaneous Baseline Adjustments	-	-1,500	-
Totals Available	\$147,326	\$173,904	\$303,814
Balance available in subsequent years	-76,165	-33,569	-
TOTALS, EXPENDITURES	\$71,161	\$140,335	\$303,814
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$3,083	-	-
TOTALS, EXPENDITURES	\$3,083	\$-	\$-
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$142,254	\$51,781	\$26,390
Various Projects: Carryover/Reappropriation Adjustments	27,000	-	-
Prior Year Balances Available:			
Item 0250-301-3138, Budget Act of 2012 as reappropriated by Item 0250-490, Budget Act of 2015 and as reverted by Item 0250-495, Budget Act of 2013	47,925	2,194	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

3 CAPITAL OUTLAY	2014-15*	2015-16*	2016-17*
Item 0250-301-3138, Budget Act of 2013 as reappropriated by Item 0250-490, Budget Act of 2014	6,828	-	-
Item 0250-301-3138, Budget Act of 2014 as reappropriated by Item 0250-491, Budget Act of 2015	-	32,588	-
0000076 - El Dorado County: New Placerville Courthouse - COBCP - P	-	-	3,696
0000092 - Mendocino County: New Ukiah Courthouse - COBCP - W	-	-	6,068
0000109 - Santa Barbara County: New Santa Barbara Criminal Courthouse - COBCP - W, C	-	-	6,294
0000114 - Sonoma County: New Santa Rosa Criminal Courthouse - COBCP - W	-	-	11,252
0000115 - Stanislaus County: New Modesto Courthouse - COBCP - W	-	-	15,252
Item 0250-302-3138, Budget Act of 2014 as added by Chapter 663, Statutes of 2014	-	16,000	-
Item 0250-302-3138, Budget Act of 2014 as added by Chapter 663, Statutes of 2014 and reappropriated by Item 0250-49X, Budget Act of 2016	-	-	16,000
Various Projects: Carryover Adjustments	-	48,054	62,924
Various Projects: Carryover/Reappropriation Adjustments	<u>-10,227</u>	<u>-</u>	<u>-</u>
Totals Available	\$213,780	\$150,617	\$147,876
Unexpended balance, estimated savings	-10,030	-588	-
Balance available in subsequent years	<u>-98,836</u>	<u>-121,486</u>	<u>-</u>
TOTALS, EXPENDITURES	\$104,914	\$28,543	\$147,876
Total Expenditures, All Funds, (Capital Outlay)	\$179,343	\$169,122	\$451,690

0280 Commission on Judicial Performance

The California Commission on Judicial Performance is the independent state agency responsible for investigating complaints of judicial misconduct and judicial incapacity, and for disciplining judges pursuant to Article VI, Section 18 of the California Constitution. It is the only body with such authority in the state. Its jurisdiction includes all active judges and justices of California's superior courts, Courts of Appeal and Supreme Court, and former judges for conduct prior to retirement or resignation. The Commission also shares authority with the local courts for the oversight of court commissioners and referees. In addition to its disciplinary functions, the Commission is responsible for handling judges' applications for disability retirement.

The Commission's authority is limited to investigating alleged judicial misconduct and, if warranted, imposing discipline. Judicial misconduct usually involves conduct in conflict with the standards set forth in the Code of Judicial Ethics. After investigation and, in some cases a public hearing, the Commission may impose sanctions ranging from confidential discipline to removal from office.

The Commission is composed of 11 members: 3 judges appointed by the Supreme Court, 2 attorneys appointed by the Governor, and 6 lay citizens, of which 2 are appointed by the Governor, 2 are appointed by the Senate Committee on Rules, and 2 are appointed by the Speaker of the Assembly. Members are appointed to four-year terms and may serve two terms. Commission members do not receive a salary.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0180 Commission on Judicial Performance	<u>20.1</u>	<u>19.8</u>	<u>20.8</u>	<u>\$4,379</u>	<u>\$4,492</u>	<u>\$4,719</u>
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	20.1	19.8	20.8	\$4,379	\$4,492	\$4,719
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$4,308	\$4,422	\$4,641
0995 Reimbursements				76	86	79
9728 Judicial Branch Workers Compensation Fund				<u>-5</u>	<u>-16</u>	<u>-1</u>
TOTALS, EXPENDITURES, ALL FUNDS				\$4,379	\$4,492	\$4,719

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0280 Commission on Judicial Performance - Continued**LEGAL CITATIONS AND AUTHORITY**

DEPARTMENT AUTHORITY

California Constitution, Article VI, Sections 8, 18, 18.1 and 18.5; Government Code, Sections 75060 et seq. and 75560 et seq.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Commission Staffing	\$-	\$-	-	\$257	\$-	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$257	\$-	1.0
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$226	\$-	-	\$226	\$-	-
• Workers' Compensation Fund	-	-15	-	-	-	-
• Salary Adjustments	46	-	-	46	-	-
• Benefit Adjustments	23	-	-	29	-	-
• Retirement Rate Adjustments	20	-	-	20	-	-
• Miscellaneous Baseline Adjustments	-	7	-	-	-	-
• Lease Revenue Debt Service Adjustment	-10	-	-	-54	-	-
• Budget Position Transparency	-226	-	-3.2	-226	-	-3.2
Totals, Other Workload Budget Adjustments	\$79	-\$8	-3.2	\$41	\$-	-3.2
Totals, Workload Budget Adjustments	\$79	-\$8	-3.2	\$298	\$-	-2.2
Totals, Budget Adjustments	\$79	-\$8	-3.2	\$298	\$-	-2.2

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0180	COMMISSION ON JUDICIAL PERFORMANCE			
State Operations:				
0001	General Fund	\$4,308	\$4,422	\$4,641
0995	Reimbursements	76	86	79
9728	Judicial Branch Workers Compensation Fund	-5	-16	-1
Totals, State Operations		\$4,379	\$4,492	\$4,719
TOTALS, EXPENDITURES				
State Operations		4,379	4,492	4,719
Totals, Expenditures		\$4,379	\$4,492	\$4,719

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	22.0	23.0	23.0	\$2,234	\$2,221	\$2,221
Budget Position Transparency	-	-3.2	-3.2	-	-226	-226
Total Adjustments	-1.9	-	1.0	-211	46	215
Net Totals, Salaries and Wages	20.1	19.8	20.8	\$2,023	\$2,041	\$2,210

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0280 Commission on Judicial Performance - Continued

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Staff Benefits	-	-	-	881	976	1,085
Totals, Personal Services	20.1	19.8	20.8	\$2,904	\$3,017	\$3,295
OPERATING EXPENSES AND EQUIPMENT				\$1,475	\$1,475	\$1,424
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$4,379	\$4,492	\$4,719

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,253	\$4,342	\$4,640
Allocation for employee compensation	-	46	-
Allocation for staff benefits	18	23	-
Budget Position Transparency	-	-226	-
Expenditure by Category Redistribution	-	226	-
Past Year Updates	-2	-	-
Section 3.60 pension contribution adjustment	68	20	-
Tenant Rent Adjustment	-	-10	-
Workers' Compensation Fund	-	-15	-
011 Budget Act appropriation (transfer to Judicial Branch Workers' Compensation Fund)	1	1	1
Past Year Updates	5	-	-
Workers' Compensation Fund	-	15	-
Totals Available	\$4,343	\$4,422	\$4,641
Unexpended balance, estimated savings	-35	-	-
TOTALS, EXPENDITURES	\$4,308	\$4,422	\$4,641
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$76	\$86	\$79
TOTALS, EXPENDITURES	\$76	\$86	\$79
9728 Judicial Branch Workers Compensation Fund			
Less funding provided by General Fund	-5	-16	-1
NET TOTALS, EXPENDITURES	-\$5	-\$16	-\$1
Total Expenditures, All Funds, (State Operations)	\$4,379	\$4,492	\$4,719

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	22.0	23.0	23.0	\$2,234	\$2,221	\$2,221
Budget Position Transparency	-	-3.2	-3.2	-	-226	-226
Salary and Other Adjustments	-1.9	-	-	-211	46	46
Workload and Administrative Adjustments						
Commission Staffing						
Sr Atty III	-	-	1.0	-	-	169
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	1.0	\$-	\$-	\$169
Totals, Adjustments	-1.9	-3.2	-2.2	-\$211	-\$180	-\$11
TOTALS, SALARIES AND WAGES	20.1	19.8	20.8	\$2,023	\$2,041	\$2,210

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0390 Contributions to the Judges' Retirement System

The Judges' Retirement System provides retirement benefit funding for judges on California's Supreme, Appellate, and Superior/Municipal courts.

The Judges' Retirement System provides retirement, disability, and death benefits based on age, years of service, compensation of active judges, and eligibility, as determined by specific sections of the Judges' Retirement Law. Active judges and the state each contribute 8 percent of salary to the Judges' Retirement System. Additional contributions come from filing fees for specific civil cases and investment income. These contributions, however, are not sufficient to fully fund benefit payments. Consequently, current law requires the state to fund the difference between existing contribution sources and the required benefit payments to retired judges.

A second retirement system for judges was established in 1994. All new judges elected or appointed on or after November 9, 1994 become members of the Judges' Retirement System II. The Judges' Retirement System II receives contributions from judges equal to 8 percent of salary as well as any investment income. The state's contributions are adjusted annually to maintain actuarial soundness of the fund. Judges' Retirement System II members eligible for a service retirement have the option of choosing the monetary credit plan (a lump-sum return of contributions and interest earned) or the defined benefit plan.

Effective January 1, 2013, provisions of the California Public Employees' Pension Reform Act of 2013, Chapter 296, Statutes of 2012 (AB 340), require that new judges contribute 50 percent of the normal cost of their pension benefits.

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0190	State Operations	-	-	-	\$4,391	\$4,245	\$4,132
0195	Local Assistance	-	-	-	240,376	252,253	266,006
0200	Benefit Payments	-	-	-	215,758	213,016	220,089
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		-	-	-	\$460,525	\$469,514	\$490,227
FUNDING					2014-15*	2015-16*	2016-17*
0001	General Fund				\$244,767	\$256,498	\$270,138
0815	Judges Retirement Fund				201,734	203,139	208,162
0884	Judges Retirement System II Fund				14,024	9,877	11,927
TOTALS, EXPENDITURES, ALL FUNDS					\$460,525	\$469,514	\$490,227

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 8, Chapters 11 and 11.5.

DETAILED BUDGET ADJUSTMENTS

		2015-16*			2016-17*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments							
Other Workload Budget Adjustments							
•	Miscellaneous Baseline Adjustments	-\$738	-\$4,436	-	\$12,902	\$2,637	-
Totals, Other Workload Budget Adjustments		-\$738	-\$4,436	-	\$12,902	\$2,637	-
Totals, Workload Budget Adjustments		-\$738	-\$4,436	-	\$12,902	\$2,637	-
Totals, Budget Adjustments		-\$738	-\$4,436	-	\$12,902	\$2,637	-

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0190	STATE OPERATIONS			
	State Operations:			
0001	General Fund	\$4,391	\$4,245	\$4,132

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0390 Contributions to the Judges' Retirement System - Continued

	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
Totals, State Operations	\$4,391	\$4,245	\$4,132
PROGRAM REQUIREMENTS			
0195 LOCAL ASSISTANCE			
Local Assistance:			
0001 General Fund	<u>\$240,376</u>	<u>\$252,253</u>	<u>\$266,006</u>
Totals, Local Assistance	\$240,376	\$252,253	\$266,006
PROGRAM REQUIREMENTS			
0200 BENEFIT PAYMENTS			
Unclassified:			
0815 Judges Retirement Fund	\$201,734	\$203,139	\$208,162
0884 Judges Retirement System II Fund	<u>14,024</u>	<u>9,877</u>	<u>11,927</u>
Totals, Unclassified	\$215,758	\$213,016	\$220,089
TOTALS, EXPENDITURES			
State Operations	4,391	4,245	4,132
Local Assistance	240,376	252,253	266,006
Unclassified	<u>215,758</u>	<u>213,016</u>	<u>220,089</u>
Totals, Expenditures	\$460,525	\$469,514	\$490,227

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation (transfer to Judges' Retirement Fund)	\$1,150	\$1,150	\$1,150
Government Code section 75101 (JRS I)	771	724	661
Past year adjustments	165	-	-
Revised estimates	74	118	-
Government Code section 75600.5 (JRS II)	1,542	1,809	2,321
Past year adjustments	517	-	-
Revised estimates	<u>172</u>	<u>444</u>	<u>-</u>
TOTALS, EXPENDITURES	\$4,391	\$4,245	\$4,132
Total Expenditures, All Funds, (State Operations)	\$4,391	\$4,245	\$4,132
2 LOCAL ASSISTANCE	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation (transfer to Judges' Retirement Fund)	\$174,043	\$185,803	\$198,152
Government Code section 75101 (JRS I)	2,735	2,566	1,920
Past year adjustments	-60	-	-
Revised estimates	260	-121	-
Government Code section 75600.5 (JRS II)	55,566	65,184	65,934
Past year adjustments	1,634	-	-
Revised estimates	<u>6,198</u>	<u>-1,179</u>	<u>-</u>
TOTALS, EXPENDITURES	\$240,376	\$252,253	\$266,006
Total Expenditures, All Funds, (Local Assistance)	\$240,376	\$252,253	\$266,006

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0390 Contributions to the Judges' Retirement System - Continued

4 UNCLASSIFIED	2014-15*	2015-16*	2016-17*
0815 Judges Retirement Fund			
APPROPRIATIONS			
Government Code section 75025	\$209,073	\$207,960	\$208,162
Past year adjustments	3,375	-	-
Revised estimates	<u>-10,714</u>	<u>-4,821</u>	<u>-</u>
TOTALS, EXPENDITURES	\$201,734	\$203,139	\$208,162
0884 Judges Retirement System II Fund			
APPROPRIATIONS			
Government Code section 75522	\$6,729	\$9,492	\$11,927
Past year adjustments	6,641	-	-
Revised estimates	<u>654</u>	<u>385</u>	<u>-</u>
TOTALS, EXPENDITURES	\$14,024	\$9,877	\$11,927
Total Expenditures, All Funds, (Unclassified)	\$215,758	\$213,016	\$220,089
TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance and Unclassified)	\$460,525	\$469,514	\$490,227

0500 Governor's Office

Article V of the California Constitution vests the supreme executive power in a chief magistrate, who is called the Governor of the State of California. The Office of the Governor is maintained in Sacramento.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0210 Governor's Office	<u>81.0</u>	<u>81.0</u>	<u>81.0</u>	<u>\$12,796</u>	<u>\$14,106</u>	<u>\$14,479</u>
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	81.0	81.0	81.0	\$12,796	\$14,106	\$14,479
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$10,846	\$11,519	\$12,124
0995 Reimbursements				-	300	-
9740 Central Service Cost Recovery Fund				1,950	2,286	2,354
9750 Immigrant Integration Fund				<u>-</u>	<u>1</u>	<u>1</u>
TOTALS, EXPENDITURES, ALL FUNDS				\$12,796	\$14,106	\$14,479

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article V.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Funding for the Statewide Director of Immigrant Integration	\$-	\$-	-	\$200	\$-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$200	\$-	-
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$-	\$300	-	\$384	\$68	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0500 Governor's Office - Continued

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Salary Adjustments	195	-	-	195	-	-
• Benefit Adjustments	91	-	-	112	-	-
• Retirement Rate Adjustments	68	-	-	68	-	-
Totals, Other Workload Budget Adjustments	\$354	\$300	-	\$759	\$68	-
Totals, Workload Budget Adjustments	\$354	\$300	-	\$959	\$68	-
Totals, Budget Adjustments	\$354	\$300	-	\$959	\$68	-

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	81.0	81.0	81.0	\$9,509	\$9,509	\$9,509
Total Adjustments	-	-	-	-1,751	195	458
Net Totals, Salaries and Wages	81.0	81.0	81.0	\$7,758	\$9,704	\$9,967
Staff Benefits	-	-	-	3,055	1,713	1,951
Totals, Personal Services	81.0	81.0	81.0	\$10,813	\$11,417	\$11,918
OPERATING EXPENSES AND EQUIPMENT				\$1,983	\$2,688	\$2,560
SPECIAL ITEMS OF EXPENSES				-	1	1
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$12,796	\$14,106	\$14,479

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$10,751	\$11,165	\$12,124
Allocation for employee compensation	173	195	-
Allocation for staff benefits	10	91	-
Past Year Adjustments	-1	-	-
Section 3.60 pension contribution adjustment	198	68	-
Totals Available	\$11,131	\$11,519	\$12,124
Unexpended balance, estimated savings	-285	-	-
TOTALS, EXPENDITURES	\$10,846	\$11,519	\$12,124
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	-	\$300	-
TOTALS, EXPENDITURES	\$-	\$300	\$-
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,313	\$2,286	\$2,354
Totals Available	\$2,313	\$2,286	\$2,354
Unexpended balance, estimated savings	-363	-	-
TOTALS, EXPENDITURES	\$1,950	\$2,286	\$2,354

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0500 Governor's Office - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
9750 Immigrant Integration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$1	\$1
TOTALS, EXPENDITURES	\$-	\$1	\$1
Total Expenditures, All Funds, (State Operations)	\$12,796	\$14,106	\$14,479

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	81.0	81.0	81.0	\$9,509	\$9,509	\$9,509
Salary and Other Adjustments	-	-	-	-1,751	195	330
Workload and Administrative Adjustments						
Funding for the Statewide Director of Immigrant Integration	-	-	-	-	-	128
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	-	\$-	\$-	\$128
Totals, Adjustments	-	-	-	-\$1,751	\$195	\$458
TOTALS, SALARIES AND WAGES	81.0	81.0	81.0	\$7,758	\$9,704	\$9,967

0509 Governor's Office of Business and Economic Development (GO-Biz)

The Governor's Office of Business and Economic Development (GO-Biz) provides a single point of contact for economic development, business assistance and job creation efforts. The GO-Biz works with companies and organizations across the nation to market the benefits of doing business in California, recruit new businesses, retain businesses, and support private sector job growth. The GO-Biz serves as the Governor's lead entity for economic strategy and the marketing of California on issues relating to business development, private sector investment, economic growth, export promotion, permit assistance, innovation and entrepreneurship.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0220 Go-Biz	24.0	23.0	22.0	\$2,758	\$4,626	\$4,943
0225 California Business Investment Services	11.0	9.4	9.0	1,782	1,831	1,832
0230 Office of the Small Business Advocate	2.2	2.5	6.0	2,151	2,480	1,787
0235 Infrastructure, Finance and Economic Development	34.2	31.4	45.4	25,602	17,013	18,167
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	71.4	66.3	82.4	\$32,293	\$25,950	\$26,729
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$8,251	\$12,508	\$11,786
0649 California Infrastructure and Economic Development Bank Fund				21,291	9,465	10,965
0918 California Small Business Expansion Fund				899	2,115	2,115
0995 Reimbursements				1,731	1,742	1,743
3083 Welcome Center Fund				114	110	110
3095 Film Promotion and Marketing Fund				7	10	10
TOTALS, EXPENDITURES, ALL FUNDS				\$32,293	\$25,950	\$26,729

LEGAL CITATIONS AND AUTHORITY

Government Code Sections 12096.1-12100, and 63050; and Corporations Code Section 14000-14024.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Administrative Workload - Human Resources, Business Services, Procurement	\$-	\$-	-	\$309	\$-	4.0
• CA Infrastructure and Economic Development Bank Workload	-	-	-	-	1,489	11.0
• Zero Emission Vehicle Infrastructure Project Manager Term Extension	-	-	-	-	100	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$309	\$1,589	15.0
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$1,921	\$-	-	\$1,952	\$-	-
• Section 1.50 Budget Adjustment	-	100	-	-	-	-
• Salary Adjustments	124	60	-	122	63	-
• Benefit Adjustments	60	31	-	75	40	-
• Retirement Rate Adjustments	44	20	-	44	20	-
• Miscellaneous Baseline Adjustments	-	-	-	-194	-	-
• Budget Position Transparency	-1,921	-	-31.0	-1,952	-	-30.3
Totals, Other Workload Budget Adjustments	\$228	\$211	-31.0	\$47	\$123	-30.3
Totals, Workload Budget Adjustments	\$228	\$211	-31.0	\$356	\$1,712	-15.3
Policy Adjustments						
• Small Business Development Centers	\$-	\$-	-	\$1,500	\$-	-
Totals, Policy Adjustments	\$-	\$-	-	\$1,500	\$-	-
Totals, Budget Adjustments	\$228	\$211	-31.0	\$1,856	\$1,712	-15.3

PROGRAM DESCRIPTIONS

0220 - GO-Biz

The GO-Biz serves as the Governor's lead entity for economic strategy and the marketing of California on issues relating to business development, private sector investment, and economic growth, and export promotion. This program makes recommendations to the Governor and the Legislature regarding policies, programs, and actions to advance statewide economic goals.

0225 - CALIFORNIA BUSINESS INVESTMENT SERVICES

This program serves employers, corporate executives, business owners, and site location consultants who are considering California for business investment and expansion. This program convenes teams on key business development issues. This program works with local, state, and federal partners to attract, retain, and grow businesses in addition to providing permit assistance and helping businesses succeed in California. The Innovation Hub (iHub) initiative improves the state's national and global competitiveness by stimulating partnerships, economic development, and job creation around specific research clusters through state-designated iHubs.

0230 - OFFICE OF THE SMALL BUSINESS ADVOCATE

The director of the Office of the Small Business Advocate (OSBA) serves as the principal advocate in the state on behalf of small businesses, including legislation and administrative regulations that affect small business. The OSBA is responsible for disseminating information about programs and services provided by the state that benefit small businesses, and how small businesses can participate in these programs and services. The OSBA responds to issues from small businesses concerning the actions of state agencies, state laws and regulations adversely affecting those businesses. The OSBA maintains and distributes an annual list of persons serving as small business ombudsmen throughout state government.

0235010 - CALIFORNIA FILM COMMISSION

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**0509 Governor's Office of Business and Economic Development (GO-Biz) -
Continued**

The California Film Commission (CFC) enhances California's status as the leader in motion picture, television and commercial production. The Commission is tasked with retaining and increasing motion picture production and to see that it continues to create jobs and boost business throughout the State. A one-stop office for filmmakers, the Commission supports productions of all sizes and budgets with a variety of services. In addition to issuing film permits for all state properties, administering the film and TV tax credit program, maintaining an extensive location library, and offering production assistance on a wide variety of issues, CFC also works closely with cities and counties with the goal of creating "film friendly" policies that are consistent state-wide.

0235019 - DIVISION OF TOURISM

The California Tourism Market Act provides for the marketing of California through an assessment of businesses that benefit from travel and tourism. The objective of the Tourism Assessment Program is to identify potentially assessable businesses, assist companies with determining the appropriate amount of their self-assessment, and collect the fee.

0235028 - CALIFORNIA INFRASTRUCTURE AND ECONOMIC DEVELOPMENT BANK

California Infrastructure and Economic Development Bank (IBank) was created to finance public infrastructure and private development that promote a healthy climate for jobs, contribute to a strong economy and improve the quality of life in California communities. IBank has a broad authority to issue tax-exempt and taxable revenue bonds, provide financing to public agencies, provide credit enhancements, acquire or lease facilities, and leverage State and Federal funds. IBank's current programs include the infrastructure state revolving fund, 501(c)(3) tax-exempt and taxable revenue bond program, industrial development revenue bond program, exempt facility revenue bond program, governmental bond program and the Clean Energy Finance Center (CEFC) and the Statewide Energy Efficiency Program under the CEFC.

0235037 - SMALL BUSINESS LOAN GUARANTEE PROGRAM

The Small Business Loan Guarantee Program (SBLGP) promotes local economic development by providing guarantees for loans issued to small businesses from financial institutions, typically banks, that otherwise would not approve a term loan or line of credit to a small business. As a result of the SBLGP, participating small businesses are able to secure financing that allows them to grow and expand their business. The loan guarantee serves as a credit enhancement and an incentive for financial institutions to make loans to small businesses that otherwise would not be eligible for such financing.

0235046 - CALIFORNIA WELCOME CENTERS

California Welcome Centers are visitor information centers that are readily accessible to and recognizable by tourists to encourage tourism in California and provide benefits to the state economy. The objective of the California Welcome Center Program is to determine the locality of underserved travelers, designate a welcome center, and establish operating standards across the network.

DETAILED EXPENDITURES BY PROGRAM

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
	PROGRAM REQUIREMENTS			
0220	GO-BIZ			
	State Operations:			
0001	General Fund	\$2,758	\$4,626	\$4,943
	Totals, State Operations	\$2,758	\$4,626	\$4,943
	PROGRAM REQUIREMENTS			
0225	CALIFORNIA BUSINESS INVESTMENT SERVICES			
	State Operations:			
0001	General Fund	\$1,632	\$1,681	\$1,682
0995	Reimbursements	150	150	150
	Totals, State Operations	\$1,782	\$1,831	\$1,832
	PROGRAM REQUIREMENTS			
0230	OFFICE OF THE SMALL BUSINESS ADVOCATE			
	State Operations:			
0001	General Fund	\$2,151	\$2,480	\$1,787
	Totals, State Operations	\$2,151	\$2,480	\$1,787
	PROGRAM REQUIREMENTS			
0235	INFRASTRUCTURE, FINANCE AND ECONOMIC DEVELOPMENT			

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**0509 Governor's Office of Business and Economic Development (GO-Biz) -
Continued**

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
	State Operations:			
0001	General Fund	\$1,710	\$3,721	\$3,374
0649	California Infrastructure and Economic Development Bank Fund	3,391	3,965	5,465
0918	California Small Business Expansion Fund	899	2,115	2,115
0995	Reimbursements	1,581	1,592	1,593
3083	Welcome Center Fund	114	110	110
3095	Film Promotion and Marketing Fund	7	10	10
	Totals, State Operations	\$7,702	\$11,513	\$12,667
	Local Assistance:			
0649	California Infrastructure and Economic Development Bank Fund	\$17,900	\$5,500	\$5,500
	Totals, Local Assistance	\$17,900	\$5,500	\$5,500
	SUBPROGRAM REQUIREMENTS			
0235010	California Film Commission			
	State Operations:			
0001	General Fund	\$1,456	\$2,664	\$2,318
3095	Film Promotion and Marketing Fund	7	10	10
	Totals, State Operations	\$1,463	\$2,674	\$2,328
	SUBPROGRAM REQUIREMENTS			
0235019	Tourism			
	State Operations:			
0001	General Fund	-\$124	\$191	\$190
0995	Reimbursements	882	893	894
	Totals, State Operations	\$758	\$1,084	\$1,084
	SUBPROGRAM REQUIREMENTS			
0235028	California Infrastructure and Economic Development Bank			
	State Operations:			
0001	General Fund	-\$212	\$-	\$-
0649	California Infrastructure and Economic Development Bank Fund	3,391	3,965	5,465
0995	Reimbursements	212	212	212
	Totals, State Operations	\$3,391	\$4,177	\$5,677
	Local Assistance:			
0649	California Infrastructure and Economic Development Bank Fund	\$17,900	\$5,500	\$5,500
	Totals, Local Assistance	\$17,900	\$5,500	\$5,500
	SUBPROGRAM REQUIREMENTS			
0235037	Small Business Expansion			
	State Operations:			
0001	General Fund	\$590	\$866	\$866
0918	California Small Business Expansion Fund	899	2,115	2,115
0995	Reimbursements	487	487	487
	Totals, State Operations	\$1,976	\$3,468	\$3,468
	SUBPROGRAM REQUIREMENTS			
0235046	Welcome Center Program			

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**0509 Governor's Office of Business and Economic Development (GO-Biz) -
Continued**

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
State Operations:				
3083	Welcome Center Fund	\$114	\$110	\$110
	Totals, State Operations	\$114	\$110	\$110
TOTALS, EXPENDITURES				
	State Operations	14,393	20,450	21,229
	Local Assistance	<u>17,900</u>	<u>5,500</u>	<u>5,500</u>
	Totals, Expenditures	\$32,293	\$25,950	\$26,729

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions					
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	89.0	97.3	97.7	\$6,785	\$7,258	\$7,258
Budget Position Transparency	-	-31.0	-30.3	-	-1,921	-1,952
Total Adjustments	<u>-17.6</u>	<u>-</u>	<u>15.0</u>	<u>-1,152</u>	<u>284</u>	<u>1,095</u>
Net Totals, Salaries and Wages	71.4	66.3	82.4	\$5,633	\$5,621	\$6,401
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>2,549</u>	<u>4,753</u>	<u>5,117</u>
Totals, Personal Services	71.4	66.3	82.4	\$8,182	\$10,374	\$11,518
OPERATING EXPENSES AND EQUIPMENT				\$2,770	\$5,228	\$5,363
SPECIAL ITEMS OF EXPENSES				<u>3,441</u>	<u>4,848</u>	<u>4,348</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$14,393	\$20,450	\$21,229

	2 Local Assistance			Expenditures		
	2014-15*	2015-16*	2016-17*			
Other Special Items of Expense	<u>\$17,900</u>	<u>\$5,500</u>	<u>\$5,500</u>			
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$17,900	\$5,500	\$5,500			

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2014-15*	2015-16*	2016-17*
0001 General Fund				
APPROPRIATIONS				
001 Budget Act appropriation		\$8,062	\$11,419	\$10,925
Allocation for employee compensation		87	124	-
Allocation for staff benefits		32	60	-
Allocation for staff benefits (Reimbursement)		-1	-	-
Budget Position Transparency		-	-1,921	-
Expenditure by Category Redistribution		-	1,921	-
Section 3.60 pension contribution adjustment		137	44	-
011 Budget Act appropriation (transfer to Small Business Expansion Fund)		861	861	861
Small business development corporation grants		2,000	-	-
Carryover Adjustment		404	-	-
Carryover Adjustment		100	-	-

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**0509 Governor's Office of Business and Economic Development (GO-Biz) -
Continued**

	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
1 STATE OPERATIONS			
Totals Available	\$11,682	\$12,508	\$11,786
Unexpended balance, estimated savings	<u>-3,431</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$8,251	\$12,508	\$11,786
0649 California Infrastructure and Economic Development Bank Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,981	\$3,875	\$5,465
Allocation for employee compensation	36	47	-
Allocation for staff benefits	15	27	-
Section 3.60 pension contribution adjustment	<u>54</u>	<u>16</u>	<u>-</u>
Totals Available	\$4,086	\$3,965	\$5,465
Unexpended balance, estimated savings	<u>-695</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$3,391	\$3,965	\$5,465
0890 Federal Trust Fund			
APPROPRIATIONS			
011 Budget Act appropriation (transfer to Small Business Expansion Fund)	<u>\$27,609</u>	<u>-</u>	<u>-</u>
Totals Available	\$27,609	\$-	\$-
Unexpended balance, estimated savings	<u>-27,609</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$-	\$-
0918 California Small Business Expansion Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$279	\$122	\$128
Allocation for employee compensation	3	4	-
Allocation for staff benefits	1	2	-
Section 3.60 pension contribution adjustment	1	-	-
Corporations Code section 14030	1,848	1,848	1,848
Corporations Code section 14030(a) (default payments)	<u>1,000</u>	<u>1,000</u>	<u>1,000</u>
Totals Available	\$3,132	\$2,976	\$2,976
Unexpended balance, estimated savings	<u>-1,372</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,760	\$2,976	\$2,976
Less funding provided by General Fund	<u>-861</u>	<u>-861</u>	<u>-861</u>
NET TOTALS, EXPENDITURES	\$899	\$2,115	\$2,115
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$1,731</u>	<u>\$1,742</u>	<u>\$1,743</u>
TOTALS, EXPENDITURES	\$1,731	\$1,742	\$1,743
3083 Welcome Center Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$110	\$107	\$110
Allocation for employee compensation	1	2	-
Section 3.60 pension contribution adjustment	<u>2</u>	<u>1</u>	<u>-</u>
Totals Available	\$113	\$110	\$110
Unexpended balance, estimated savings	<u>1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$114	\$110	\$110
3095 Film Promotion and Marketing Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$10</u>	<u>\$10</u>	<u>\$10</u>
Totals Available	\$10	\$10	\$10

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Unexpended balance, estimated savings	-3	-	-
TOTALS, EXPENDITURES	\$7	\$10	\$10
Total Expenditures, All Funds, (State Operations)	\$14,393	\$20,450	\$21,229
2 LOCAL ASSISTANCE	2014-15*	2015-16*	2016-17*
0649 California Infrastructure and Economic Development Bank Fund			
APPROPRIATIONS			
Government Code section 63050	\$5,500	\$5,500	\$5,500
Past Year Adjustments	12,400	-	-
TOTALS, EXPENDITURES	\$17,900	\$5,500	\$5,500
Total Expenditures, All Funds, (Local Assistance)	\$17,900	\$5,500	\$5,500
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$32,293	\$25,950	\$26,729

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
3083 Welcome Center Fund^s			
BEGINNING BALANCE	\$57	\$54	\$44
Prior Year Adjustments	9	-	-
Adjusted Beginning Balance	\$66	\$54	\$44
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172500 Miscellaneous Revenue	100	100	100
Total Revenues, Transfers, and Other Adjustments	\$100	\$100	\$100
Total Resources	\$166	\$154	\$144
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	113	110	110
Total Expenditures and Expenditure Adjustments	\$113	\$110	\$110
FUND BALANCE	\$54	\$44	\$34
Reserve for economic uncertainties	54	44	34
3095 Film Promotion and Marketing Fund^s			
BEGINNING BALANCE	\$19	\$24	\$24
Prior Year Adjustments	-2	-	-
Adjusted Beginning Balance	\$17	\$24	\$24
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140000 Document Sales	14	10	10
Total Revenues, Transfers, and Other Adjustments	\$14	\$10	\$10
Total Resources	\$31	\$34	\$34
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	7	10	10
Total Expenditures and Expenditure Adjustments	\$7	\$10	\$10
FUND BALANCE	\$24	\$24	\$24
Reserve for economic uncertainties	24	24	24

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	89.0	97.3	97.7	\$6,785	\$7,258	\$7,258
Budget Position Transparency	-	-31.0	-30.3	-	-1,921	-1,952
Salary and Other Adjustments	-17.6	-	-	-1,152	284	78
Workload and Administrative Adjustments						
Administrative Workload - Human Resources, Business Services, Procurement						
Mgmt Svcs Techn	-	-	1.0	-	-	-
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	39
Staff Svcs Mgr I	-	-	2.0	-	-	141
CA Infrastructure and Economic Development						
Bank Workload						
Accountant Trainee	-	-	1.0	-	-	45
Assoc Govtl Program Analyst	-	-	1.0	-	-	59
Atty	-	-	1.0	-	-	65
Sr Loan Officer (Supvr)	-	-	1.0	-	-	77
Staff Loan Officer (Spec)	-	-	6.0	-	-	421
Staff Programmer Analyst (Spec)	-	-	1.0	-	-	70
Zero Emission Vehicle Infrastructure Project						
Manager Term Extension						
	-	-	-	-	-	100
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	15.0	\$-	\$-	\$1,017
Totals, Adjustments	-17.6	-31.0	-15.3	-\$1,152	-\$1,637	-\$857
TOTALS, SALARIES AND WAGES	71.4	66.3	82.4	\$5,633	\$5,621	\$6,401

0511 Secretary for Government Operations Agency

The Government Operations Agency is responsible for coordinating state operations, including procurement, information technology, and human resources. The mission of the Government Operations Agency is to improve management and accountability of government programs, increase efficiency, and promote better and more coordinated operations decisions. The Government Operations Agency oversees the Department of General Services, the Department of Human Resources, the Department of Technology, the Office of Administrative Law, the Franchise Tax Board, the State Personnel Board, the Victim Compensation and Government Claims Board, the California Public Employees' Retirement System, and the California State Teachers' Retirement System.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0250 Administration of Government Operations Agency	14.6	11.6	11.6	\$2,739	\$3,323	\$3,327
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	14.6	11.6	11.6	\$2,739	\$3,323	\$3,327
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$1,068	\$1,296	\$1,297
0995 Reimbursements				1,671	2,027	2,030
TOTALS, EXPENDITURES, ALL FUNDS				\$2,739	\$3,323	\$3,327

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0511 Secretary for Government Operations Agency - Continued

LEGAL CITATIONS AND AUTHORITY

Government Code, section 12803.2.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$642	\$-	-	\$642	\$-	-
• Salary Adjustments	18	27	-	18	27	-
• Benefit Adjustments	8	12	-	9	15	-
• Retirement Rate Adjustments	6	10	-	6	10	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-
• Budget Position Transparency	-642	-	-5.4	-642	-	-5.4
Totals, Other Workload Budget Adjustments	\$32	\$49	-5.4	\$33	\$52	-5.4
Totals, Workload Budget Adjustments	\$32	\$49	-5.4	\$33	\$52	-5.4
Totals, Budget Adjustments	\$32	\$49	-5.4	\$33	\$52	-5.4

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0250	ADMINISTRATION OF GOVERNMENT OPERATIONS AGENCY			
State Operations:				
0001	General Fund	\$1,068	\$1,296	\$1,297
0995	Reimbursements	1,671	2,027	2,030
Totals, State Operations		\$2,739	\$3,323	\$3,327
TOTALS, EXPENDITURES				
State Operations		2,739	3,323	3,327
Totals, Expenditures		\$2,739	\$3,323	\$3,327

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	17.0	17.0	17.0	\$1,812	\$1,813	\$1,813
Budget Position Transparency	-	-5.4	-5.4	-	-642	-642
Total Adjustments	-2.4	-	-	-312	7	15
Net Totals, Salaries and Wages	14.6	11.6	11.6	\$1,500	\$1,178	\$1,186
Staff Benefits	-	-	-	681	853	857
Totals, Personal Services	14.6	11.6	11.6	\$2,181	\$2,031	\$2,043
OPERATING EXPENSES AND EQUIPMENT				\$558	\$1,292	\$1,284
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,739	\$3,323	\$3,327

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0511 Secretary for Government Operations Agency - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,225	\$1,264	\$1,297
Allocation for employee compensation	13	18	-
Allocation for staff benefits	5	8	-
Budget Position Transparency	-	-642	-
Expenditure by Category Redistribution	-	642	-
Section 3.60 pension contribution adjustment	21	6	-
Totals Available	\$1,264	\$1,296	\$1,297
Unexpended balance, estimated savings	-196	-	-
TOTALS, EXPENDITURES	\$1,068	\$1,296	\$1,297
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,671	\$2,027	\$2,030
TOTALS, EXPENDITURES	\$1,671	\$2,027	\$2,030
Total Expenditures, All Funds, (State Operations)	\$2,739	\$3,323	\$3,327

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	17.0	17.0	17.0	\$1,812	\$1,813	\$1,813
Budget Position Transparency	-	-5.4	-5.4	-	-642	-642
Salary and Other Adjustments	-2.4	-	-	-312	7	15
Totals, Adjustments	-2.4	-5.4	-5.4	-\$312	-\$635	-\$627
TOTALS, SALARIES AND WAGES	14.6	11.6	11.6	\$1,500	\$1,178	\$1,186

0515 Secretary for Business, Consumer Services, and Housing Agency

The Business, Consumer Services and Housing Agency was established in 2013. The Agency is responsible for overseeing departments, boards, commissions and agencies that provide the following services to the people of California: protection of the public through the licensing and regulation of more than 100 businesses and 200 professional categories; regulation of financial services and state-licensed financial institutions; enforcement of the sale of alcoholic beverages; regulation of the horse racing industry; protection of civil rights; safe and affordable housing opportunities; and earthquake safety. The Agency is comprised of the following entities: Department of Consumer Affairs; Department of Business Oversight; Department of Fair Employment and Housing; Department of Housing and Community Development; California Housing and Finance Agency; Alcoholic Beverage Control; Alcoholic Beverage Control Appeals Board; California Horse Racing Board; and the Alfred E. Alquist Seismic Safety Commission.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0260 Support	14.9	13.0	13.0	\$2,926	\$3,092	\$3,117
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	14.9	13.0	13.0	\$2,926	\$3,092	\$3,117
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$92	\$122	\$122
0067 State Corporations Fund				264	292	304
0240 Local Agency Deposit Security Fund				1	1	1

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

FUNDING	2014-15*	2015-16*	2016-17*
0298 Financial Institutions Fund	131	146	152
0299 Credit Union Fund	40	43	44
0995 Reimbursements	2,123	2,190	2,194
3036 Alcohol Beverages Control Fund	230	246	246
3153 Horse Racing Fund	45	52	54
TOTALS, EXPENDITURES, ALL FUNDS	\$2,926	\$3,092	\$3,117

LEGAL CITATIONS AND AUTHORITY

Government Code, Sections 12800, 12804, 12804.5, 12855, and 12856.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$189	\$46	-	\$189	\$46	-
• Retirement Rate Adjustments	11	6	-	11	6	-
• Salary Adjustments	2	39	-	2	39	-
• Benefit Adjustments	1	20	-	1	25	-
• Pro Rata	-	-	-	-	20	-
• Miscellaneous Baseline Adjustments	-	-1	-	-	-1	-
• Budget Position Transparency	-189	-46	-2.0	-189	-46	-2.0
Totals, Other Workload Budget Adjustments	\$14	\$64	-2.0	\$14	\$89	-2.0
Totals, Workload Budget Adjustments	\$14	\$64	-2.0	\$14	\$89	-2.0
Totals, Budget Adjustments	\$14	\$64	-2.0	\$14	\$89	-2.0

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0260	SUPPORT			
State Operations:				
0001	General Fund	\$92	\$122	\$122
0067	State Corporations Fund	264	292	304
0240	Local Agency Deposit Security Fund	1	1	1
0298	Financial Institutions Fund	131	146	152
0299	Credit Union Fund	40	43	44
0995	Reimbursements	2,123	2,190	2,194
3036	Alcohol Beverages Control Fund	230	246	246
3153	Horse Racing Fund	45	52	54
Totals, State Operations		\$2,926	\$3,092	\$3,117
TOTALS, EXPENDITURES				
State Operations		2,926	3,092	3,117
Totals, Expenditures		\$2,926	\$3,092	\$3,117

EXPENDITURES BY CATEGORY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	15.0	15.0	15.0	\$1,612	\$1,626	\$1,626
Budget Position Transparency	-	-2.0	-2.0	-	-235	-235
Total Adjustments	-0.1	-	-	-	41	41
Net Totals, Salaries and Wages	14.9	13.0	13.0	\$1,612	\$1,432	\$1,432
Staff Benefits	-	-	-	696	716	721
Totals, Personal Services	14.9	13.0	13.0	\$2,308	\$2,148	\$2,153
OPERATING EXPENSES AND EQUIPMENT				\$618	\$944	\$964
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,926	\$3,092	\$3,117

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$104	\$108	\$122
Allocation for employee compensation	1	2	-
Allocation for staff benefits	-	1	-
Budget Position Transparency	-	-189	-
Expenditure by Category Redistribution	-	189	-
Section 3.60 pension contribution adjustment	2	11	-
Totals Available	\$107	\$122	\$122
Unexpended balance, estimated savings	-15	-	-
TOTALS, EXPENDITURES	\$92	\$122	\$122
0067 State Corporations Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$265	\$287	\$304
Allocation for employee compensation	3	3	-
Allocation for staff benefits	1	1	-
Budget Position Transparency	-	-17	-
Expenditure by Category Redistribution	-	17	-
Section 3.60 pension contribution adjustment	4	1	-
Totals Available	\$273	\$292	\$304
Unexpended balance, estimated savings	-9	-	-
TOTALS, EXPENDITURES	\$264	\$292	\$304
0240 Local Agency Deposit Security Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1	\$1	\$1
TOTALS, EXPENDITURES	\$1	\$1	\$1
0298 Financial Institutions Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$131	\$143	\$152
Allocation for employee compensation	1	1	-
Allocation for staff benefits	1	1	-
Budget Position Transparency	-	-9	-

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0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Expenditure by Category Redistribution	-	9	-
Miscellaneous adjustment to realign Current Service Level	1	-	-
Section 3.60 pension contribution adjustment	<u>2</u>	<u>1</u>	<u>-</u>
Totals Available	\$136	\$146	\$152
Unexpended balance, estimated savings	<u>-5</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$131	\$146	\$152
0299 Credit Union Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$40	\$43	\$44
Section 3.60 pension contribution adjustment	<u>1</u>	<u>-</u>	<u>-</u>
Totals Available	\$41	\$43	\$44
Unexpended balance, estimated savings	<u>-1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$40	\$43	\$44
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$2,123</u>	<u>\$2,190</u>	<u>\$2,194</u>
TOTALS, EXPENDITURES	\$2,123	\$2,190	\$2,194
3036 Alcohol Beverages Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$232	\$240	\$246
Allocation for employee compensation	3	3	-
Allocation for staff benefits	1	2	-
Budget Position Transparency	-	-20	-
Expenditure by Category Redistribution	-	20	-
Section 3.60 pension contribution adjustment	<u>4</u>	<u>1</u>	<u>-</u>
Totals Available	\$240	\$246	\$246
Unexpended balance, estimated savings	<u>-10</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$230	\$246	\$246
3153 Horse Racing Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$46	\$51	\$54
Allocation for employee compensation	1	1	-
Pro Rata Realignment	-1	-	-
Section 3.60 pension contribution adjustment	<u>1</u>	<u>-</u>	<u>-</u>
Totals Available	\$47	\$52	\$54
Unexpended balance, estimated savings	<u>-2</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$45	\$52	\$54
Total Expenditures, All Funds, (State Operations)	\$2,926	\$3,092	\$3,117

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
Baseline Positions	15.0	15.0	15.0	\$1,612	\$1,626	\$1,626
Budget Position Transparency	-	-2.0	-2.0	-	-235	-235
Salary and Other Adjustments	<u>-0.1</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>41</u>	<u>41</u>
Totals, Adjustments	-0.1	-2.0	-2.0	\$-	-\$194	-\$194
TOTALS, SALARIES AND WAGES	14.9	13.0	13.0	\$1,612	\$1,432	\$1,432

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0521 Secretary for Transportation Agency

The California State Transportation Agency develops and coordinates the policies and programs of the state's transportation entities to achieve the state's mobility, safety and environmental sustainability objectives from its transportation system.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0270 Administration of Transportation Agency	23.3	20.0	21.0	\$3,727	\$4,446	\$4,841
0275 California Traffic Safety Program	31.4	33.0	33.0	94,459	119,516	96,793
0276 Transit and Intercity Rail Capital Program	-	-	-	-	182,486	208,455
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	54.7	53.0	54.0	\$98,186	\$306,448	\$310,089
FUNDING				2014-15*	2015-16*	2016-17*
0044 Motor Vehicle Account, State Transportation Fund				\$2,366	\$2,706	\$2,742
0046 Public Transportation Account, State Transportation Fund				5	6	9,006
0890 Federal Trust Fund				94,003	118,961	96,436
0995 Reimbursements				1,812	2,218	2,382
3228 Greenhouse Gas Reduction Fund				-	182,557	199,523
TOTALS, EXPENDITURES, ALL FUNDS				\$98,186	\$306,448	\$310,089

LEGAL CITATIONS AND AUTHORITY

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Statewide Coordination of Traffic Safety Data Systems	\$-	\$-	-	\$-	\$159	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$159	1.0
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$-	\$384	-	\$-	\$384	-
• Salary Adjustments	-	115	-	-	115	-
• Benefit Adjustments	-	66	-	-	87	-
• Retirement Rate Adjustments	-	40	-	-	40	-
• Pro Rata	-	-	-	-	29	-
• Carryover/Reappropriation	-	22,332	-	-	-	-
• Miscellaneous Baseline Adjustments	-	-17,000	-	-	-31	-
• SWCAP	-	-	-	-	-202	-
• Budget Position Transparency	-	-384	-6.0	-	-384	-6.0
Totals, Other Workload Budget Adjustments	\$-	\$5,553	-6.0	\$-	\$38	-6.0
Totals, Workload Budget Adjustments	\$-	\$5,553	-6.0	\$-	\$197	-5.0
Policy Adjustments						
• Transportation Package - Transit and Intercity Rail Capital Program	\$-	\$-	-	\$-	\$9,000	-
Totals, Policy Adjustments	\$-	\$-	-	\$-	\$9,000	-
Totals, Budget Adjustments	\$-	\$5,553	-6.0	\$-	\$9,197	-5.0

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0521 Secretary for Transportation Agency - Continued

PROGRAM DESCRIPTIONS

0270 - ADMINISTRATION OF TRANSPORTATION AGENCY

The Administration of Transportation Agency program, under direction of the Secretary, advises the Governor on major policy and program matters and provides oversight and support of the Agency's departments and programs.

0275 - CALIFORNIA TRAFFIC SAFETY PROGRAM

The California Traffic Safety Program develops the California Highway Safety Plan. The plan uses available state and federal resources resource to identify and address major traffic safety problems throughout the state.

0276 - TRANSIT AND INTERCITY RAIL CAPITAL PROGRAM

The Transit and Intercity Rail Capital Program provides grants to encourage low carbon transit operations through the Transit, Affordable Housing, and Sustainable Communities Program. The program goals include reducing greenhouse gas emissions, improving mobility access across the state, and providing benefits to California's disadvantaged communities.

DETAILED EXPENDITURES BY PROGRAM

	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
PROGRAM REQUIREMENTS			
0270	ADMINISTRATION OF TRANSPORTATION AGENCY		
	State Operations:		
0044	\$1,910	\$2,222	\$2,253
0046	5	6	6
0890	-	-	200
0995	1,812	2,218	2,382
	\$3,727	\$4,446	\$4,841
PROGRAM REQUIREMENTS			
0275	CALIFORNIA TRAFFIC SAFETY PROGRAM		
	State Operations:		
0044	\$456	\$484	\$489
0890	58,587	59,636	59,443
3228	-	71	68
	\$59,043	\$60,191	\$60,000
	Local Assistance:		
0890	\$35,416	\$59,325	\$36,793
	\$35,416	\$59,325	\$36,793
PROGRAM REQUIREMENTS			
0276	TRANSIT AND INTERCITY RAIL CAPITAL PROGRAM		
	Local Assistance:		
0046	\$-	\$-	\$9,000
3228	-	182,486	199,455
	\$-	\$182,486	\$208,455
TOTALS, EXPENDITURES			
	62,770	64,637	64,841
	35,416	241,811	245,248
	\$98,186	\$306,448	\$310,089

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0521 Secretary for Transportation Agency - Continued

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	58.0	59.0	59.0	\$4,545	\$4,589	\$4,589
Budget Position Transparency	-	-6.0	-6.0	-	-384	-384
Total Adjustments	-3.3	-	1.0	-195	115	213
Net Totals, Salaries and Wages	54.7	53.0	54.0	\$4,350	\$4,320	\$4,418
Staff Benefits	-	-	-	2,151	2,311	2,385
Totals, Personal Services	54.7	53.0	54.0	\$6,501	\$6,631	\$6,803
OPERATING EXPENSES AND EQUIPMENT				\$2,427	\$4,164	\$4,196
SPECIAL ITEMS OF EXPENSES				53,842	53,842	53,842
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$62,770	\$64,637	\$64,841

2 Local Assistance

	Expenditures		
	2014-15*	2015-16*	2016-17*
Consulting and Professional Services - Interdepartmental - Other	\$-	-\$514	-\$545
Grants and Subventions - Governmental	35,416	242,325	245,793
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$35,416	\$241,811	\$245,248

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,549	\$2,635	\$2,742
Allocation for employee compensation	37	38	-
Allocation for staff benefits	3	21	-
Budget Position Transparency	-	-384	-
Expenditure by Category Redistribution	-	384	-
Section 3.60 pension contribution adjustment	44	12	-
Totals Available	\$2,633	\$2,706	\$2,742
Unexpended balance, estimated savings	-267	-	-
TOTALS, EXPENDITURES	\$2,366	\$2,706	\$2,742
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6	\$6	\$6
Totals Available	\$6	\$6	\$6
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$5	\$6	\$6
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,392	\$5,703	\$5,801
Allocation for employee compensation	48	47	-
Allocation for staff benefits	6	27	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0521 Secretary for Transportation Agency - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Past year adjustments	-757	-	-
Section 3.60 pension contribution adjustment	56	17	-
002 Budget Act appropriation	<u>53,842</u>	<u>53,842</u>	<u>53,842</u>
TOTALS, EXPENDITURES	\$58,587	\$59,636	\$59,643
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$1,812</u>	<u>\$2,218</u>	<u>\$2,382</u>
TOTALS, EXPENDITURES	\$1,812	\$2,218	\$2,382
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>-</u>	<u>\$71</u>	<u>\$68</u>
TOTALS, EXPENDITURES	\$-	\$71	\$68
Total Expenditures, All Funds, (State Operations)	\$62,770	\$64,637	\$64,841
2 LOCAL ASSISTANCE			
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
101 Budget Act appropriation	<u>-</u>	<u>-</u>	<u>\$9,000</u>
TOTALS, EXPENDITURES	\$-	\$-	\$9,000
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$36,993	\$36,993	\$36,793
Prior Year Balances Available:			
Item 0521-101-0890, Budget Act of 2013	20,755	-	-
Item 0521-101-0890, Budget Act of 2014	<u>-</u>	<u>22,332</u>	<u>-</u>
Totals Available	\$57,748	\$59,325	\$36,793
Balance available in subsequent years	<u>-22,332</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$35,416	\$59,325	\$36,793
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
Health and Safety Code section 39719 (b) (1) (A)	-	\$199,486	\$199,455
Health and Safety Code section 39719 (b) (1) (A)	<u>-</u>	<u>-17,000</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$182,486	\$199,455
Total Expenditures, All Funds, (Local Assistance)	\$35,416	\$241,811	\$245,248
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$98,186	\$306,448	\$310,089

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	58.0	59.0	59.0	\$4,545	\$4,589	\$4,589
Budget Position Transparency	-	-6.0	-6.0	-	-384	-384
Salary and Other Adjustments	-3.3	-	-	-195	115	115
Workload and Administrative Adjustments						
Statewide Coordination of Traffic Safety Data Systems						
C.E.A.	<u>-</u>	<u>-</u>	<u>1.0</u>	<u>-</u>	<u>-</u>	<u>98</u>
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	1.0	\$-	\$-	\$98
Totals, Adjustments	-3.3	-6.0	-5.0	-\$195	-\$269	-\$171

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0521 Secretary for Transportation Agency - Continued

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
TOTALS, SALARIES AND WAGES	54.7	53.0	54.0	\$4,350	\$4,320	\$4,418

0530 Secretary for California Health and Human Services Agency

The primary mission of the Health and Human Services Agency (HHS) is to provide policy leadership and direction to the departments, board and programs it oversees, to reduce duplication and fragmentation among HHS departments in policy development and implementation, to improve coordination among departments on common programs, to ensure programmatic integrity, and to advance the Governor's priorities on health and human services issues.

The HHS accomplishes its mission through the administration and coordination of state and federal programs for public health, health care services, social services, public assistance, health planning and licensing, and rehabilitation. These programs touch the lives of millions of California's most needy and vulnerable residents. The HHS is committed to striking a balance between the twin imperatives of maintaining access to essential health and human services for California's most disadvantaged and at-risk residents while constantly pursuing ways to better manage and control costs.

The following departments and entities fall under the purview of the HHS:

- Department of Aging
- Department of Child Support Services
- Department of Community Services and Development
- Department of Developmental Services
- Emergency Medical Services Authority
- Department of Health Care Services
- Department of Managed Health Care
- Department of Public Health
- Department of Rehabilitation
- Department of Social Services
- Department of State Hospitals
- Office of Statewide Health Planning and Development

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0280 Secretary of California Health and Human Services	27.7	26.4	26.4	\$4,260	\$11,097	\$9,708
0285 California Office of Health Information Integrity (CALOHII)	13.0	19.7	8.2	3,619	3,809	2,734
0290 Office of Systems Integration	190.5	175.0	255.8	368,900	348,278	389,241
0295 Office of the Patient Advocate	6.9	10.2	10.2	1,981	2,109	2,077
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	238.1	231.3	300.6	\$378,760	\$365,293	\$403,760
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$3,162	\$6,283	\$3,899
0890 Federal Trust Fund				-	3,643	3,000
0942 Special Deposit Fund				-	-	800
0995 Reimbursements				3,928	4,294	3,210
3209 Office of Patient Advocate Trust Fund				1,981	2,109	2,077
9740 Central Service Cost Recovery Fund				849	1,154	2,002
9745 California Health and Human Services Automation Fund				368,840	347,810	388,772
TOTALS, EXPENDITURES, ALL FUNDS				\$378,760	\$365,293	\$403,760

LEGAL CITATIONS AND AUTHORITY

0280-Secretary for Health and Human Services:

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

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0530 Secretary for California Health and Human Services Agency - Continued

0285-The California Office of Health Information Integrity:

Health and Safety Code Division 110, Section 130300 et seq. Health and Safety Code Section 130200 et seq, 130250 et seq, 130275 et seq.

0290-Office of Systems Integration:

Government Code, Title 2, Division 3, Part 2.5, Section 12803.3.

0295-Office of the Patient Advocate:

Health and Safety Code Division 115, Section 136000 et seq.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Child Welfare Digital Services	\$-	\$-	-	\$-	\$28,663	-
• California Healthcare Eligibility, Enrollment and Retention System Transfer Project Staff from Covered California	-	-	-	-	8,007	60.0
• Medi-Cal Eligibility Data System Modernization Multi-Departmental Planning Team	-	-	-	-	5,473	-
• Case Management Information and Payrolling System II Base Operational Costs and Caseload Growth	-	-	-	-	4,764	1.0
• Electronic Women Infants and Children/Management Information System Project- Shift Project Management from Department of Public Health	-	-	-	-	4,101	19.5
• Use, Disclosure, and Protection of Specially Protected Health Information	-	-	-	-	800	-
• Appeals Case Management System Shift in Procurement Costs	-	-	-	-	237	1.0
• Child Welfare Services New System Project- Continue Planning and Procurement Activities	-	-	-	-	171	1.0
• County Expense Claim Reporting Information System	-	-	-	-	149	1.0
• Restructure the California Office of Health Information Integrity	-	-	-	-1,019	-110	-11.5
Totals, Workload Budget Change Proposals	\$-	\$-	-	-\$1,019	\$52,255	72.0
Other Workload Budget Adjustments						
• Expenditure by category redistribution	\$1,368	\$3,530	-	\$1,368	\$3,436	-
• Revised expenditure authority per Provision 1 (0530-001-9745)	-	15,635	-	-	-	-
• CalHEERS	-	-	-	-	-1,641	-
• Technical Cleanup- Unemployment Insurance Modernization, CalHEERS, and Electronic Benefit Transfer	-	-	-	-	-12,458	-
• Salary Adjustments	114	417	-	114	414	-
• Benefit Adjustments	39	226	-	48	291	-
• Retirement Rate Adjustments	41	146	-	41	146	-
• Pro Rata	-	-	-	-	-9	-
• Miscellaneous Baseline Adjustments	-	-	8.0	-1,225	-170	5.3
• Budget Position Transparency	-1,368	-3,530	-54.4	-1,368	-3,436	-53.4

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0530 Secretary for California Health and Human Services Agency - Continued

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Other Workload Budget Adjustments	\$194	\$16,424	-46.4	-\$1,022	-\$13,427	-48.1
Totals, Workload Budget Adjustments	\$194	\$16,424	-46.4	-\$2,041	\$38,828	23.9
Totals, Budget Adjustments	\$194	\$16,424	-46.4	-\$2,041	\$38,828	23.9

PROGRAM DESCRIPTIONS**0280 - SECRETARY FOR HEALTH AND HUMAN SERVICES**

The Secretary for California Health and Human Services Agency provides the Governor with the highest level of advice on state health, human services and related budget policy issues. The Secretary also provides the highest level of leadership and oversight of the agency-wide efforts to promote the health and well-being of a growing and increasingly diverse California population.

0285 - THE CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY

The California Office of Health Information Integrity (CalOHII), under the direction of Assistant Director, is responsible for statewide leadership, coordination, policy formation, direction, and oversight responsibilities for federal Health Insurance Portability and Accountability Act (HIPAA) requirements. As the leading resource for providing HIPAA expertise to the State of California, CalOHII exercises full authority relative to state entities to establish policy, provide direction to state entities, monitor progress and report on implementation efforts based on state and federal laws. In addition, CalOHII promotes the development of standards to facilitate and expand electronic health information exchange as HIPAA privacy and security standards correlate with the baseline standards for the exchange.

0290 - OFFICE OF SYSTEMS INTEGRATION

This Office provides project management services for automation projects for the Health and Human Services Agency, the Department of Social Services, the Department of Health Care Services, and the Health Benefit Exchange, including:

- Appeals Case Management System
- California Healthcare Eligibility, Enrollment and Retention System Project.
- Case Management, Information and Payrolling System II
- Child Welfare Services/Case Management System
- Child Welfare Services - New System
- Electronic Benefit Transfer System
- Statewide Automated Welfare System
- Statewide Fingerprint Imaging System
- Welfare Data Tracking Implementation Project

0295 - OFFICE OF THE PATIENT ADVOCATE

The mission of the Office of the Patient Advocate is to improve the access to and quality of health care services by promoting transparency and accountability. The Office of the Patient Advocate is mandated to produce a number of public reports on health care service topics. Current reporting activities include:

- Online Annual Health Care Quality Report Cards on health plans and medical groups,
- A Baseline Review and annual report on the State Consumer Assistance Call Centers and health care complaint data,
- Online Timely Access Compliance report on California Department of Managed Health Care health care services plans and providers, and
- Model protocols for State Consumer Assistance Call Centers.

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0280	SECRETARY OF CALIFORNIA HEALTH AND HUMAN SERVICES			
	State Operations:			
0001	General Fund	\$919	\$3,808	\$2,814
0890	Federal Trust Fund	-	3,643	3,000
0995	Reimbursements	2,492	2,492	1,892
9740	Central Service Cost Recovery Fund	849	1,154	2,002
	Totals, State Operations	\$4,260	\$11,097	\$9,708

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0530 Secretary for California Health and Human Services Agency - Continued

	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
PROGRAM REQUIREMENTS			
0285 CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY (CALOHII)			
State Operations:			
0001 General Fund	\$2,243	\$2,475	\$1,085
0942 Special Deposit Fund	-	-	800
0995 Reimbursements	<u>1,376</u>	<u>1,334</u>	<u>849</u>
Totals, State Operations	\$3,619	\$3,809	\$2,734
PROGRAM REQUIREMENTS			
0290 OFFICE OF SYSTEMS INTEGRATION			
State Operations:			
0995 Reimbursements	60	468	469
9745 California Health and Human Services Automation Fund	\$368,840	\$347,810	\$388,772
Totals, State Operations	<u>\$368,900</u>	<u>\$348,278</u>	<u>\$389,241</u>
PROGRAM REQUIREMENTS			
0295 OFFICE OF THE PATIENT ADVOCATE			
State Operations:			
3209 Office of Patient Advocate Trust Fund	<u>\$1,981</u>	<u>\$2,109</u>	<u>\$2,077</u>
Totals, State Operations	\$1,981	\$2,109	\$2,077
TOTALS, EXPENDITURES			
State Operations	<u>378,760</u>	<u>365,293</u>	<u>403,760</u>
Totals, Expenditures	\$378,760	\$365,293	\$403,760

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
PERSONAL SERVICES						
Baseline Positions	269.5	277.7	276.7	\$21,801	\$22,635	\$22,542
Budget Position Transparency	-	-54.4	-53.4	-	-4,898	-4,804
Total Adjustments	<u>-31.4</u>	<u>8.0</u>	<u>77.3</u>	<u>-2,290</u>	<u>904</u>	<u>8,637</u>
Net Totals, Salaries and Wages	238.1	231.3	300.6	\$19,511	\$18,641	\$26,375
Staff Benefits	-	-	-	8,308	11,153	14,848
Totals, Personal Services	238.1	231.3	300.6	\$27,819	\$29,794	\$41,223
OPERATING EXPENSES AND EQUIPMENT				<u>\$350,941</u>	<u>\$335,499</u>	<u>\$362,537</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$378,760	\$365,293	\$403,760

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2014-15*	2015-16*	2016-17*
0001 General Fund				
APPROPRIATIONS				
001 Budget Act appropriation		\$1,886	\$3,652	\$2,814
Allocation for employee compensation		52	94	-
Allocation for staff benefits		18	28	-
Budget Position Transparency		-	-1,707	-

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0530 Secretary for California Health and Human Services Agency - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Expenditure by category redistribution	-	1,707	-
Section 3.60 pension contribution adjustment	83	34	-
017 Budget Act appropriation	2,391	2,436	1,085
Allocation for employee compensation	17	20	-
Allocation for staff benefits	7	11	-
Budget Position Transparency	-	339	-
Expenditure by category redistribution	-	-339	-
Section 3.60 pension contribution adjustment	25	7	-
Technical adjustments	-	1	-
Prior Year Balances Available:			
Chapter 47, Statutes of 2012	<u>1</u>	<u>-</u>	<u>-</u>
Totals Available	\$4,480	\$6,283	\$3,899
Unexpended balance, estimated savings	<u>-1,318</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$3,162	\$6,283	\$3,899
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,643	\$3,643	\$3,000
Past year adjustments	<u>-3,643</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$3,643	\$3,000
0942 Special Deposit Fund			
APPROPRIATIONS			
017 Budget Act appropriation	<u>-</u>	<u>-</u>	<u>\$800</u>
TOTALS, EXPENDITURES	\$-	\$-	\$800
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$3,928</u>	<u>\$4,294</u>	<u>\$3,210</u>
TOTALS, EXPENDITURES	\$3,928	\$4,294	\$3,210
3151 Internal Health Information Integrity Quality Improvement Account			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$24</u>	<u>-</u>	<u>-</u>
Totals Available	\$24	\$-	\$-
Unexpended balance, estimated savings	<u>-24</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$-	\$-
3163 California Health Information Technology and Exchange Fund			
APPROPRIATIONS			
017 Budget Act appropriation	\$9,798	\$1	-
Section 1.50 budget adjustment	<u>-</u>	<u>-1</u>	<u>-</u>
Totals Available	\$9,798	\$-	\$-
Unexpended balance, estimated savings	<u>-9,798</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$-	\$-
3209 Office of Patient Advocate Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,093	\$2,089	\$2,077
Allocation for employee compensation	11	12	-
Allocation for staff benefits	4	4	-
Budget Position Transparency	-	148	-
Expenditure by category redistribution	-	-148	-
Section 3.60 pension contribution adjustment	16	4	-

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0530 Secretary for California Health and Human Services Agency - Continued

1 STATE OPERATIONS	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
Totals Available	\$2,124	\$2,109	\$2,077
Unexpended balance, estimated savings	-143	-	-
TOTALS, EXPENDITURES	\$1,981	\$2,109	\$2,077
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$849	\$1,154	\$2,002
TOTALS, EXPENDITURES	\$849	\$1,154	\$2,002
9745 California Health and Human Services Automation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$343,236	\$331,428	\$388,772
Allocation for employee compensation	308	393	-
Allocation for staff benefits	130	216	-
Budget Position Transparency	-	-3,678	-
Expenditure by category redistribution	-	3,678	-
Revised expenditure authority per Provision 1 (0530-001-9745)	48,423	15,635	-
Section 3.60 pension contribution adjustment	473	138	-
Totals Available	\$392,570	\$347,810	\$388,772
Unexpended balance, estimated savings	-23,730	-	-
TOTALS, EXPENDITURES	\$368,840	\$347,810	\$388,772
Total Expenditures, All Funds, (State Operations)	\$378,760	\$365,293	\$403,760

FUND CONDITION STATEMENTS

	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
3163 California Health Information Technology and Exchange Fund ^s			
BEGINNING BALANCE	\$1	-	-
Prior Year Adjustments	-1	-	-
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:	_____	_____	_____
FUND BALANCE	-	-	-
3209 Office of Patient Advocate Trust Fund ^s			
BEGINNING BALANCE	\$1,047	\$1,252	\$1,255
Prior Year Adjustments	89	-	-
Adjusted Beginning Balance	\$1,136	\$1,252	\$1,255
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	4	3	3
Transfers and Other Adjustments			
Revenue Transfer from Insurance Fund (0217) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	209	211	208
Revenue Transfer from Managed Care Fund (0933) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	1,884	1,898	1,869
Total Revenues, Transfers, and Other Adjustments	<u>\$2,097</u>	<u>\$2,112</u>	<u>\$2,080</u>
Total Resources	\$3,233	\$3,364	\$3,335
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0530 Secretary for California Health and Human Services Agency (State Operations)	1,981	2,109	2,077
8880 Financial Information System for California (State Operations)	-	-	3
Total Expenditures and Expenditure Adjustments	<u>\$1,981</u>	<u>\$2,109</u>	<u>\$2,080</u>
FUND BALANCE	\$1,252	\$1,255	\$1,255

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0530 Secretary for California Health and Human Services Agency - Continued

	2014-15*	2015-16*	2016-17*
Reserve for economic uncertainties	1,252	1,255	1,255

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	269.5	277.7	276.7	\$21,801	\$22,635	\$22,542
Budget Position Transparency	-	-54.4	-53.4	-	-4,898	-4,804
Salary and Other Adjustments	-31.4	8.0	5.3	-2,290	904	1,007
Workload and Administrative Adjustments						
Appeals Case Management System Shift in Procurement Costs						
Sr Info Sys Analyst (Spec)	-	-	1.0	-	-	81
California Healthcare Eligibility, Enrollment and Retention System Transfer Project Staff from Covered California						
Assoc Budget Analyst	-	-	0.5	-	-	31
Assoc Govtl Program Analyst	-	-	1.0	-	-	62
Assoc Info Sys Analyst (Spec)	-	-	1.0	-	-	67
Assoc Pers Analyst	-	-	0.5	-	-	31
Dp Mgr III	-	-	4.0	-	-	392
Dp Mgr IV	-	-	2.0	-	-	215
Exec Asst	-	-	1.0	-	-	46
Info Tech - Proj Director	-	-	1.0	-	-	133
Office Techn (Typing)	-	-	1.0	-	-	38
Sr Info Sys Analyst (Spec)	-	-	17.0	-	-	1,375
Sr Info Sys Analyst (Supvr)	-	-	4.0	-	-	340
Staff Info Sys Analyst (Spec)	-	-	18.0	-	-	1,324
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	46
Staff Svcs Mgr I	-	-	1.0	-	-	71
Staff Svcs Mgr III	-	-	2.0	-	-	182
Sys Software Spec II (Tech)	-	-	1.0	-	-	81
Sys Software Spec III (Supvry)	-	-	1.0	-	-	93
Sys Software Spec III (Tech)	-	-	3.0	-	-	266
Case Management Information and Payrolling System II Base Operational Costs and Caseload Growth						
Atty III	-	-	1.0	-	-	110
Child Welfare Digital Services						
Temporary Help	-	-	-	-	-	201
Child Welfare Services New System Project-Continue Planning and Procurement Activities						
Atty III	-	-	1.0	-	-	110
County Expense Claim Reporting Information System						
Sr Info Sys Analyst (Spec)	-	-	1.0	-	-	81
Electronic Women Infants and Children/Management Information System Project- Shift Project Management from Department of Public Health						

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0530 Secretary for California Health and Human Services Agency - Continued

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Assoc Budget Analyst	-	-	0.5	-	-	31
Assoc Govtl Program Analyst	-	-	1.0	-	-	62
Assoc Pers Analyst	-	-	0.5	-	-	31
Atty III	-	-	0.5	-	-	55
Dp Mgr II	-	-	1.0	-	-	85
Dp Mgr III	-	-	2.0	-	-	196
Dp Mgr IV	-	-	1.0	-	-	108
Hlth Program Spec II	-	-	1.0	-	-	75
Office Techn (Typing)	-	-	1.0	-	-	38
Sr Info Sys Analyst (Spec)	-	-	5.0	-	-	405
Staff Info Sys Analyst (Spec)	-	-	2.0	-	-	147
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	46
Sys Software Spec II (Tech)	-	-	2.0	-	-	161
Sys Software Spec III (Tech)	-	-	1.0	-	-	89
Temporary Help	-	-	-	-	-	40
Medi-Cal Eligibility Data System Modernization						
Multi-Departmental Planning Team						
Temporary Help	-	-	-	-	-	1,220
Restructure the California Office of Health Information Integrity						
C.E.A. - A (Limited Term 06-30-2016)	-	-	-1.0	-	-	-99
Dp Mgr III (Limited Term 06-30-2016)	-	-	-1.0	-	-	-104
Staff Svcs Mgr I (Limited Term 06-30-2016)	-	-	-1.0	-	-	-69
Staff Svcs Mgr III (Limited Term 06-30-2016)	-	-	-3.0	-	-	-268
Temporary Help	-	-	-5.5	-	-	5
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	72.0	\$-	\$-	\$7,630
Totals, Adjustments	-31.4	-46.4	23.9	-\$2,290	-\$3,994	\$3,833
TOTALS, SALARIES AND WAGES	238.1	231.3	300.6	\$19,511	\$18,641	\$26,375

0540 Secretary of the Natural Resources Agency

The mission of the Natural Resources Agency is to restore, protect and manage the state's natural, historical and cultural resources for current and future generations using creative approaches and solutions based on science, collaboration and respect for all involved communities. The secretary for Natural Resources, a member of the Governor's Cabinet, sets the policies and coordinates the environmental preservation and restoration activities of 26 various departments, boards, commissions and conservancies, and directly administers the Sea Grant Program, Ocean Protection Council, California Environmental Quality Act, Environmental Enhancement Mitigation Program, River Parkways, Urban Greening, and the California Cultural and Historical Endowment grant programs.

The Natural Resources Agency consists of the departments of Forestry and Fire Protection, Conservation, Fish and Wildlife, Parks and Recreation, and Water Resources; the California Conservation Corps; Exposition Park; California Science Center; California African American Museum; the State Lands Commission; the Colorado River Board; the San Francisco Bay Conservation and Development Commission; the Energy Resources Conservation and Development Commission; the Wildlife Conservation Board; the Delta Protection Commission; the California Coastal Commission; the State Coastal Conservancy; the California Tahoe Conservancy; the Santa Monica Mountains Conservancy; the Coachella Valley Mountains Conservancy; the San Joaquin River Conservancy; the San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy; the Baldwin Hills Conservancy; the San Diego River Conservancy; the Sierra Nevada Conservancy; the Sacramento-San Joaquin Delta Conservancy; the Native American Heritage Commission; and the Special Resources Program.

3-YR EXPENDITURES AND POSITIONS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0540 Secretary of the Natural Resources Agency - Continued

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0320 Administration of Natural Resources Agency	50.5	41.4	43.4	\$17,422	\$60,300	\$408,859
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	50.5	41.4	43.4	\$17,422	\$60,300	\$408,859
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$-	\$2,655	\$9,599
0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund				100	52	-
0140 California Environmental License Plate Fund				3,419	6,788	4,424
0183 Environmental Enhancement and Mitigation Program Fund				2,920	13,741	7,030
0890 Federal Trust Fund				2,907	9,213	9,212
0995 Reimbursements				3,468	598	873
1018 Lake Tahoe Science and Lake Improvement Account, General Fund				-	300	150
3212 Timber Regulation and Forest Restoration Fund				492	2,596	1,234
3237 Cost of Implementation Account, Air Pollution Control Fund				317	502	290
6015 River Protection Subaccount				-	4,400	-
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund				532	5,007	2,073
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002				865	836	2,463
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006				1,609	3,076	21,168
6052 Disaster Preparedness and Flood Prevention Bond Fund of 2006				196	98	-
6076 California Ocean Protection Trust Fund				597	611	611
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014				-	9,827	349,732
TOTALS, EXPENDITURES, ALL FUNDS				\$17,422	\$60,300	\$408,859

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 12800, 12801, 12802.5, 12805, 12807-12810, 12850-12850.7, 12851-12855. Public Resources Code Sections 32300, 36000, 85000. Education Code Sections 20053, 20080, 20092.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Salton Sea Task Force	\$-	\$-	-	\$50	\$150	-
• Proposition 1 State Obligations	-	-	-	-	339,900	1.0
• San Joaquin River Settlement	-	-	-	-	16,548	-
• River Parkways, Urban Greening, and Urban Streams	-	-	-	-	5,788	1.0
• Implementation of AB 142	-	-	-	-	250	-
• Timber Regulation and Forest Restoration Public Process and Technical Support	-	-	-	-	230	-
• SB 630 Implementation	-	-	-	-	150	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$50	\$363,016	2.0
Other Workload Budget Adjustments						
• Expenditure by category redistribution	\$-	\$947	-	\$-	\$1,333	-

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0540 Secretary of the Natural Resources Agency - Continued

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Miscellaneous Baseline Adjustments	-	-	-	2,500	-68,268	-
• Salary Adjustments	-	106	-	-	115	-
• Benefit Adjustments	-	53	-	-	70	-
• Pro Rata	-	-	-	-	61	-
• Retirement Rate Adjustments	-	37	-	-	37	-
• Carryover/Reappropriation	-	4,573	-	-	-	-
• Budget Position Transparency	-	-947	-14.6	-	-1,333	-13.1
Totals, Other Workload Budget Adjustments	\$-	\$4,769	-14.6	\$2,500	-\$67,985	-13.1
Totals, Workload Budget Adjustments	\$-	\$4,769	-14.6	\$2,550	\$295,031	-11.1
Policy Adjustments						
• California Cultural and Historical Grant Projects	\$-	\$-	-	\$4,500	\$-	-
Totals, Policy Adjustments	\$-	\$-	-	\$4,500	\$-	-
Totals, Budget Adjustments	\$-	\$4,769	-14.6	\$7,050	\$295,031	-11.1

PROGRAM DESCRIPTIONS

0320 - Administration of Natural Resources Agency

The Natural Resources Agency sets the policies and coordinates the environmental preservation and restoration activities of 26 various departments, boards, commissions, and conservancies, and directly administers the Sea Grant Program, Ocean Protection Council, California Environmental Quality Act, Environmental Enhancement Mitigation Program, River Parkways, Urban Greening, and the California Cultural and Historical Endowment grant programs.

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0320	ADMINISTRATION OF NATURAL RESOURCES AGENCY			
	State Operations:			
0001	General Fund	\$-	\$2,655	\$5,099
0005	Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund	100	52	-
0140	California Environmental License Plate Fund	3,419	6,788	4,424
0183	Environmental Enhancement and Mitigation Program Fund	249	341	330
0890	Federal Trust Fund	2,907	9,213	9,212
0995	Reimbursements	3,468	598	873
1018	Lake Tahoe Science and Lake Improvement Account, General Fund	-	300	150
3212	Timber Regulation and Forest Restoration Fund	492	2,596	1,234
3237	Cost of Implementation Account, Air Pollution Control Fund	317	502	290
6029	California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund	532	434	83
6031	Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	865	836	263
6051	Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	1,609	3,076	19,710

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0540 Secretary of the Natural Resources Agency - Continued

	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
6052 Disaster Preparedness and Flood Prevention Bond Fund of 2006	196	98	-
6076 California Ocean Protection Trust Fund	597	611	611
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014	-	527	340,432
Totals, State Operations	\$14,751	\$28,627	\$382,711
Local Assistance:			
0001 General Fund	\$-	\$-	\$4,500
0183 Environmental Enhancement and Mitigation Program Fund	2,671	13,400	6,700
6015 River Protection Subaccount	-	4,400	-
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund	-	4,573	1,990
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	-	-	2,200
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	-	-	1,458
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014	-	9,300	9,300
Totals, Local Assistance	\$2,671	\$31,673	\$26,148
TOTALS, EXPENDITURES			
State Operations	14,751	28,627	382,711
Local Assistance	2,671	31,673	26,148
Totals, Expenditures	\$17,422	\$60,300	\$408,859

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	50.5	56.0	54.5	\$4,299	\$4,229	\$4,615
Budget Position Transparency	-	-14.6	-13.1	-	-947	-1,333
Total Adjustments	-	-	2.0	-841	106	-346
Net Totals, Salaries and Wages	50.5	41.4	43.4	\$3,458	\$3,388	\$2,936
Staff Benefits	-	-	-	1,159	1,488	1,335
Totals, Personal Services	50.5	41.4	43.4	\$4,617	\$4,876	\$4,271
OPERATING EXPENSES AND EQUIPMENT						
				\$10,133	\$21,339	\$376,028
SPECIAL ITEMS OF EXPENSES						
				1	2,412	2,412
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$14,751	\$28,627	\$382,711

2 Local Assistance	Expenditures		
	2014-15*	2015-16*	2016-17*
Grants and Subventions - Governmental	\$2,671	\$31,673	\$26,148
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$2,671	\$31,673	\$26,148

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0540 Secretary of the Natural Resources Agency - Continued

4 Unclassified

	Expenditures		
	2014-15*	2015-16*	2016-17*
TOTALS, EXPENDITURES, ALL FUNDS (Unclassified)	\$-	\$-	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$2,655	\$5,099
TOTALS, EXPENDITURES	\$-	\$2,655	\$5,099
0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$135	\$52	-
Allocation for employee compensation	2	-	-
Allocation for staff benefits	1	-	-
Section 3.60 pension contribution adjustment	3	-	-
Totals Available	\$141	\$52	\$-
Unexpended balance, estimated savings	-41	-	-
TOTALS, EXPENDITURES	\$100	\$52	\$-
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
002 Budget Act appropriation (transfer to Environmental Enhancement and Mitigation Program Fund)	(\$7,000)	(\$7,000)	(\$7,000)
TOTALS, EXPENDITURES	\$-	\$-	\$-
0140 California Environmental License Plate Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$9,403	\$4,203	\$4,424
Allocation for employee compensation	51	45	-
Allocation for staff benefits	20	25	-
Budget position transparency	-	-947	-
Expenditure by category redistribution	-	947	-
Section 3.60 pension contribution adjustment	87	15	-
Prior Year Balances Available:			
Item 0540-001-0140, Budget Act of 2014	-	2,500	-
Totals Available	\$9,561	\$6,788	\$4,424
Unexpended balance, estimated savings	-3,642	-	-
Balance available in subsequent years	-2,500	-	-
TOTALS, EXPENDITURES	\$3,419	\$6,788	\$4,424
0183 Environmental Enhancement and Mitigation Program Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$297	\$316	\$330
Allocation for employee compensation	2	15	-
Allocation for staff benefits	1	5	-
Section 3.60 pension contribution adjustment	4	5	-
Totals Available	\$304	\$341	\$330
Unexpended balance, estimated savings	-55	-	-
TOTALS, EXPENDITURES	\$249	\$341	\$330
0890 Federal Trust Fund			
APPROPRIATIONS			

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0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
001 Budget Act appropriation	\$9,205	\$9,209	\$9,212
Allocation for employee compensation	1	2	-
Allocation for staff benefits	1	1	-
Past year adjustment	-6,302	-	-
Section 3.60 pension contribution adjustment	<u>2</u>	<u>1</u>	<u>-</u>
TOTALS, EXPENDITURES	\$2,907	\$9,213	\$9,212
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$3,468</u>	<u>\$598</u>	<u>\$873</u>
TOTALS, EXPENDITURES	\$3,468	\$598	\$873
1018 Lake Tahoe Science and Lake Improvement Account, General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>-</u>	<u>\$300</u>	<u>\$150</u>
TOTALS, EXPENDITURES	\$-	\$300	\$150
3212 Timber Regulation and Forest Restoration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$480	\$2,594	\$1,004
Allocation for employee compensation	4	1	-
Allocation for staff benefits	2	1	-
Section 3.60 pension contribution adjustment	6	-	-
Pending Legislation	<u>-</u>	<u>-</u>	<u>230</u>
TOTALS, EXPENDITURES	\$492	\$2,596	\$1,234
3237 Cost of Implementation Account, Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$493	\$290
Allocation for employee compensation	-	5	-
Allocation for staff benefits	-	2	-
Section 3.60 pension contribution adjustment	-	2	-
Allocation for employee compensation	3	-	-
Allocation for staff benefits	1	-	-
Budget adjustments	<u>529</u>	<u>-</u>	<u>-</u>
Totals Available	\$533	\$502	\$290
Unexpended balance, estimated savings	<u>-216</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$317	\$502	\$290
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$728	\$410	\$83
Allocation for employee compensation	4	13	-
Allocation for staff benefits	2	6	-
Section 3.60 pension contribution adjustment	<u>5</u>	<u>5</u>	<u>-</u>
Totals Available	\$739	\$434	\$83
Unexpended balance, estimated savings	<u>-207</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$532	\$434	\$83
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,207	\$813	\$263
Allocation for employee compensation	10	12	-

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0540 Secretary of the Natural Resources Agency - Continued

	2014-15*	2015-16*	2016-17*
1 STATE OPERATIONS			
Allocation for staff benefits	4	7	-
Section 3.60 pension contribution adjustment	15	4	-
Totals Available	\$1,236	\$836	\$263
Unexpended balance, estimated savings	-371	-	-
TOTALS, EXPENDITURES	\$865	\$836	\$263
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,064	\$3,071	\$19,710
Allocation for employee compensation	5	2	-
Allocation for staff benefits	2	2	-
Section 3.60 pension contribution adjustment	8	1	-
Totals Available	\$3,079	\$3,076	\$19,710
Unexpended balance, estimated savings	-1,470	-	-
TOTALS, EXPENDITURES	\$1,609	\$3,076	\$19,710
6052 Disaster Preparedness and Flood Prevention Bond Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$98	\$98	-
2015 Emergency Drought Relief Legislation (Chapter 1, Statutes of 2015) Adjustments	98	-	-
TOTALS, EXPENDITURES	\$196	\$98	\$-
6076 California Ocean Protection Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$600	\$600	\$611
Allocation for employee compensation	-	6	-
Allocation for staff benefits	-	3	-
Section 3.60 pension contribution adjustment	-	2	-
Totals Available	\$600	\$611	\$611
Unexpended balance, estimated savings	-3	-	-
TOTALS, EXPENDITURES	\$597	\$611	\$611
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$519	\$340,432
Allocation for employee compensation	-	5	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	2	-
TOTALS, EXPENDITURES	\$-	\$527	\$340,432
Total Expenditures, All Funds, (State Operations)	\$14,751	\$28,627	\$382,711
2 LOCAL ASSISTANCE	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$4,500
TOTALS, EXPENDITURES	\$-	\$-	\$4,500
0183 Environmental Enhancement and Mitigation Program Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$11,100	\$6,700	\$6,700
Prior Year Balances Available:			
Item 0540-101-0183, Budget Act of 2013 as added by Chapter 354, Statutes of 2013	6,700	6,700	-

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0540 Secretary of the Natural Resources Agency - Continued

	2014-15*	2015-16*	2016-17*
2 LOCAL ASSISTANCE			
Totals Available	\$17,800	\$13,400	\$6,700
Unexpended balance, estimated savings	-8,429	-	-
Balance available in subsequent years	-6,700	-	-
TOTALS, EXPENDITURES	\$2,671	\$13,400	\$6,700
6015 River Protection Subaccount			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$4,400	-
TOTALS, EXPENDITURES	\$-	\$4,400	\$-
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$4,573	-	\$1,990
Prior Year Balances Available:			
Item 0540-101-6029, Budget Act of 2014	-	4,573	-
Totals Available	\$4,573	\$4,573	\$1,990
Balance available in subsequent years	-4,573	-	-
TOTALS, EXPENDITURES	\$-	\$4,573	\$1,990
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$2,200
TOTALS, EXPENDITURES	\$-	\$-	\$2,200
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$1,458
Prior Year Balances Available:			
TOTALS, EXPENDITURES	\$-	\$-	\$1,458
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$9,300	\$9,300
TOTALS, EXPENDITURES	\$-	\$9,300	\$9,300
Total Expenditures, All Funds, (Local Assistance)	\$2,671	\$31,673	\$26,148
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$17,422	\$60,300	\$408,859

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
0183 Environmental Enhancement and Mitigation Program Fund ^s			
BEGINNING BALANCE	\$20,687	\$35,074	\$28,967
Prior Year Adjustments	-1,512	-	-
Adjusted Beginning Balance	\$19,175	\$35,074	\$28,967
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4151000 Interest Income - Other Loans	686	721	721
4163000 Investment Income - Surplus Money Investments	130	93	93
Transfers and Other Adjustments			
Loan Repayment from General Fund (0001) to Environmental Enhancement and Mitigation Program Fund (0183) per Budget Act Item 2660-401, Budget Act of 2012	11,400	-	-
Revenue Transfer from State Highway Account, State Transportation Fund (0042) to Environmental Enhancement and Mitigation Program Fund (0183) per Budget Act Item 2660-022-0042.	7,000	7,000	7,000

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0540 Secretary of the Natural Resources Agency - Continued

	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
Total Revenues, Transfers, and Other Adjustments	\$19,216	\$7,814	\$7,814
Total Resources	\$38,391	\$42,888	\$36,781
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0540 Secretary of the Natural Resources Agency (State Operations)	249	341	330
0540 Secretary of the Natural Resources Agency (Local Assistance)	2,671	13,400	6,700
2660 Department of Transportation (Local Assistance)	397	179	-
8880 Financial Information System for California (State Operations)	-	1	-
Total Expenditures and Expenditure Adjustments	<u>\$3,317</u>	<u>\$13,921</u>	<u>\$7,030</u>
FUND BALANCE	\$35,074	\$28,967	\$29,751
Reserve for economic uncertainties	35,074	28,967	29,751
3212 Timber Regulation and Forest Restoration Fund ^s			
BEGINNING BALANCE	\$14,531	\$25,968	\$15,541
Prior Year Adjustments	<u>1,966</u>	-	-
Adjusted Beginning Balance	\$16,497	\$25,968	\$15,541
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	<u>37,203</u>	<u>37,000</u>	<u>37,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$37,203</u>	<u>\$37,000</u>	<u>\$37,000</u>
Total Resources	\$53,700	\$62,968	\$52,541
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0540 Secretary of the Natural Resources Agency (State Operations)	492	2,596	1,234
0860 State Board of Equalization (State Operations)	1,234	2,261	2,069
3480 Department of Conservation (State Operations)	3,083	4,171	4,210
3540 Department of Forestry and Fire Protection (State Operations)	13,775	19,507	19,159
3540 Department of Forestry and Fire Protection (Local Assistance)	-	2,950	3,465
3600 Department of Fish and Wildlife (State Operations)	6,030	6,979	6,877
3600 Department of Fish and Wildlife (Local Assistance)	554	3,446	2,000
3940 State Water Resources Control Board (State Operations)	2,543	3,466	4,036
3940 State Water Resources Control Board (Local Assistance)	-	2,000	2,000
8880 Financial Information System for California (State Operations)	<u>21</u>	<u>51</u>	<u>49</u>
Total Expenditures and Expenditure Adjustments	<u>\$27,732</u>	<u>\$47,427</u>	<u>\$45,099</u>
FUND BALANCE	\$25,968	\$15,541	\$7,442
Reserve for economic uncertainties	25,968	15,541	7,442

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	50.5	56.0	54.5	\$4,299	\$4,229	\$4,615
Budget Position Transparency	-	-14.6	-13.1	-	-947	-1,333
Salary and Other Adjustments	-	-	-	-841	106	-635
Workload and Administrative Adjustments						
Proposition 1 State Obligations						
Sr Envirnal Scientist (Spec)	-	-	1.0	-	-	84
River Parkways, Urban Greening, and Urban Streams						
Assoc Park & Recr Spec	-	-	1.0	-	-	75
Salton Sea Task Force						

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0540 Secretary of the Natural Resources Agency - Continued

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Asst Secty	-	-	-	-	-	130
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	2.0	\$-	\$-	\$289
Totals, Adjustments	-	-14.6	-11.1	-\$841	-\$841	-\$1,679
TOTALS, SALARIES AND WAGES	50.5	41.4	43.4	\$3,458	\$3,388	\$2,936

INFRASTRUCTURE OVERVIEW

The Natural Resources Agency is providing funding for a fish hatchery project managed by the Department of Fish and Wildlife.

SUMMARY OF PROJECTS

State Building Program Expenditures		2014-15*	2015-16*	2016-17*
0325 CAPITAL OUTLAY Projects				
0000972 San Joaquin Fish Hatchery Expansion		-	-	15,983
Construction		-	-	15,983
TOTALS, EXPENDITURES, ALL PROJECTS		\$-	\$-	\$15,983
FUNDING		2014-15*	2015-16*	2016-17*
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006		\$-	\$-	\$15,983
TOTALS, EXPENDITURES, ALL FUNDS		\$-	\$-	\$15,983

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY		2014-15*	2015-16*	2016-17*
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006				
APPROPRIATIONS				
301 Budget Act appropriation		-	-	\$15,983
TOTALS, EXPENDITURES		\$-	\$-	\$15,983
Total Expenditures, All Funds, (Capital Outlay)		\$0	\$0	\$15,983

0552 Office of the Inspector General

The Office of the Inspector General (OIG) protects public safety by safeguarding the integrity of California's correctional system. The OIG is responsible for contemporaneous oversight of the California Department of Corrections and Rehabilitation's (CDCR) internal affairs investigations, use of force, and the employee disciplinary process. When requested by the Governor, the Senate Committee on Rules, or the Speaker of the Assembly, the Inspector General reviews the policies, practices, and procedures of the CDCR. The Inspector General reviews the Governor's candidates for appointment to serve as warden for the state's adult correctional institutions and as superintendents for the state's juvenile facilities; conducts metric-oriented inspection programs to periodically review delivery of medical care at each state prison and the delivery of reforms implemented by the CDCR since 2012. The OIG receives communications from individuals alleging improper governmental activity and maintains a toll-free public telephone number to receive allegations of wrongdoing by employees of the CDCR; conducts formal reviews of complaints of retaliation from CDCR employees against upper management where a legally cognizable cause of action is present; and reviews the mishandling of sexual abuse incidents within correctional institutions. The OIG provides critical public transparency for the state correctional system by publicly reporting its findings.

In addition, the Public Safety and Offender Rehabilitation Services Act of 2007, Chapter 7, Statutes of 2007, created the California Rehabilitation Oversight Board (Board) within the OIG. The Board's mandate is to examine the CDCR's various mental health, substance abuse, educational, and employment programs for inmates and parolees. The Board meets at least twice annually to recommend modifications, additions, and eliminations of offender rehabilitation and treatment programs. The Board also submits biannual reports to the Governor, the Legislature, and the public to convey its findings on

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0552 Office of the Inspector General - Continued

the effectiveness of treatment efforts, rehabilitation needs of offenders, gaps in offender rehabilitation services, and levels of offender participation and success.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0330 Office of the Inspector General	96.4	111.8	111.8	\$16,361	\$22,140	\$21,977
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	96.4	111.8	111.8	\$16,361	\$22,140	\$21,977
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$16,361	\$22,140	\$21,977
TOTALS, EXPENDITURES, ALL FUNDS				\$16,361	\$22,140	\$21,977

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Penal Code, Part III, Title 1, Chapter 3, Section 2641 and Title 7, Chapter 8.2, Sections 6125 to 6141.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$1,114	\$-	-	\$1,114	\$-	-
• Salary Adjustments	295	-	-	295	-	-
• Benefit Adjustments	145	-	-	182	-	-
• Retirement Rate Adjustments	132	-	-	132	-	-
• Budget Position Transparency	-1,114	-	-2.6	-1,114	-	-2.6
Totals, Other Workload Budget Adjustments	\$572	\$-	-2.6	\$609	\$-	-2.6
Totals, Workload Budget Adjustments	\$572	\$-	-2.6	\$609	\$-	-2.6
Totals, Budget Adjustments	\$572	\$-	-2.6	\$609	\$-	-2.6

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0330	OFFICE OF THE INSPECTOR GENERAL			
State Operations:				
0001	General Fund	\$16,361	\$22,140	\$21,977
	Totals, State Operations	\$16,361	\$22,140	\$21,977
TOTALS, EXPENDITURES				
	State Operations	16,361	22,140	21,977
	Totals, Expenditures	\$16,361	\$22,140	\$21,977

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	95.4	114.4	114.4	\$10,134	\$12,598	\$12,598

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0552 Office of the Inspector General - Continued

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Budget Position Transparency	-	-2.6	-2.6	-	-1,114	-1,114
Total Adjustments	1.0	-	-	-76	295	295
Net Totals, Salaries and Wages	96.4	111.8	111.8	\$10,058	\$11,779	\$11,779
Staff Benefits	-	-	-	4,250	6,023	6,060
Totals, Personal Services	96.4	111.8	111.8	\$14,308	\$17,802	\$17,839
OPERATING EXPENSES AND EQUIPMENT				\$2,053	\$4,338	\$4,138
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$16,361	\$22,140	\$21,977

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$17,031	\$21,568	\$21,977
Allocation for Employee Compensation	192	295	-
Allocation for Staff Benefits	77	145	-
Budget Position Transparency	-	-1,114	-
Expenditure by Category Redistribution	-	1,114	-
Section 3.60 Pension Contribution Adjustment	317	132	-
Totals Available	\$17,617	\$22,140	\$21,977
Unexpended balance, estimated savings	-1,256	-	-
TOTALS, EXPENDITURES	\$16,361	\$22,140	\$21,977
Total Expenditures, All Funds, (State Operations)	\$16,361	\$22,140	\$21,977

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	95.4	114.4	114.4	\$10,134	\$12,598	\$12,598
Budget Position Transparency	-	-2.6	-2.6	-	-1,114	-1,114
Salary and Other Adjustments	1.0	-	-	-76	295	295
Totals, Adjustments	1.0	-2.6	-2.6	-\$76	-\$819	-\$819
TOTALS, SALARIES AND WAGES	96.4	111.8	111.8	\$10,058	\$11,779	\$11,779

0555 Secretary for Environmental Protection

The Secretary for Environmental Protection is the cabinet level agency responsible for protecting human health and the environment. The agency's programs restore, protect, and enhance environmental quality, and promote public health. The Office of the Secretary coordinates the state's environmental regulatory programs and promotes the state's economic vitality. The Secretary also administers environmental justice, environmental law enforcement, emergency preparedness and response, Certified Unified Program Agencies, and scientific review programs. The Secretary leads greenhouse gas emission reduction and climate change activities in state government, and is responsible for coordinating the implementation of Chapter 488, Statutes of 2006 (AB 32). The Secretary also centralizes coordination of California-Mexico border environmental efforts.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0340 Support	67.8	65.2	73.2	\$16,213	\$19,709	\$21,016

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection - Continued

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	67.8	65.2	73.2	\$16,213	\$19,709	\$21,016
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$1,906	\$1,936	\$1,938
0014 Hazardous Waste Control Account				345	359	361
0028 Unified Program Account				4,545	4,543	4,736
0044 Motor Vehicle Account, State Transportation Fund				1,849	2,030	2,044
0106 Department of Pesticide Regulation Fund				866	904	988
0115 Air Pollution Control Fund				994	1,016	1,285
0193 Waste Discharge Permit Fund				739	340	704
0226 California Tire Recycling Management Fund				-	300	175
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund				64	68	69
0387 Integrated Waste Management Account, Integrated Waste Management Fund				123	124	262
0439 Underground Storage Tank Cleanup Fund				906	948	1,336
0679 State Water Quality Control Fund				189	189	189
0890 Federal Trust Fund				1,876	1,888	1,888
0995 Reimbursements				919	2,047	2,047
1006 Rural CUPA Reimbursement Account				-55	-	-
3058 Water Rights Fund				37	38	38
3237 Cost of Implementation Account, Air Pollution Control Fund				609	676	675
8013 Environmental Enforcement and Training Account				301	2,303	2,281
TOTALS, EXPENDITURES, ALL FUNDS				\$16,213	\$19,709	\$21,016

LEGAL CITATIONS AND AUTHORITY

Governor's Reorganization Plan No. 1 of 1991; Government Code Section 12812.6; Health and Safety Code, Division 37, Section 57000 et seq.; Public Resources Code Sections 71000 and 71110; and Governor's Reorganization Plan No. 2 of 2012.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Cyber Security Workload Growth	\$-	\$-	-	\$-	\$1,073	4.0
• Environmental Justice - Expanded Enforcement	-	-	-	-	344	2.0
• California-Mexico Water Resources Improvement (AB 965)	-	-	-	-	175	1.0
• California Environmental Report System (CERS)	-	-	-	-	127	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$1,719	8.0
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$-	\$770	-	\$-	\$770	-
• Salary Adjustments	15	126	-	15	127	-
• Benefit Adjustments	8	64	-	10	81	-
• Retirement Rate Adjustments	5	42	-	5	42	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-
• Pro Rata	-	-	-	-	-132	-
• Budget Position Transparency	-	-770	-3.8	-	-770	-3.8
Totals, Other Workload Budget Adjustments	\$28	\$232	-3.8	\$30	\$118	-3.8

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0555 Secretary for Environmental Protection - Continued

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Workload Budget Adjustments	\$28	\$232	-3.8	\$30	\$1,837	4.2
Totals, Budget Adjustments	\$28	\$232	-3.8	\$30	\$1,837	4.2

PROGRAM DESCRIPTIONS

0340 - SUPPORT PROGRAM

The Secretary for Environmental Protection's primary program objectives are restoring, protecting, and enhancing environmental quality, and protecting public health while fostering economic vitality. The Secretary oversees the state's environmental regulatory programs with particular emphasis on promoting "cross-media" coordination, enhancing risk assessment (especially for disadvantaged communities and other sensitive populations), enforcing laws consistently and fairly, and objectively measuring and reporting results.

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0340	SUPPORT			
	State Operations:			
0001	General Fund	\$1,906	\$1,936	\$1,938
0014	Hazardous Waste Control Account	345	359	361
0028	Unified Program Account	4,545	4,543	4,736
0044	Motor Vehicle Account, State Transportation Fund	1,849	2,030	2,044
0106	Department of Pesticide Regulation Fund	866	904	988
0115	Air Pollution Control Fund	994	1,016	1,285
0193	Waste Discharge Permit Fund	739	340	704
0226	California Tire Recycling Management Fund	-	300	175
0235	Public Resources Account, Cigarette and Tobacco Products Surtax Fund	64	68	69
0387	Integrated Waste Management Account, Integrated Waste Management Fund	123	124	262
0439	Underground Storage Tank Cleanup Fund	906	948	1,336
0679	State Water Quality Control Fund	189	189	189
0890	Federal Trust Fund	1,876	1,888	1,888
0995	Reimbursements	919	2,047	2,047
1006	Rural CUPA Reimbursement Account	-55	-	-
3058	Water Rights Fund	37	38	38
3237	Cost of Implementation Account, Air Pollution Control Fund	609	676	675
8013	Environmental Enforcement and Training Account	301	2,303	2,281
	Totals, State Operations	\$16,213	\$19,709	\$21,016
	TOTALS, EXPENDITURES			
	State Operations	16,213	19,709	21,016
	Totals, Expenditures	\$16,213	\$19,709	\$21,016

EXPENDITURES BY CATEGORY

1 State Operations

Positions			Expenditures		
2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*

PERSONAL SERVICES

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection - Continued

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	69.0	69.0	69.0	\$5,287	\$5,287	\$5,287
Budget Position Transparency	-	-3.8	-3.8	-	-770	-770
Total Adjustments	-1.2	-	8.0	-223	141	822
Net Totals, Salaries and Wages	67.8	65.2	73.2	\$5,064	\$4,658	\$5,339
Staff Benefits	-	-	-	2,378	1,787	2,128
Totals, Personal Services	67.8	65.2	73.2	\$7,442	\$6,445	\$7,467
OPERATING EXPENSES AND EQUIPMENT				\$5,378	\$9,837	\$10,122
SPECIAL ITEMS OF EXPENSES				3,393	3,427	3,427
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$16,213	\$19,709	\$21,016

2 Local Assistance	Expenditures		
	2014-15*	2015-16*	2016-17*
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$-	\$-	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,009	\$1,073	\$1,103
Allocation for employee compensation	30	15	-
Allocation for staff benefits	11	8	-
Section 3.60 pension contribution adjustment	21	5	-
011 Budget Act appropriation (transfer to Rural CUPA Reimbursement Account)	835	835	835
TOTALS, EXPENDITURES	\$1,906	\$1,936	\$1,938
0014 Hazardous Waste Control Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$324	\$353	\$361
Allocation for employee compensation	12	3	-
Allocation for staff benefits	3	2	-
Section 3.60 pension contribution adjustment	7	1	-
Totals Available	\$346	\$359	\$361
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$345	\$359	\$361
0028 Unified Program Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,649	\$4,456	\$4,736
Allocation for employee compensation	32	47	-
Allocation for staff benefits	15	24	-
Budget position transparency	-	-770	-
Expenditure by Category Redistribution	-	770	-
Miscellaneous Baseline Adjustments	-1	-	-
Past Year adjustments	-1	-	-
Section 3.60 pension contribution adjustment	30	16	-
Totals Available	\$4,724	\$4,543	\$4,736

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0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Unexpended balance, estimated savings	-179	-	-
TOTALS, EXPENDITURES	\$4,545	\$4,543	\$4,736
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,827	\$1,976	\$2,044
Allocation for employee compensation	51	29	-
Allocation for staff benefits	17	15	-
Past Year adjustments	1,128	-	-
Section 3.60 pension contribution adjustment	34	10	-
Totals Available	\$3,057	\$2,030	\$2,044
Unexpended balance, estimated savings	-1,208	-	-
TOTALS, EXPENDITURES	\$1,849	\$2,030	\$2,044
0106 Department of Pesticide Regulation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$817	\$887	\$988
Allocation for employee compensation	24	9	-
Allocation for staff benefits	9	5	-
Section 3.60 pension contribution adjustment	16	3	-
TOTALS, EXPENDITURES	\$866	\$904	\$988
0115 Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$970	\$994	\$1,285
Allocation for employee compensation	14	12	-
Allocation for staff benefits	5	6	-
Section 3.60 pension contribution adjustment	12	4	-
Totals Available	\$1,001	\$1,016	\$1,285
Unexpended balance, estimated savings	-7	-	-
TOTALS, EXPENDITURES	\$994	\$1,016	\$1,285
0193 Waste Discharge Permit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,800	\$331	\$704
Allocation for employee compensation	12	5	-
Allocation for staff benefits	3	2	-
Section 3.60 pension contribution adjustment	16	2	-
Totals Available	\$1,831	\$340	\$704
Balance available in subsequent years	-1,092	-	-
TOTALS, EXPENDITURES	\$739	\$340	\$704
0226 California Tire Recycling Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$175
Chapter 24, Statutes of 2015	-	300	-
TOTALS, EXPENDITURES	\$-	\$300	\$175
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$57	\$67	\$69
Allocation for employee compensation	6	1	-
Allocation for staff benefits	1	-	-
TOTALS, EXPENDITURES	\$64	\$68	\$69

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0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0387 Integrated Waste Management Account, Integrated Waste Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$112	\$123	\$262
Allocation for employee compensation	8	1	-
Allocation for staff benefits	<u>3</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$123	\$124	\$262
0439 Underground Storage Tank Cleanup Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$861	\$931	\$1,336
Allocation for employee compensation	26	9	-
Allocation for staff benefits	9	5	-
Section 3.60 pension contribution adjustment	<u>12</u>	<u>3</u>	<u>-</u>
Totals Available	\$908	\$948	\$1,336
Unexpended balance, estimated savings	<u>-2</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$906	\$948	\$1,336
0679 State Water Quality Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$178	\$189	\$189
Allocation for employee compensation	8	-	-
Allocation for staff benefits	<u>3</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$189	\$189	\$189
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,888	\$1,888	\$1,888
Past Year adjustments	<u>-12</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,876	\$1,888	\$1,888
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$919</u>	<u>\$2,047</u>	<u>\$2,047</u>
TOTALS, EXPENDITURES	\$919	\$2,047	\$2,047
1006 Rural CUPA Reimbursement Account			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$835</u>	<u>\$835</u>	<u>\$835</u>
Totals Available	\$835	\$835	\$835
Unexpended balance, estimated savings	<u>-55</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$780	\$835	\$835
Less funding provided by General Fund	<u>-835</u>	<u>-835</u>	<u>-835</u>
NET TOTALS, EXPENDITURES	-\$55	\$-	\$-
3058 Water Rights Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$37</u>	<u>\$38</u>	<u>\$38</u>
TOTALS, EXPENDITURES	\$37	\$38	\$38
3237 Cost of Implementation Account, Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$591	\$658	\$675
Allocation for employee compensation	10	10	-
Allocation for staff benefits	3	5	-
Section 3.60 pension contribution adjustment	<u>7</u>	<u>3</u>	<u>-</u>
Totals Available	\$611	\$676	\$675

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0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Unexpended balance, estimated savings	<u>-2</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$609	\$676	\$675
8013 Environmental Enforcement and Training Account			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$2,132</u>	<u>\$2,303</u>	<u>\$2,281</u>
Totals Available	\$2,132	\$2,303	\$2,281
Unexpended balance, estimated savings	<u>-1,831</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$301	\$2,303	\$2,281
Total Expenditures, All Funds, (State Operations)	\$16,213	\$19,709	\$21,016

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
0028 Unified Program Account^s			
BEGINNING BALANCE	\$10,939	\$5,012	\$3,546
Prior Year Adjustments	<u>-3,034</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$7,905	\$5,012	\$3,546
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	4,579	6,666	6,666
4163000 Investment Income - Surplus Money Investments	<u>2</u>	<u>1</u>	<u>1</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$4,581</u>	<u>\$6,667</u>	<u>\$6,667</u>
Total Resources	\$12,486	\$11,679	\$10,213
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0555 Secretary for Environmental Protection (State Operations)	4,546	4,542	4,735
0690 Office of Emergency Services (State Operations)	614	844	840
3540 Department of Forestry and Fire Protection (State Operations)	479	738	707
3940 State Water Resources Control Board (State Operations)	608	608	612
3960 Department of Toxic Substances Control (State Operations)	1,069	1,228	1,233
3980 Office of Environmental Health Hazard Assessment (State Operations)	151	159	159
8880 Financial Information System for California (State Operations)	<u>7</u>	<u>14</u>	<u>10</u>
Total Expenditures and Expenditure Adjustments	<u>\$7,474</u>	<u>\$8,133</u>	<u>\$8,296</u>
FUND BALANCE	\$5,012	\$3,546	\$1,917
Reserve for economic uncertainties	5,012	3,546	1,917
1006 Rural CUPA Reimbursement Account^s			
BEGINNING BALANCE	\$1,634	\$1,686	\$1,686
Prior Year Adjustments	<u>-3</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	<u>\$1,631</u>	<u>\$1,686</u>	<u>\$1,686</u>
Total Resources	\$1,631	\$1,686	\$1,686
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0555 Secretary for Environmental Protection (State Operations)	780	835	835
Expenditure Adjustments:			
Less funding provided by General Fund (State Operations)	<u>-835</u>	<u>-835</u>	<u>-835</u>
Total Expenditures and Expenditure Adjustments	<u>-\$55</u>	<u>-</u>	<u>-</u>
FUND BALANCE	\$1,686	\$1,686	\$1,686
Reserve for economic uncertainties	1,686	1,686	1,686

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection - Continued

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	69.0	69.0	69.0	\$5,287	\$5,287	\$5,287
Budget Position Transparency	-	-3.8	-3.8	-	-770	-770
Salary and Other Adjustments	-1.2	-	-	-223	141	142
Workload and Administrative Adjustments						
California Environmental Report System (CERS)						
Sr Programmer Analyst (Supvr)	-	-	1.0	-	-	70
California-Mexico Water Resources Improvement (AB 965)						
Environmental Scientist	-	-	1.0	-	-	69
Cyber Security Workload Growth						
Dp Mgr II	-	-	1.0	-	-	84
Dp Mgr III	-	-	1.0	-	-	97
Sys Software Spec II (Tech)	-	-	1.0	-	-	77
Sys Software Spec III (Tech)	-	-	1.0	-	-	85
Environmental Justice - Expanded Enforcement						
Environmental Program Mgr I (Supvry)	-	-	1.0	-	-	122
Sr Envirnal Scientist (Spec)	-	-	1.0	-	-	76
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	8.0	\$-	\$-	\$680
Totals, Adjustments	-1.2	-3.8	4.2	-\$223	-\$629	\$52
TOTALS, SALARIES AND WAGES	67.8	65.2	73.2	\$5,064	\$4,658	\$5,339

0559 Secretary for Labor and Workforce Development Agency

The Labor and Workforce Development Agency was established to address issues relating to California workers and their employers. The Agency is responsible for labor law enforcement, workforce development, and benefit payment and adjudication. The Agency works to combat the underground economy and help legitimate businesses and workers in California through a combination of enforcement and education activities.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0350 Office of the Secretary of Labor and Workforce Development	12.5	12.0	13.0	\$2,294	\$2,802	\$2,892
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	12.5	12.0	13.0	\$2,294	\$2,802	\$2,892
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$-	\$152	\$152
0995 Reimbursements				2,028	2,326	2,150
3078 Labor and Workforce Development Fund				266	324	590
TOTALS, EXPENDITURES, ALL FUNDS				\$2,294	\$2,802	\$2,892

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 12800 and 12813.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0559 Secretary for Labor and Workforce Development Agency - Continued

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Private Attorney General Act Resources	\$-	\$-	-	\$-	\$207	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$207	1.0
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$-	-\$134	-	\$-	-\$142	-
• Salary Adjustments	2	29	-	2	29	-
• Benefit Adjustments	1	15	-	1	19	-
• Retirement Rate Adjustments	1	10	-	1	10	-
• Budget Position Transparency	-	134	-	-	142	-
• Pro Rata	-	-	-	-	59	-
• Miscellaneous Baseline Adjustments	-	180	-	-	-	-
Totals, Other Workload Budget Adjustments	\$4	\$234	-	\$4	\$117	-
Totals, Workload Budget Adjustments	\$4	\$234	-	\$4	\$324	1.0
Totals, Budget Adjustments	\$4	\$234	-	\$4	\$324	1.0

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0350	OFFICE OF THE SECRETARY OF LABOR AND WORKFORCE DEVELOPMENT			
State Operations:				
0001	General Fund	\$0	\$152	\$152
0995	Reimbursements	2,028	2,326	2,150
3078	Labor and Workforce Development Fund	266	324	590
Totals, State Operations		\$2,294	\$2,802	\$2,892
TOTALS, EXPENDITURES				
State Operations		2,294	2,802	2,892
Totals, Expenditures		\$2,294	\$2,802	\$2,892

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	11.0	12.0	12.0	\$1,088	\$1,180	\$1,180
Budget Position Transparency	-	-	-	-	134	142
Total Adjustments	1.5	-	1.0	230	211	153
Net Totals, Salaries and Wages	12.5	12.0	13.0	\$1,318	\$1,525	\$1,475
Staff Benefits	-	-	-	518	496	559
Totals, Personal Services	12.5	12.0	13.0	\$1,836	\$2,021	\$2,034
OPERATING EXPENSES AND EQUIPMENT						
				\$458	\$781	\$858
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,294	\$2,802	\$2,892

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0559 Secretary for Labor and Workforce Development Agency - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$148	\$152
Allocation for employee compensation	-	2	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	1	-
TOTALS, EXPENDITURES	\$0	\$152	\$152
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$2,028</u>	<u>\$2,326</u>	<u>\$2,150</u>
TOTALS, EXPENDITURES	\$2,028	\$2,326	\$2,150
3078 Labor and Workforce Development Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$269</u>	<u>\$324</u>	<u>\$590</u>
Totals Available	\$269	\$324	\$590
Unexpended balance, estimated savings	<u>-3</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$266	\$324	\$590
Total Expenditures, All Funds, (State Operations)	\$2,294	\$2,802	\$2,892

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
3078 Labor and Workforce Development Fund ^s			
BEGINNING BALANCE	\$10,013	\$14,239	\$19,082
Prior Year Adjustments	<u>312</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$10,325	\$14,239	\$19,082
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4173500 Settlements and Judgments - Other	<u>8,365</u>	<u>11,000</u>	<u>6,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$8,365</u>	<u>\$11,000</u>	<u>\$6,000</u>
Total Resources	\$18,690	\$25,239	\$25,082
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0559 Secretary for Labor and Workforce Development Agency (State Operations)	266	324	590
7300 Agricultural Labor Relations Board (State Operations)	933	1,194	1,176
7350 Department of Industrial Relations (State Operations)	3,248	4,629	5,975
8880 Financial Information System for California (State Operations)	<u>4</u>	<u>10</u>	<u>7</u>
Total Expenditures and Expenditure Adjustments	<u>\$4,451</u>	<u>\$6,157</u>	<u>\$7,748</u>
FUND BALANCE	\$14,239	\$19,082	\$17,334
Reserve for economic uncertainties	14,239	19,082	17,334

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	11.0	12.0	12.0	\$1,088	\$1,180	\$1,180
Budget Position Transparency	-	-	-	-	134	142
Salary and Other Adjustments	1.5	-	-	230	211	31

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0559 Secretary for Labor and Workforce Development Agency - Continued

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Workload and Administrative Adjustments						
Private Attorney General Act Resources						
Atty IV	-	-	1.0	-	-	122
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	1.0	\$-	\$-	\$122
Totals, Adjustments	1.5	-	1.0	\$230	\$345	\$295
TOTALS, SALARIES AND WAGES	12.5	12.0	13.0	\$1,318	\$1,525	\$1,475

0650 Office of Planning and Research

The Office of Planning and Research (OPR) assists the Governor and the Administration in planning, research, policy development, and legislative analysis. OPR formulates long-range state goals and policies to address land use, climate change, population growth and distribution, urban expansion, infrastructure development, groundwater sustainability and drought response, and resource protection. OPR also maintains and updates the General Plan Guidelines, the California Environmental Quality Act Guidelines, and operates the CEQA Clearinghouse. OPR acts as the state's liaison to a variety of entities including local government, planning professionals, small business, and the military. OPR houses and supports the Strategic Growth Council. In addition, OPR houses California Volunteers, a program aimed to increase the number and impact of Californians involved with service and volunteering throughout the state, and runs the State Clearinghouse, coordinating CEQA filings and state compliance issues.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0360 State Planning & Policy Development	17.9	10.7	12.5	\$13,981	\$9,507	\$14,410
0365 California Volunteers	15.2	21.7	21.9	25,397	32,141	31,730
0370 Strategic Growth Council	5.6	6.0	6.0	795	366,000	400,141
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	38.7	38.4	40.4	\$40,173	\$407,648	\$446,281
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$10,507	\$8,861	\$13,979
0890 Federal Trust Fund				27,570	28,471	27,980
0995 Reimbursements				1,008	4,019	4,022
3228 Greenhouse Gas Reduction Fund				795	366,000	400,000
9740 Central Service Cost Recovery Fund				293	297	300
TOTALS, EXPENDITURES, ALL FUNDS				\$40,173	\$407,648	\$446,281

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Fish and Game Code Section 711.4; Government Code Sections: 4530-4535.3, 13073.5, 17525, 65025-65049, 65352, 65352.3, 65940, and 65944; Health and Safety Code Sections: 25199.4, 25199.7, and 50901; Public Resources Code Sections: 5024.5, 21080.3-21080.4, 21083, 21083.05, 21086, 21091, 21094.5.5, 21108, 21152.1, 21153, 21159.9(c), 21161, 21162, 21165, 71350, 71354, 71358, 71360, and 75121; California Code of Regulations: Title 2, Section 1896.38; Title 14, Sections: 15053, 15062, 15073, 15075, 15082, 15087, 15094, 15105, 15205, 15300.3, and 16000-16041.

MAJOR PROGRAM CHANGES

- Precision Medicine - The Budget includes \$10 million on a one-time basis to the Office of Planning and Research (OPR) to further the efforts of the California Initiative to Advance Precision Medicine (CIAPM), and build on the \$3 million appropriation made in the 2014 Budget Act. Hosted by the University of California (UC) San Francisco and University of California Health through an interagency agreement with OPR, CIAPM currently funds two demonstration projects: the Kids Cancer Comparison Project, led by UC Santa Cruz, and the Precision Diagnosis of Acute Infectious Disease project, led by UC San Francisco, with both projects representing multi-institution collaboration and in-kind support. The \$10

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0650 Office of Planning and Research - Continued

million augmentation will fund additional demonstration projects in precision medicine over a multi-year period and facilitate additional multi-institution and private-sector partnerships, with a portion of this funding subject to non-state matching funds. Projects will be expected to demonstrate concrete results and findings related to uses of precision medicine within a 24-month period.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Precision Medicine	\$-	\$-	-	\$10,000	\$-	-
• Local Control Funding Formula Evaluation Rubrics	-	-	-	548	-	-
• Integrated Climate Adaptation and Resiliency Program (SB 246)	-	-	-	300	-	2.0
• CEQANet 2.0 Database Transfer, Upgrade, Hosting, and Maintenance	-	-	-	200	-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$11,048	\$-	2.0
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$628	\$331	-	\$534	\$281	-
• Miscellaneous Baseline Adjustments	1,000	-33,608	1.0	139	-16	1.0
• Salary Adjustments	25	63	-	24	61	-
• Benefit Adjustments	13	31	-	16	40	-
• Retirement Rate Adjustments	8	18	-	8	18	-
• Pro Rata	-	-	-	-	70	-
• SWCAP	-	-	-	-	1	-
• Budget Position Transparency	-628	-331	-22.3	-534	-281	-21.8
Totals, Other Workload Budget Adjustments	\$1,046	-\$33,496	-21.3	\$187	\$174	-20.8
Totals, Workload Budget Adjustments	\$1,046	-\$33,496	-21.3	\$11,235	\$174	-18.8
Totals, Budget Adjustments	\$1,046	-\$33,496	-21.3	\$11,235	\$174	-18.8

PROGRAM DESCRIPTIONS

0360 - STATE PLANNING AND POLICY DEVELOPMENT

The major activities of OPR include: (1) recommending and implementing state policies on land-use and growth planning, including General Plan Guidelines; (2) coordinating the implementation of the California Environmental Quality Act, including: (a) operating the State Clearinghouse; (b) maintaining an online database for the electronic submission and archiving of CEQA documents; (c) developing CEQA guidelines; (d) providing technical assistance; (e) streamlining permitting; and (f) providing training; (3) supporting the Governor's Advisor for Military Affairs and Governor's Military Council; (4) providing policy research for the Governor and Cabinet; (5) providing technical advice to, and develop guidelines for, local governments on land use planning and compliance with environmental statutes and regulations; (6) developing a program to coordinate regional and local efforts with state climate adaptation strategies on the impacts of climate change ; (7) supporting drought response efforts; (8) supporting development of state plans including, but not limited to, the Water Action Plan work, State Multi-Hazard Mitigation Plan, Safeguarding California Plan, the Integrated Energy Policy Report, and the 5-Year Infrastructure Plan; (9) supporting multiple efforts to address climate change and response to carbon pollution; and (10) conducting other activities as the Governor may direct.

0365 - CALIFORNIA VOLUNTEERS

California Volunteers is charged with increasing the number and impact of Californians involved with service and volunteering throughout the state. California Volunteers administers programs such as AmeriCorps and Disaster Volunteering and Preparedness, guides policy development to support the nonprofit and service fields, and leads the Service Enterprise Initiative, which empowers a nonprofit to more efficiently engage volunteers and effectively address community needs. Through the efforts of California Volunteers, Californians of all ages and abilities are provided ongoing opportunities to volunteer, to become better prepared to respond in emergencies and disasters, and ultimately, to embrace a lifetime ethic of service.

0370 - STRATEGIC GROWTH COUNCIL

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0650 Office of Planning and Research - Continued

The Council coordinates interagency efforts and partners with local and regional government stakeholders to promote sustainable development, improving air and water quality, protecting natural resources and agricultural lands, and reducing greenhouse gas emissions. The Council administers the Affordable Housing and Sustainable Communities Program and the Sustainable Agricultural Lands Conservation Program, developing guidelines, reviewing applications, and providing funding as part of greenhouse gas reduction efforts associated with cap and trade funds. The Council also administers a pilot technical assistance program for the Affordable Housing and Sustainable Communities Program to support the development of projects in disadvantaged communities that maximize greenhouse gas reductions. The Council is charged with review of the California 5-Year Infrastructure plan and with making grants and loans to institutions for planning and implementing land uses that achieve the goals of the State's Planning Priorities. The Council oversees the Administration's Health in All Policies program, sponsors research on infill development, conservation, and other planning issues, and is the administrator for the Greenhouse Gas Reduction Investment program for Affordable Housing and Sustainable Communities.

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0360	STATE PLANNING & POLICY DEVELOPMENT			
	State Operations:			
0001	General Fund	\$4,731	\$3,031	\$13,009
0890	Federal Trust Fund	25	159	81
0995	Reimbursements	493	1,020	1,020
9740	Central Service Cost Recovery Fund	293	297	300
	Totals, State Operations	\$5,542	\$4,507	\$14,410
	Local Assistance:			
0001	General Fund	\$5,000	\$5,000	\$-
0890	Federal Trust Fund	3,439	-	-
	Totals, Local Assistance	\$8,439	\$5,000	\$-
PROGRAM REQUIREMENTS				
0365	CALIFORNIA VOLUNTEERS			
	State Operations:			
0001	General Fund	\$776	\$830	\$829
0890	Federal Trust Fund	1,196	1,897	1,899
0995	Reimbursements	515	2,999	3,002
	Totals, State Operations	\$2,487	\$5,726	\$5,730
	Local Assistance:			
0890	Federal Trust Fund	\$22,910	\$26,415	\$26,000
	Totals, Local Assistance	\$22,910	\$26,415	\$26,000
PROGRAM REQUIREMENTS				
0370	STRATEGIC GROWTH COUNCIL			
	State Operations:			
0001	General Fund	\$-	\$-	\$141
3228	Greenhouse Gas Reduction Fund	795	1,840	1,271
	Totals, State Operations	\$795	\$1,840	\$1,412
	Local Assistance:			
3228	Greenhouse Gas Reduction Fund	\$-	\$364,160	\$398,729
	Totals, Local Assistance	\$-	\$364,160	\$398,729
TOTALS, EXPENDITURES				
	State Operations	8,824	12,073	21,552
	Local Assistance	31,349	395,575	424,729
	Totals, Expenditures	\$40,173	\$407,648	\$446,281

EXPENDITURES BY CATEGORY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0650 Office of Planning and Research - Continued

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	56.7	59.7	59.2	\$3,272	\$3,532	\$3,388
Budget Position Transparency	-	-22.3	-21.8	-	-959	-815
Total Adjustments	<u>-18.0</u>	<u>1.0</u>	<u>3.0</u>	<u>-498</u>	<u>150</u>	<u>530</u>
Net Totals, Salaries and Wages	38.7	38.4	40.4	\$2,774	\$2,723	\$3,103
Staff Benefits	-	-	-	1,209	1,407	1,529
Totals, Personal Services	38.7	38.4	40.4	\$3,983	\$4,130	\$4,632
OPERATING EXPENSES AND EQUIPMENT				<u>\$4,841</u>	<u>\$7,943</u>	<u>\$16,920</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$8,824	\$12,073	\$21,552

2 Local Assistance	Expenditures		
	2014-15*	2015-16*	2016-17*
Grants and Subventions - Governmental	<u>\$31,349</u>	<u>\$395,575</u>	<u>\$424,729</u>
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$31,349	\$395,575	\$424,729

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,122	\$2,315	\$13,479
Allocation for employee compensation	22	25	-
Allocation for staff benefits	1	13	-
Budget Position Transparency	-	-628	-
Chartered Legislation (AB 2138) CH. 678/14	75	-	-
Expenditure by Category Redistribution	-	628	-
Miscellaneous Technical Adjustments: Innovation Awards and FTF Adjustment	-75	-	-
Past year adjustments	1	-	-
Section 3.60 pension contribution adjustment	23	8	-
Chapter 47, Statutes of 2013	1,250	-	-
Align Expenditures per Chapter 47, Statutes of 2013	-500	-	-
Miscellaneous Technical Adjustments: Innovation Awards and FTF Adjustment	75	-	-
Eligibility Study Funding per Chapter 22 and Chapter 324, Statutes of 2015	-	1,000	-
Prior Year Balances Available:			
Chapter 47, Statutes of 2013	<u>-</u>	<u>500</u>	<u>500</u>
Totals Available	\$5,994	\$3,861	\$13,979
Unexpended balance, estimated savings	-52	-	-
Balance available in subsequent years	<u>-435</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$5,507	\$3,861	\$13,979
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,820	\$2,031	\$1,980
Allocation for employee compensation	12	14	-
Allocation for staff benefits	1	7	-

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0650 Office of Planning and Research - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Budget Position Transparency	-	-148	-
Budget Revision-02 per Control Section 28, Budget Act 2014	77	-	-
Expenditure by Category Redistribution	-	148	-
Past year adjustments	-701	-	-
Section 3.60 pension contribution adjustment	<u>12</u>	<u>4</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,221	\$2,056	\$1,980
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$1,008</u>	<u>\$4,019</u>	<u>\$4,022</u>
TOTALS, EXPENDITURES	\$1,008	\$4,019	\$4,022
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$799	\$1,199	\$1,271
Allocation for employee compensation	12	13	-
Allocation for staff benefits	1	6	-
Budget Position Transparency	-	-139	-
Disadvantaged Communities Technical Assistance	-	118	-
Disadvantaged Communities Technical Assistance per Chapter 321, Statutes of 2015	-	500	-
Expenditure by Category Redistribution	-	139	-
Section 3.60 pension contribution adjustment	<u>12</u>	<u>4</u>	<u>-</u>
Totals Available	\$824	\$1,840	\$1,271
Unexpended balance, estimated savings	<u>-29</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$795	\$1,840	\$1,271
3259 Recidivism Reduction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,000	-	-
One-Time Cost Adjustment (Recidivism Reduction Plan)	<u>-5,000</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$-	\$-
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$288	\$290	\$300
Allocation for employee compensation	4	4	-
Allocation for staff benefits	-	2	-
Budget Position Transparency	-	-44	-
Expenditure by Category Redistribution	-	44	-
Past year adjustments	-3	-	-
Section 3.60 pension contribution adjustment	<u>4</u>	<u>1</u>	<u>-</u>
TOTALS, EXPENDITURES	\$293	\$297	\$300
Total Expenditures, All Funds, (State Operations)	\$8,824	\$12,073	\$21,552
2 LOCAL ASSISTANCE			
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$5,000</u>	<u>\$5,000</u>	<u>-</u>
TOTALS, EXPENDITURES	\$5,000	\$5,000	\$-
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$26,000	\$26,000	\$26,000

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0650 Office of Planning and Research - Continued

2 LOCAL ASSISTANCE	2014-15*	2015-16*	2016-17*
Budget Revision-02 per Control Section 28, Budget Act 2014	3,439	-	-
California Volunteers Federal Trust Fund Adjustment per Budget Revision-1	-	415	-
Past year adjustments	-3,090	-	-
TOTALS, EXPENDITURES	\$26,349	\$26,415	\$26,000
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$129,201	-	-
Affordable Housing and Sustainable Communities Program Funding Transfer	-5,261	-	-
Past year adjustments	-123,940	-	-
Health and Safety Code section 39719 (b) (1) (C)	-	398,801	398,729
Adjust Authority to Align with 20 percent per Updated Revenues	-	-114,000	-
Adjust Greenhouse Gas Reduction Fund Authority to Align with 20 percent	-	79,359	-
TOTALS, EXPENDITURES	\$-	\$364,160	\$398,729
Total Expenditures, All Funds, (Local Assistance)	\$31,349	\$395,575	\$424,729
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$40,173	\$407,648	\$446,281

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	56.7	59.7	59.2	\$3,272	\$3,532	\$3,388
Budget Position Transparency	-	-22.3	-21.8	-	-959	-815
Salary and Other Adjustments	-18.0	1.0	1.0	-498	150	196
Workload and Administrative Adjustments						
Integrated Climate Adaptation and Resiliency Program (SB 246)						
Assoc Intergovtl Program Analyst	-	-	1.0	-	-	50
Sr Intergovtl Program Analyst	-	-	1.0	-	-	70
Local Control Funding Formula Evaluation Rubrics						
	-	-	-	-	-	214
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	2.0	\$-	\$-	\$334
Totals, Adjustments	-18.0	-21.3	-18.8	-\$498	-\$809	-\$285
TOTALS, SALARIES AND WAGES	38.7	38.4	40.4	\$2,774	\$2,723	\$3,103

0690 Office of Emergency Services

The principal objective of the Office of Emergency Services (OES) is to protect lives and property, build capabilities, and support our communities for a resilient California. The OES serves the public through effective collaboration in preparing for, protecting against, responding to, recovering from, and mitigating the impacts of all hazards and threats.

On a day-to-day basis, the OES provides leadership, assistance, training, and support to state and local agencies and coordinates with federal agencies in planning and preparing for the most effective use of federal, state, local, and private sector resources in emergencies. This emergency planning is based upon a system of mutual aid whereby a jurisdiction relies first on its own resources, and then requests assistance from its neighbors. The OES' plans and programs are coordinated with those of the federal government, other states, private sector, utilities, and state and local agencies within California.

During an emergency, the OES functions as the Governor's immediate staff to provide guidance and coordinate the state's responsibilities under the Emergency Services Act and applicable federal statutes. It also acts as the conduit for federal assistance through natural disaster grants and federal agency support. Additionally, the OES is responsible for the development and coordination of a comprehensive state strategy related to all hazards, including terrorism, which includes prevention, preparedness, mitigation, response, and recovery.

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0690 Office of Emergency Services - Continued

Further, the OES improves the criminal justice system in California by providing financial and technical assistance to local governments, state agencies, and the private sector for homeland security, public safety, and victim services.

Since department programs drive the need for infrastructure investment, the OES has a related capital outlay program to support this need. For the specifics on the OES' Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0380 Emergency Management Services	175.0	166.0	186.0	\$51,762	\$61,642	\$78,337
0385 Special Programs and Grant Management	214.5	220.1	207.8	695,482	1,227,352	1,249,116
0395 Public Safety Communications	371.5	357.3	358.3	145,651	191,287	191,181
9900100 Administration	111.2	124.8	128.3	14,048	17,589	18,970
9900200 Administration - Distributed	-	-	-	-14,050	-17,589	-18,970
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	872.2	868.2	880.4	\$892,893	\$1,480,281	\$1,518,634
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$106,212	\$130,435	\$202,052
0022 State Emergency Telephone Number Account				79,350	111,205	111,111
0028 Unified Program Account				614	844	840
0029 Nuclear Planning Assessment Special Account				4,656	5,029	3,173
0214 Restitution Fund				508	11,008	5,208
0241 Local Public Prosecutors and Public Defenders Training Fund				852	884	883
0425 Victim - Witness Assistance Fund				18,301	18,768	13,811
0437 State Assistance For Fire Equipment Account				9	100	100
0890 Federal Trust Fund				503,212	1,000,824	1,002,369
0995 Reimbursements				2,518	4,450	4,452
3034 Antiterrorism Fund				378	2,795	745
3112 Equality in Prevention and Services for Domestic Abuse Fund				98	76	-
3260 Regional Railroad Accident Preparedness and Immediate Response Fund				9,041	10,000	9,987
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006				100,843	102,723	82,726
8039 Disaster Resistant Communities Account				-	207	207
8069 Child Victims of Human Trafficking Fund				-	451	250
8084 American Red Cross, California Chapters Fund				-	400	400
8093 California Sexual Violence Victim Services Fund				-	-	250
9730 Technology Services Revolving Fund				66,301	80,082	-
9751 Public Safety Communications Revolving Fund				-	-	80,070
TOTALS, EXPENDITURES, ALL FUNDS				\$892,893	\$1,480,281	\$1,518,634

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 1, Chapter 7; Government Code, Title 5, Division 2, Part 1, Chapter 1, Article 6; Penal Code, Part 4, Title 6, Chapter 3.

MAJOR PROGRAM CHANGES

- Enhanced Services - An increase of \$20.4 million General Fund to support the Office of Emergency Services' responsibility for emergency preparedness and response. This includes a one-time \$10 million General Fund augmentation to replace wildland fire engines, which will be placed throughout the state as part of the State Fire and

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0690 Office of Emergency Services - Continued

Rescue Mutual Aid System. In addition, this includes \$2.8 million for both the Fire and Rescue Branch and the Law Enforcement Branch to expand the capacity to respond to major fires and other natural and man-made disasters throughout the state. This also includes \$3.7 million General Fund to support the Statewide Disaster Program.

- California Disaster Assistance Act - An increase of \$30 million General Fund to support local jurisdictions using the California Disaster Assistance Act program. The program supports recovery from all disasters, such as tree mortality, wildfires, earthquakes, floods, and drought.
- California Earthquake Early Warning - An increase of \$10 million General Fund to support the implementation of the California Earthquake Early Warning System and Program for a comprehensive and reliable earthquake early warning system statewide. The funding will be used to perform research on necessary technology and other technical aspects which will integrate public and private infrastructure, provide public education, and conduct training.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• California Disaster Assistance Act Program	\$-	\$-	-	\$30,000	\$-	-
• California Disaster Assistance Act Augmentation	-	-	-	22,200	-	-
• Emergency Operations and Critical Infrastructure Support	-	-	-	20,445	-	7.2
• Earthquake Early Warning	-	-	-	10,000	-	4.0
• Human Trafficking Grant Program Funding Extension	-	-	-	10,000	-	-
• State Operations Center	-	-	-	4,000	-	-
• Disaster Assistance Credentialing Program	-	-	-	500	-	-
• California Youth Crisis Line	-	-	-	200	-	-
• California Sexual Violence Victim Services Fund Local Assistance Authority	-	-	-	-	250	-
• Public Safety Communications Office	-	-	-	-	83	1.0
• Victim Witness Assistance Fund Local Assistance	-	-	-	-	-750	-
• Proposition 1B Local Assistance Authority Reduction	-	-	-	-	-20,000	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$97,345	-\$20,417	12.2
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$1,777	\$6,980	-	\$1,777	\$6,980	-
• Expenditure by Category Redistribution - Reimbursement	-	197	-	-	197	-
• Salary Adjustments	363	1,388	-	363	1,388	-
• Benefit Adjustments	161	613	-	195	744	-
• Retirement Rate Adjustments	117	393	-	117	393	-
• SWCAP	-	-	-	-	1,488	-
• Pro Rata	-	-	-	-	452	-
• Miscellaneous Baseline Adjustments	3,366	-	19.0	-	-2,716	19.0
• Lease Revenue Debt Service Adjustment	-9	-	-	-5	-1	-
• Budget Position Transparency	-1,777	-7,177	-101.2	-1,777	-7,177	-101.2
Totals, Other Workload Budget Adjustments	\$3,998	\$2,394	-82.2	\$670	\$1,748	-82.2
Totals, Workload Budget Adjustments	\$3,998	\$2,394	-82.2	\$98,015	-\$18,669	-70.0
Totals, Budget Adjustments	\$3,998	\$2,394	-82.2	\$98,015	-\$18,669	-70.0

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0690 Office of Emergency Services - Continued

Program 0385-Victim Services Projects, Local Assistance

Component	Program Name	Source of Funds	Actual Expenditures 2014-15	Proposed Expenditures 2015-16	Proposed Expenditures 2016-17
Public Safety / Victim Services					
Appropriation 0690-101					
0385.101	Victim-Witness Assistance	0425 Victim-Witness Assist Fund	\$12,417	\$12,671	\$7,721
0385.101	Victim-Witness Assistance	0214 Restitution Fund	-	-	4,200
0385.102	Victims' Legal Resource Center	0001 General Fund	37	37	37
0385.151	Domestic Violence ¹	0001 General Fund	20,602	20,602	20,602
0385.151	Domestic Violence ¹	0890 Federal Trust Fund	7,755	8,100	-
0385.152	Family Violence Prevention	0001 General Fund	45	45	45
0385.161	Violence Against Women Act	0890 Federal Trust Fund	13,741	14,300	-
0385.301	Rape Crisis ¹	0001 General Fund	45	45	45
0385.301	Rape Crisis ¹	0425 Victim-Witness Assist Fund	3,670	3,670	3,670
0385.351	Homeless Youth	0001 General Fund	356	356	356
0385.352	Youth Emergency Telephone Refer	0001 General Fund	114	314	114
0385.352	Youth Emergency Telephone Refer	0001 General Fund	-	-	200
0385.353	Child Sexual Abuse & Exploitation	0425 Victim-Witness Assist Fund	978	978	978
0385.354	Child Sexual Abuse Prevention/Trng	0001 General Fund	272	272	272
0385.451	Victims of Crime Act	0890 Federal Trust Fund	50,742	185,000	-
0385.504	Project Safe Neighborhoods	0890 Federal Trust Fund	450	700	-
0385.523	Forensic Science Improvement Act	0890 Federal Trust Fund	2,080	2,500	-
0385.541	Public Pros/Pub Defender Training	0241 Local Pub Pros/Def Trng Fund	799	799	799
0385.559	Peace Officer Protective Equipment	0890 Federal Trust Fund	-	1,300	-
0385.560	Justice Assistance Grant	0890 Federal Trust Fund	122	-	-
0385.902	Child Justice Act	0890 Federal Trust Fund	1,855	1,800	-
0385.906	Equality in Prevention & Serv.	3112 EPSDA	98	71	-
0385.908	Internet Crimes Against Children	0214 Restitution Fund	500	1,000	1,000
0385.910	American Red Cross	8084 American Red Cross, CC Fund	-	400	400
0385.911	Child Victims of Human Trafficking	8069 Child Victims Human Traff Fund	-	451	250
0385.913	California Sexual Violence Victim Services	8093 CA Sexual Violence Victim Services Fund	-	-	250
0385.912	Human Trafficking Victims Assistance	0214 Restitution Fund	-	10,000	-
0385.912	Human Trafficking Victims Assistance	0001 General Fund	-	-	10,000
Public Safety / Victim Services Total			\$116,678	\$265,411	\$50,939
Appropriation 0690-102					
0385.151	Domestic Violence ¹	0890 Federal Trust Fund	-	-	8,100
0385.161	Violence Against Women Act	0890 Federal Trust Fund	-	-	14,300
0385.451	Victims of Crime Act	0890 Federal Trust Fund	-	-	232,000
0385.504	Project Safe Neighborhoods	0890 Federal Trust Fund	-	-	700
0385.523	Forensic Science Improvement Act	0890 Federal Trust Fund	-	-	2,500
0385.902	Child Justice Act	0890 Federal Trust Fund	-	-	1,800
			\$0	\$0	\$259,400
Total, Program 0385-Criminal Justice Projects, Local Assistance			\$116,678	\$265,411	\$310,339

¹ Program has multiple funding sources.

0690 Office of Emergency Services - Continued

PROGRAM DESCRIPTIONS

0380 - EMERGENCY MANAGEMENT SERVICES

Through the Emergency Management Services Program, the OES provides effective use of federal, state, and local resources, through the mutual aid system to jurisdictions whose resources and services are overextended in a disaster situation. Also, this program develops and coordinates a comprehensive state strategy related to an all-hazards approach that includes prevention, preparedness, mitigation, response, and recovery consistent with the National Strategy on Homeland Security. Central to this effort is maintaining operational readiness at all levels of government. Within this program, the OES also trains emergency managers, responders, and state and local leaders in preparedness and response to all hazards.

0385 - SPECIAL PROGRAMS AND GRANT MANAGEMENT

The OES serves as the State Administering Agent for federal homeland security, emergency management, and victim services grants and is the primary liaison with the Federal Emergency Management Agency, the United States Department of Homeland Security, and other federal agencies. Additionally, the OES serves as an advocate for local public safety, emergency management, and public and private agencies operating public safety and victim service programs. As part of its grant management role, the OES administers the California Disaster Assistance Act and provides eligible local agencies with financial assistance to recover from disasters. Included in this program is the State Threat Assessment Center which is the centerpiece of the State's intelligence information sharing environment and serves as the Governor's designated primary fusion center.

0395 - PUBLIC SAFETY COMMUNICATIONS

Public Safety Communications (PSC) serves the State of California by providing public safety communications to the State's first responders and oversight of the 9-1-1 system to the people of California. The PSC is dedicated to the preservation and protection of human life and public safety by delivering reliable and dependable communication services keeping the public connected during times of crisis.

9900 - ADMINISTRATION AND EXECUTIVE

The Administration and Executive Program provides the overall policy direction of the OES and support services such as accounting, fiscal, personnel, information technology, and business services.

DETAILED EXPENDITURES BY PROGRAM

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
PROGRAM REQUIREMENTS				
0380	EMERGENCY MANAGEMENT SERVICES			
	State Operations:			
0001	General Fund	\$21,565	\$22,933	\$39,295
0028	Unified Program Account	614	844	840
0029	Nuclear Planning Assessment Special Account	1,367	1,294	1,153
0437	State Assistance For Fire Equipment Account	9	100	100
0890	Federal Trust Fund	16,288	21,158	21,696
0995	Reimbursements	2,518	4,429	4,432
3034	Antiterrorism Fund	360	677	627
3260	Regional Railroad Accident Preparedness and Immediate Response Fund	9,041	10,000	9,987
8039	Disaster Resistant Communities Account	-	207	207
	Totals, State Operations	\$51,762	\$61,642	\$78,337
PROGRAM REQUIREMENTS				
0385	SPECIAL PROGRAMS AND GRANT MANAGEMENT			
	State Operations:			
0001	General Fund	\$22,656	\$23,139	\$38,760
0214	Restitution Fund	8	8	8
0241	Local Public Prosecutors and Public Defenders Training Fund	53	85	84
0425	Victim - Witness Assistance Fund	1,236	1,449	1,442

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0690 Office of Emergency Services - Continued

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
0890	Federal Trust Fund	29,857	50,500	51,507
0995	Reimbursements	-	21	20
3034	Antiterrorism Fund	18	118	118
3112	Equality in Prevention and Services for Domestic Abuse Fund	-	5	-
6061	Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	843	2,723	2,726
	Totals, State Operations	\$54,671	\$78,048	\$94,665
	Local Assistance:			
0001	General Fund	\$61,993	\$84,363	\$123,997
0029	Nuclear Planning Assessment Special Account	3,289	3,735	2,020
0214	Restitution Fund	500	11,000	5,200
0241	Local Public Prosecutors and Public Defenders Training Fund	799	799	799
0425	Victim - Witness Assistance Fund	17,065	17,319	12,369
0890	Federal Trust Fund	457,067	929,166	929,166
3034	Antiterrorism Fund	-	2,000	-
3112	Equality in Prevention and Services for Domestic Abuse Fund	98	71	-
6061	Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	100,000	100,000	80,000
8069	Child Victims of Human Trafficking Fund	-	451	250
8084	American Red Cross, California Chapters Fund	-	400	400
8093	California Sexual Violence Victim Services Fund	-	-	250
	Totals, Local Assistance	\$640,811	\$1,149,304	\$1,154,451
	PROGRAM REQUIREMENTS			
0395	PUBLIC SAFETY COMMUNICATIONS			
	State Operations:			
0022	State Emergency Telephone Number Account	\$2,210	\$2,586	\$2,492
9730	Technology Services Revolving Fund	66,301	80,082	-
9751	Public Safety Communications Revolving Fund	-	-	80,070
	Totals, State Operations	\$68,511	\$82,668	\$82,562
	Local Assistance:			
0022	State Emergency Telephone Number Account	\$77,140	\$108,619	\$108,619
	Totals, Local Assistance	\$77,140	\$108,619	\$108,619
	PROGRAM REQUIREMENTS			
9900	ADMINISTRATION - TOTAL			
	State Operations:			
0001	General Fund	-\$2	\$-	\$-
	Totals, State Operations	-\$2	\$-	\$-
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			
0001	General Fund	\$14,048	\$17,589	\$18,970
	Totals, State Operations	\$14,048	\$17,589	\$18,970
	SUBPROGRAM REQUIREMENTS			

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0690 Office of Emergency Services - Continued

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
9900200	Administration - Distributed			
	State Operations:			
0001	General Fund	-\$14,050	-\$17,589	-\$18,970
	Totals, State Operations	-\$14,050	-\$17,589	-\$18,970
	TOTALS, EXPENDITURES			
	State Operations	174,942	222,358	255,564
	Local Assistance	717,951	1,257,923	1,263,070
	Totals, Expenditures	\$892,893	\$1,480,281	\$1,518,634

EXPENDITURES BY CATEGORY

	1 State Operations					
	<u>Positions</u>			<u>Expenditures</u>		
	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
PERSONAL SERVICES						
Baseline Positions	950.9	950.4	950.4	\$73,402	\$71,811	\$71,811
Budget Position Transparency	-	-101.2	-101.2	-	-8,954	-8,954
Total Adjustments	<u>-78.7</u>	<u>19.0</u>	<u>50.5</u>	<u>-7,777</u>	<u>2,483</u>	<u>11,134</u>
Net Totals, Salaries and Wages	872.2	868.2	880.4	\$65,625	\$65,340	\$73,991
Staff Benefits	-	-	-	28,552	29,306	32,770
Totals, Personal Services	872.2	868.2	880.4	\$94,177	\$94,646	\$106,761
OPERATING EXPENSES AND EQUIPMENT				\$77,518	\$127,712	\$148,803
SPECIAL ITEMS OF EXPENSES				3,247	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$174,942	\$222,358	\$255,564

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$39,746	\$36,074	\$71,694
Allocation for employee compensation	243	363	-
Allocation for staff benefits	17	161	-
Budget Position Transparency	-	-1,777	-
Emergency Drought Legislation - AB 91	4,372	-	-
Expenditure by Category Redistribution	-	1,777	-
Past year adjustments	-1	-	-
Section 3.60 pension contribution adjustment	430	117	-
Section 6.10 of the Budget Act of 2015, per E.O. 15/16-14	-	3,000	-
003 Budget Act appropriation	6,385	6,366	6,361
Lease Revenue Debt Service Adjustment	-20	-9	-
Prior Year Balances Available:			
Item 0690-001-0001, Budget Act of 2013 as amended by Chapter 2, Statutes of 2014	<u>331</u>	<u>-</u>	<u>-</u>
Totals Available	\$51,503	\$46,072	\$78,055
Unexpended balance, estimated savings	-2,912	-	-
Balance available in subsequent years	<u>-4,372</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$44,219	\$46,072	\$78,055

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0690 Office of Emergency Services - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,394	\$2,541	\$2,492
Allocation for employee compensation	22	24	-
Allocation for staff benefits	1	13	-
Budget Position Transparency	-	-138	-
Expenditure by Category Redistribution	-	138	-
Section 3.60 pension contribution adjustment	30	8	-
Totals Available	\$2,447	\$2,586	\$2,492
Unexpended balance, estimated savings	-237	-	-
TOTALS, EXPENDITURES	\$2,210	\$2,586	\$2,492
0028 Unified Program Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$812	\$826	\$840
Allocation for employee compensation	1	11	-
Allocation for staff benefits	-	4	-
Budget Position Transparency	-	-52	-
Expenditure by Category Redistribution	-	52	-
Section 3.60 pension contribution adjustment	4	3	-
Totals Available	\$817	\$844	\$840
Unexpended balance, estimated savings	-203	-	-
TOTALS, EXPENDITURES	\$614	\$844	\$840
0029 Nuclear Planning Assessment Special Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,224	\$1,266	\$1,153
Allocation for employee compensation	7	18	-
Allocation for staff benefits	-	7	-
Budget Position Transparency	-	-82	-
Carryover per Executive Order E14/15-22	347	-	-
Expenditure by Category Redistribution	-	82	-
Section 3.60 pension contribution adjustment	14	3	-
Totals Available	\$1,592	\$1,294	\$1,153
Unexpended balance, estimated savings	-225	-	-
TOTALS, EXPENDITURES	\$1,367	\$1,294	\$1,153
0214 Restitution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$8	\$8	\$8
TOTALS, EXPENDITURES	\$8	\$8	\$8
0241 Local Public Prosecutors and Public Defenders Training Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$84	\$84
Allocation for employee compensation	-	1	-
Budget Position Transparency	-	-6	-
Expenditure by Category Redistribution	-	6	-
002 Budget Act appropriation	83	-	-
Totals Available	\$83	\$85	\$84
Unexpended balance, estimated savings	-30	-	-
TOTALS, EXPENDITURES	\$53	\$85	\$84

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0690 Office of Emergency Services - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0425 Victim - Witness Assistance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$1,414	\$1,442
Allocation for employee compensation	-	19	-
Allocation for staff benefits	-	9	-
Budget Position Transparency	-	-95	-
Expenditure by Category Redistribution	-	95	-
Section 3.60 pension contribution adjustment	-	7	-
002 Budget Act appropriation	1,366	-	-
Allocation for employee compensation	15	-	-
Allocation for staff benefits	1	-	-
Section 3.60 pension contribution adjustment	17	-	-
Totals Available	\$1,399	\$1,449	\$1,442
Unexpended balance, estimated savings	-163	-	-
TOTALS, EXPENDITURES	\$1,236	\$1,449	\$1,442
0437 State Assistance For Fire Equipment Account			
APPROPRIATIONS			
Government Code section 8589.16	\$100	\$100	\$100
Totals Available	\$100	\$100	\$100
Unexpended balance, estimated savings	-91	-	-
TOTALS, EXPENDITURES	\$9	\$100	\$100
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$70,754	\$70,851	\$73,203
Allocation for employee compensation	370	470	-
Allocation for staff benefits	28	213	-
Budget Position Transparency	-	-2,288	-
Expenditure by Category Redistribution	-	2,288	-
Past year adjustments	-25,495	-	-
Section 3.60 pension contribution adjustment	488	124	-
TOTALS, EXPENDITURES	\$46,145	\$71,658	\$73,203
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,518	\$4,450	\$4,452
TOTALS, EXPENDITURES	\$2,518	\$4,450	\$4,452
3034 Antiterrorism Fund			
APPROPRIATIONS			
010 Budget Act appropriation	\$723	\$782	\$745
Allocation for employee compensation	2	9	-
Allocation for staff benefits	-	3	-
Budget Position Transparency	-	-40	-
Expenditure by Category Redistribution	-	40	-
Section 3.60 pension contribution adjustment	5	1	-
Totals Available	\$730	\$795	\$745
Unexpended balance, estimated savings	-352	-	-
TOTALS, EXPENDITURES	\$378	\$795	\$745
3112 Equality in Prevention and Services for Domestic Abuse Fund			
APPROPRIATIONS			

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0690 Office of Emergency Services - Continued

	2014-15*	2015-16*	2016-17*
1 STATE OPERATIONS			
001 Budget Act appropriation	\$5	\$5	-
Totals Available	\$5	\$5	\$-
Unexpended balance, estimated savings	-5	-	-
TOTALS, EXPENDITURES	\$-	\$5	\$-
3260 Regional Railroad Accident Preparedness and Immediate Response Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$10,000	\$9,987
Reflect Regional Railroad Accident Preparedness and Immediate Response Fund	10,000	-	-
Appropriation, per Chapter 663, Statutes of 2014			
Totals Available	\$10,000	\$10,000	\$9,987
Unexpended balance, estimated savings	-959	-	-
TOTALS, EXPENDITURES	\$9,041	\$10,000	\$9,987
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,660	\$2,690	\$2,726
Allocation for employee compensation	13	17	-
Allocation for staff benefits	1	10	-
Budget Position Transparency	-	-105	-
Expenditure by Category Redistribution	-	105	-
Section 3.60 pension contribution adjustment	15	6	-
Totals Available	\$2,689	\$2,723	\$2,726
Unexpended balance, estimated savings	-1,846	-	-
TOTALS, EXPENDITURES	\$843	\$2,723	\$2,726
8039 Disaster Resistant Communities Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$207	\$207	\$207
Totals Available	\$207	\$207	\$207
Unexpended balance, estimated savings	-207	-	-
TOTALS, EXPENDITURES	\$-	\$207	\$207
9730 Technology Services Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$71,915	\$78,733	-
Allocation for employee compensation	492	776	-
Allocation for staff benefits	32	339	-
Budget Position Transparency	-	-4,174	-
Expenditure by Category Redistribution	-	4,174	-
Section 3.60 pension contribution adjustment	812	234	-
Totals Available	\$73,251	\$80,082	\$-
Unexpended balance, estimated savings	-6,950	-	-
TOTALS, EXPENDITURES	\$66,301	\$80,082	\$-
9751 Public Safety Communications Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$80,070
TOTALS, EXPENDITURES	\$-	\$-	\$80,070
Total Expenditures, All Funds, (State Operations)	\$174,942	\$222,358	\$255,564

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0690 Office of Emergency Services - Continued

2 LOCAL ASSISTANCE	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$21,671	\$31,671
102 Budget Act appropriation	21,471	-	-
112 Budget Act appropriation	39,114	61,314	91,314
115 Budget Act appropriation	1,012	1,012	1,012
Unanticipated Volunteer Disaster Service Workers' Compensation Expenditures Augmentation per Executive Order 14/15-73	402	-	-
Unanticipated Volunteer Disaster Service Workers' Compensation Expenditures Augmentation per Executive Order 15/16-68	-	366	-
Totals Available	\$61,999	\$84,363	\$123,997
Unexpended balance, estimated savings	-6	-	-
TOTALS, EXPENDITURES	\$61,993	\$84,363	\$123,997
0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$108,619	\$108,619	\$108,619
Totals Available	\$108,619	\$108,619	\$108,619
Unexpended balance, estimated savings	-31,479	-	-
TOTALS, EXPENDITURES	\$77,140	\$108,619	\$108,619
0029 Nuclear Planning Assessment Special Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$3,684	\$3,735	\$2,020
Totals Available	\$3,684	\$3,735	\$2,020
Unexpended balance, estimated savings	-395	-	-
TOTALS, EXPENDITURES	\$3,289	\$3,735	\$2,020
0214 Restitution Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$11,000	\$5,200
102 Budget Act appropriation	500	-	-
TOTALS, EXPENDITURES	\$500	\$11,000	\$5,200
0241 Local Public Prosecutors and Public Defenders Training Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$799	\$799
102 Budget Act appropriation	799	-	-
TOTALS, EXPENDITURES	\$799	\$799	\$799
0425 Victim - Witness Assistance Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$17,319	\$12,369
102 Budget Act appropriation	17,319	-	-
Totals Available	\$17,319	\$17,319	\$12,369
Unexpended balance, estimated savings	-254	-	-
TOTALS, EXPENDITURES	\$17,065	\$17,319	\$12,369
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$929,666	\$929,166	\$669,766
Past year adjustments	-472,599	-	-
102 Budget Act appropriation	-	-	259,400
TOTALS, EXPENDITURES	\$457,067	\$929,166	\$929,166

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0690 Office of Emergency Services - Continued

2 LOCAL ASSISTANCE	2014-15*	2015-16*	2016-17*
3034 Antiterrorism Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$2,000	-
TOTALS, EXPENDITURES	\$-	\$2,000	\$-
3112 Equality in Prevention and Services for Domestic Abuse Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$71	-
102 Budget Act appropriation	98	-	-
TOTALS, EXPENDITURES	\$98	\$71	\$-
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
101 Budget Act appropriation	\$100,000	\$100,000	\$80,000
TOTALS, EXPENDITURES	\$100,000	\$100,000	\$80,000
8069 Child Victims of Human Trafficking Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$451	\$250
TOTALS, EXPENDITURES	\$-	\$451	\$250
8084 American Red Cross, California Chapters Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$400	\$400
TOTALS, EXPENDITURES	\$-	\$400	\$400
8093 California Sexual Violence Victim Services Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$250
TOTALS, EXPENDITURES	\$-	\$-	\$250
Total Expenditures, All Funds, (Local Assistance)	\$717,951	\$1,257,923	\$1,263,070
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$892,893	\$1,480,281	\$1,518,634

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
0022 State Emergency Telephone Number Account ^s			
BEGINNING BALANCE	\$60,629	\$76,773	\$43,592
Prior Year Adjustments	3,281	-	-
Adjusted Beginning Balance	\$63,910	\$76,773	\$43,592
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140500 Emergency Telephone User's Surcharge	97,664	83,873	83,873
Total Revenues, Transfers, and Other Adjustments	\$97,664	\$83,873	\$83,873
Total Resources	\$161,574	\$160,646	\$127,465
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	2,211	2,586	2,492
0690 Office of Emergency Services (Local Assistance)	77,140	108,619	108,619
0860 State Board of Equalization (State Operations)	1,231	1,783	1,909
3540 Department of Forestry and Fire Protection (State Operations)	4,212	4,051	3,815
8880 Financial Information System for California (State Operations)	7	15	12
Total Expenditures and Expenditure Adjustments	\$84,801	\$117,054	\$116,847
FUND BALANCE	\$76,773	\$43,592	\$10,618

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0690 Office of Emergency Services - Continued

	2014-15*	2015-16*	2016-17*
Reserve for economic uncertainties	76,773	43,592	10,618
0029 Nuclear Planning Assessment Special Account^s			
BEGINNING BALANCE	\$155	\$209	\$209
Prior Year Adjustments	<u>-159</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	-\$4	\$209	\$209
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	<u>5,583</u>	<u>6,069</u>	<u>4,201</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$5,583</u>	<u>\$6,069</u>	<u>\$4,201</u>
Total Resources	\$5,579	\$6,278	\$4,410
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	1,368	1,294	1,153
0690 Office of Emergency Services (Local Assistance)	3,289	3,735	2,020
4265 Department of Public Health (State Operations)	708	1,029	1,026
8880 Financial Information System for California (State Operations)	<u>5</u>	<u>11</u>	<u>3</u>
Total Expenditures and Expenditure Adjustments	<u>\$5,370</u>	<u>\$6,069</u>	<u>\$4,202</u>
FUND BALANCE	\$209	\$209	\$208
Reserve for economic uncertainties	209	209	208
0241 Local Public Prosecutors and Public Defenders Training Fund^s			
BEGINNING BALANCE	\$1,091	\$1,097	\$1,066
Prior Year Adjustments	<u>5</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,096	\$1,097	\$1,066
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	3	3	3
4173000 Penalty Assessments - Other	<u>850</u>	<u>850</u>	<u>850</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$853</u>	<u>\$853</u>	<u>\$853</u>
Total Resources	\$1,949	\$1,950	\$1,919
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	53	85	84
0690 Office of Emergency Services (Local Assistance)	<u>799</u>	<u>799</u>	<u>799</u>
Total Expenditures and Expenditure Adjustments	<u>\$852</u>	<u>\$884</u>	<u>\$883</u>
FUND BALANCE	\$1,097	\$1,066	\$1,036
Reserve for economic uncertainties	1,097	1,066	1,036
0372 Disaster Relief Fund^s			
BEGINNING BALANCE	\$1	-	-
Prior Year Adjustments	<u>-1</u>	<u>-</u>	<u>-</u>
FUND BALANCE	-	-	-
0425 Victim - Witness Assistance Fund^s			
BEGINNING BALANCE	-\$95	\$6,278	\$1,042
Prior Year Adjustments	<u>156</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$61	\$6,278	\$1,042
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4131500 Felony Conviction Penalties	1	1	1

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0690 Office of Emergency Services - Continued

	2014-15*	2015-16*	2016-17*
4150500 Interest Income - Interfund Loans	128	-	-
4163000 Investment Income - Surplus Money Investments	13	14	14
4173000 Penalty Assessments - Other	10,155	9,398	8,683
Transfers and Other Adjustments			
Loan Repayment from General Fund (0001) to Victim - Witness Assistance Fund (0425) per Item 0690-011-0425, Budget Act of 2011	10,100	-	-
Revenue Transfer From Driver Training Penalty Assessment Fund (0178) to Victim - Witness Assistance Fund (0425), per Code Section 24.10, Budget Acts	4,121	4,121	4,121
Total Revenues, Transfers, and Other Adjustments	<u>\$24,518</u>	<u>\$13,534</u>	<u>\$12,819</u>
Total Resources	\$24,579	\$19,812	\$13,861
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	1,235	1,449	1,442
0690 Office of Emergency Services (Local Assistance)	17,065	17,319	12,369
8880 Financial Information System for California (State Operations)	<u>1</u>	<u>2</u>	<u>1</u>
Total Expenditures and Expenditure Adjustments	<u>\$18,301</u>	<u>\$18,770</u>	<u>\$13,812</u>
FUND BALANCE	\$6,278	\$1,042	\$49
Reserve for economic uncertainties	6,278	1,042	49
0437 State Assistance For Fire Equipment Account ^s			
BEGINNING BALANCE	\$961	\$1,283	\$1,332
Prior Year Adjustments	<u>-3</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$958	\$1,283	\$1,332
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4170400 Capital Asset Sales Proceeds	<u>334</u>	<u>149</u>	<u>100</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$334</u>	<u>\$149</u>	<u>\$100</u>
Total Resources	\$1,292	\$1,432	\$1,432
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	<u>9</u>	<u>100</u>	<u>100</u>
Total Expenditures and Expenditure Adjustments	<u>\$9</u>	<u>\$100</u>	<u>\$100</u>
FUND BALANCE	\$1,283	\$1,332	\$1,332
Reserve for economic uncertainties	1,283	1,332	1,332
0903 State Penalty Fund ⁿ			
BEGINNING BALANCE	<u>\$58</u>	<u>\$3</u>	<u>\$3</u>
Adjusted Beginning Balance	\$58	\$3	\$3
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172240 Fines and Penalties - External - Other	119,329	122,676	102,376
4172500 Miscellaneous Revenue	-117,601	-120,870	-100,579
Transfers and Other Adjustments			
Transfer from State Penalty Fund (0903) to California Motorcyclist Fund (0840) per Item 2720-012-0903, Budget Acts	-250	-250	-250
Total Revenues, Transfers, and Other Adjustments	<u>\$1,478</u>	<u>\$1,556</u>	<u>\$1,547</u>
Total Resources	\$1,536	\$1,559	\$1,550
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	<u>1,533</u>	<u>1,556</u>	<u>1,547</u>
Total Expenditures and Expenditure Adjustments	\$1,533	\$1,556	\$1,547

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0690 Office of Emergency Services - Continued

	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
FUND BALANCE	\$3	\$3	\$3
Reserve for economic uncertainties	3	3	3
3034 Antiterrorism Fund ^s			
BEGINNING BALANCE	\$1,858	\$1,498	\$298
Prior Year Adjustments	-154	-	-
Adjusted Beginning Balance	\$1,704	\$1,498	\$298
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4142500 License Plate Fees - Personalized Plates	1,222	1,150	1,070
Transfers and Other Adjustments			
Loan Repayment from General Fund (0001) to Antiterrorism Fund (3034), per Item 0690-011-3034 Budget Act of 2008	-	-	2,000
Loan Repayment from General Fund (0001) to Antiterrorism Fund (3034), per Item 0690-011-3034 Budget Act of 2011	-	1,000	-
Total Revenues, Transfers, and Other Adjustments	\$1,222	\$2,150	\$3,070
Total Resources	\$2,926	\$3,648	\$3,368
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	379	795	745
0690 Office of Emergency Services (Local Assistance)	-	2,000	-
8120 Commission on Peace Officer Standards and Training (State Operations)	500	-	455
8570 Department of Food and Agriculture (State Operations)	548	552	551
8880 Financial Information System for California (State Operations)	1	3	1
Total Expenditures and Expenditure Adjustments	\$1,428	\$3,350	\$1,752
FUND BALANCE	\$1,498	\$298	\$1,616
Reserve for economic uncertainties	1,498	298	1,616
3075 Unlawful Sales Reduction Fund ^s			
BEGINNING BALANCE	\$90	\$87	\$88
Prior Year Adjustments	-3	-	-
Adjusted Beginning Balance	\$87	\$87	\$88
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4173000 Penalty Assessments - Other	-	1	1
Total Revenues, Transfers, and Other Adjustments	-	\$1	\$1
Total Resources	\$87	\$88	\$89
FUND BALANCE	\$87	\$88	\$89
Reserve for economic uncertainties	87	88	89
3112 Equality in Prevention and Services for Domestic Abuse Fund ^s			
BEGINNING BALANCE	\$129	53	-
Prior Year Adjustments	-3	-	-
Adjusted Beginning Balance	\$126	\$53	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	25	23	-
Total Revenues, Transfers, and Other Adjustments	\$25	\$23	-
Total Resources	\$151	\$76	-
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			

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0690 Office of Emergency Services - Continued

	2014-15*	2015-16*	2016-17*
0690 Office of Emergency Services (State Operations)	-	5	-
0690 Office of Emergency Services (Local Assistance)	98	71	-
Total Expenditures and Expenditure Adjustments	<u>\$98</u>	<u>\$76</u>	<u>-</u>
FUND BALANCE	\$53	-	-
Reserve for economic uncertainties	53	-	-
3260 Regional Railroad Accident Preparedness and Immediate Response Fund ^s			
BEGINNING BALANCE	-	\$959	\$959
Adjusted Beginning Balance	-	\$959	\$959
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172500 Miscellaneous Revenue	-	-	20,000
Transfers and Other Adjustments			
Loan Repayment from Regional Railroad Accident Preparedness and Immediate Response Fund (3260) to California High-Cost Fund-B Administrative Committee Fund (0470) per Chapter 663, Statutes of 2014	-	-	-10,000
Loan from High-Cost Fund-B Administrative Committee Fund (0470) to Regional Railroad Accident Preparedness and Immediate Response Fund (3260) per Chapter 663 of the Statutes of 2014	\$10,000	10,000	-
Total Revenues, Transfers, and Other Adjustments	<u>\$10,000</u>	<u>\$10,000</u>	<u>\$10,000</u>
Total Resources	\$10,000	\$10,959	\$10,959
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	9,041	10,000	9,987
0860 State Board of Equalization (State Operations)	-	-	579
8880 Financial Information System for California (State Operations)	-	-	13
Total Expenditures and Expenditure Adjustments	<u>\$9,041</u>	<u>\$10,000</u>	<u>\$10,579</u>
FUND BALANCE	\$959	\$959	\$380
Reserve for economic uncertainties	959	959	380

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	950.9	950.4	950.4	\$73,402	\$71,811	\$71,811
Budget Position Transparency	-	-101.2	-101.2	-	-8,954	-8,954
Salary and Other Adjustments	-78.7	19.0	19.0	-7,777	2,483	3,337
Workload and Administrative Adjustments						
Disaster Assistance Credentialing Program	-	-	-	-	-	185
Earthquake Early Warning						
Assoc Govtl Program Analyst	-	-	1.0	-	-	62
Program Mgr II	-	-	1.0	-	-	81
Research Program Spec II	-	-	2.0	-	-	150
Emergency Operations and Critical Infrastructure Support						
Assoc Govtl Program Analyst	-	-	3.5	-	-	242
Assoc Info Sys Analyst (Spec)	-	-	1.0	-	-	-
Asst Info Sys Analyst	-	-	1.0	-	-	-
Coord (Fire & Rescue Svcs)	-	-	6.0	-	-	464
Dp Mgr II	-	-	1.0	-	-	-

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0690 Office of Emergency Services - Continued

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Emergency Mgmt Coord/Instructor II	-	-	1.0	-	-	76
Emergency Svcs Coord	-	-	8.0	-	-	463
Heavy Equipt Mechanic	-	-	1.0	-	-	55
Info Officer I (Spec)	-	-	1.0	-	-	-
Info Sys Techn	-	-	1.0	-	-	-
Office Techn (Typing)	-	-	2.0	-	-	-
Program Mgr I	-	-	1.0	-	-	73
Sr Coord -Law Enforcement	-	-	4.0	-	-	323
Sr Telecomms Techn	-	-	3.0	-	-	-
Staff Programmer Analyst (Spec)	-	-	2.0	-	-	81
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	-
Staff Svcs Mgr II (Supvry)	-	-	2.0	-	-	-
Supvng Telecomms Engr	-	-	1.0	-	-	-
Telecomms Maint Supvr I	-	-	1.0	-	-	-
Telecomms Sys Analyst I	-	-	2.0	-	-	-
Telecomms Sys Mgr I (Spec)	-	-	3.0	-	-	-
Telecomms Techn	-	-	7.0	-	-	-
Temporary Help	-	-	-28.0	-	-	-
Warehouse Mgr II	-	-	1.0	-	-	-
	-	-	-	-	-	1,761
Public Safety Communications Office						
Accounting Officer (Spec)	-	-	1.0	-	-	54
	-	-	0.0	-	-	-
State Operations Center						
	-	-	-	-	-	3,727
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	31.5	\$-	\$-	\$7,797
Totals, Adjustments	-78.7	-82.2	-70.0	-\$7,777	-\$6,471	\$2,180
TOTALS, SALARIES AND WAGES	872.2	868.2	880.4	\$65,625	\$65,340	\$73,991

INFRASTRUCTURE OVERVIEW

The Office of Emergency Services' infrastructure includes a headquarters facility, an administrative building, and an Inland Regional Operations Center in Sacramento County; a Coastal Regional Operations Center in Walnut Creek, Contra Costa County; a Southern Regional Operations Center located at Los Alamitos Joint Forces Training Base in Orange County; the California Specialized Training Institute at Camp San Luis Obispo; and various small field offices throughout the state.

The Public Safety Communications division (PSC) is comprised of a main leased complex in Sacramento and 45 field locations throughout the state. These locations include 8 area offices and 37 area shops, positioned geographically to facilitate maintenance and installation services to remote communication sites and customers throughout the state. In addition, the PSC maintains and operates 10 communications vaults/towers and a total of more than 3,500 radio frequency points of presence.

SUMMARY OF PROJECTS

		State Building Program Expenditures	2014-15*	2015-16*	2016-17*
0405	CAPITAL OUTLAY				
	Projects				
0000121	Relocation of Red Mountain Communications Site, Del Norte County		2,665	18	1,261
	Preliminary Plans		2,665	18	-
	Working Drawings		-	-	1,261

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0690 Office of Emergency Services - Continued

State Building Program Expenditures		2014-15*	2015-16*	2016-17*
0000711	Headquarters Complex, Rancho Cordova: Public Safety Communications Network Operations Center	-	-	453
	Preliminary Plans	-	-	361
	Working Drawings	-	-	92
0000753	Southern Region Emergency Operations Center Replacement, Los Alamitos	-	15	-
	Acquisition	-	15	-
TOTALS, EXPENDITURES, ALL PROJECTS		\$2,665	\$33	\$1,714
FUNDING		2014-15*	2015-16*	2016-17*
0001	General Fund	\$2,665	\$33	\$1,714
TOTALS, EXPENDITURES, ALL FUNDS		\$2,665	\$33	\$1,714

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY		2014-15*	2015-16*	2016-17*
0001 General Fund				
APPROPRIATIONS				
301	Budget Act appropriation	\$2,683	\$3,402	-
Prior Year Balances Available:				
	Augmentation per Government Code Sections 13332.11 (e) and 16352	-	18	-
	Item 0690-301-0001, Budget Act of 2015	-	-	1,714
Totals Available		\$2,683	\$3,420	\$1,714
	Unexpended balance, estimated savings	-18	-1,673	-
	Balance available in subsequent years	-	-1,714	-
TOTALS, EXPENDITURES		\$2,665	\$33	\$1,714
Total Expenditures, All Funds, (Capital Outlay)		\$2,665	\$33	\$1,714

0750 Office of the Lieutenant Governor

Under California's Constitution, the Lieutenant Governor serves as Acting Governor whenever the Governor is absent from the state, and automatically becomes Governor if a vacancy occurs in the Office of Governor. The Lieutenant Governor is also President of the Senate and votes in case of a tie.

The Lieutenant Governor serves as a voting member of the Board of Regents of the University of California and a voting member of the Board of Trustees of the California State University system.

The Lieutenant Governor also serves on, and rotates with the State Controller, as chair of the three-member State Lands Commission, which oversees the control and leasing of millions of acres of state-owned land, including offshore oil resources, as well as use and permitting for all navigable waterways in California. The Commission also manages state land-use planning and revenues, and related interstate issues. During alternate years, when the Lieutenant Governor serves as Chairperson of the State Lands Commission, he also serves as a member of the Ocean Protection Council.

In addition, under state statutes, the Lieutenant Governor chairs the California Commission for Economic Development, which provides support and guidance to the Governor, Legislature and private sector regarding the development of California's economy.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0430 General Activities	5.0	5.7	5.7	\$1,006	\$1,096	\$1,397
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	5.0	5.7	5.7	\$1,006	\$1,096	\$1,397

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0750 Office of the Lieutenant Governor - Continued

FUNDING	2014-15*	2015-16*	2016-17*
0001 General Fund	\$1,006	\$1,096	\$1,397
TOTALS, EXPENDITURES, ALL FUNDS	\$1,006	\$1,096	\$1,397

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article V, Sections 9 and 10, and Article IX, Section 9; Education Code Section 66602; Government Code Sections 8704, 8575, 14999-14999.8, and 15364.2; Public Resources Code Section 6101; Unemployment Insurance Code Section 15036; Vehicle Code Section 2600.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Additional Funding for Increased Operational Expenses	\$-	\$-	-	\$9	\$-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$9	\$-	-
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$78	\$-	-	\$78	\$-	-
• Miscellaneous Baseline Adjustments	-	-	-	290	-	-
• Salary Adjustments	15	-	-	15	-	-
• Benefit Adjustments	8	-	-	10	-	-
• Retirement Rate Adjustments	5	-	-	5	-	-
• Budget Position Transparency	-78	-	-0.3	-78	-	-0.3
Totals, Other Workload Budget Adjustments	\$28	\$-	-0.3	\$320	\$-	-0.3
Totals, Workload Budget Adjustments	\$28	\$-	-0.3	\$329	\$-	-0.3
Totals, Budget Adjustments	\$28	\$-	-0.3	\$329	\$-	-0.3

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	6.0	6.0	6.0	\$594	\$594	\$594
Budget Position Transparency	-	-0.3	-0.3	-	-78	-78
Total Adjustments	-1.0	-	-	-178	15	193
Net Totals, Salaries and Wages	5.0	5.7	5.7	\$416	\$531	\$718
Staff Benefits	-	-	-	191	204	268
Totals, Personal Services	5.0	5.7	5.7	\$607	\$735	\$986
OPERATING EXPENSES AND EQUIPMENT						
				\$399	\$361	\$411
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,006	\$1,096	\$1,397

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0750 Office of the Lieutenant Governor - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,033	\$1,068	\$1,397
Allocation for employee compensation	12	15	-
Allocation for staff benefits	5	8	-
Budget Position Transparency	-	-78	-
Expenditure by Category Redistribution	-	78	-
Section 3.60 pension contribution adjustment	18	5	-
Totals Available	\$1,068	\$1,096	\$1,397
Unexpended balance, estimated savings	-62	-	-
TOTALS, EXPENDITURES	\$1,006	\$1,096	\$1,397
Total Expenditures, All Funds, (State Operations)	\$1,006	\$1,096	\$1,397

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	6.0	6.0	6.0	\$594	\$594	\$594
Budget Position Transparency	-	-0.3	-0.3	-	-78	-78
Salary and Other Adjustments	-1.0	-	-	-178	15	193
Totals, Adjustments	-1.0	-0.3	-0.3	-\$178	-\$63	\$124
TOTALS, SALARIES AND WAGES	5.0	5.7	5.7	\$416	\$531	\$718

0820 Department of Justice

The constitutional office of the Attorney General, as chief law officer of the state, has the responsibility to see that the laws of California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse programs of the Department of Justice.

The Department of Justice is responsible for providing skillful and efficient legal services on behalf of the people of California. The Attorney General represents the people in all matters before the Appellate and Supreme Courts of California and the United States; serves as legal counsel to state officers, boards, commissioners, and departments; represents the people in actions to protect the environment and to enforce consumer, antitrust, and civil laws; and assist district attorneys in the administration of justice. The Department provides oversight, enforcement, education, and regulation of California's firearms/dangerous weapon laws; provides evaluation and analysis of physical evidence; regulates legal gambling activities in California; supports the telecommunications and data processing needs of the California criminal justice community; and pursues projects designed to protect the people of California from fraudulent, unfair, and illegal activities.

3-YR EXPENDITURES AND POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0435 Division of Legal Services	1,539.1	1,516.6	1,567.6	\$384,837	\$423,965	\$436,236
0440 Law Enforcement	890.8	903.6	907.1	182,035	209,066	216,170
0445 California Justice Information Services	944.4	924.0	962.0	157,383	171,085	180,920
9900100 Administration	862.6	848.0	851.0	95,359	102,936	105,799
9900200 Administration - Distributed	-	-	-	-95,359	-102,936	-105,799
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	4,236.9	4,192.2	4,287.7	\$724,255	\$804,116	\$833,326
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$189,599	\$205,672	\$216,396
0012 Attorney General Antitrust Account				2,466	2,530	2,545
0017 Fingerprint Fees Account				69,041	72,633	73,172
0032 Firearm Safety Account				234	344	345

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0820 Department of Justice - Continued

FUNDING	2014-15*	2015-16*	2016-17*
0044 Motor Vehicle Account, State Transportation Fund	25,960	26,754	26,983
0142 Department of Justice Sexual Habitual Offender Fund	2,229	2,546	2,562
0158 Travel Seller Fund	702	1,442	1,452
0214 Restitution Fund	5,198	5,230	5,233
0256 Sexual Predator Public Information Account	150	182	183
0367 Indian Gaming Special Distribution Fund	18,554	20,820	20,938
0378 False Claims Act Fund	12,155	13,013	15,055
0460 Dealers Record of Sale Special Account	28,645	29,523	20,959
0566 Department of Justice Child Abuse Fund	367	410	414
0567 Gambling Control Fund	9,469	11,559	14,499
0569 Gambling Control Fines and Penalties Account	42	47	247
0641 Domestic Violence Restraining Order Reimbursement Fund	1,018	1,018	1,018
0890 Federal Trust Fund	31,227	35,206	41,575
0942 Special Deposit Fund	1,641	2,119	2,119
0995 Reimbursements	21,034	41,177	41,684
1008 Firearms Safety and Enforcement Special Fund	3,145	4,302	14,068
3016 Missing Persons DNA Data Base Fund	3,020	3,530	3,552
3053 Public Rights Law Enforcement Special Fund	4,538	6,198	11,998
3086 DNA Identification Fund	73,606	72,265	74,000
3087 Unfair Competition Law Fund	11,013	11,383	22,181
3088 Registry of Charitable Trusts Fund	2,963	5,675	5,646
3131 California Bingo Fund	-	53	53
3240 Secondhand Dealer and Pawnbroker Fund	323	628	568
3285 Electronic Recording Authorization Fund	-	300	300
3297 Major League Sporting Event Raffle Fund	-	-	335
8071 National Mortgage Special Deposit Fund	3,268	-	-
9731 Legal Services Revolving Fund	201,478	225,933	211,615
9740 Central Service Cost Recovery Fund	1,170	1,624	1,631
TOTALS, EXPENDITURES, ALL FUNDS	\$724,255	\$804,116	\$833,326

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article V, Section 13.

PROGRAM AUTHORITY

0435-Legal Services:

California Constitution, Article V, Section 13; Business and Professions Code Sections 16700, 17200, and 17500; Civil Code Section 51 et seq.; Government Code Sections 4458, 11043, 11157, 12510 et seq., 12580 et seq., 12600 et seq., 12650 et seq., 12657 et seq., 12989.3, and 16645-16649; Health and Safety Code Sections 19958.5, 25180, 25249.7, and 104555-104557; Penal Code Sections 320.5, 1256, 1475, and 1548.3; and Revenue and Taxation Code Section 30165.1.

0440-Law Enforcement:

California Constitution, Article V, Section 13; Business and Professions Code Sections 7583.26, 19800-19807; Penal Code Sections 295, 295.1, 297, 319-337z, 830.1, 832.15, 11006-11054, 11060, 11061, 11061.5, 13511, 14160-14167, 14250, 18900, 26190, 27560, 28225-28245, 29055, 30900-30905, 31005, 31115, 32010, 32015-32025, 32655, 32715, 33305; Government Code Sections 15001.1 and 15001.2; and Health and Safety Code Sections 11100-11103, 11106-11106.7, 11450-11454, 11641, and 11646.

0445-California Justice Information Services:

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0820 Department of Justice - Continued

California Constitution, Article V, Section 13; Government Code Sections 15150-15167 and 27390-27399; and Penal Code Sections 11102.1, 11105, and 14200-14213.

9900-Directorate and Administration:

Government Code Section 12519.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Racial and Identity Profiling Act (AB 953)	\$-	\$-	-	\$9,879	\$-	41.0
• Criminal Justice Reporting (AB 71)	-	-	-	374	-	4.0
• Fraud and Elder Abuse Enforcement Enhancement	-	-	-	-	7,836	35.0
• APPS Investigations	-	-	-	-	4,694	-
• BGC Cardroom Background Investigation Backlog	-	-	-	-	3,000	20.0
• Professions and Vocations Workload (SB 467)	-	-	-	-	1,284	10.0
• CURES Funding	-	-	-	-	500	4.0
• Major League Sporting Event Raffles	-	-	-	-	335	2.0
• Establish new Bureau-wide Training Program	-	-	-	-	200	-
• Technical Budget Adjustment	-	-	-	-	-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$10,253	\$17,849	116.0
Other Workload Budget Adjustments						
• Provision 1, LSRF Augmentation	\$-	\$950	-	\$-	\$-	-
• Tenant Rent Adjustment	-	-141	-	-	-645	-
• Salary Adjustments	2,595	6,774	-	2,595	6,774	-
• Benefit Adjustments	1,282	3,318	-	1,643	4,235	-
• Retirement Rate Adjustments	908	2,251	-	908	2,251	-
• Lease Revenue Debt Service Adjustment	-300	-	-	41	-1	-
• Pro Rata	-	-	-	-	25,341	-
• SWCAP	-	-	-	-	446	-
• Budget Position Transparency	-	-	-670.0	-	-	-670.0
• Miscellaneous Baseline Adjustments	195	-7,850	4.3	-5	-13,396	-16.2
Totals, Other Workload Budget Adjustments	\$4,680	\$5,302	-665.7	\$5,182	\$25,005	-686.2
Totals, Workload Budget Adjustments	\$4,680	\$5,302	-665.7	\$15,435	\$42,854	-570.2
Policy Adjustments						
• APPS Local Law Enforcement	\$-	\$-	-	\$-	\$5,000	-
Totals, Policy Adjustments	\$-	\$-	-	\$-	\$5,000	-
Totals, Budget Adjustments	\$4,680	\$5,302	-665.7	\$15,435	\$47,854	-570.2

PROGRAM DESCRIPTIONS

0435 - LEGAL SERVICES

Legal Services is organized into three elements: (1) Civil Law, (2) Criminal Law, and (3) Public Rights.

Civil Law represents the State of California and its officers, agencies, departments, boards, commissions, and employees in civil matters. It provides advice to these clients, defends cases brought against them and prosecutes cases to vindicate state interests. Deputy Attorneys General in Civil Law are responsible for managing and litigating cases before administrative tribunals, and in both state and federal courts at the trial level and on appeal, including appeals before the United States and California Supreme Courts. Deputies work in one of nine sections: Business and Tax; Correctional Law; Employment and Administrative Mandates; Opinion Unit; Government Law; Health, Education and Welfare; Health Quality Enforcement;

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0820 Department of Justice - Continued

Licensing; or Tort and Condemnation.

Criminal Law represents the state in criminal matters before the Appellate and Supreme courts. Criminal Law also fulfills the Attorney General's responsibilities of assisting district attorneys in cases for which they are recused, conducts criminal investigations, represents the Governor, Board of Parole Hearings, and California Department of Corrections and Rehabilitation in state and federal habeas corpus cases and appeals, and other proceedings relating to parole decisions and conditions of confinement in the state prisons and defends state and federal habeas corpus matters. Additional responsibilities include enforcing the Political Reform Act, advising the Governor on extradition matters, investigating and prosecuting Medi-Cal provider fraud, investigating and prosecuting the abuse or neglect of elder and dependent adults residing in long-term health care facilities, and investigating, prosecuting, and coordinating litigation involving white-collar crime, high-tech/computer/privacy crime, and financial crimes against the elderly.

Public Rights protects and preserves the public interest by providing legal services to state agencies and Constitutional Officers and by bringing actions in the name of the Attorney General to protect the public. Public Rights provides specialized services in the following areas: Civil Rights Enforcement (including Underground Economy and the Bureau of Children's Justice); Charitable Trusts (including the Registry of Charitable Trusts); Natural Resources Law; Corporate Fraud (including False Claims and Energy and Corporate Responsibility); Indian and Gaming Law; Environment Law; Land Law; Consumer Law (including Sellers of Travel and Privacy Enforcement and Protection); Antitrust Law; and Tobacco Litigation Enforcement.

0440 - LAW ENFORCEMENT

The Division of Law Enforcement is organized into five elements: (1) Bureau of Firearms, (2) Bureau of Forensic Services, (3) Bureau of Gambling Control, (4) Bureau of Investigation, and (5) the Office of the Director.

The Bureau of Firearms provides oversight, enforcement, education, and regulation of California's firearms/dangerous weapon laws by conducting firearms eligibility background checks and administering over thirty different state-mandated firearms-related programs. The Bureau conducts firearms dealer and manufacturer inspections and provides training as needed. Special Agents conduct investigations on armed and prohibited persons and other investigations resulting in the seizure of weapons. Agents also conduct firearms investigations to prevent illegal gun trafficking at in-state and out-of-state gun shows in accordance with state and federal law.

The Bureau of Forensic Services (BFS) provides evaluation and analysis of physical evidence, including crime scene investigation and expert court testimony to federal, state and local law enforcement agencies, district attorneys, and courts, by operating 11 specialized laboratories that serve 46 counties as well as a forensic training facility. BFS maintains the state DNA laboratory database which compiles DNA profiles of sex and violent offenders and felony arrestees.

The Bureau of Gambling Control regulates legal gambling activities in California to ensure gambling is conducted honestly and is free from criminal and corruptive elements. This is accomplished by investigating the qualifications of individuals and business entities who apply for state gambling licenses and monitoring the conduct of these licensees to ensure compliance with the Gambling Control Act. Furthermore, the Bureau conducts criminal investigations in, on or about Tribal casinos and California cardrooms. The Bureau also regulates Tribal gaming to ensure that each Tribe is in compliance with all aspects of the negotiated gaming compact.

The Bureau of Investigation is the premiere investigative arm that is responsible for exploiting and dismantling criminal organizations, as well as assisting with the prosecution of serious criminal offenses which present a significant and multi-jurisdictional threat to California. The Bureau prioritizes investigations related to transnational criminal organizations involved in gangs and human trafficking, as well as cases involving environmental crimes, public corruption, major fraud, underground economy, and high-technology crimes. In addition, the Bureau serves a principal role in providing leadership, coordination, and support to law enforcement through multi-agency drug, gang and major crimes task forces statewide.

The Office of the Director enhances public safety through training, technical, intelligence and administrative support to the investigative, regulatory and forensic components of the Division of Law Enforcement and other criminal justice agencies. The Office serves as the policy-making and oversight body for its four operational bureaus.

0445 - CALIFORNIA JUSTICE INFORMATION SERVICES

The California Justice Information Services Division provides criminal justice intelligence, information, and identification services to law enforcement, regulatory agencies, and the public. Four major functional areas carry out these primary services: 1) The Bureau of Criminal Identification & Investigative Services consolidates the identification, investigative, and field services functions, providing information and technical assistance on manual and automated systems including the fingerprint identification system and the violent crime information system; 2) The Bureau of Criminal Information and Analysis consolidates the functions related to the authorization, release, and use of criminal offender record information for law enforcement investigatory and regulatory purposes; 3) The Hawkins Data Center operates the Criminal Justice Information System and the California Law Enforcement Telecommunications System; and 4) the Operations Support Program provides business resumption planning and administrative support and oversight.

9900 - DIRECTORATE AND ADMINISTRATION

The Directorate and the Administration Division of the Department of Justice consists of the Division of Administrative Support and the Attorney General's Executive Office. The executive office maintains overall direction and administration over the diverse programs and projects of the department, including the Equal Employment Rights and Resolution Office, the Office of Program Review and Audits, the Solicitor General's Unit, the Office of Legislative Affairs, the Office of

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0820 Department of Justice - Continued

Communications and the Public Inquiry Unit. In addition, the Division of Administrative Support provides support functions essential to the department's operations, including fiscal, personnel, and specialized services such as legal secretarial support, litigation support, and legal case management services.

DETAILED EXPENDITURES BY PROGRAM

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
PROGRAM REQUIREMENTS				
0435	DIVISION OF LEGAL SERVICES			
	State Operations:			
0001	General Fund	\$130,825	\$140,111	\$141,852
0012	Attorney General Antitrust Account	2,425	2,488	2,503
0158	Travel Seller Fund	690	1,429	1,439
0367	Indian Gaming Special Distribution Fund	1,843	2,019	2,022
0378	False Claims Act Fund	10,904	11,693	13,732
0567	Gambling Control Fund	361	395	395
0890	Federal Trust Fund	24,451	25,766	31,990
0995	Reimbursements	277	982	982
3053	Public Rights Law Enforcement Special Fund	4,538	6,198	6,274
3087	Unfair Competition Law Fund	11,013	11,383	22,181
3088	Registry of Charitable Trusts Fund	2,687	5,373	5,344
8071	National Mortgage Special Deposit Fund	3,268	-	-
9731	Legal Services Revolving Fund	190,385	214,504	205,891
9740	Central Service Cost Recovery Fund	<u>1,170</u>	<u>1,624</u>	<u>1,631</u>
	Totals, State Operations	\$384,837	\$423,965	\$436,236
SUBPROGRAM REQUIREMENTS				
0435010	Civil Law			
	State Operations:			
0001	General Fund	\$15,392	\$16,739	\$16,728
9731	Legal Services Revolving Fund	147,781	164,477	166,461
9740	Central Service Cost Recovery Fund	<u>944</u>	<u>1,295</u>	<u>1,300</u>
	Totals, State Operations	\$164,117	\$182,511	\$184,489
SUBPROGRAM REQUIREMENTS				
0435019	Criminal Law			
	State Operations:			
0001	General Fund	\$89,116	\$95,964	\$96,060
0378	False Claims Act Fund	3,435	3,832	5,800
0890	Federal Trust Fund	24,451	25,766	31,990
0995	Reimbursements	277	982	982
9731	Legal Services Revolving Fund	<u>11,834</u>	<u>12,765</u>	<u>12,854</u>
	Totals, State Operations	\$129,113	\$139,309	\$147,686
SUBPROGRAM REQUIREMENTS				
0435028	Public Rights			
	State Operations:			
0001	General Fund	\$26,317	\$27,408	\$29,064
0012	Attorney General Antitrust Account	2,425	2,488	2,503
0158	Travel Seller Fund	690	1,429	1,439
0367	Indian Gaming Special Distribution Fund	1,843	2,019	2,022
0378	False Claims Act Fund	7,469	7,861	7,932
0567	Gambling Control Fund	361	395	395

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0820 Department of Justice - Continued

		2014-15*	2015-16*	2016-17*
3053	Public Rights Law Enforcement Special Fund	4,538	6,198	6,274
3087	Unfair Competition Law Fund	11,013	11,383	22,181
3088	Registry of Charitable Trusts Fund	2,687	5,373	5,344
8071	National Mortgage Special Deposit Fund	3,268	-	-
9731	Legal Services Revolving Fund	30,770	37,262	26,576
9740	Central Service Cost Recovery Fund	226	329	331
	Totals, State Operations	\$91,607	\$102,145	\$104,061
	PROGRAM REQUIREMENTS			
0440	LAW ENFORCEMENT			
	State Operations:			
0001	General Fund	\$9,304	\$9,262	\$9,713
0012	Attorney General Antitrust Account	28	28	28
0032	Firearm Safety Account	234	344	345
0142	Department of Justice Sexual Habitual Offender Fund	778	837	840
0214	Restitution Fund	343	375	378
0367	Indian Gaming Special Distribution Fund	16,408	18,470	18,585
0378	False Claims Act Fund	787	813	814
0460	Dealers Record of Sale Special Account	26,989	27,629	19,062
0567	Gambling Control Fund	9,108	11,164	14,104
0569	Gambling Control Fines and Penalties Account	18	21	221
0890	Federal Trust Fund	4,660	6,208	6,245
0942	Special Deposit Fund	1,417	2,112	2,112
0995	Reimbursements	18,462	36,392	36,438
1008	Firearms Safety and Enforcement Special Fund	3,145	4,302	9,068
3016	Missing Persons DNA Data Base Fund	3,020	3,530	3,552
3053	Public Rights Law Enforcement Special Fund	-	-	5,724
3086	DNA Identification Fund	71,358	71,214	72,946
3131	California Bingo Fund	-	53	53
3297	Major League Sporting Event Raffle Fund	-	-	335
9731	Legal Services Revolving Fund	11,093	11,429	5,724
	Totals, State Operations	\$177,152	\$204,183	\$206,287
	Local Assistance:			
0214	Restitution Fund	4,855	4,855	4,855
0460	Dealers Record of Sale Special Account	28	28	28
1008	Firearms Safety and Enforcement Special Fund	-	-	5,000
	Totals, Local Assistance	\$4,883	\$4,883	\$9,883
	SUBPROGRAM REQUIREMENTS			
0440010	Investigation			
	State Operations:			
0001	General Fund	\$4,571	\$4,567	\$4,448
0012	Attorney General Antitrust Account	28	28	28
0378	False Claims Act Fund	787	813	814
0890	Federal Trust Fund	1,110	2,387	2,362
0942	Special Deposit Fund	1,417	2,112	2,112
0995	Reimbursements	15,163	23,961	23,554
3053	Public Rights Law Enforcement Special Fund	-	-	5,724
9731	Legal Services Revolving Fund	10,793	11,117	5,411

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0820 Department of Justice - Continued

		2014-15*	2015-16*	2016-17*
Totals, State Operations		\$33,869	\$44,985	\$44,453
SUBPROGRAM REQUIREMENTS				
0440019 Office of the Director				
State Operations:				
0001	General Fund	\$675	\$941	\$1,170
0214	Restitution Fund	343	375	378
0367	Indian Gaming Special Distribution Fund	523	421	422
0460	Dealers Record of Sale Special Account	-	733	714
0567	Gambling Control Fund	225	511	487
0890	Federal Trust Fund	-	521	1,280
0995	Reimbursements	498	8,083	8,031
3086	DNA Identification Fund	2,967	2,392	2,398
3297	Major League Sporting Event Raffle Fund	-	-	335
9731	Legal Services Revolving Fund	300	312	313
Totals, State Operations		\$5,531	\$14,289	\$15,528
Local Assistance:				
0214	Restitution Fund	4,855	4,855	4,855
Totals, Local Assistance		\$4,855	\$4,855	\$4,855
SUBPROGRAM REQUIREMENTS				
0440028 Forensic Services				
State Operations:				
0001	General Fund	\$4,058	\$3,754	\$4,095
0142	Department of Justice Sexual Habitual Offender Fund	778	837	840
0890	Federal Trust Fund	3,550	3,300	2,603
0995	Reimbursements	2,742	3,904	4,409
3016	Missing Persons DNA Data Base Fund	3,020	3,530	3,552
3086	DNA Identification Fund	68,391	68,822	70,548
Totals, State Operations		\$82,539	\$84,147	\$86,047
SUBPROGRAM REQUIREMENTS				
0440037 Gambling				
State Operations:				
0367	Indian Gaming Special Distribution Fund	\$15,885	\$18,049	\$18,163
0567	Gambling Control Fund	8,883	10,653	13,617
0569	Gambling Control Fines and Penalties Account	18	21	221
0995	Reimbursements	59	100	100
3131	California Bingo Fund	-	53	53
Totals, State Operations		\$24,845	\$28,876	\$32,154
SUBPROGRAM REQUIREMENTS				
0440046 Firearms				
State Operations:				
0032	Firearm Safety Account	\$234	\$344	\$345
0460	Dealers Record of Sale Special Account	26,989	26,896	18,348
0995	Reimbursements	-	344	344
1008	Firearms Safety and Enforcement Special Fund	3,145	4,302	9,068
Totals, State Operations		\$30,368	\$31,886	\$28,105
Local Assistance:				
0460	Dealers Record of Sale Special Account	\$28	\$28	\$28

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0820 Department of Justice - Continued

	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
1008 Firearms Safety and Enforcement Special Fund	-	-	5,000
Totals, Local Assistance	\$28	\$28	\$5,028
PROGRAM REQUIREMENTS			
0445 CALIFORNIA JUSTICE INFORMATION SERVICES			
State Operations:			
0001 General Fund	\$49,470	\$56,299	\$64,831
0012 Attorney General Antitrust Account	13	14	14
0017 Fingerprint Fees Account	69,041	72,633	73,172
0044 Motor Vehicle Account, State Transportation Fund	25,960	26,754	26,983
0142 Department of Justice Sexual Habitual Offender Fund	1,451	1,709	1,722
0158 Travel Seller Fund	12	13	13
0256 Sexual Predator Public Information Account	150	182	183
0367 Indian Gaming Special Distribution Fund	303	331	331
0378 False Claims Act Fund	464	507	509
0460 Dealers Record of Sale Special Account	1,628	1,866	1,869
0566 Department of Justice Child Abuse Fund	367	410	414
0569 Gambling Control Fines and Penalties Account	24	26	26
0890 Federal Trust Fund	2,116	3,232	3,340
0942 Special Deposit Fund	224	7	7
0995 Reimbursements	2,295	3,803	4,264
3086 DNA Identification Fund	2,248	1,051	1,054
3088 Registry of Charitable Trusts Fund	276	302	302
3240 Secondhand Dealer and Pawnbroker Fund	323	628	568
3285 Electronic Recording Authorization Fund	-	300	300
Totals, State Operations	\$156,365	\$170,067	\$179,902
Local Assistance:			
0641 Domestic Violence Restraining Order Reimbursement Fund	\$1,018	\$1,018	\$1,018
Totals, Local Assistance	\$1,018	\$1,018	\$1,018
SUBPROGRAM REQUIREMENTS			
0445010 O. J. Hawkins Data Center			
State Operations:			
0001 General Fund	\$13,407	\$14,532	\$14,556
0012 Attorney General Antitrust Account	13	14	14
0017 Fingerprint Fees Account	11,924	13,027	13,050
0044 Motor Vehicle Account, State Transportation Fund	22,819	23,155	23,376
0142 Department of Justice Sexual Habitual Offender Fund	280	-	-
0158 Travel Seller Fund	12	13	13
0367 Indian Gaming Special Distribution Fund	303	331	331
0378 False Claims Act Fund	464	507	509
0460 Dealers Record of Sale Special Account	1,224	1,261	1,263
0569 Gambling Control Fines and Penalties Account	24	26	26
0995 Reimbursements	538	510	510
3086 DNA Identification Fund	945	-	-
3088 Registry of Charitable Trusts Fund	276	302	302
Totals, State Operations	\$52,229	\$53,678	\$53,950
SUBPROGRAM REQUIREMENTS			
0445019 Criminal Information and Analysis			

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0820 Department of Justice - Continued

		2014-15*	2015-16*	2016-17*
State Operations:				
0001	General Fund	\$15,147	\$19,626	\$28,091
0017	Fingerprint Fees Account	41,560	42,734	43,218
0142	Department of Justice Sexual Habitual Offender Fund	381	461	462
0460	Dealers Record of Sale Special Account	186	205	206
0566	Department of Justice Child Abuse Fund	359	402	406
0942	Special Deposit Fund	224	-	-
3285	Electronic Recording Authorization Fund	-	300	300
	Totals, State Operations	\$57,857	\$63,728	\$72,683
SUBPROGRAM REQUIREMENTS				
0445028	Criminal Identification and Investigation Services			
State Operations:				
0001	General Fund	\$12,256	\$14,609	\$14,643
0017	Fingerprint Fees Account	8,759	9,368	9,390
0044	Motor Vehicle Account, State Transportation Fund	1,329	1,731	1,737
0142	Department of Justice Sexual Habitual Offender Fund	772	1,230	1,242
0256	Sexual Predator Public Information Account	41	162	163
0460	Dealers Record of Sale Special Account	216	398	398
3086	DNA Identification Fund	968	1,039	1,042
	Totals, State Operations	\$24,341	\$28,537	\$28,615
Local Assistance:				
0641	Domestic Violence Restraining Order Reimbursement Fund	\$1,018	\$1,018	\$1,018
	Totals, Local Assistance	\$1,018	\$1,018	\$1,018
SUBPROGRAM REQUIREMENTS				
0445037	Criminal Justice Operational Support Program			
State Operations:				
0001	General Fund	\$8,660	\$7,532	\$7,541
0017	Fingerprint Fees Account	6,798	7,504	7,514
0044	Motor Vehicle Account, State Transportation Fund	1,812	1,868	1,870
0142	Department of Justice Sexual Habitual Offender Fund	18	18	18
0256	Sexual Predator Public Information Account	109	20	20
0460	Dealers Record of Sale Special Account	2	2	2
0566	Department of Justice Child Abuse Fund	8	8	8
0890	Federal Trust Fund	2,116	3,232	3,340
0942	Special Deposit Fund	-	7	7
0995	Reimbursements	1,757	3,293	3,754
3086	DNA Identification Fund	335	12	12
3240	Secondhand Dealer and Pawnbroker Fund	323	628	568
	Totals, State Operations	\$21,938	\$24,124	\$24,654
SUBPROGRAM REQUIREMENTS				
9900100	Administration			
State Operations:				
0001	General Fund	\$95,359	\$102,936	\$105,686
3297	Major League Sporting Event Raffle Fund	-	-	113
	Totals, State Operations	\$95,359	\$102,936	\$105,799
SUBPROGRAM REQUIREMENTS				
9900200	Administration - Distributed			

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0820 Department of Justice - Continued

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
State Operations:				
0001	General Fund	-\$95,359	-\$102,936	-\$105,686
3297	Major League Sporting Event Raffle Fund	-	-	-113
Totals, State Operations		-\$95,359	-\$102,936	-\$105,799
TOTALS, EXPENDITURES				
State Operations		718,354	798,215	822,425
Local Assistance		5,901	5,901	10,901
Totals, Expenditures		\$724,255	\$804,116	\$833,326

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
PERSONAL SERVICES						
Baseline Positions	4,802.9	4,857.9	4,857.9	\$376,129	\$384,185	\$384,161
Budget Position Transparency	-	-670.0	-670.0	-	-	-
Total Adjustments	<u>-566.0</u>	<u>4.3</u>	<u>99.8</u>	<u>-32,239</u>	<u>6,666</u>	<u>18,953</u>
Net Totals, Salaries and Wages	4,236.9	4,192.2	4,287.7	\$343,890	\$390,851	\$403,114
Staff Benefits	-	-	-	153,899	173,679	176,826
Totals, Personal Services	4,236.9	4,192.2	4,287.7	\$497,789	\$564,530	\$579,940
OPERATING EXPENSES AND EQUIPMENT				\$220,566	\$233,685	\$242,485
UNCLASSIFIED EXPENDITURES				-1	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$718,354	\$798,215	\$822,425

	2 Local Assistance			Expenditures		
	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
Grants and Subventions - Governmental	5,901	5,901	10,901	5,901	5,901	10,901
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$5,901	\$5,901	\$10,901	\$5,901	\$5,901	\$10,901

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2014-15*	2015-16*	2016-17*
0001 General Fund				
APPROPRIATIONS				
001 Budget Act appropriation		\$188,802	\$195,438	\$210,801
Allocation for employee compensation		1,953	2,595	-
Allocation for staff benefits		859	1,282	-
Past Year Adjustment		21,522	-	-
Section 3.60 pension contribution adjustment		3,203	908	-
Technical Adjustment		-1	-	-
Technical Adjustment, Reimbursements		-1	-	-
Transfer pursuant to the Political Reform Act of 1974		195	195	-
003 Budget Act appropriation (rental payments on lease-revenue bonds)		4,067	4,054	4,095
Lease Revenue Debt Service Adjustment		-9	-300	-
Past Year Adjustment		1	-	-
015 Budget Act appropriation (transfer to Legal Services Revolving Fund)		1,500	1,500	1,500

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Totals Available	\$222,091	\$205,672	\$216,396
Unexpended balance, estimated savings	-32,492	-	-
TOTALS, EXPENDITURES	\$189,599	\$205,672	\$216,396
0012 Attorney General Antitrust Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,402	\$2,475	\$2,545
Allocation for employee compensation	26	30	-
Allocation for staff benefits	10	15	-
Section 3.60 pension contribution adjustment	36	10	-
Totals Available	\$2,474	\$2,530	\$2,545
Unexpended balance, estimated savings	-8	-	-
TOTALS, EXPENDITURES	\$2,466	\$2,530	\$2,545
0017 Fingerprint Fees Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$70,238	\$71,428	\$73,172
Allocation for employee compensation	402	636	-
Allocation for staff benefits	197	373	-
Past Year Adjustment	1	-	-
Section 3.60 pension contribution adjustment	689	196	-
Totals Available	\$71,527	\$72,633	\$73,172
Unexpended balance, estimated savings	-2,486	-	-
TOTALS, EXPENDITURES	\$69,041	\$72,633	\$73,172
0032 Firearm Safety Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$339	\$339	\$345
Allocation for employee compensation	-	3	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	1	-
Totals Available	\$339	\$344	\$345
Unexpended balance, estimated savings	-105	-	-
TOTALS, EXPENDITURES	\$234	\$344	\$345
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$25,594	\$26,385	\$26,983
Allocation for employee compensation	249	101	-
Allocation for staff benefits	106	162	-
Section 3.60 pension contribution adjustment	405	106	-
Totals Available	\$26,354	\$26,754	\$26,983
Unexpended balance, estimated savings	-394	-	-
TOTALS, EXPENDITURES	\$25,960	\$26,754	\$26,983
0142 Department of Justice Sexual Habitual Offender Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,379	\$2,420	\$2,562
Allocation for employee compensation	7	102	-
Allocation for staff benefits	5	21	-
Section 3.60 pension contribution adjustment	24	3	-
Totals Available	\$2,415	\$2,546	\$2,562
Unexpended balance, estimated savings	-186	-	-

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
TOTALS, EXPENDITURES	\$2,229	\$2,546	\$2,562
0158 Travel Seller Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,418	\$1,438	\$1,452
Allocation for employee compensation	7	-	-
Allocation for staff benefits	3	2	-
Section 3.60 pension contribution adjustment	11	2	-
Totals Available	\$1,439	\$1,442	\$1,452
Unexpended balance, estimated savings	-737	-	-
TOTALS, EXPENDITURES	\$702	\$1,442	\$1,452
0214 Restitution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$366	\$374	\$378
Allocation for employee compensation	3	-	-
Allocation for staff benefits	1	-	-
Past Year Adjustment	-1	-	-
Section 3.60 pension contribution adjustment	4	1	-
Totals Available	\$373	\$375	\$378
Unexpended balance, estimated savings	-30	-	-
TOTALS, EXPENDITURES	\$343	\$375	\$378
0256 Sexual Predator Public Information Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$183	\$182	\$183
Totals Available	\$183	\$182	\$183
Unexpended balance, estimated savings	-33	-	-
TOTALS, EXPENDITURES	\$150	\$182	\$183
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$19,332	\$20,370	\$20,938
Allocation for employee compensation	137	172	-
Allocation for staff benefits	61	150	-
Past Year Adjustment	-1	-	-
Section 3.60 pension contribution adjustment	446	128	-
Totals Available	\$19,975	\$20,820	\$20,938
Unexpended balance, estimated savings	-1,421	-	-
TOTALS, EXPENDITURES	\$18,554	\$20,820	\$20,938
0378 False Claims Act Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$12,272	\$12,701	\$15,055
Allocation for employee compensation	120	163	-
Allocation for staff benefits	53	81	-
Section 3.60 pension contribution adjustment	254	68	-
011 Budget Act appropriation (Transfer to the General Fund)	(20,000)	(0)	(-)
012 Budget Act appropriation (Transfer to the General Fund)	(14,000)	(0)	(-)
Totals Available	\$12,699	\$13,013	\$15,055
Unexpended balance, estimated savings	-544	-	-
TOTALS, EXPENDITURES	\$12,155	\$13,013	\$15,055

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0460 Dealers Record of Sale Special Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$22,736	\$21,189	\$20,931
Allocation for employee compensation	93	74	-
Allocation for staff benefits	54	77	-
Past Year Adjustment	344	-	-
Section 3.60 pension contribution adjustment	588	155	-
Prior Year Balances Available:			
Chapter 2, Statutes of 2013	8,000	8,000	8,000
Miscellaneous Baseline Adjustment	-3,000	-	-
Past Year Adjustment	3,000	-	-
Revised expenditure authority	-	-	-8,000
Totals Available	\$31,815	\$29,495	\$20,931
Unexpended balance, estimated savings	-3,198	-	-
TOTALS, EXPENDITURES	\$28,617	\$29,495	\$20,931
0566 Department of Justice Child Abuse Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$386	\$398	\$414
Allocation for employee compensation	3	7	-
Allocation for staff benefits	2	4	-
Section 3.60 pension contribution adjustment	6	1	-
Totals Available	\$397	\$410	\$414
Unexpended balance, estimated savings	-30	-	-
TOTALS, EXPENDITURES	\$367	\$410	\$414
0567 Gambling Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$9,189	\$11,172	\$14,499
Allocation for employee compensation	73	250	-
Allocation for staff benefits	33	75	-
Past Year Adjustment	41	-	-
Section 3.60 pension contribution adjustment	200	62	-
Totals Available	\$9,536	\$11,559	\$14,499
Unexpended balance, estimated savings	-67	-	-
TOTALS, EXPENDITURES	\$9,469	\$11,559	\$14,499
0569 Gambling Control Fines and Penalties Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$47	\$47	\$247
Totals Available	\$47	\$47	\$247
Unexpended balance, estimated savings	-5	-	-
TOTALS, EXPENDITURES	\$42	\$47	\$247
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$34,315	\$34,470	\$41,575
Allocation for employee compensation	-	373	-
Allocation for staff benefits	-	190	-
Past Year Adjustment	-3,088	-	-
Section 3.60 pension contribution adjustment	-	173	-
TOTALS, EXPENDITURES	\$31,227	\$35,206	\$41,575

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0942 Special Deposit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,551	\$1,551	\$1,551
011 Budget Act appropriation (State Asset Forfeiture Account)	568	568	568
Government Code section 27397 (e)	650	650	-
Expenditure by category redistribution	-	-650	-
Totals Available	\$2,769	\$2,119	\$2,119
Unexpended balance, estimated savings	-1,128	-	-
TOTALS, EXPENDITURES	\$1,641	\$2,119	\$2,119
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$21,034	\$41,177	\$41,684
TOTALS, EXPENDITURES	\$21,034	\$41,177	\$41,684
1008 Firearms Safety and Enforcement Special Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,492	\$3,535	\$9,068
Allocation for employee compensation	4	481	-
Allocation for staff benefits	3	139	-
Section 3.60 pension contribution adjustment	44	147	-
Totals Available	\$3,543	\$4,302	\$9,068
Unexpended balance, estimated savings	-398	-	-
TOTALS, EXPENDITURES	\$3,145	\$4,302	\$9,068
3016 Missing Persons DNA Data Base Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,440	\$3,475	\$3,552
Allocation for employee compensation	6	39	-
Allocation for staff benefits	3	15	-
Section 3.60 pension contribution adjustment	22	1	-
Totals Available	\$3,471	\$3,530	\$3,552
Unexpended balance, estimated savings	-451	-	-
TOTALS, EXPENDITURES	\$3,020	\$3,530	\$3,552
3053 Public Rights Law Enforcement Special Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,958	\$6,075	\$11,998
Allocation for employee compensation	49	68	-
Allocation for staff benefits	22	32	-
Section 3.60 pension contribution adjustment	83	23	-
Totals Available	\$6,112	\$6,198	\$11,998
Unexpended balance, estimated savings	-1,574	-	-
TOTALS, EXPENDITURES	\$4,538	\$6,198	\$11,998
3086 DNA Identification Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$77,273	\$78,382	\$74,000
Allocation for employee compensation	226	947	-
Allocation for staff benefits	117	380	-
DNA Budget Adjustment	-	-7,500	-
Past Year Adjustment	1,104	-	-
Section 3.60 pension contribution adjustment	695	56	-

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Totals Available	\$79,415	\$72,265	\$74,000
Unexpended balance, estimated savings	-5,809	-	-
TOTALS, EXPENDITURES	\$73,606	\$72,265	\$74,000
3087 Unfair Competition Law Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$10,690	\$11,097	\$22,181
Allocation for employee compensation	129	156	-
Allocation for staff benefits	58	75	-
Section 3.60 pension contribution adjustment	208	55	-
Totals Available	\$11,085	\$11,383	\$22,181
Unexpended balance, estimated savings	-72	-	-
TOTALS, EXPENDITURES	\$11,013	\$11,383	\$22,181
3088 Registry of Charitable Trusts Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,334	\$5,564	\$5,646
Allocation for employee compensation	33	60	-
Allocation for staff benefits	14	31	-
Section 3.60 pension contribution adjustment	50	20	-
Totals Available	\$3,431	\$5,675	\$5,646
Unexpended balance, estimated savings	-468	-	-
TOTALS, EXPENDITURES	\$2,963	\$5,675	\$5,646
3131 California Bingo Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$48	\$53	\$53
Totals Available	\$48	\$53	\$53
Unexpended balance, estimated savings	-48	-	-
TOTALS, EXPENDITURES	\$-	\$53	\$53
3240 Secondhand Dealer and Pawnbroker Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$500	\$620	\$568
Allocation for employee compensation	3	6	-
Allocation for staff benefits	1	1	-
Section 3.60 pension contribution adjustment	6	1	-
Totals Available	\$510	\$628	\$568
Unexpended balance, estimated savings	-187	-	-
TOTALS, EXPENDITURES	\$323	\$628	\$568
3285 Electronic Recording Authorization Fund			
APPROPRIATIONS			
Government Code section 27397	-	-	\$300
Expenditure by category redistribution	-	650	-
Miscellaneous Baseline Adjustment for Electronic Recording Authorization	-	-350	-
TOTALS, EXPENDITURES	\$-	\$300	\$300
3297 Major League Sporting Event Raffle Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$335
TOTALS, EXPENDITURES	\$-	\$-	\$335
8071 National Mortgage Special Deposit Fund			
APPROPRIATIONS			

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
001 Budget Act appropriation	\$5,000	-	-
Totals Available	\$5,000	\$-	\$-
Unexpended balance, estimated savings	-1,732	-	-
TOTALS, EXPENDITURES	\$3,268	\$-	\$-
9731 Legal Services Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$211,148	\$221,471	\$213,115
Allocation for employee compensation	2,219	2,789	-
Allocation for staff benefits	981	1,329	-
Past Year Adjustment	-1	-	-
Provision 1, LSRF Augmentation	-	950	-
Section 3.60 pension contribution adjustment	3,835	1,035	-
Technical Adjustment	1	-	-
Tenant Rent Adjustment	-	-141	-
Totals Available	\$218,183	\$227,433	\$213,115
Unexpended balance, estimated savings	-15,205	-	-
TOTALS, EXPENDITURES	\$202,978	\$227,433	\$213,115
Less funding provided by General Fund	-1,500	-1,500	-1,500
NET TOTALS, EXPENDITURES	\$201,478	\$225,933	\$211,615
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,291	\$1,590	\$1,631
Allocation for employee compensation	12	19	-
Allocation for staff benefits	5	8	-
Section 3.60 pension contribution adjustment	18	7	-
Totals Available	\$1,326	\$1,624	\$1,631
Unexpended balance, estimated savings	-156	-	-
TOTALS, EXPENDITURES	\$1,170	\$1,624	\$1,631
Total Expenditures, All Funds, (State Operations)	\$718,354	\$798,215	\$822,425
2 LOCAL ASSISTANCE			
0214 Restitution Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$4,855	\$4,855	\$4,855
TOTALS, EXPENDITURES	\$4,855	\$4,855	\$4,855
0460 Dealers Record of Sale Special Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$28	\$28	\$28
TOTALS, EXPENDITURES	\$28	\$28	\$28
0641 Domestic Violence Restraining Order Reimbursement Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,018	\$1,018	\$1,018
TOTALS, EXPENDITURES	\$1,018	\$1,018	\$1,018
1008 Firearms Safety and Enforcement Special Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$5,000
TOTALS, EXPENDITURES	\$-	\$-	\$5,000
Total Expenditures, All Funds, (Local Assistance)	\$5,901	\$5,901	\$10,901

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0820 Department of Justice - Continued

2 LOCAL ASSISTANCE	2014-15*	2015-16*	2016-17*
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$724,255	\$804,116	\$833,326
FUND CONDITION STATEMENTS			
	2014-15*	2015-16*	2016-17*
0012 Attorney General Antitrust Account ^s			
BEGINNING BALANCE	\$447	\$695	\$461
Prior Year Adjustments	<u>389</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$836	\$695	\$461
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4173400 Settlements and Judgments - Anti-Trust Actions (Attorney General)	<u>2,327</u>	<u>2,300</u>	<u>2,300</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$2,327</u>	<u>\$2,300</u>	<u>\$2,300</u>
Total Resources	\$3,163	\$2,995	\$2,761
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	2,466	2,530	2,545
8880 Financial Information System for California (State Operations)	<u>2</u>	<u>4</u>	<u>3</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,468</u>	<u>\$2,534</u>	<u>\$2,548</u>
FUND BALANCE	\$695	\$461	\$213
Reserve for economic uncertainties	695	461	213
0017 Fingerprint Fees Account ^s			
BEGINNING BALANCE	\$25,110	\$37,158	\$42,031
Prior Year Adjustments	<u>4,282</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$29,392	\$37,158	\$42,031
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4132000 Fingerprint Identification Card Fees	76,854	77,623	77,623
4163000 Investment Income - Surplus Money Investments	<u>11</u>	<u>11</u>	<u>11</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$76,865</u>	<u>\$77,634</u>	<u>\$77,634</u>
Total Resources	\$106,257	\$114,792	\$119,665
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	69,041	72,633	73,172
8880 Financial Information System for California (State Operations)	<u>58</u>	<u>128</u>	<u>90</u>
Total Expenditures and Expenditure Adjustments	<u>\$69,099</u>	<u>\$72,761</u>	<u>\$73,262</u>
FUND BALANCE	\$37,158	\$42,031	\$46,403
Reserve for economic uncertainties	37,158	42,031	46,403
0032 Firearm Safety Account ^s			
BEGINNING BALANCE	\$2,939	\$3,521	\$3,992
Prior Year Adjustments	<u>7</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,946	\$3,521	\$3,992
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	809	815	827
4163000 Investment Income - Surplus Money Investments	<u>1</u>	<u>1</u>	<u>1</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$810</u>	<u>\$816</u>	<u>\$828</u>
Total Resources	\$3,756	\$4,337	\$4,820
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			

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0820 Department of Justice - Continued

	2014-15*	2015-16*	2016-17*
Expenditures:			
0820 Department of Justice (State Operations)	234	344	345
8880 Financial Information System for California (State Operations)	<u>1</u>	<u>1</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$235</u>	<u>\$345</u>	<u>\$345</u>
FUND BALANCE	\$3,521	\$3,992	\$4,475
Reserve for economic uncertainties	3,521	3,992	4,475
0142 Department of Justice Sexual Habitual Offender Fund^s			
BEGINNING BALANCE	\$2,074	\$2,033	\$1,773
Prior Year Adjustments	<u>8</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,082	\$2,033	\$1,773
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	2,163	2,270	2,270
4163000 Investment Income - Surplus Money Investments	1	1	1
4172500 Miscellaneous Revenue	<u>19</u>	<u>19</u>	<u>19</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$2,183</u>	<u>\$2,290</u>	<u>\$2,290</u>
Total Resources	\$4,265	\$4,323	\$4,063
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	2,230	2,546	2,562
8880 Financial Information System for California (State Operations)	<u>2</u>	<u>4</u>	<u>3</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,232</u>	<u>\$2,550</u>	<u>\$2,565</u>
FUND BALANCE	\$2,033	\$1,773	\$1,498
Reserve for economic uncertainties	2,033	1,773	1,498
0158 Travel Seller Fund^s			
BEGINNING BALANCE	\$1,958	\$1,967	\$1,221
Prior Year Adjustments	<u>11</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,969	\$1,967	\$1,221
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	694	694	694
4163000 Investment Income - Surplus Money Investments	<u>5</u>	<u>5</u>	<u>5</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$699</u>	<u>\$699</u>	<u>\$699</u>
Total Resources	\$2,668	\$2,666	\$1,920
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	700	1,442	1,452
8880 Financial Information System for California (State Operations)	<u>1</u>	<u>3</u>	<u>1</u>
Total Expenditures and Expenditure Adjustments	<u>\$701</u>	<u>\$1,445</u>	<u>\$1,453</u>
FUND BALANCE	\$1,967	\$1,221	\$467
Reserve for economic uncertainties	1,967	1,221	467
0256 Sexual Predator Public Information Account^s			
BEGINNING BALANCE	\$339	\$287	\$206
Prior Year Adjustments	<u>-3</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$336	\$287	\$206
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	100	100	100

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0820 Department of Justice - Continued

	2014-15*	2015-16*	2016-17*
4163000 Investment Income - Surplus Money Investments	<u>1</u>	<u>1</u>	<u>1</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$101</u>	<u>\$101</u>	<u>\$101</u>
Total Resources	\$437	\$388	\$307
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	<u>150</u>	<u>182</u>	<u>183</u>
Total Expenditures and Expenditure Adjustments	<u>\$150</u>	<u>\$182</u>	<u>\$183</u>
FUND BALANCE	\$287	\$206	\$124
Reserve for economic uncertainties	287	206	124

0288 The Registry of International Student Exchange Visitor Placement Organizations**Fund^s**

BEGINNING BALANCE	\$89	\$99	\$108
Prior Year Adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$87	\$99	\$108
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	<u>12</u>	<u>9</u>	<u>9</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$12</u>	<u>\$9</u>	<u>\$9</u>
Total Resources	<u>\$99</u>	<u>\$108</u>	<u>\$117</u>
FUND BALANCE	\$99	\$108	\$117
Reserve for economic uncertainties	99	108	117

0378 False Claims Act Fund^s

BEGINNING BALANCE	\$2,710	\$902	\$967
Prior Year Adjustments	<u>304</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$3,014	\$902	\$967
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	45	-	-
4170700 Civil and Criminal Violation Assessment	44,008	13,100	15,000
Transfers and Other Adjustments			
Revenue Transfer from the False Claims Act Fund (0378) to the General Fund (0001) per Item 0820-011-0378, Budget Act of 2014	-20,000	-	-
Revenue Transfer from the False Claims Act Fund (0378) to the General Fund (0001) per Item 0820-012-0378, Budget Act of 2014	<u>-14,000</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$10,053</u>	<u>\$13,100</u>	<u>\$15,000</u>
Total Resources	\$13,067	\$14,002	\$15,967
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	12,155	13,013	15,055
8880 Financial Information System for California (State Operations)	<u>10</u>	<u>22</u>	<u>15</u>
Total Expenditures and Expenditure Adjustments	<u>\$12,165</u>	<u>\$13,035</u>	<u>\$15,070</u>
FUND BALANCE	\$902	\$967	\$897
Reserve for economic uncertainties	902	967	897

0460 Dealers Record of Sale Special Account^s

BEGINNING BALANCE	\$5,233	\$2,566	\$480
Prior Year Adjustments	<u>421</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$5,654	\$2,566	\$480
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

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0820 Department of Justice - Continued

	2014-15*	2015-16*	2016-17*
Revenues:			
4129400 Other Regulatory Licenses and Permits	3,219	3,296	3,332
4143500 Miscellaneous Services to the Public	17,286	17,695	17,886
4150500 Interest Income - Interfund Loans	67	-	-
4163000 Investment Income - Surplus Money Investments	2	2	2
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Dealers' Record of Sale Special Account (0460) per Item 0820-011-0460, Budget Act of 2011	5,000	6,500	-
Total Revenues, Transfers, and Other Adjustments	<u>\$25,574</u>	<u>\$27,493</u>	<u>\$21,220</u>
Total Resources	\$31,228	\$30,059	\$21,700
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	28,616	29,495	20,931
0820 Department of Justice (Local Assistance)	28	28	28
8880 Financial Information System for California (State Operations)	18	56	-
Total Expenditures and Expenditure Adjustments	<u>\$28,662</u>	<u>\$29,579</u>	<u>\$20,959</u>
FUND BALANCE	\$2,566	\$480	\$741
Reserve for economic uncertainties	2,566	480	741
0566 Department of Justice Child Abuse Fund^s			
BEGINNING BALANCE	\$1,810	\$1,744	\$1,636
Prior Year Adjustments	-2	-	-
Adjusted Beginning Balance	<u>\$1,808</u>	<u>\$1,744</u>	<u>\$1,636</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	302	302	302
4163000 Investment Income - Surplus Money Investments	1	1	1
Total Revenues, Transfers, and Other Adjustments	<u>\$303</u>	<u>\$303</u>	<u>\$303</u>
Total Resources	\$2,111	\$2,047	\$1,939
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	367	410	414
8880 Financial Information System for California (State Operations)	-	1	-
Total Expenditures and Expenditure Adjustments	<u>\$367</u>	<u>\$411</u>	<u>\$414</u>
FUND BALANCE	\$1,744	\$1,636	\$1,525
Reserve for economic uncertainties	1,744	1,636	1,525
0567 Gambling Control Fund^s			
BEGINNING BALANCE	\$30,154	\$39,040	\$44,999
Prior Year Adjustments	-102	-	-
Adjusted Beginning Balance	<u>\$30,052</u>	<u>\$39,040</u>	<u>\$44,999</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	1,388	1,388	1,388
4129200 Other Regulatory Fees	16,133	16,133	16,133
4129400 Other Regulatory Licenses and Permits	1,945	1,945	1,945
4143500 Miscellaneous Services to the Public	1,957	1,957	1,957
4163000 Investment Income - Surplus Money Investments	13	13	13
Total Revenues, Transfers, and Other Adjustments	<u>\$21,436</u>	<u>\$21,436</u>	<u>\$21,436</u>
Total Resources	\$51,488	\$60,476	\$66,435

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0820 Department of Justice - Continued

	2014-15*	2015-16*	2016-17*
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	9,470	11,559	14,499
0855 California Gambling Control Commission (State Operations)	2,966	3,895	3,836
8880 Financial Information System for California (State Operations)	<u>11</u>	<u>23</u>	<u>19</u>
Total Expenditures and Expenditure Adjustments	<u>\$12,447</u>	<u>\$15,477</u>	<u>\$18,354</u>
FUND BALANCE	\$39,040	\$44,999	\$48,081
Reserve for economic uncertainties	39,040	44,999	48,081
0569 Gambling Control Fines and Penalties Account ^s			
BEGINNING BALANCE	\$2,605	\$4,578	\$4,531
Prior Year Adjustments	<u>-1</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,604	\$4,578	\$4,531
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	1	-	-
4173000 Penalty Assessments - Other	<u>2,015</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$2,016</u>	<u>-</u>	<u>-</u>
Total Resources	\$4,620	\$4,578	\$4,531
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	<u>42</u>	<u>47</u>	<u>247</u>
Total Expenditures and Expenditure Adjustments	<u>\$42</u>	<u>\$47</u>	<u>\$247</u>
FUND BALANCE	\$4,578	\$4,531	\$4,284
Reserve for economic uncertainties	4,578	4,531	4,284
1008 Firearms Safety and Enforcement Special Fund ^s			
BEGINNING BALANCE	\$11,347	\$15,867	\$20,467
Prior Year Adjustments	<u>-81</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$11,266	\$15,867	\$20,467
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	7,718	8,875	8,935
4163000 Investment Income - Surplus Money Investments	<u>32</u>	<u>32</u>	<u>32</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$7,750</u>	<u>\$8,907</u>	<u>\$8,967</u>
Total Resources	\$19,016	\$24,774	\$29,434
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	3,146	4,302	9,068
0820 Department of Justice (Local Assistance)	-	-	5,000
8880 Financial Information System for California (State Operations)	<u>3</u>	<u>5</u>	<u>103</u>
Total Expenditures and Expenditure Adjustments	<u>\$3,149</u>	<u>\$4,307</u>	<u>\$14,171</u>
FUND BALANCE	\$15,867	\$20,467	\$15,263
Reserve for economic uncertainties	15,867	20,467	15,263
3016 Missing Persons DNA Data Base Fund ^s			
BEGINNING BALANCE	\$2,227	\$2,289	\$4,560
Prior Year Adjustments	<u>219</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,446	\$2,289	\$4,560
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

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0820 Department of Justice - Continued

	2014-15*	2015-16*	2016-17*
4135000 Local Agencies - Miscellaneous Revenue	2,860	2,800	2,700
4163000 Investment Income - Surplus Money Investments	6	6	6
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Missing Persons DNA Fund (3016) per Item 0820-011-3016, Budget Act of 2011	-	3,000	-
Total Revenues, Transfers, and Other Adjustments	<u>\$2,866</u>	<u>\$5,806</u>	<u>\$2,706</u>
Total Resources	\$5,312	\$8,095	\$7,266
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	3,020	3,530	3,552
8880 Financial Information System for California (State Operations)	<u>3</u>	<u>5</u>	<u>4</u>
Total Expenditures and Expenditure Adjustments	<u>\$3,023</u>	<u>\$3,535</u>	<u>\$3,556</u>
FUND BALANCE	\$2,289	\$4,560	\$3,710
Reserve for economic uncertainties	2,289	4,560	3,710
3053 Public Rights Law Enforcement Special Fund^s			
BEGINNING BALANCE	\$2,375	\$1,726	\$1,125
Prior Year Adjustments	<u>87</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,462	\$1,726	\$1,125
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	7	7	7
4173500 Settlements and Judgments - Other	<u>3,800</u>	<u>5,600</u>	<u>5,600</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$3,807</u>	<u>\$5,607</u>	<u>\$5,607</u>
Total Resources	\$6,269	\$7,333	\$6,732
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	4,538	6,198	11,998
8880 Financial Information System for California (State Operations)	<u>5</u>	<u>10</u>	<u>7</u>
Total Expenditures and Expenditure Adjustments	<u>\$4,543</u>	<u>\$6,208</u>	<u>\$12,005</u>
FUND BALANCE	\$1,726	\$1,125	-\$5,273
Reserve for economic uncertainties	1,726	1,125	-5,273
3086 DNA Identification Fund^s			
BEGINNING BALANCE	\$25,375	\$20,039	\$12,627
Prior Year Adjustments	<u>-71</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$25,304	\$20,039	\$12,627
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	53	53	53
4172500 Miscellaneous Revenue	29	29	29
4173000 Penalty Assessments - Other	<u>68,324</u>	<u>64,908</u>	<u>61,662</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$68,406</u>	<u>\$64,990</u>	<u>\$61,744</u>
Total Resources	\$93,710	\$85,029	\$74,371
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	73,607	72,265	74,000
8880 Financial Information System for California (State Operations)	<u>64</u>	<u>137</u>	<u>36</u>
Total Expenditures and Expenditure Adjustments	<u>\$73,671</u>	<u>\$72,402</u>	<u>\$74,036</u>
FUND BALANCE	\$20,039	\$12,627	\$335

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0820 Department of Justice - Continued

	2014-15*	2015-16*	2016-17*
Reserve for economic uncertainties	20,039	12,627	335
3087 Unfair Competition Law Fund^s			
BEGINNING BALANCE	\$9,808	\$5,152	\$21,264
Prior Year Adjustments	<u>316</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$10,124	\$5,152	\$21,264
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	13	13	13
4173000 Penalty Assessments - Other	<u>6,037</u>	<u>27,500</u>	<u>6,600</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$6,050</u>	<u>\$27,513</u>	<u>\$6,613</u>
Total Resources	\$16,174	\$32,665	\$27,877
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	11,013	11,383	22,181
8880 Financial Information System for California (State Operations)	<u>9</u>	<u>18</u>	<u>14</u>
Total Expenditures and Expenditure Adjustments	<u>\$11,022</u>	<u>\$11,401</u>	<u>\$22,195</u>
FUND BALANCE	\$5,152	\$21,264	\$5,682
Reserve for economic uncertainties	5,152	21,264	5,682
3088 Registry of Charitable Trusts Fund^s			
BEGINNING BALANCE	\$4,286	\$5,329	\$4,517
Prior Year Adjustments	<u>70</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$4,356	\$5,329	\$4,517
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	3,927	4,855	5,661
4163000 Investment Income - Surplus Money Investments	<u>13</u>	<u>13</u>	<u>13</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$3,940</u>	<u>\$4,868</u>	<u>\$5,674</u>
Total Resources	\$8,296	\$10,197	\$10,191
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	2,964	5,675	5,646
8880 Financial Information System for California (State Operations)	<u>3</u>	<u>5</u>	<u>7</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,967</u>	<u>\$5,680</u>	<u>\$5,653</u>
FUND BALANCE	\$5,329	\$4,517	\$4,538
Reserve for economic uncertainties	5,329	4,517	4,538
3131 California Bingo Fund^s			
BEGINNING BALANCE	\$664	\$679	\$643
Prior Year Adjustments	<u>-3</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$661	\$679	\$643
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	18	18	18
4129400 Other Regulatory Licenses and Permits	<u>1</u>	<u>1</u>	<u>1</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$19</u>	<u>\$19</u>	<u>\$19</u>
Total Resources	\$680	\$698	\$662
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	-	53	53

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0820 Department of Justice - Continued

	2014-15*	2015-16*	2016-17*
0855 California Gambling Control Commission (State Operations)	-	2	2
Total Expenditures and Expenditure Adjustments	-	\$55	\$55
FUND BALANCE	\$679	\$643	\$607
Reserve for economic uncertainties	679	643	607
3132 Charity Bingo Mitigation Fund ^s			
BEGINNING BALANCE	-	\$1	\$1
Prior Year Adjustments	\$2	-	-
Adjusted Beginning Balance	\$2	\$1	\$1
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172500 Miscellaneous Revenue	6	6	-
Total Revenues, Transfers, and Other Adjustments	\$6	\$6	-
Total Resources	\$8	\$7	\$1
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0855 California Gambling Control Commission (State Operations)	8	6	-
Total Expenditures and Expenditure Adjustments	\$8	\$6	-
FUND BALANCE	\$1	\$1	\$1
Reserve for economic uncertainties	1	1	1
3136 Foreclosure Consultant Regulation Fund ^s			
BEGINNING BALANCE	\$12	\$12	\$12
Adjusted Beginning Balance	\$12	\$12	\$12
Total Resources	\$12	\$12	\$12
FUND BALANCE	\$12	\$12	\$12
Reserve for economic uncertainties	12	12	12
3240 Secondhand Dealer and Pawnbroker Fund ^s			
BEGINNING BALANCE	\$1,663	\$2,781	\$2,942
Prior Year Adjustments	652	-	-
Adjusted Beginning Balance	\$2,315	\$2,781	\$2,942
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	551	551	551
4129400 Other Regulatory Licenses and Permits	231	231	231
4163000 Investment Income - Surplus Money Investments	7	7	7
Total Revenues, Transfers, and Other Adjustments	\$789	\$789	\$789
Total Resources	\$3,104	\$3,570	\$3,731
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	323	628	568
8880 Financial Information System for California (State Operations)	-	-	1
Total Expenditures and Expenditure Adjustments	\$323	\$628	\$569
FUND BALANCE	\$2,781	\$2,942	\$3,162
Reserve for economic uncertainties	2,781	2,942	3,162
3285 Electronic Recording Authorization Fund ^s			
BEGINNING BALANCE	-	\$191	\$142
Prior Year Adjustments	\$191	-	-
Adjusted Beginning Balance	\$191	\$191	\$142

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0820 Department of Justice - Continued

	2014-15*	2015-16*	2016-17*
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	-	250	250
4163000 Investment Income - Surplus Money Investments	-	1	1
Total Revenues, Transfers, and Other Adjustments	-	\$251	\$251
Total Resources	\$191	\$442	\$393
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	-	300	300
Total Expenditures and Expenditure Adjustments	-	\$300	\$300
FUND BALANCE	\$191	\$142	\$93
Reserve for economic uncertainties	191	142	93
3297 Major League Sporting Event Raffle Fund^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Major League Sporting Event Raffles	-	-	335
Total Revenues, Transfers, and Other Adjustments	-	-	\$335
Total Resources	-	-	\$335
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	-	-	335
Total Expenditures and Expenditure Adjustments	-	-	\$335
FUND BALANCE	-	-	-

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	4,802.9	4,857.9	4,857.9	\$376,129	\$384,185	\$384,161
Budget Position Transparency	-	-670.0	-670.0	-	-	-
Salary and Other Adjustments	-566.0	4.3	-16.2	-32,239	6,666	8,322
Workload and Administrative Adjustments						
APPS Investigations						
Overtime	-	-	-	-	-	466
Special Agent - Dept of Justice	-	-	-	-	-	1,795
BGC Cardroom Background Investigation Backlog						
Assoc Govtl Program Analyst	-	-	20.0	-	-	1,243
CURES Funding						
Assoc Govtl Program Analyst	-	-	2.0	-	-	124
Staff Svcs Analyst (Gen)	-	-	2.0	-	-	86
Criminal Justice Reporting (AB 71)						
Crime Studies Techn I	-	-	1.0	-	-	39
Research Analyst I	-	-	1.0	-	-	44
Research Program Spec I	-	-	1.0	-	-	68
Statistical Clk	-	-	1.0	-	-	37
Fraud and Elder Abuse Enforcement Enhancement						
Dep Atty Gen IV	-	-	5.0	-	-	608

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0820 Department of Justice - Continued

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Investigative Auditor IV (Spec)	-	-	6.0	-	-	421
Legal Secty	-	-	3.0	-	-	135
Office Techn (Typing)	-	-	1.0	-	-	38
Overtime	-	-	-	-	-	381
Special Agent - Dept of Justice	-	-	18.0	-	-	1,469
Staff Info Sys Analyst (Spec)	-	-	2.0	-	-	147
Major League Sporting Event Raffles						
Dep Atty Gen IV	-	-	1.0	-	-	122
Legal Secty	-	-	1.0	-	-	45
Professions and Vocations Workload (SB 467)						
Sr Legal Analyst	-	-	10.0	-	-	653
Racial and Identity Profiling Act (AB 953)						
Administrator I	-	-	1.0	-	-	71
Assoc Govtl Program Analyst	-	-	1.0	-	-	62
Assoc Info Sys Analyst (Spec)	-	-	1.0	-	-	67
Dep Atty Gen IV	-	-	3.0	-	-	365
Fld Rep	-	-	2.0	-	-	125
Legal Secty	-	-	3.0	-	-	135
Program Techn III	-	-	12.0	-	-	500
Research Analyst II	-	-	3.0	-	-	196
Research Program Spec I	-	-	1.0	-	-	68
Sr Info Sys Analyst (Spec)	-	-	1.0	-	-	81
Sr Legal Analyst	-	-	1.0	-	-	65
Sr Programmer Analyst (Spec)	-	-	2.0	-	-	162
Staff Programmer Analyst (Spec)	-	-	2.0	-	-	147
Supvng Dep Atty Gen	-	-	1.0	-	-	127
Supvng Program Techn III	-	-	2.0	-	-	95
Sys Software Spec III (Tech)	-	-	5.0	-	-	444
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	116.0	\$-	\$-	\$10,631
Totals, Adjustments	-566.0	-665.7	-570.2	-\$32,239	\$6,666	\$18,953
TOTALS, SALARIES AND WAGES	4,236.9	4,192.2	4,287.7	\$343,890	\$390,851	\$403,114

0840 State Controller

The State Controller is the Chief Fiscal Officer of California, the eighth largest economy in the world. Principally responsible for transparency and accountability of the state's financial resources, the Controller ensures the appropriate disbursement and tracking of taxpayer dollars. The Controller serves on dozens of state boards, commissions, and committees with duties ranging from administrative oversight of the nation's two largest public pension funds, to protection of state lands and coastlines, to modernization and financing of major infrastructure. The Controller also offers fiscal guidance to local government and has independent auditing authority over government agencies that spend state funds. The Controller's primary objectives are to:

- Account for and control disbursement of all state funds, issuing warrants in payment of the state's bills including lottery prizes.
- Determine legality and accuracy of financial claims against the state.
- Audit state and local government programs.
- Safeguard many types of assets until claimed by the rightful owners, in accordance with the Unclaimed Property Law.
- Inform the public of the state's financial condition.
- Inform the public of financial transactions of city, county, and other local governments.
- Administer the Uniform State Payroll System.
- Audit and process all personnel and payroll transactions for state civil service, state exempt employees, state university employees, and college system employees.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0840 State Controller - Continued

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0500100 Accounting and Reporting	280.4	252.0	253.7	\$39,301	\$43,693	\$43,094
0500200 Audits	312.5	297.9	297.3	44,836	44,078	50,373
0500300 Personnel/Payroll Services	220.6	209.0	205.0	50,140	51,418	46,698
0500400 Unclaimed Property	244.2	261.4	255.4	38,496	38,312	38,690
0500500 Disbursements	84.3	95.8	95.8	27,222	28,153	25,616
0505 Loan Repayments	-	-	-	-47	-52	-
9900100 Administration	282.2	282.7	276.8	49,607	53,269	53,678
9900200 Administration - Distributed	-	-	-	-49,163	-52,549	-53,401
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	1,424.2	1,398.8	1,384.0	\$200,392	\$206,322	\$204,748
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$60,155	\$53,630	\$52,421
0046 Public Transportation Account, State Transportation Fund				19	19	19
0061 Motor Vehicle Fuel Account, Transportation Tax Fund				4,774	4,861	4,832
0062 Highway Users Tax Account, Transportation Tax Fund				1,666	1,688	1,680
0064 Motor Vehicle License Fee Account, Transportation Tax Fund				17	17	20
0330 Local Revenue Fund				789	802	796
0494 Other - Unallocated Special Funds				1,759	6,670	4,068
0797 Unallocated Bond Funds - Select				688	1,104	1,100
0877 DMV Local Agency Collection Fund				2	2	2
0890 Federal Trust Fund				1,025	1,256	1,263
0903 State Penalty Fund				1,531	1,556	1,547
0932 Trial Court Trust Fund				174	174	174
0969 Public Safety Account, Local Public Safety Fund				268	268	268
0970 Unclaimed Property Fund				39,552	40,721	41,202
0988 Other - Unallocated Non-Governmental Cost Funds				360	474	474
0995 Reimbursements				62,387	64,378	61,840
3268 Senior Citizens and Disabled Citizens Property Tax Postponement Fund				254	2,283	2,288
6036 2002 State School Facilities Fund				8	11	11
6044 2004 State School Facilities Fund				239	303	304
6057 2006 State School Facilities Fund				608	776	777
9740 Central Service Cost Recovery Fund				24,117	25,329	29,662
TOTALS, EXPENDITURES, ALL FUNDS				\$200,392	\$206,322	\$204,748

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Constitution, Article XVI, Section 7; Government Code Sections 12410 and 12411.

PROGRAM AUTHORITY

0500100-Accounting and Reporting:

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq.; Government Code Title 1, Division 7, Chapter 21; Government Code Title 2, Division 1, Chapter 12.491; Government Code Title 2, Division 1, Chapter 12.5; Government Code Title 2 Division 3, Part 2, Chapter 5 and Part 10b, Chapter 4; Government Code Title 2, Division 4, Part 7; Government Code Title 3, Division 3, Chapters 8 and 9; Government Code Title 5, Division 2, Part 1, Chapter 4, Article 9; Government Code Title 8 Chapter 6, Article 10; Health and Safety Code Division 24, Part 1, Chapter 1, Article 6; Penal Code

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0840 State Controller - Continued

Section 1463.02; Public Contracts Code Division 2, Part 3, Chapter 2; Public Utilities Code Division 10, Part 11, Chapter 4, Article 3 and 6.5; Revenue and Taxation Code, Division 2, Parts 2, 7, 8, 9.5 and 10.5; Streets and Highways Code Division 3, Chapter 3 ; Welfare and Institutions Code Division 9, Part 5, Chapter 6.

0500200-Audits:

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq.

0500300-Personnel/Payroll Services:

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq., and Government Code Section 19822.3.

0500400-Unclaimed Property:

Code of Civil Procedure Part 3, Title 10.

0500500-Disbursements:

Constitution, Article XVI, Section 7 and Government Code 17004, Mailing of Warrants.

9900100-Administration:

Government Code Section 12402 et seq. Membership by State Controller on boards and commissions, principally: State Board of Equalization-Constitution, Articles VII, XIX, and XIII; Franchise Tax Board-Government Code Section 15700; Board of Public Employees' Retirement System-Government Code Section 20090; Board of State Teacher's Retirement System-Education Code Section 22200 California Victim Compensation and Government Claims Board-Government Code Section 13901; State Lands Commission-Public Resources Code Section 6101; Pooled Money Investment Board-Government Code Section 16480.1; various bond and finance committees-Education Code Section 15909, Military and Veterans Code Section 991, Water Code Section 12933, Harbors and Navigation Code Sections 3903, and Government Code Section 17220.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• CalATERS Replacement and Funding Realignment	\$-	\$-	-	\$1,691	-\$605	-
• 21st Century Project - PAL Workload	-	-	-	1,355	1,022	-
• Personnel and Payroll Services Division Systems Support	-	-	-	1,126	-	-
• FI\$Cal System Support	-	-	-	968	731	-
• Statewide Personnel and Payroll Training	-	-	-	555	521	7.4
• ACA and PEPR Legislation Workload	-	-	-	548	413	-
• Other Post-Employment Benefit (OPEB) Workload Automation	-	-	-	503	380	-
• Personnel and Payroll Transaction Workload	-	-	-	186	139	4.0
• Sustained Accounting Workload	-	-	-	126	95	2.0
• 21st Century Project Legal Effort	-	-	-	-	4,832	-
• Unclaimed Property Holder Compliance Initiative	-	-	-	-	2,684	12.1
• Unclaimed Property Fraudulent Claims Prevention and Detection Program	-	-	-	-	2,337	8.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$7,058	\$12,549	33.5
Other Workload Budget Adjustments						
• Unanticipated cost funding per Provision 14, Budget Act of 2015	\$2,918	\$-	-	\$-	\$-	-
• Removal of limited-term and one-time funding	-	-	-	-516	-4,962	-48.3
• Expenditure by Category Redistribution	-1,374	-	-	-2,291	-	-
• Budget Position Transparency	1,374	-	5.0	2,291	-	16.0
• Salary Adjustments	565	1,601	-	541	1,563	-
• Benefit Adjustments	327	936	-	418	1,220	-

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0840 State Controller - Continued

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Retirement Rate Adjustments	200	557	-	200	557	-
• SWCAP	-	-	-	-	4	-
• Pro Rata	-	-	-	-	-344	-
• Miscellaneous Baseline Adjustments	-	-	-	-307	359	-
Totals, Other Workload Budget Adjustments	\$4,010	\$3,094	5.0	\$336	-\$1,603	-32.3
Totals, Workload Budget Adjustments	\$4,010	\$3,094	5.0	\$7,394	\$10,946	1.2
Totals, Budget Adjustments	\$4,010	\$3,094	5.0	\$7,394	\$10,946	1.2

PROGRAM DESCRIPTIONS

0500100 - ACCOUNTING AND REPORTING

The Division of Accounting and Reporting maintains uniform and systematic control accounts of all receipts, payments, state fund balances, and bonded indebtedness; reports the financial condition of the state; maintains a database of information and reports on local financial transactions; apportions shared revenues to local governments; administers local mandated cost programs; approves county cost allocation plans; monitors the cash flow of the General Fund; prescribes uniform accounting procedures for presentation of financial data for local governments; administers and collects estate and inheritance taxes; collects delinquent insurance and motor vehicle fuel taxes and petroleum and gas assessments; services and collects loans formerly serviced by the Technology, Trade and Commerce Agency (abolished January 1, 2004); refunds gasoline taxes paid on fuel consumed for off-highway purposes; instructs and advises county tax collectors; administers the newly reinstated Property Tax Postponement Program for senior and disabled citizens; administers the statewide discharge from accountability program in conjunction with the California Victim Compensation and Government Claims Board; collaborates with the Department of Finance, the Treasurer, and the Department of General Services to develop and implement the Financial Information System for California (FI\$Cal) system to ensure best business practices; and participates in offsetting monies owed to the state.

0500200 - AUDITS

The Audits Division determines the legality and accuracy of all claims against the state through the performance of prepayment audits; assures the accuracy of local government claims and financial statements submitted to the state and federal governments by establishing and updating audit guidelines, reviewing audits performed by independent auditors, performs field audits for a variety of state and federal programs, reviews the single audits reports of local governments and local education agencies that receive "pass-through federal funds" from state agencies; and audits major businesses for compliance with the Unclaimed Property Law.

0500300 - PERSONNEL/PAYROLL SERVICES

The Personnel/Payroll Services Division administers the state's payroll, employment history, the automated travel expense reimbursement system, and leave accounting processes in a manner that provides accurate, timely and efficient processing for the users of these systems. The Division pays state employees within the rules of the Uniform State Payroll process; maintains the state's official employment history and leave accounting processes in conformance with the appropriate laws, rules and memorandums of understanding and policies of the participating salary setting authorities; maintains the state's position roster and provides a wide variety of management and user information. The Division works with other entities, e.g., Department of Human Resources, Judicial Council, Department of Finance, and California State University Chancellor's Office, to maximize the efficiency of the state's personnel and payroll functions, while continuing to maintain the highest level and quality of customer service.

The 21st Century Project was initiated to replace existing statewide human resource management systems with a fully integrated solution (MyCalPAYS). The project is currently suspended until a comprehensive project assessment is completed.

0500400 - UNCLAIMED PROPERTY

The Unclaimed Property Division administers the Unclaimed Property Law by providing one central source for owners to claim their property; notifying owners of their unclaimed property before it is transferred to the state; receiving unclaimed property from banks, savings and loans, and other business firms; and providing outreach and education for California businesses holding unclaimed property.

0500500 - DISBURSEMENTS

The Disbursements Bureau provides services to all state Agencies, Departments and Boards; the primary responsibility being to produce and mail or deliver all payments of the state's obligations after auditing and clearance through control accounts, including personal income tax refunds, payroll, and retirement payments. Disbursements also provides post issuance services, including, but not limited to disposition of undeliverable warrants; lost warrant duplication; and the

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0840 State Controller - Continued

maintenance and production of paid warrant images.

9900100 - ADMINISTRATION

The Executive Office and the Administration Division provide executive direction and support services to programs in the State Controller's Office. The primary responsibilities include the establishment and maintenance of communications between the department and the public, budgeting, contracts, human resources, accounting, and business services. The Information Systems Division develops, maintains, and operates all of the department's mainframe, client-server and web-based systems; and oversees the planning, procurement, use, and maintenance of information technology hardware and software.

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0500	STATE CONTROLLER'S OFFICE			
	State Operations:			
0001	General Fund	\$60,199	\$53,682	\$52,422
0046	Public Transportation Account, State Transportation Fund	19	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	4,774	4,861	4,832
0062	Highway Users Tax Account, Transportation Tax Fund	1,666	1,688	1,680
0064	Motor Vehicle License Fee Account, Transportation Tax Fund	17	17	20
0330	Local Revenue Fund	789	802	796
0494	Other - Unallocated Special Funds	1,759	6,670	4,068
0797	Unallocated Bond Funds - Select	688	1,104	1,100
0877	DMV Local Agency Collection Fund	2	2	2
0890	Federal Trust Fund	1,025	1,256	1,263
0903	State Penalty Fund	1,531	1,556	1,547
0932	Trial Court Trust Fund	174	174	174
0969	Public Safety Account, Local Public Safety Fund	268	268	268
0970	Unclaimed Property Fund	39,552	40,721	41,202
0988	Other - Unallocated Non-Governmental Cost Funds	360	474	474
0995	Reimbursements	61,946	63,658	61,562
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund	254	2,283	2,288
6036	2002 State School Facilities Fund	8	11	11
6044	2004 State School Facilities Fund	239	303	304
6057	2006 State School Facilities Fund	608	776	777
9740	Central Service Cost Recovery Fund	24,117	25,329	29,662
	Totals, State Operations	\$199,995	\$205,654	\$204,471
SUBPROGRAM REQUIREMENTS				
0500100	Accounting and Reporting			
	State Operations:			
0001	General Fund	\$14,646	\$13,533	\$16,204
0046	Public Transportation Account, State Transportation Fund	19	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	2,753	2,915	2,884
0062	Highway Users Tax Account, Transportation Tax Fund	459	477	477
0064	Motor Vehicle License Fee Account, Transportation Tax Fund	17	17	20
0330	Local Revenue Fund	789	802	796

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0840 State Controller - Continued

	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
0494 Other - Unallocated Special Funds	100	209	208
0797 Unallocated Bond Funds - Select	688	1,104	1,100
0877 DMV Local Agency Collection Fund	2	2	2
0903 State Penalty Fund	444	482	471
0932 Trial Court Trust Fund	174	174	174
0969 Public Safety Account, Local Public Safety Fund	268	268	268
0988 Other - Unallocated Non-Governmental Cost Funds	278	388	388
0995 Reimbursements	11,593	13,917	10,584
3268 Senior Citizens and Disabled Citizens Property Tax Postponement Fund	254	2,283	2,288
6036 2002 State School Facilities Fund	5	7	7
6044 2004 State School Facilities Fund	171	199	200
6057 2006 State School Facilities Fund	425	501	501
9740 Central Service Cost Recovery Fund	<u>6,216</u>	<u>6,396</u>	<u>6,503</u>
Totals, State Operations	\$39,301	\$43,693	\$43,094
SUBPROGRAM REQUIREMENTS			
0500200 Audits			
State Operations:			
0001 General Fund	\$9,843	\$11,111	\$12,647
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	2,021	1,946	1,948
0062 Highway Users Tax Account, Transportation Tax Fund	1,207	1,211	1,203
0890 Federal Trust Fund	1,025	1,256	1,263
0903 State Penalty Fund	1,087	1,074	1,076
0970 Unclaimed Property Fund	2,769	2,429	2,532
0988 Other - Unallocated Non-Governmental Cost Funds	82	86	86
0995 Reimbursements	19,299	16,731	20,523
6036 2002 State School Facilities Fund	3	4	4
6044 2004 State School Facilities Fund	68	104	104
6057 2006 State School Facilities Fund	183	275	276
9740 Central Service Cost Recovery Fund	<u>7,249</u>	<u>7,851</u>	<u>8,711</u>
Totals, State Operations	\$44,836	\$44,078	\$50,373
SUBPROGRAM REQUIREMENTS			
0500300 Personnel/Payroll Services			
State Operations:			
0001 General Fund	\$29,932	\$25,141	\$22,495
0494 Other - Unallocated Special Funds	1,659	6,461	3,860
0995 Reimbursements	10,020	10,893	8,089
9740 Central Service Cost Recovery Fund	<u>8,529</u>	<u>8,923</u>	<u>12,254</u>
Totals, State Operations	\$50,140	\$51,418	\$46,698
SUBPROGRAM REQUIREMENTS			
0500400 Unclaimed Property			
State Operations:			
0001 General Fund	\$1,691	\$-	\$-
0970 Unclaimed Property Fund	36,783	38,292	38,670
0995 Reimbursements	<u>22</u>	<u>20</u>	<u>20</u>
Totals, State Operations	\$38,496	\$38,312	\$38,690
SUBPROGRAM REQUIREMENTS			
0500500 Disbursements			

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0840 State Controller - Continued

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
State Operations:				
0001	General Fund	\$4,087	\$3,897	\$1,076
0995	Reimbursements	21,012	22,097	22,346
9740	Central Service Cost Recovery Fund	<u>2,123</u>	<u>2,159</u>	<u>2,194</u>
Totals, State Operations		\$27,222	\$28,153	\$25,616
PROGRAM REQUIREMENTS				
0505	LOAN REPAYMENTS			
State Operations:				
0001	General Fund	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
Totals, State Operations		\$2	\$-	\$-
Local Assistance:				
0001	General Fund	<u>-\$49</u>	<u>-\$52</u>	<u>\$-</u>
Totals, Local Assistance		-\$49	-\$52	\$-
PROGRAM REQUIREMENTS				
9900	ADMINISTRATION - TOTAL			
State Operations:				
0001	General Fund	\$3	\$-	-\$1
0995	Reimbursements	<u>441</u>	<u>720</u>	<u>278</u>
Totals, State Operations		\$444	\$720	\$277
SUBPROGRAM REQUIREMENTS				
9900100	Administration			
State Operations:				
0001	General Fund	\$49,117	\$52,189	\$53,040
0995	Reimbursements	440	1,080	638
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund	50	-	-
Totals, State Operations		\$49,607	\$53,269	\$53,678
SUBPROGRAM REQUIREMENTS				
9900200	Administration - Distributed			
State Operations:				
0001	General Fund	-\$49,114	-\$52,189	-\$53,041
0995	Reimbursements	1	-360	-360
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund	-50	-	-
Totals, State Operations		-\$49,163	-\$52,549	-\$53,401
TOTALS, EXPENDITURES				
State Operations		200,441	206,374	204,748
Local Assistance		<u>-49</u>	<u>-52</u>	<u>-</u>
Totals, Expenditures		\$200,392	\$206,322	\$204,748

EXPENDITURES BY CATEGORY

	1 State Operations					
	<u>Positions</u>			<u>Expenditures</u>		
	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
PERSONAL SERVICES						
Baseline Positions	1,395.6	1,393.8	1,382.8	\$90,329	\$87,138	\$86,221
Budget Position Transparency	-	5.0	16.0	-	1,374	2,291
Total Adjustments	28.6	-	-14.8	-386	2,977	9,006

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0840 State Controller - Continued

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Net Totals, Salaries and Wages	1,424.2	1,398.8	1,384.0	\$89,943	\$91,489	\$97,518
Staff Benefits	-	-	-	42,125	44,107	44,398
Totals, Personal Services	1,424.2	1,398.8	1,384.0	\$132,068	\$135,596	\$141,916
OPERATING EXPENSES AND EQUIPMENT				\$68,373	\$70,778	\$62,832
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$200,441	\$206,374	\$204,748

2 Local Assistance	Expenditures		
	2014-15*	2015-16*	2016-17*
Grants and Subventions - Governmental	-\$52	-\$52	\$-
Other Special Items of Expense	3	-	-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	-\$49	-\$52	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$51,504	\$49,674	\$52,421
Adjustment per Government Code Section 12439	-20	-	-
Admin/Distributed Admin realignment per Control Section 1.50, Budget Act of 2015	-	1	-
Allocation for employee compensation	389	565	-
Allocation for staff benefits	176	327	-
Allocation of unanticipated costs per Provision 17, Budget Act of 2014	3,180	-	-
Budget Baseline Adjustment	1	-	-
Budget Position Transparency	-	1,374	-
Expenditure by Category Redistribution	-	-1,374	-
OE&E technical realignment	-	442	-
Past year adjustments	26	-	-
Personal Services technical realignment	-	-445	-
Section 3.60 pension contribution adjustment	602	200	-
Unanticipated Increase in 21st Century Project Legal Costs in 2014-15	5,070	-	-
Unanticipated cost funding per Provision 14, Budget Act of 2015	-	2,918	-
Past year adjustments	2	-	-
Totals Available	\$60,930	\$53,682	\$52,421
Unexpended balance, estimated savings	-726	-	-
TOTALS, EXPENDITURES	\$60,204	\$53,682	\$52,421
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$19	\$19	\$19
TOTALS, EXPENDITURES	\$19	\$19	\$19
0061 Motor Vehicle Fuel Account, Transportation Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,622	\$4,735	\$4,832
Allocation for employee compensation	51	67	-
Allocation for staff benefits	22	36	-

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0840 State Controller - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Section 3.60 pension contribution adjustment	<u>80</u>	<u>23</u>	<u>-</u>
Totals Available	\$4,775	\$4,861	\$4,832
Unexpended balance, estimated savings	<u>-1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$4,774	\$4,861	\$4,832
0062 Highway Users Tax Account, Transportation Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,317	\$1,348	\$1,375
Allocation for employee compensation	15	19	-
Allocation for staff benefits	6	10	-
Section 3.60 pension contribution adjustment	23	6	-
Apportionment payment system assessments per Control Section 25.50	<u>305</u>	<u>305</u>	<u>305</u>
TOTALS, EXPENDITURES	\$1,666	\$1,688	\$1,680
0064 Motor Vehicle License Fee Account, Transportation Tax Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	<u>\$17</u>	<u>\$17</u>	<u>\$20</u>
TOTALS, EXPENDITURES	\$17	\$17	\$20
0330 Local Revenue Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$668	\$684	\$696
Allocation for employee compensation	7	9	-
Allocation for staff benefits	3	6	-
Section 3.60 pension contribution adjustment	11	3	-
Apportionment payment system assessments per Control Section 25.50	<u>100</u>	<u>100</u>	<u>100</u>
TOTALS, EXPENDITURES	\$789	\$802	\$796
0494 Other - Unallocated Special Funds			
APPROPRIATIONS			
011 Budget Act appropriation	\$99	\$204	\$208
Allocation for employee compensation	1	3	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	1	1	-
Human Resources Management System assessments per Control Section 25.25	<u>1,674</u>	<u>6,461</u>	<u>3,860</u>
Totals Available	\$1,775	\$6,670	\$4,068
Unexpended balance, estimated savings	<u>-16</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,759	\$6,670	\$4,068
0797 Unallocated Bond Funds - Select			
APPROPRIATIONS			
011 Budget Act appropriation	\$667	\$1,078	\$1,100
Allocation for employee compensation	7	13	-
Allocation for staff benefits	3	8	-
Section 3.60 pension contribution adjustment	11	5	-
TOTALS, EXPENDITURES	\$688	\$1,104	\$1,100
0877 DMV Local Agency Collection Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	<u>\$2</u>	<u>\$2</u>	<u>\$2</u>
TOTALS, EXPENDITURES	\$2	\$2	\$2
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,149	\$1,224	\$1,263

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0840 State Controller - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Allocation for employee compensation	13	17	-
Allocation for staff benefits	5	9	-
Section 3.60 pension contribution adjustment	20	6	-
Totals Available	\$1,187	\$1,256	\$1,263
Unexpended balance, estimated savings	-162	-	-
TOTALS, EXPENDITURES	\$1,025	\$1,256	\$1,263
0903 State Penalty Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,483	\$1,516	\$1,547
Allocation for employee compensation	18	21	-
Allocation for staff benefits	6	12	-
Section 3.60 pension contribution adjustment	25	7	-
Totals Available	\$1,532	\$1,556	\$1,547
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$1,531	\$1,556	\$1,547
0932 Trial Court Trust Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$174	\$174	\$174
TOTALS, EXPENDITURES	\$174	\$174	\$174
0969 Public Safety Account, Local Public Safety Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$268	\$268	\$268
TOTALS, EXPENDITURES	\$268	\$268	\$268
0970 Unclaimed Property Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$38,406	\$39,759	\$41,202
Allocation for employee compensation	387	494	-
Allocation for staff benefits	168	294	-
OE&E technical realignment	-	-443	-
Personal Services technical realignment	-	443	-
Section 3.60 pension contribution adjustment	592	174	-
Totals Available	\$39,553	\$40,721	\$41,202
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$39,552	\$40,721	\$41,202
0988 Other - Unallocated Non-Governmental Cost Funds			
APPROPRIATIONS			
001 Budget Act appropriation	\$256	\$263	\$270
Allocation for employee compensation	2	3	-
Allocation for staff benefits	1	2	-
Section 3.60 pension contribution adjustment	4	1	-
011 Budget Act appropriation	95	200	204
Allocation for employee compensation	1	3	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	1	1	-
TOTALS, EXPENDITURES	\$360	\$474	\$474
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$62,387	\$64,378	\$61,840

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0840 State Controller - Continued

1 STATE OPERATIONS	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
TOTALS, EXPENDITURES	\$62,387	\$64,378	\$61,840
3268 Senior Citizens and Disabled Citizens Property Tax Postponement Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$2,224	\$2,288
Allocation for employee compensation	-	31	-
Allocation for staff benefits	-	17	-
Section 3.60 pension contribution adjustment	-	11	-
Property Tax Postponement Program Reinstatement	<u>254</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$254	\$2,283	\$2,288
6036 2002 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$11	\$11	\$11
Prior Year Bond Fund Adjustment	<u>-3</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$8	\$11	\$11
6044 2004 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$288	\$296	\$304
Allocation for employee compensation	3	4	-
Allocation for staff benefits	1	2	-
Prior Year Bond Fund Adjustment	-56	-	-
Section 3.60 pension contribution adjustment	<u>4</u>	<u>1</u>	<u>-</u>
Totals Available	\$240	\$303	\$304
Unexpended balance, estimated savings	<u>-1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$239	\$303	\$304
6057 2006 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$734	\$757	\$777
Allocation for employee compensation	8	10	-
Allocation for staff benefits	4	6	-
Prior Year Bond Fund Adjustment	-151	-	-
Section 3.60 pension contribution adjustment	<u>13</u>	<u>3</u>	<u>-</u>
TOTALS, EXPENDITURES	\$608	\$776	\$777
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$23,415	\$24,740	\$29,662
Allocation for employee compensation	236	305	-
Allocation for staff benefits	103	179	-
Section 3.60 pension contribution adjustment	<u>363</u>	<u>105</u>	<u>-</u>
TOTALS, EXPENDITURES	\$24,117	\$25,329	\$29,662
Total Expenditures, All Funds, (State Operations)	\$200,441	\$206,374	\$204,748
2 LOCAL ASSISTANCE	2014-15*	2015-16*	2016-17*
0001 General Fund			
Unexpended balance, estimated savings	<u>3</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$3	\$-	\$-
Loan repayment per Government Code section 15373	<u>-52</u>	<u>-52</u>	<u>-</u>
NET TOTALS, EXPENDITURES	-\$49	-\$52	\$-

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0840 State Controller - Continued

2 LOCAL ASSISTANCE	2014-15*	2015-16*	2016-17*
0979 California Firefighters Memorial Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$500	\$500	\$500
Allocation to California Firefighters' Memorial Fund	<u>-500</u>	<u>-500</u>	<u>-500</u>
TOTALS, EXPENDITURES	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
Total Expenditures, All Funds, (Local Assistance)	<u>\$-49</u>	<u>\$-52</u>	<u>\$0</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	<u>\$200,392</u>	<u>\$206,322</u>	<u>\$204,748</u>

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
0442 California Olympic Training Account ^s			
BEGINNING BALANCE	-	-	-
Prior Year Adjustments	<u>-\$3</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	-\$3	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4142500 License Plate Fees - Personalized Plates	68	\$61	\$61
Transfers and Other Adjustments			
Revenue Transfer from the California Olympic Training Account (0442) to the General Fund (0001) per Government Code Section 7592	<u>-65</u>	<u>-61</u>	<u>-61</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$3</u>	<u>-</u>	<u>-</u>
FUND BALANCE	-	-	-
3268 Senior Citizens and Disabled Citizens Property Tax Postponement Fund ^s			
BEGINNING BALANCE	<u>-</u>	<u>\$16,614</u>	<u>\$21,532</u>
Adjusted Beginning Balance	-	\$16,614	\$21,532
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	\$7	-	-
Transfers and Other Adjustments			
Revenue transfer from the Special Deposit Fund (0942) to the Senior Citizens and Disabled Citizens Property Tax Postponement Fund (3268) per Government Code Section 16180.	<u>5,575</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$5,582</u>	<u>-</u>	<u>-</u>
Total Resources	\$5,582	\$16,614	\$21,532
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	254	2,282	2,287
8880 Financial Information System for California (State Operations)	-	-	3
9100 Tax Relief (Local Assistance)	<u>-11,286</u>	<u>-7,200</u>	<u>-7,200</u>
Total Expenditures and Expenditure Adjustments	<u>-\$11,032</u>	<u>-\$4,918</u>	<u>-\$4,910</u>
FUND BALANCE	\$16,614	\$21,532	\$26,441
Reserve for economic uncertainties	16,614	21,532	26,441

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	1,395.6	1,393.8	1,382.8	\$90,329	\$87,138	\$86,221
Budget Position Transparency	-	5.0	16.0	-	1,374	2,291
Salary and Other Adjustments	28.6	-	-48.3	-386	2,977	1,851
Workload and Administrative Adjustments						

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0840 State Controller - Continued

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
21st Century Project - PAL Workload						
Temporary Help	-	-	-	-	-	356
21st Century Project Legal Effort						
Temporary Help	-	-	-	-	-	358
ACA and PEPRRA Legislation Workload						
Temporary Help	-	-	-	-	-	574
CalATERS Replacement and Funding Realignment						
Temporary Help	-	-	-	-	-	214
FI\$Cal System Support						
Temporary Help	-	-	-	-	-	985
Other Post-Employment Benefit (OPEB) Workload Automation						
Temporary Help	-	-	-	-	-	521
Personnel and Payroll Services Division Systems Support						
Temporary Help	-	-	-	-	-	628
Personnel and Payroll Transaction Workload						
Payroll Spec	-	-	4.0	-	-	170
Statewide Personnel and Payroll Training						
Assoc Govtl Program Analyst	-	-	6.4	-	-	398
Staff Svcs Mgr I	-	-	1.0	-	-	71
Temporary Help	-	-	-	-	-	142
Sustained Accounting Workload						
Accounting Administrator I (Spec)	-	-	2.0	-	-	137
Unclaimed Property Fraudulent Claims Prevention and Detection Program						
Assoc Govtl Program Analyst	-	-	5.0	-	-	311
Staff Svcs Mgr I	-	-	1.0	-	-	71
Staff Svcs Mgr II (Supvry)	-	-	1.0	-	-	78
Sys Software Spec II (Tech)	-	-	1.0	-	-	81
Temporary Help	-	-	-	-	-	601
Unclaimed Property Holder Compliance Initiative						
Assoc Govtl Program Analyst	-	-	4.0	-	-	249
Assoc Mgmt Auditor	-	-	0.1	-	-	7
Program Techn II	-	-	1.0	-	-	37
Sr Mgmt Auditor	-	-	2.0	-	-	161
Staff Mgmt Auditor (Spec)	-	-	4.0	-	-	281
Staff Svcs Mgr I	-	-	1.0	-	-	71
Temporary Help	-	-	-	-	-	653
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	33.5	\$-	\$-	\$7,155
Totals, Adjustments	<u>28.6</u>	<u>5.0</u>	<u>1.2</u>	<u>-\$386</u>	<u>\$4,351</u>	<u>\$11,297</u>
TOTALS, SALARIES AND WAGES	1,424.2	1,398.8	1,384.0	\$89,943	\$91,489	\$97,518

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0845 Department of Insurance

California's publicly-elected Insurance Commissioner regulates the sixth largest insurance economy in the world with insurers collecting more than \$259 billion in premium annually in California while protecting consumers and the integrity, health and vitality of the insurance marketplace. The vision of the Department of Insurance (CDI) is "Insurance Protection for All Californians." The Department accomplishes this by enforcing insurance laws and regulations, assisting consumers in their dealings with insurers, and using innovation to improve services for insurance producers and consumers.

The CDI licenses and regulates insurance companies, agents and brokers in California. Currently, the CDI oversees and licenses approximately 1,300 insurance companies and more than 385,000 individuals and business entities as insurance agents, brokers, adjusters and bail agents. Additionally, the CDI receives and investigates approximately 250,000 consumer inquiries and complaints annually; performs examinations to ensure the financial solvency of companies; receives approximately 33,000 suspected fraudulent claim referrals annually; and works in conjunction with local, state and federal law enforcement agencies to investigate and prosecute fraudulent insurance practices.

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0520	Regulation of Insurance Companies and Insurance Producers	430.8	428.4	438.3	\$81,529	\$83,645	\$86,428
0525	Consumer Protection	299.5	304.4	324.2	54,049	61,279	62,971
0530	Fraud Control	255.6	278.0	283.1	107,270	123,331	124,359
0535	General Fund Tax Collection and Compliance	9.7	10.3	10.4	1,602	1,280	1,282
9900100	Administration	225.4	220.2	219.8	31,616	32,854	35,123
9900200	Administration - Distributed	-	-	-	-31,616	-32,854	-35,123
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		1,221.0	1,241.3	1,275.8	\$244,450	\$269,535	\$275,040
FUNDING					2014-15*	2015-16*	2016-17*
0001	General Fund				\$3,393	\$9,261	\$10,891
0217	Insurance Fund				239,237	258,874	262,803
0890	Federal Trust Fund				1,810	1,150	1,096
0995	Reimbursements				10	250	250
TOTALS, EXPENDITURES, ALL FUNDS					\$244,450	\$269,535	\$275,040

LEGAL CITATIONS AND AUTHORITY

PROGRAM AUTHORITY

0520-Regulation of Insurance Companies and Insurance Producers:

Insurance Code, Sections 1-16032, except as noted below.

0525-Consumer Protection:

Insurance Code, Division 1, Part 2, Chapter 1, Articles 4, 5.1, 6, 6.3, and 6.5, Chapter 5, Article 12, Sections 1872.81, 10127.17, 10273.7, 12921.1-12921.5, 12928, and 12930.

0530-Fraud Control:

Insurance Code, Division 1, Part 2, Chapter 12 (except Section 1872.81 cited above).

0535-General Fund Tax Collection and Compliance:

Insurance Code, Sections 1774-1780; and Revenue and Taxation Code, Part 7 of Division 2.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						

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0845 Department of Insurance - Continued

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Legislative augmentation to add one-time Brace & Bolt funds for Insurance to pass on to California Earthquake Authority.	\$-	\$-	-	\$3,000	\$-	-
• Enhanced Fraud Investigation and Prevention (Warner Chilcott Settlement)	-	-	-	1,567	-	8.0
• CMMP Year 3	-	-	-	963	1,786	6.5
• Enhanced Fraud Investigation eDiscovery (Civil Litigation Software)	-	-	-	626	-	-
• CDI Network Switch Replacement	-	-	-	-	1,669	-
• Principle-Based Reserving (SB 696)	-	-	-	-	925	5.0
• Life and Disability Policies (AB 387)	-	-	-	-	430	2.0
• Health Network Adequacy	-	-	-	-	424	1.0
• Outpatient Prescription Drugs (AB 339)	-	-	-	-	242	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$6,156	\$5,476	23.5
Other Workload Budget Adjustments						
• Salary Adjustments	\$46	\$2,520	-	\$46	\$2,520	-
• Retirement Rate Adjustments	23	1,036	-	23	1,036	-
• Benefit Adjustments	21	1,388	-	22	1,744	-
• Miscellaneous Baseline Adjustments	-	342	-	-	437	1.0
• SWCAP	-	-	-	-	165	-
• Budget Position Transparency	-	-	-161.5	-	-	-158.0
• Pro Rata	-	-	-	-	-637	-
Totals, Other Workload Budget Adjustments	\$90	\$5,286	-161.5	\$91	\$5,265	-157.0
Totals, Workload Budget Adjustments	\$90	\$5,286	-161.5	\$6,247	\$10,741	-133.5
Totals, Budget Adjustments	\$90	\$5,286	-161.5	\$6,247	\$10,741	-133.5

PROGRAM DESCRIPTIONS

0520 - REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS

The objectives of this program are to: (1) prevent losses to policyholders, beneficiaries or the public due to the insolvency of insurers; (2) prevent unlawful or unfair practices by insurers as defined by the Insurance Code; (3) ensure that property and casualty insurance rates are not excessive, inadequate, unfairly discriminatory or otherwise in violation of the Insurance Code; (4) review health insurance rates filed with the Department to determine whether they are reasonable and attempt to get unreasonable rates lowered by insurers; (5) ensure that applicants for insurance licenses, and holders of insurance licenses, satisfy and maintain the qualifications for licensure. Through the Conservation and Liquidation Office, the CDI administers the estates of insolvent and delinquent insurance companies.

0525 - CONSUMER PROTECTION

The objectives of this program are to: (1) provide direct service to California consumers by protecting insurance policyholders and other parties involved in insurance transactions against unfair or illegal practices with respect to claims handling, rating or underwriting by insurers and (2) protect applicants and policyholders from discriminatory, unlawful or fraudulent practices or incompetence relating to the sale of insurance.

0530 - FRAUD CONTROL

The objective of this program is to protect the public from economic loss by actively investigating, arresting and referring for prosecution or adjudication those who commit insurance fraud and other violations of the law. The program is staffed by sworn peace officers who conduct criminal investigations of insurance fraud and related criminal cases.

0535 - GENERAL FUND TAX COLLECTION AND COMPLIANCE

This program performs tax collection; ensures compliance from insurance companies and surplus line brokers with the laws contained in the Insurance Code and Revenue and Taxation Code; and works with the Board of Equalization and State Controller's Office with various refund, assessment, and accounting matters relative to the premium tax program. Tax

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0845 Department of Insurance - Continued

collections from this program are deposited in the state's General Fund.

9900 - ADMINISTRATION

This program provides administrative support services to the CDI including budgets, accounting, human resources, business services and information technology, as well as legislative and legal services.

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
	PROGRAM REQUIREMENTS			
0520	REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS			
	State Operations:			
0217	Insurance Fund	\$79,709	\$82,245	\$85,082
0890	Federal Trust Fund	1,810	1,150	1,096
0995	Reimbursements	10	250	250
	Totals, State Operations	\$81,529	\$83,645	\$86,428
	SUBPROGRAM REQUIREMENTS			
0520010	Rate Regulation			
	State Operations:			
0217	Insurance Fund	\$25,733	\$21,127	\$21,359
0890	Federal Trust Fund	1,810	1,150	1,096
0995	Reimbursements	10	250	250
	Totals, State Operations	\$27,553	\$22,527	\$22,705
	SUBPROGRAM REQUIREMENTS			
0520019	Regulatory			
	State Operations:			
0217	Insurance Fund	\$19,004	\$25,015	\$26,193
	Totals, State Operations	\$19,004	\$25,015	\$26,193
	SUBPROGRAM REQUIREMENTS			
0520028	Licensing			
	State Operations:			
0217	Insurance Fund	\$28,454	\$26,669	\$28,015
	Totals, State Operations	\$28,454	\$26,669	\$28,015
	SUBPROGRAM REQUIREMENTS			
0520037	Special Programs			
	State Operations:			
0217	Insurance Fund	\$6,518	\$9,434	\$9,515
	Totals, State Operations	\$6,518	\$9,434	\$9,515
	PROGRAM REQUIREMENTS			
0525	CONSUMER PROTECTION			
	State Operations:			
0001	General Fund	\$315	\$3,714	\$4,832
0217	Insurance Fund	52,984	56,815	57,389
	Totals, State Operations	\$53,299	\$60,529	\$62,221
	Local Assistance:			
0217	Insurance Fund	\$750	\$750	\$750
	Totals, Local Assistance	\$750	\$750	\$750
	SUBPROGRAM REQUIREMENTS			
0525010	Legal Compliance			
	State Operations:			

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0845 Department of Insurance - Continued

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
0217	Insurance Fund	\$7,139	\$10,001	\$10,095
	Totals, State Operations	\$7,139	\$10,001	\$10,095
	SUBPROGRAM REQUIREMENTS			
0525019	Investigations			
	State Operations:			
0217	Insurance Fund	\$15,985	\$16,588	\$16,765
	Totals, State Operations	\$15,985	\$16,588	\$16,765
	Local Assistance:			
0217	Insurance Fund	\$750	\$750	\$750
	Totals, Local Assistance	\$750	\$750	\$750
	SUBPROGRAM REQUIREMENTS			
0525028	Consumer Services and Market Conduct			
	State Operations:			
0001	General Fund	\$-	\$3,000	\$3,000
0217	Insurance Fund	29,860	30,226	30,529
	Totals, State Operations	\$29,860	\$33,226	\$33,529
	SUBPROGRAM REQUIREMENTS			
0525037	Enhanced Fraud Investigation Division			
	State Operations:			
0001	General Fund	\$315	\$714	\$1,832
	Totals, State Operations	\$315	\$714	\$1,832
	PROGRAM REQUIREMENTS			
0530	FRAUD CONTROL			
	State Operations:			
0001	General Fund	\$2,078	\$4,547	\$5,059
0217	Insurance Fund	45,378	53,916	54,432
	Totals, State Operations	\$47,456	\$58,463	\$59,491
	Local Assistance:			
0001	General Fund	\$1,000	\$1,000	\$1,000
0217	Insurance Fund	58,814	63,868	63,868
	Totals, Local Assistance	\$59,814	\$64,868	\$64,868
	SUBPROGRAM REQUIREMENTS			
0530010	Fraud - Auto			
	State Operations:			
0217	Insurance Fund	\$19,521	\$22,021	\$22,231
	Totals, State Operations	\$19,521	\$22,021	\$22,231
	Local Assistance:			
0217	Insurance Fund	\$21,951	\$21,951	\$21,951
	Totals, Local Assistance	\$21,951	\$21,951	\$21,951
	SUBPROGRAM REQUIREMENTS			
0530019	Fraud - Workers' Compensation			
	State Operations:			
0217	Insurance Fund	\$21,192	\$25,234	\$25,477
	Totals, State Operations	\$21,192	\$25,234	\$25,477
	Local Assistance:			
0217	Insurance Fund	\$31,759	\$35,101	\$35,101
	Totals, Local Assistance	\$31,759	\$35,101	\$35,101

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0845 Department of Insurance - Continued

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
SUBPROGRAM REQUIREMENTS				
0530028	Fraud - General Assessment			
	State Operations:			
0217	Insurance Fund	<u>\$2,149</u>	<u>\$2,948</u>	<u>\$2,979</u>
	Totals, State Operations	\$2,149	\$2,948	\$2,979
SUBPROGRAM REQUIREMENTS				
0530037	Fraud - Disability and Healthcare			
	State Operations:			
0217	Insurance Fund	<u>\$2,516</u>	<u>\$3,713</u>	<u>\$3,745</u>
	Totals, State Operations	\$2,516	\$3,713	\$3,745
	Local Assistance:			
0217	Insurance Fund	<u>\$5,104</u>	<u>\$6,816</u>	<u>\$6,816</u>
	Totals, Local Assistance	\$5,104	\$6,816	\$6,816
SUBPROGRAM REQUIREMENTS				
0530055	Enhanced Fraud - Fraud Division			
	State Operations:			
0001	General Fund	<u>\$1,967</u>	<u>\$3,830</u>	<u>\$3,731</u>
	Totals, State Operations	\$1,967	\$3,830	\$3,731
	Local Assistance:			
0001	General Fund	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
	Totals, Local Assistance	\$1,000	\$1,000	\$1,000
SUBPROGRAM REQUIREMENTS				
0530064	Enhanced Fraud - Legal Branch			
	State Operations:			
0001	General Fund	<u>\$111</u>	<u>\$717</u>	<u>\$1,328</u>
	Totals, State Operations	\$111	\$717	\$1,328
PROGRAM REQUIREMENTS				
0535	GENERAL FUND TAX COLLECTION AND COMPLIANCE			
	State Operations:			
0217	Insurance Fund	<u>\$1,602</u>	<u>\$1,280</u>	<u>\$1,282</u>
	Totals, State Operations	\$1,602	\$1,280	\$1,282
SUBPROGRAM REQUIREMENTS				
9900100	Administration			
	State Operations:			
0217	Insurance Fund	<u>\$31,616</u>	<u>\$32,854</u>	<u>\$35,123</u>
	Totals, State Operations	\$31,616	\$32,854	\$35,123
SUBPROGRAM REQUIREMENTS				
9900200	Administration - Distributed			
	State Operations:			
0217	Insurance Fund	<u>-\$31,616</u>	<u>-\$32,854</u>	<u>-\$35,123</u>
	Totals, State Operations	-\$31,616	-\$32,854	-\$35,123
TOTALS, EXPENDITURES				
	State Operations	183,886	203,917	209,422
	Local Assistance	<u>60,564</u>	<u>65,618</u>	<u>65,618</u>
	Totals, Expenditures	\$244,450	\$269,535	\$275,040

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0845 Department of Insurance - Continued

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	1,383.3	1,402.8	1,409.3	\$99,417	\$101,187	\$100,541
Budget Position Transparency	-	-161.5	-158.0	-	-	-
Total Adjustments	<u>-162.3</u>	<u>-</u>	<u>24.5</u>	<u>-10,837</u>	<u>2,737</u>	<u>4,628</u>
Net Totals, Salaries and Wages	1,221.0	1,241.3	1,275.8	\$88,580	\$103,924	\$105,169
Staff Benefits	-	-	-	42,254	45,802	46,721
Totals, Personal Services	1,221.0	1,241.3	1,275.8	\$130,834	\$149,726	\$151,890
OPERATING EXPENSES AND EQUIPMENT				\$51,206	\$54,191	\$57,532
SPECIAL ITEMS OF EXPENSES				<u>1,846</u>	<u>-</u>	<u>-</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$183,886	\$203,917	\$209,422

2 Local Assistance	Expenditures		
	2014-15*	2015-16*	2016-17*
Grants and Subventions - Governmental	<u>\$60,564</u>	<u>\$65,618</u>	<u>\$65,618</u>
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$60,564	\$65,618	\$65,618

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,585	\$5,171	\$5,324
Allocation for employee compensation	6	46	-
Allocation for staff benefits	2	21	-
Section 3.60 pension contribution adjustment	50	23	-
002 Budget Act appropriation	-	3,000	1,567
003 Budget Act appropriation	-	-	3,000
Totals Available	\$3,643	\$8,261	\$9,891
Unexpended balance, estimated savings	<u>-1,250</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$2,393	\$8,261	\$9,891
0217 Insurance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$182,290	\$189,313	\$198,185
Allocation for employee compensation	1,587	2,520	-
Allocation for staff benefits	721	1,388	-
Map Values from Invisible Account Codes	-	-1	-
Miscellaneous adjustment to realign Current Service Level	6	-	-
Past year actual adjustments	-2	-	-
Section 3.60 pension contribution adjustment	<u>3,287</u>	<u>1,036</u>	<u>-</u>
Totals Available	\$187,889	\$194,256	\$198,185
Unexpended balance, estimated savings	<u>-8,216</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$179,673	\$194,256	\$198,185

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0845 Department of Insurance - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,103	\$808	\$1,096
Control Section 28.00 Cycle III Federal Funds Adjustment	-779	342	-
Past year actual adjustments	-514	-	-
TOTALS, EXPENDITURES	\$1,810	\$1,150	\$1,096
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$10	\$250	\$250
TOTALS, EXPENDITURES	\$10	\$250	\$250
Total Expenditures, All Funds, (State Operations)	\$183,886	\$203,917	\$209,422
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,000	\$1,000	\$1,000
TOTALS, EXPENDITURES	\$1,000	\$1,000	\$1,000
0217 Insurance Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$61,391	\$64,618	\$64,618
Totals Available	\$61,391	\$64,618	\$64,618
Unexpended balance, estimated savings	-1,827	-	-
TOTALS, EXPENDITURES	\$59,564	\$64,618	\$64,618
Total Expenditures, All Funds, (Local Assistance)	\$60,564	\$65,618	\$65,618
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$244,450	\$269,535	\$275,040

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
0217 Insurance Fund^s			
BEGINNING BALANCE			
Prior Year Adjustments	\$13,699	\$16,621	\$20,100
Adjusted Beginning Balance	846	-	-
	\$14,545	\$16,621	\$20,100
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4124000 Insurance Company - Examination Fees	23,377	23,136	24,290
4124200 Insurance Company - License Fees and Penalties	47,062	51,860	53,416
4124400 Insurance Company - General Fees	26,839	32,894	34,532
4124600 Insurance Company - Proposition 103 Fees	29,208	30,231	32,230
4124800 Insurance Fraud Assessment - Automobile	49,145	50,853	52,379
4125000 Insurance Fraud Assessment - General	11,469	13,601	13,855
4125200 Insurance Fraud Assessment - Workers Compensation	52,502	59,580	56,589
4140000 Document Sales	88	85	85
4143500 Miscellaneous Services to the Public	20	10	10
4163000 Investment Income - Surplus Money Investments	51	70	70
4171100 Cost Recoveries - Other	1,797	1,677	1,676
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	4	15	15
4172500 Miscellaneous Revenue	933	416	375
4173000 Penalty Assessments - Other	501	2	2

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0845 Department of Insurance - Continued

	2014-15*	2015-16*	2016-17*
Transfers and Other Adjustments			
Loan Repayment from Insurance Fund (0217) to General Fund (0001) per Item 0845-011-0001, Budget Act of 2013	-	-	-25
Revenue Transfer from Insurance Fund (0217) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	-209	-211	-208
Loan Repayment from Insurance Fund (0217) to General Fund (0001) per Item 0845-011-0001, Budget Act of 2013	-165	-	-
Loan Repayment from Insurance Fund (0217) to General Fund (0001) per Item 0845-011-0001, Budget Act of 2013	-	-121	-96
Total Revenues, Transfers, and Other Adjustments	\$242,622	\$264,098	\$269,195
Total Resources	\$257,167	\$280,719	\$289,295
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0845 Department of Insurance (State Operations)	179,671	194,255	198,184
0845 Department of Insurance (Local Assistance)	59,564	64,618	64,618
1690 Alfred E. Alquist Seismic Safety Commission (State Operations)	1,167	1,412	1,360
8880 Financial Information System for California (State Operations)	144	334	241
Total Expenditures and Expenditure Adjustments	\$240,546	\$260,619	\$264,403
FUND BALANCE	\$16,621	\$20,100	\$24,892
Reserve for economic uncertainties	16,621	20,100	24,892

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	1,383.3	1,402.8	1,409.3	\$99,417	\$101,187	\$100,541
Budget Position Transparency	-	-161.5	-158.0	-	-	-
Salary and Other Adjustments	-162.3	-	1.0	-10,837	2,737	2,727
Workload and Administrative Adjustments						
CMMP Year 3						
Sr Programmer Analyst (Spec)	-	-	1.0	-	-	81
Sys Software Spec II (Tech)	-	-	1.0	-	-	81
Sys Software Spec III (Supvry)	-	-	1.0	-	-	93
Sys Software Spec III (Tech)	-	-	1.0	-	-	88
Temporary Help	-	-	2.5	-	-	207
Enhanced Fraud Investigation and Prevention (Warner Chilcott Settlement)						
Special Investigator (Limited Term 06-30-2021)	-	-	7.0	-	-	324
Supvng Special Investigator I (Non-Peace Officer) (Limited Term 06-30-2021)	-	-	1.0	-	-	57
Health Network Adequacy						
Atty III	-	-	1.0	-	-	110
Life and Disability Policies (AB 387)						
Atty	-	-	2.0	-	-	241
Outpatient Prescription Drugs (AB 339)						
Atty	-	-	1.0	-	-	80
Principle-Based Reserving (SB 696)						
Sr Life Actuary	-	-	1.0	-	-	114
Sr Programmer Analyst (Spec)	-	-	1.0	-	-	81
Statistical Methods Analyst III	-	-	1.0	-	-	65
Sys Software Spec III (Tech)	-	-	1.0	-	-	89

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0845 Department of Insurance - Continued

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Various	-	-	1.0	-	-	190
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	23.5	\$-	\$-	\$1,901
Totals, Adjustments	-162.3	-161.5	-133.5	-\$10,837	\$2,737	\$4,628
TOTALS, SALARIES AND WAGES	1,221.0	1,241.3	1,275.8	\$88,580	\$103,924	\$105,169

0850 California State Lottery Commission

In 1984, Proposition 37 amended the California Constitution to authorize the establishment of a statewide lottery. As an initiative statute, the California State Lottery Act (Act) of 1984 created the California State Lottery Commission and gave it broad powers to oversee the operations of a statewide lottery. The purpose of the Act was to provide supplemental monies to benefit public education. The Lottery is overseen by a five-person Commission appointed by the Governor and confirmed by the State Senate.

The Act initially required that 50 percent of total annual revenues be returned to the public in the form of prizes and at least 34 percent of total revenues be allocated to the benefit of public education. No more than 16 percent of total revenues were to be used for administrative costs.

In 2010, the Act was changed to allow the Lottery flexibility to pay out more money in prizes and reduce the administrative cost limit to 13 percent of total revenues. Along with that flexibility, the new law requires the Lottery to meet minimum levels of contribution to public education. Revenues to education are placed in a special fund, known as the California State Lottery Education Fund, which holds revenues until they are allocated on a per capita basis, using prior year certified Average Daily Attendance data, to the following categories: K-12 education, Community Colleges, the California State University, the University of California, and other educational entities, including the California Schools for the Deaf and Blind.

In the 30 years since sales began in October 1985 through June 30, 2015, the California State Lottery has raised approximately \$29 billion for public education, including \$1.39 billion in FY 2014-15.

Because of the inherently variable nature of lottery ticket sales, revenue estimates for 2015-16 and 2016-17 cannot be made with certainty.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 1, Chapter 12.5 (Sections 8880-8880.72).

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0850 California State Lottery Commission - Continued**Statement of Operations**

	2014-15*	2015-16*	2016-17*
Lottery sales	\$5,524,851	\$6,265,000	\$6,420,000
Less prizes	<u>3,501,746</u>	<u>3,983,865</u>	<u>4,133,612</u>
Sales after prizes	\$2,023,105	\$2,281,135	\$2,286,388
Less Game Costs:			
Retailer costs	380,345	437,509	451,918
Draw game costs	68,882	92,816	94,650
Instant ticket game costs	29,990	35,831	39,942
Total, Game Costs	<u>\$479,217</u>	<u>\$566,156</u>	<u>\$586,511</u>
Resources before operating expenses	\$1,543,888	\$1,714,979	\$1,699,878
Operating Expenses:			
Salaries, wages and benefits	70,654	78,464	84,742
Advertising	62,274	73,184	73,877
Promotion, public relations and point-of-sale	10,664	12,886	13,087
Other professional services	11,843	13,416	20,187
Depreciation and amortization	8,950	13,861	20,449
Other general and administrative expenses	16,435	19,758	35,748
Total, Operating Expenses	<u>\$180,820</u>	<u>\$211,571</u>	<u>\$248,089</u>
Income and Proceeds to Education	1,363,068	1,503,408	1,451,788
Interest Income	<u>1,301</u>	<u>2,500</u>	<u>2,500</u>
Net Resources	\$1,364,369	\$1,505,908	\$1,454,288
Unclaimed Prizes	27,177	30,000	35,000
Administrative Reserve	0	0	13,983
TOTAL RESOURCES DUE TO EDUCATION FUND	\$1,391,546	\$1,535,908	\$1,503,271

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0850 California State Lottery Commission - Continued**Distribution of State Lottery Education Fund Revenues**

	2014-15*	2015-16*	2016-17*
Department of Education (K-12)	\$1,113,623	\$1,229,153	\$1,203,034
California Community Colleges	196,267	216,628	212,025
California State University	49,325	54,442	53,285
University of California	31,779	35,076	34,330
Other Public Colleges and Universities	121	134	131
Miscellaneous Educational Institutions	431	476	466
TOTALS	\$1,391,546	\$1,535,908	\$1,503,271

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0855 California Gambling Control Commission

The California Gambling Control Commission (Commission) has jurisdiction over gambling establishments (cardrooms), third-party providers of proposition player services, Tribal casinos, and charitable organizations that offer remote caller bingo, pursuant to its authority under state law and Tribal-State Gaming Compacts (Compacts). The Commission also has jurisdiction over gaming policies, regulations, criteria, and standards.

There are 89 licensed cardrooms in California over which the Commission has regulatory authority. This authority extends to the operation, concentration, and supervision of the cardrooms and all persons and things related to each licensed establishment.

The Commission has fiduciary, regulatory, and administrative responsibilities related to Tribal gaming that include: (1) distribution of Tribal gaming revenues to various state funds and to authorized federally-recognized non-Compact Tribes, and (2) monitoring of Tribal gaming through initial and periodic background checks of key employees, vendors, and financial sources.

The Commission has regulatory responsibilities related to remote caller bingo.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0560 California Gambling Control Commission	32.5	32.7	32.7	\$86,327	\$103,223	\$103,116
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	32.5	32.7	32.7	\$86,327	\$103,223	\$103,116
FUNDING				2014-15*	2015-16*	2016-17*
0366 Indian Gaming Revenue Sharing Trust Fund				\$79,750	\$96,500	\$96,500
0367 Indian Gaming Special Distribution Fund				2,258	2,820	2,778
0567 Gambling Control Fund				2,966	3,895	3,836
3131 California Bingo Fund				-	2	2
3132 Charity Bingo Mitigation Fund				8	6	-
8088 Graton Mitigation Fund				1,345	-	-
TOTALS, EXPENDITURES, ALL FUNDS				\$86,327	\$103,223	\$103,116

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Business and Professions Code Sections 19811-19825 and 19840-19984

Government Code Sections 12012.25 - 12012.90, 12710 - 12715, 12716 - 12718, and 63048.65

Penal Code Sections 326.3-326.5, and 337j

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$-	\$303	-	\$-	\$303	-
• Salary Adjustments	-	74	-	-	74	-
• Benefit Adjustments	-	38	-	-	49	-
• Retirement Rate Adjustments	-	26	-	-	26	-
• Miscellaneous Baseline Adjustments	-	-6	-	-	-	-
• Pro Rata	-	-	-	-	-112	-
• Budget Position Transparency	-	-303	-2.3	-	-303	-2.3
Totals, Other Workload Budget Adjustments	\$-	\$132	-2.3	\$-	\$37	-2.3
Totals, Workload Budget Adjustments	\$-	\$132	-2.3	\$-	\$37	-2.3
Totals, Budget Adjustments	\$-	\$132	-2.3	\$-	\$37	-2.3

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0855 California Gambling Control Commission - Continued

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0560	CALIFORNIA GAMBLING CONTROL COMMISSION			
	State Operations:			
0367	Indian Gaming Special Distribution Fund	\$2,258	\$2,820	\$2,778
0567	Gambling Control Fund	2,966	3,895	3,836
3131	California Bingo Fund	-	2	2
3132	Charity Bingo Mitigation Fund	8	6	-
	Totals, State Operations	\$5,232	\$6,723	\$6,616
	Local Assistance:			
0366	Indian Gaming Revenue Sharing Trust Fund	\$79,750	\$96,500	\$96,500
8088	Graton Mitigation Fund	1,345	-	-
	Totals, Local Assistance	\$81,095	\$96,500	\$96,500
	TOTALS, EXPENDITURES			
	State Operations	5,232	6,723	6,616
	Local Assistance	81,095	96,500	96,500
	Totals, Expenditures	\$86,327	\$103,223	\$103,116

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions					
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	35.0	35.0	35.0	\$3,028	\$3,072	\$3,072
Budget Position Transparency	-	-2.3	-2.3	-	-303	-303
Total Adjustments	-2.5	-	-	-311	74	74
Net Totals, Salaries and Wages	32.5	32.7	32.7	\$2,717	\$2,843	\$2,843
Staff Benefits	-	-	-	1,238	1,828	1,839
Totals, Personal Services	32.5	32.7	32.7	\$3,955	\$4,671	\$4,682
OPERATING EXPENSES AND EQUIPMENT				\$1,269	\$2,047	\$1,934
SPECIAL ITEMS OF EXPENSES				8	5	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$5,232	\$6,723	\$6,616

2 Local Assistance

	Expenditures		
	2014-15*	2015-16*	2016-17*
Grants and Subventions - Governmental	\$79,750	\$96,500	\$96,500
Other Special Items of Expense	1,345	-	-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$81,095	\$96,500	\$96,500

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			

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0855 California Gambling Control Commission - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
001 Budget Act appropriation	\$2,640	\$2,762	\$2,778
Allocation for employee compensation	32	31	-
Allocation for staff benefits	2	16	-
Budget Position Transparency	-	-127	-
Expenditure by Category Redistribution	-	127	-
Section 3.60 pension contribution adjustment	<u>37</u>	<u>11</u>	<u>-</u>
Totals Available	\$2,711	\$2,820	\$2,778
Unexpended balance, estimated savings	<u>-453</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$2,258	\$2,820	\$2,778
0567 Gambling Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,646	\$3,815	\$3,836
Allocation for employee compensation	44	43	-
Allocation for staff benefits	3	22	-
Budget Position Transparency	-	-176	-
Expenditure by Category Redistribution	-	176	-
Section 3.60 pension contribution adjustment	<u>51</u>	<u>15</u>	<u>-</u>
Totals Available	\$3,744	\$3,895	\$3,836
Unexpended balance, estimated savings	<u>-778</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$2,966	\$3,895	\$3,836
3131 California Bingo Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$2</u>	<u>\$2</u>	<u>\$2</u>
Totals Available	\$2	\$2	\$2
Unexpended balance, estimated savings	<u>-2</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$2	\$2
3132 Charity Bingo Mitigation Fund			
APPROPRIATIONS			
Interest expense on Indian Gaming Special Distribution Fund per Penal Code section 326.4 (d) (1)	\$11	\$12	-
Baseline Adjustment Charity Bingo Mitigation	<u>-</u>	<u>-6</u>	<u>-</u>
Totals Available	\$11	\$6	\$-
Unexpended balance, estimated savings	<u>-3</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$8	\$6	\$-
Total Expenditures, All Funds, (State Operations)	\$5,232	\$6,723	\$6,616
2 LOCAL ASSISTANCE			
0366 Indian Gaming Revenue Sharing Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$96,500	\$96,500	\$96,500
Totals Available	\$96,500	\$96,500	\$96,500
Unexpended balance, estimated savings	<u>-16,750</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$79,750	\$96,500	\$96,500
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
111 Budget Act appropriation (transfer to Indian Gaming Revenue Sharing Trust Fund)	<u>(\$25,000)</u>	<u>(\$25,000)</u>	<u>(\$25,000)</u>
TOTALS, EXPENDITURES	\$-	\$-	\$-

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0855 California Gambling Control Commission - Continued

2 LOCAL ASSISTANCE	2014-15*	2015-16*	2016-17*
8088 Graton Mitigation Fund			
APPROPRIATIONS			
Past Year Expenditures	\$1,345	-	-
TOTALS, EXPENDITURES	\$1,345	\$-	\$-
Total Expenditures, All Funds, (Local Assistance)	\$81,095	\$96,500	\$96,500
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$86,327	\$103,223	\$103,116

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
0367 Indian Gaming Special Distribution Fund^s			
BEGINNING BALANCE	\$15,929	\$17,533	\$11,974
Prior Year Adjustments	-1,314	-	-
Adjusted Beginning Balance	\$14,615	\$17,533	\$11,974
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	23	50	54
4173900 Tribal Gaming Revenues	49,649	51,488	50,776
Transfers and Other Adjustments			
Revenue Transfer from the Indian Gaming Special Distribution Fund (0367) to the Indian Gaming Revenue Sharing Trust Fund (0366) per Item 0855-111-0367, Budget Acts of 2013, 2014, and 2015	-18,000	-25,000	-25,000
Revenue Transfer from the Indian Gaming Revenue Sharing Trust Fund (0366) to the Indian Gaming Special Distribution Fund (0367) per Government Code Section 12012.90(c)	275	-	-
Total Revenues, Transfers, and Other Adjustments	\$31,947	\$26,538	\$25,830
Total Resources	\$46,562	\$44,071	\$37,804
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	18,553	20,820	20,938
0855 California Gambling Control Commission (State Operations)	2,259	2,820	2,778
4265 Department of Public Health (State Operations)	4,319	4,334	4,374
4265 Department of Public Health (Local Assistance)	3,871	4,000	4,000
7501 Department of Human Resources (State Operations)	6	75	75
8880 Financial Information System for California (State Operations)	22	48	35
Total Expenditures and Expenditure Adjustments	\$29,029	\$32,097	\$32,200
FUND BALANCE	\$17,533	\$11,974	\$5,604
Reserve for economic uncertainties	17,533	11,974	5,604

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	35.0	35.0	35.0	\$3,028	\$3,072	\$3,072
Budget Position Transparency	-	-2.3	-2.3	-	-303	-303
Salary and Other Adjustments	-2.5	-	-	-311	74	74
Totals, Adjustments	-2.5	-2.3	-2.3	-\$311	-\$229	-\$229
TOTALS, SALARIES AND WAGES	32.5	32.7	32.7	\$2,717	\$2,843	\$2,843

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0860 State Board of Equalization

The State Board of Equalization administers various tax and fee programs, including the Sales and Use tax; adopts rules and regulations to clarify tax laws; acts as an appellate body for the review of property, business and income tax assessments; assesses and allocates the property values of railroads and specified utilities; and oversees the property tax assessment practices of all 58 county assessors.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0570025 County Assessment Standards Program	84.0	70.8	71.5	\$10,193	\$10,536	\$10,566
0570050 State-Assessed Property Program	66.0	71.0	72.5	8,458	9,944	10,253
0570075 Timber Tax Program	14.0	10.0	9.9	1,726	2,494	2,498
0570100 Sales and Use Tax Program	3,796.2	3,392.7	3,471.7	446,112	463,909	480,204
0570125 Hazardous Substances Tax Program	41.6	40.4	40.8	4,585	5,170	5,207
0570150 Alcoholic Beverage Tax Program	22.8	19.1	19.5	2,438	2,912	3,024
0570175 Tire Recycling Fee Program	16.8	15.6	15.8	1,625	1,788	1,805
0570200 Cigarette and Tobacco Products Tax Program	100.8	95.9	98.1	22,455	25,670	26,248
0570225 Cigarette and Tobacco Products Licensing Program	73.4	67.1	67.7	9,848	10,573	10,688
0570250 Transportation Fund Tax Program	194.6	147.7	154.0	27,140	29,557	31,724
0570275 Occupational Lead Poisoning Prevention Fee Program	8.0	7.1	7.2	781	892	901
0570300 Integrated Waste Management Program	4.0	4.4	4.4	506	590	611
0570325 Underground Storage Tank Fee Program	28.5	25.2	25.7	3,279	3,705	3,840
0570350 Oil Spill Prevention Program	1.8	3.1	3.2	285	688	710
0570375 Energy Resources Surcharge Program	1.8	2.1	2.1	262	315	338
0570400 Annual Water Rights Fee Program	3.5	4.2	4.2	407	516	524
0570425 Childhood Lead Poisoning Prevention Fee Program	3.3	4.5	4.4	454	631	629
0570450 Marine Invasive Species Program	3.1	3.4	3.5	365	502	504
0570475 Fire Prevention Fee Program	72.3	68.2	61.2	9,371	9,068	8,501
0570500 Emergency Telephone Users Surcharge Program	9.0	11.6	11.8	1,230	1,783	1,909
0570525 E-Waste Recycling Fee Program	34.0	32.2	32.8	4,197	5,423	5,521
0570550 Lumber Fee Program	5.6	14.4	14.4	1,234	2,261	2,069
0570575 Insurance Tax Program	2.3	1.9	1.9	308	329	330
0570600 Natural Gas Surcharge Program	4.7	3.7	4.2	788	889	1,066
0570625 Appeals from Other Governmental Programs	22.1	13.3	13.5	3,234	2,323	2,342
0570650 Prepaid Mobile Telephony Program	-	20.7	23.2	-	5,654	3,848
0570675 Regional Railroad Accident Preparedness and Immediate Response Program	-	2.4	2.3	-	821	579
9900100 Administration	-	469.4	474.2	32	64,896	65,632
9900200 Administration - Distributed	-	-	-	-	-64,479	-65,215
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	4,614.2	4,622.1	4,715.6	\$561,313	\$599,360	\$616,856
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$298,948	\$308,643	\$327,584
0004 Breast Cancer Fund				738	826	722
0022 State Emergency Telephone Number Account				1,230	1,783	1,909
0061 Motor Vehicle Fuel Account, Transportation Tax Fund				27,002	29,122	31,287
0070 Occupational Lead Poisoning Prevention Account				781	892	901
0080 Childhood Lead Poisoning Prevention Fund				454	631	629
0230 Cigarette and Tobacco Products Surtax Fund				9,162	10,254	8,954
0320 Oil Spill Prevention and Administration Fund				285	688	710

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0860 State Board of Equalization - Continued

FUNDING	2014-15*	2015-16*	2016-17*
0387 Integrated Waste Management Account, Integrated Waste Management Fund	506	590	611
0439 Underground Storage Tank Cleanup Fund	3,279	3,705	3,840
0465 Energy Resources Programs Account	262	315	338
0623 California Children and Families First Trust Fund	16,215	18,138	15,506
0890 Federal Trust Fund	138	435	437
0965 Timber Tax Fund	1,726	2,494	2,498
0995 Reimbursements	182,821	194,791	191,310
3015 Gas Consumption Surcharge Fund	788	889	1,066
3058 Water Rights Fund	407	516	524
3063 State Responsibility Area Fire Prevention Fund	9,227	9,068	8,501
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	4,197	5,423	5,521
3067 Cigarette and Tobacco Products Compliance Fund	1,913	2,242	7,512
3212 Timber Regulation and Forest Restoration Fund	1,234	2,261	2,069
3251 Prepaid Mobile Telephony Services Surcharge Fund	-	2,371	2,089
3260 Regional Railroad Accident Preparedness and Immediate Response Fund	-	-	579
3270 Local Charges for Prepaid Mobile Telephony Service Fund	-	3,283	1,759
TOTALS, EXPENDITURES, ALL FUNDS	\$561,313	\$599,360	\$616,856

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Article XIII, Section 17 of the California Constitution.

PROGRAM AUTHORITY

0570025-County Assessment Standards Program:

California Constitution, Article XIII, Section 18, Revenue & Taxation Code Sections 63.1, 64, 69.5, 75.60, 155, 169, 214-214.5, 218.5, 251, 254.6, 401.5, 407-408.4, 423, 452, 480.1, 480.2, 480.4, 601, 602, 615, 618, 670-673, 1153, 1254, 1366, 1603, 1650, 1716, 1717.1, 1815-1817, 5364, 5781, and 5840, and Government Code Sections 15606-15608, 15611, 15624, and 15640-15646.

0570050-State-Assessed Property Program:

California Constitution, Article XIII, Section 19, Revenue and Taxation Code Sections 100-100.96, 721-868, 4876-4880, 5011-5014, 5148 and 11201-11702, and Government Code Sections 54900-54916.5.

0570075-Timber Tax Program:

Revenue & Taxation Code Sections 431-437, 38101-38908, and Public Resources Code, Section 4654.

0570100-Sales and Use Tax Program:

California Constitution (Sections 35 and 36, Article XIII), State Sales and Use Tax

Revenue & Taxation Code Sections 6001-7176, Bradley-Burns Uniform Local Sales and Use Tax, Revenue & Taxation Code Sections 7200-7226, District Transactions and Use Tax (cities/counties), and Revenue & Taxation Code Sections 7251-7279.6, 7285, and 7288.6.

0570125-Hazardous Substances Tax Program:

Revenue & Taxation Code Sections 43001-43651, and Health & Safety Code Sections 25174-25174.11 and 25205.1-25205.23.

0570150-Alcoholic Beverage Tax Program:

California Constitution, Article XX, Section 22, Revenue & Taxation Code Sections 32001-32557, and Business and Professions Code Sections 23000-23673.

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0860 State Board of Equalization - Continued

0570175-Tire Recycling Fee Program:

Revenue & Taxation Code Sections 55001-55381, and Public Resource Code Sections 42860-42895.

0570200-Cigarette and Tobacco Products Tax Program:

Constitution Article XIII B, Section 12, Revenue and Taxation Code Sections 30001-30483, Federal Laws relating to collection of state cigarette tax: (15 U.S.C.A., Chapter 10A, Sections 375-378; 63 Stat. 884, as amended by 67 Stat. 617, and 69 Stat. 627.), and Health and Safety Code Sections 104555-104558.

0570225-Cigarette and Tobacco Products Licensing Program:

Government Code Section 15618.5, Business and Professions Code, Division 8.6 (commencing with Section 22970), Health and Safety Code Sections 14950 and 104557, Penal Code Section 830.11, Revenue and Taxation Code Sections 30019, 30140-30149, 30155-30159, 30165.1, 30166.1, 30168, 30177.5, 30210-30215, 30355-30358, 30435, 30436, 30449, 30471, 30473, 30473.5, 30474, 30474.1, 30475, 30481, and 30482.

0570250-Transportation Fund Tax Program:

Constitution Article XIX, Sections 1-9; Revenue and Taxation Code Sections 7301-8526, 8601-9355, 9401-9433, and 60001-60708.

0570275-Occupational Lead Poisoning Prevention Fee Program:

Revenue and Taxation Code Sections 43001-43651; Health and Safety Code Sections 105185-105195; and California Code of Regulations Title 17, Chapter 11, Sections 38001-38005.

0570300-Integrated Waste Management Program:

Revenue and Taxation Code Sections 45001-45984, and Public Resource Code Sections 40000-48008.

0570325-Underground Storage Tank Fee Program:

Revenue and Taxation Code Sections 50101-50162, and Health and Safety Code Sections 25280-25299.99.

0570350-Oil Spill Prevention Program:

Revenue and Taxation Code Sections 46001-46751, and Government Code Sections 8670.1 and 8670.73.

0570375-Energy Resources Surcharge Program:

Revenue and Taxation Code Sections 40001-40216.

0570400-Annual Water Rights Fee Program:

Water Code Sections 1525-1552, 13050-13160.1, and Revenue and Taxation Code Sections 55001-55381.

0570425-Childhood Lead Poisoning Prevention Fee Program:

Revenue and Taxation Code Sections 43001-43651, and Health & Safety Code Sections 105275-105310.

0570450-Marine Invasive Species Fee Program:

Revenue and Taxation Code Sections 44000-44007 and 55001-55381, and Public Resource Code Sections 71200-71271.

0570475-Fire Prevention Fee Program:

Public Resources Code Sections 4210-4214 and 4220-4228, and Revenue and Taxation Code, Part 30, Division 2 commencing with Section 55001.

0570500-Emergency Telephone Users Surcharge Program:

Revenue and Taxation Code Sections 41001-41176.

0570525-E-Waste Recycling Fee Program:

Health and Safety Code Sections 25214.9-25214.10, Public Resource Code Sections 41516 and 42460-42486, and Revenue and Taxation Code Sections 55001-55381.

0570550-Lumber Fee Program:

California Public Resources Code, Section 4629.5.

0860 State Board of Equalization - Continued

0570575-Insurance Tax Program:

Constitution Article XIII, Section 28, Revenue and Taxation Code Sections 12001-13170, and Insurance Code Sections 132, 685-685.4, 995.5, 1530, 1531, 1774-1780, 10089.44, 12976, and 12976.5.

0570600-Natural Gas Surcharge Program:

Revenue and Taxation Code, Sections 55001-55381, and Public Utilities Code Sections 890-900.

0570625-Appeals from Other Governmental Programs:

Administration of Franchise and Income Tax Laws: Revenue and Taxation Code, Sections 18401-19802.

Personal Income Tax Law: Revenue and Taxation Code, Sections 17001-18180.

Bank and Corporation Tax Law: Revenue and Taxation Code, Sections 23001-25141.

Senior Citizens Homeowners' and Renters' Property Tax Assistance: Revenue and Taxation Code, Sections 20501-20646.

Public Owned Property and California Constitution, Article XIII, Section 11, Revenue and Taxation Code Sections 1840-1841
Procedural Regulations: Title 18, California Code of Regulations, Section 5010, et seq.

0570650-Prepaid Mobile Telephony Services Surcharge Program:

Revenue and Taxation Code Sections 42001-42024 and 42100-42111.

0570675-Regional Railroad Accident Preparedness and Immediate Response Program:

Government Code, Title 2, Division 1, Chapter 7, Article 3.9, Sections 8574.30-8574.48

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• CROS Project Implementation	\$-	\$-	-	\$9,379	\$2,600	29.5
• Appeals Division's Business Taxes Program and Settlement Workload	-	-	-	3,291	1,893	30.0
• CROS Project Implementation Reimbursements	-	-	-	-	5,530	13.6
• Permanent Establishment of Fire Prevention Fee Limited Term Positions	-	-	-	-	1,421	15.8
• Prepaid Mobile Telephony Surcharge Implementation (AB 1717)	-	-	-	-	1,415	3.7
• Joint Operations Center - Ensuring Fuel Tax Compliance	-	-	-	-	296	2.0
• Regional Railroad Accident Preparedness and Immediate Response Program Reimbursement	-	821	2.4	-	-8	-0.1
• BOE Audit and Reporting Reimbursements	-	-	-	-	-268	-4.0
• Regional Railroad Accident Preparedness and Immediate Response Program	-	-	-	-16	499	1.4
• BOE Audit and Reporting	-	-	-	-450	-80	-7.0
• Cigarette and Tobacco Compliance Fund Reallocation	-	-	-	-590	590	-
Totals, Workload Budget Change Proposals	\$-	\$821	2.4	\$11,614	\$13,888	84.9
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$6,599	\$737	-	\$6,608	\$485	-
• Salary Adjustments	4,454	3,260	-	4,506	3,295	-
• Benefit Adjustments	2,598	1,880	-	3,424	2,474	-
• Retirement Rate Adjustments	1,563	1,136	-	1,563	1,136	-

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0860 State Board of Equalization - Continued

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Pro Rata	-	-	-	-	509	-
• SWCAP	-	-	-	-	6	-
• Lease Revenue Debt Service Adjustment	-29	-	-	-98	-	-
• Budget Position Transparency	-6,599	-737	-232.9	-6,608	-485	-173.5
• Miscellaneous Baseline Adjustments	-23,562	23,562	-	-13,991	11,083	-45.0
Totals, Other Workload Budget Adjustments	-\$14,976	\$29,838	-232.9	-\$4,596	\$18,503	-218.5
Totals, Workload Budget Adjustments	-\$14,976	\$30,659	-230.5	\$7,018	\$32,391	-133.6
Totals, Budget Adjustments	-\$14,976	\$30,659	-230.5	\$7,018	\$32,391	-133.6

PROGRAM DESCRIPTIONS

0570025 - COUNTY ASSESSMENT STANDARDS PROGRAM

This program carries out the BOE's constitutional and statutory responsibilities that all taxable properties are enrolled and assessed, providing consultation and services to the County Assessors and their staff. In addition, the Board through this program oversees the 58 County Assessors to validate property tax assessments conform with state law.

0570050 - STATE-ASSESSED PROPERTY PROGRAM

This program is responsible for valuing and assessing inter-county pipelines and properties owned or used by railroads, regulated telephone companies, companies transmitting or selling gas or electricity, and private railroad car companies. Local jurisdictions use the established values for the levy and collection of local property taxes.

0570075 - TIMBER TAX PROGRAM

This program administers the timber yield tax by establishing the harvest value of timber and collecting a tax on the harvested timber.

0570100 - SALES AND USE TAX PROGRAM

This program ensures that all sales and use tax revenues are collected in an equitable and effective manner through accurate reporting of tax liability. This is accomplished by detecting and correcting errors in self-assessments, and promptly collecting amounts determined to be due and economically recoverable. Under this program, the BOE administers the following taxes: State Sales and Use Tax, Bradley-Burns Uniform Local Sales and Use Tax, and District Transactions and Use Tax (cities/counties).

0570125 - HAZARDOUS SUBSTANCES TAX PROGRAM

These programs provide revenue for the Hazardous Waste Control Account (HWCA) and the Toxic Substances Control Account (TSCA). The fees provide funding to the Department of Toxic Substances to regulate hazardous waste in California. Generators of hazardous waste, hazardous waste facilities and certain business organizations, as identified by statutes, are subject to the fees. The BOE administers the following fee programs in partnership with the department: Disposal Fee (HWCA), Facility Fee (HWCA), Generator Fee (HWCA), Transportable Treatment Unit Fee (HWCA), Activity Fee (HWCA), and Environmental Fee (TSCA).

0570150 - ALCOHOLIC BEVERAGE TAX PROGRAM

This program collects the excise tax imposed on the sale, distribution, or importation of alcoholic beverages in California.

0570175 - TIRE RECYCLING FEE PROGRAM

This program provides revenue for the California Tire Recycling Account in the California Tire Recycling Management Fund and Air Pollution Control Fund. The fee provides funding to reduce: air pollution, landfill disposal, and stockpiling of used tires. The BOE administers the program in partnership with the California Department of Resources Recycling and Recovery (CalRecycle) and the Air Resources Board.

0570200 - CIGARETTE AND TOBACCO PRODUCTS TAX PROGRAM

This program provides revenue for the Cigarette Tax Fund, Cigarette and Tobacco Products Surtax Fund, the Breast Cancer Fund, and the California Children and Families First Trust Fund. The program objective is to ensure that all cigarette and tobacco products tax revenues are collected equitably and effectively by ensuring timely reporting and payment of tax liabilities, detecting and correcting errors in reporting, and promptly collecting amounts determined to be due and economically recoverable.

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0860 State Board of Equalization - Continued

0570225 - CIGARETTE AND TOBACCO PRODUCTS LICENSING PROGRAM

This program provides revenue for the Cigarette and Tobacco Products Compliance Fund, which is used to implement, enforce, and administer the provisions of the California Cigarette and Tobacco Licensing Act. The purpose of the Act is to reduce tax evasion, smuggling, and counterfeiting of cigarette and tobacco products and stamps. It requires statewide licensing of all distributors, wholesalers, importers, manufacturers, and retailers of cigarette and tobacco products.

0570250 - TRANSPORTATION FUND TAX PROGRAM

This program provides revenue for the Transportation Tax Fund. Allocations are made from the fund to the Aeronautics Account, Harbors and Watercraft Revolving Fund, Off-Highway Vehicle Trust Fund, Highway Users Tax Fund, and Department of Food and Agriculture Fund. The objective of this program is to ensure that the motor vehicle fuel, jet fuel, various use fuel, and diesel fuel taxes are administered equitably and effectively. Pursuant to the International Fuel Tax Agreement (IFTA), the BOE registers interstate truckers whose base state is California. Revenues from this program are used to construct and maintain public roads and mass transit systems, airports and waterways.

0570275 - OCCUPATIONAL LEAD POISONING PREVENTION FEE PROGRAM

This program provides revenue for the Occupational Lead Poisoning Prevention Account in the General Fund. The funds are used to establish and maintain occupational health and disease prevention programs. Employers in specified Standard Industrial Classification codes who employ 10 or more qualifying employees are subject to the fee. The BOE administers the program in partnership with the California Department of Public Health.

0570300 - INTEGRATED WASTE MANAGEMENT PROGRAM

This program provides revenue for the Integrated Waste Management Account in the Integrated Waste Management Fund. The fees provide funding to respond to potential health and environmental problems at on-site and off-site solid waste landfills, and supports state and local landfill permit enforcement programs. Operators of solid waste disposal facilities that include non-hazardous wood waste facilities are subject to the fee. The BOE administers the program in partnership with CalRecycle.

0570325 - UNDERGROUND STORAGE TANK FEE PROGRAM

This program provides revenue for the Underground Storage Tank (UST) Cleanup Fund in the State Treasury. BOE collects a fee for each gallon of petroleum placed in USTs for the Petroleum Underground Storage Tank Financing Account. This revenue provides funding to monitor and regulate underground storage tanks containing petroleum, reimburse businesses for the cleanup of leaking USTs, and protect human health and the environment.

0570350 - OIL SPILL PREVENTION PROGRAM

This program provides revenue to the Department of Fish and Wildlife, which is deposited into the Oil Spill Prevention and Administration Fund. Fees are collected on all crude oil and petroleum products received in California via marine pipelines and terminals. The prevention and administration fees provide funding to implement oil spill prevention programs and reimburse the member agencies of the State Interagency Oil Spill Committee for costs arising from the implementation of this program. Funding is also provided to implement, install, and maintain emergency programs, equipment, and facilities to respond to, contain, and cleanup oil spills.

0570375 - ENERGY RESOURCES SURCHARGE PROGRAM

This program provides revenue for the Energy Resource Surcharge Fund and is used to support the State Energy Resources Conservation and Development Commission. The revenue is generated by administering a surcharge on consumers of electrical energy purchased from an electrical utility.

0570400 - ANNUAL WATER RIGHTS FEE PROGRAM

This program provides revenue for the Water Rights Fund. The fees provide funding to the State Water Resources Control Board to support the activities of its Division of Water Rights. The annual fee is collected from water right permit holders based on a schedule of fees adopted each fiscal year by the State Water Resources Control Board.

0570425 - CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM

This program provides revenue for the Childhood Lead Poisoning Prevention Fund. This program collects an annual fee from architectural coatings distributors, motor vehicle fuel distributors, and facilities currently reporting releases of lead into ambient air in California. This revenue supports the Childhood Lead Poisoning Prevention Fee Program administered by the California Department of Public Health, Childhood Lead Poisoning Prevention Branch.

0570450 - MARINE INVASIVE SPECIES PROGRAM

This program provides revenue for the Marine Invasive Species Control Fund and is often referred to as the Ballast Water Management Program. The fees provide funding to the California State Lands Commission to prevent or minimize the introduction and spread of non-indigenous aquatic species into California waters. Owners or operators of qualifying vessels carrying, or capable of carrying, ballast water from outside California are subject to the fee. The BOE administers the

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0860 State Board of Equalization - Continued

program in partnership with the Commission.

0570475 - FIRE PREVENTION FEE PROGRAM

This program provides revenue for the State Responsibility Area Fire Prevention Fund. The revenue is generated by administering an annual fire prevention fee charged on each habitable structure on a parcel that is within a state responsibility area. The revenues are to be used by the California Department of Forestry and Fire Protection for fire prevention activities within the State Responsibility Area which benefits those owners who are subject to the fire prevention fee.

0570500 - EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM

This program provides revenue for the State Emergency Telephone Number Account. Revenue generated by this program funds the State's "911" emergency telephone system by administering a surcharge on intrastate telephone and Voice over Internet Protocol (VoIP) communication services.

0570525 - E-WASTE RECYCLING FEE PROGRAM

This program provides revenue for the Electronic Waste Recovery and Recycling Account in the Integrated Waste Management Fund. The fees provide funding for CalRecycle by imposing a recycling fee upon the retail sale or lease of new or refurbished video display devices identified by the Department of Toxic Substances as containing hazardous materials.

0570550 - LUMBER FEE PROGRAM

This program collects a one percent assessment on purchases of lumber products and engineered wood products for use in California.

0570575 - INSURANCE TAX PROGRAM

This program provides revenue to the Insurance Tax Fund. Revenue is generated from taxes assessed on insurance premiums, underwriting profits from ocean marine insurance, and retaliatory assessments levied on "foreign" insurers. The taxes collected are used to pay refunds or transferred to the General Fund.

0570600 - NATURAL GAS SURCHARGE PROGRAM

This program provides revenue to fund low-income assistance programs, cost effective energy efficiency programs, conservation activities, and public interest research and development. The revenue is generated by administering a surcharge on the consumption of all natural gas in California.

0570625 - APPEALS FROM OTHER GOVERNMENTAL PROGRAMS

This program reviews income tax assessments and corporation taxes and eligibility determinations made in administering the Senior Citizens Property Tax Assistance Program upon written request filed by a taxpayer. The program also acts as an impartial tribunal to hear and decide property tax assessment appeals by a local government (or one of its agencies) that owns taxable real property located outside its boundaries. Property owned by a local government, such as a city, and located outside its boundaries is subject to assessment by the county in which the property is located.

0570650 - PREPAID MOBILE TELEPHONY PROGRAM

This program provides revenue to the Prepaid Mobile Telephony Services (MTS) Surcharge Fund and the Local Charges for the Prepaid MTS Fund. The revenue funds the State Emergency Telephone Number Account, universal service funds and local funds. A surcharge is imposed on each consumer of prepaid mobile telephony services at the retail level.

0570675 - REGIONAL RAILROAD ACCIDENT PREPAREDNESS AND IMMEDIATE RESPONSE PROGRAM

This program provides revenue to the Governor's Office of Emergency Services, to prepare for and provide immediate onsite response related to a large-scale release of hazardous materials from a rail car or a railroad accident involving a rail car. The revenues are deposited into the Regional Railroad Accident Preparedness and Immediate Response Fund. Fees are collected on the top 25 most hazardous material commodities transported by rail car within California. The fee provides funding to pay for the planning, developing, support, and maintenance of various aspects of regional, state, and local emergency response programs, teams, specialized equipment, supplies, and training to prepare for such an occurrence.

9900 - ADMINISTRATION

This program implements the policies and directives of the Board Members and provides direction, leadership, planning, and support services for all Board programs.

DETAILED EXPENDITURES BY PROGRAM

PROGRAM REQUIREMENTS	2014-15*	2015-16*	2016-17*
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0860 State Board of Equalization - Continued

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
0570	ADMINISTRATION OF THE BOARD OF EQUALIZATION			
	State Operations:			
0001	General Fund	\$298,948	\$308,643	\$327,584
0004	Breast Cancer Fund	738	826	722
0022	State Emergency Telephone Number Account	1,230	1,783	1,909
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	27,002	29,122	31,287
0070	Occupational Lead Poisoning Prevention Account	781	892	901
0080	Childhood Lead Poisoning Prevention Fund	454	631	629
0230	Cigarette and Tobacco Products Surtax Fund	9,162	10,254	8,954
0320	Oil Spill Prevention and Administration Fund	285	688	710
0387	Integrated Waste Management Account, Integrated Waste Management Fund	506	590	611
0439	Underground Storage Tank Cleanup Fund	3,279	3,705	3,840
0465	Energy Resources Programs Account	262	315	338
0623	California Children and Families First Trust Fund	16,215	18,138	15,506
0890	Federal Trust Fund	138	435	437
0965	Timber Tax Fund	1,726	2,494	2,498
0995	Reimbursements	182,789	194,374	190,893
3015	Gas Consumption Surcharge Fund	788	889	1,066
3058	Water Rights Fund	407	516	524
3063	State Responsibility Area Fire Prevention Fund	9,227	9,068	8,501
3065	Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	4,197	5,423	5,521
3067	Cigarette and Tobacco Products Compliance Fund	1,913	2,242	7,512
3212	Timber Regulation and Forest Restoration Fund	1,234	2,261	2,069
3251	Prepaid Mobile Telephony Services Surcharge Fund	-	2,371	2,089
3260	Regional Railroad Accident Preparedness and Immediate Response Fund	-	-	579
3270	Local Charges for Prepaid Mobile Telephony Service Fund	-	3,283	1,759
	Totals, State Operations	\$561,281	\$598,943	\$616,439
	SUBPROGRAM REQUIREMENTS			
0570025	County Assessment Standards Program			
	State Operations:			
0001	General Fund	\$10,193	\$10,536	\$10,566
	Totals, State Operations	\$10,193	\$10,536	\$10,566
	SUBPROGRAM REQUIREMENTS			
0570050	State-Assessed Property Program			
	State Operations:			
0001	General Fund	\$8,185	\$9,337	\$9,646
0995	Reimbursements	273	607	607
	Totals, State Operations	\$8,458	\$9,944	\$10,253
	SUBPROGRAM REQUIREMENTS			
0570075	Timber Tax Program			
	State Operations:			
0965	Timber Tax Fund	\$1,726	\$2,494	\$2,498
	Totals, State Operations	\$1,726	\$2,494	\$2,498

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0860 State Board of Equalization - Continued

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
SUBPROGRAM REQUIREMENTS				
0570100	Sales and Use Tax Program			
	State Operations:			
0001	General Fund	\$270,171	\$278,423	\$297,434
0995	Reimbursements	<u>175,941</u>	<u>185,486</u>	<u>182,770</u>
	Totals, State Operations	\$446,112	\$463,909	\$480,204
SUBPROGRAM REQUIREMENTS				
0570125	Hazardous Substances Tax Program			
	State Operations:			
0995	Reimbursements	<u>4,585</u>	<u>5,170</u>	<u>5,207</u>
	Totals, State Operations	\$4,585	\$5,170	\$5,207
SUBPROGRAM REQUIREMENTS				
0570150	Alcoholic Beverage Tax Program			
	State Operations:			
0001	General Fund	<u>\$2,438</u>	<u>\$2,912</u>	<u>\$3,024</u>
	Totals, State Operations	\$2,438	\$2,912	\$3,024
SUBPROGRAM REQUIREMENTS				
0570175	Tire Recycling Fee Program			
	State Operations:			
0995	Reimbursements	<u>1,625</u>	<u>1,788</u>	<u>1,805</u>
	Totals, State Operations	\$1,625	\$1,788	\$1,805
SUBPROGRAM REQUIREMENTS				
0570200	Cigarette and Tobacco Products Tax Program			
	State Operations:			
0001	General Fund	\$3,363	\$3,832	\$3,881
0004	Breast Cancer Fund	556	635	649
0230	Cigarette and Tobacco Products Surtax Fund	6,881	7,859	8,040
0623	California Children and Families First Trust Fund	<u>11,655</u>	<u>13,344</u>	<u>13,678</u>
	Totals, State Operations	\$22,455	\$25,670	\$26,248
SUBPROGRAM REQUIREMENTS				
0570225	Cigarette and Tobacco Products Licensing Program			
	State Operations:			
0001	General Fund	\$912	\$951	\$361
0004	Breast Cancer Fund	182	191	73
0230	Cigarette and Tobacco Products Surtax Fund	2,281	2,395	914
0623	California Children and Families First Trust Fund	4,560	4,794	1,828
3067	Cigarette and Tobacco Products Compliance Fund	<u>1,913</u>	<u>2,242</u>	<u>7,512</u>
	Totals, State Operations	\$9,848	\$10,573	\$10,688
SUBPROGRAM REQUIREMENTS				
0570250	Transportation Fund Tax Program			
	State Operations:			
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	\$27,002	\$29,122	\$31,287
0890	Federal Trust Fund	<u>138</u>	<u>435</u>	<u>437</u>
	Totals, State Operations	\$27,140	\$29,557	\$31,724
SUBPROGRAM REQUIREMENTS				
0570275	Occupational Lead Poisoning Prevention Fee Program			

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0860 State Board of Equalization - Continued

	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
State Operations:			
0070 Occupational Lead Poisoning Prevention Account	\$781	\$892	\$901
Totals, State Operations	\$781	\$892	\$901
SUBPROGRAM REQUIREMENTS			
0570300 Integrated Waste Management Program			
State Operations:			
0387 Integrated Waste Management Account, Integrated Waste Management Fund	\$506	\$590	\$611
Totals, State Operations	\$506	\$590	\$611
SUBPROGRAM REQUIREMENTS			
0570325 Underground Storage Tank Fee Program			
State Operations:			
0439 Underground Storage Tank Cleanup Fund	\$3,279	\$3,705	\$3,840
Totals, State Operations	\$3,279	\$3,705	\$3,840
SUBPROGRAM REQUIREMENTS			
0570350 Oil Spill Prevention Program			
State Operations:			
0320 Oil Spill Prevention and Administration Fund	\$285	\$688	\$710
Totals, State Operations	\$285	\$688	\$710
SUBPROGRAM REQUIREMENTS			
0570375 Energy Resources Surcharge Program			
State Operations:			
0465 Energy Resources Programs Account	\$262	\$315	\$338
Totals, State Operations	\$262	\$315	\$338
SUBPROGRAM REQUIREMENTS			
0570400 Annual Water Rights Fee Program			
State Operations:			
3058 Water Rights Fund	\$407	\$516	\$524
Totals, State Operations	\$407	\$516	\$524
SUBPROGRAM REQUIREMENTS			
0570425 Childhood Lead Poisoning Prevention Fee Program			
State Operations:			
0080 Childhood Lead Poisoning Prevention Fund	\$454	\$631	\$629
Totals, State Operations	\$454	\$631	\$629
SUBPROGRAM REQUIREMENTS			
0570450 Marine Invasive Species Program			
State Operations:			
0995 Reimbursements	365	502	504
Totals, State Operations	\$365	\$502	\$504
SUBPROGRAM REQUIREMENTS			
0570475 Fire Prevention Fee Program			
State Operations:			
0001 General Fund	\$144	\$-	\$-
3063 State Responsibility Area Fire Prevention Fund	9,227	9,068	8,501
Totals, State Operations	\$9,371	\$9,068	\$8,501
SUBPROGRAM REQUIREMENTS			
0570500 Emergency Telephone Users Surcharge Program			

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0860 State Board of Equalization - Continued

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
	State Operations:			
0022	State Emergency Telephone Number Account	\$1,230	\$1,783	\$1,909
	Totals, State Operations	\$1,230	\$1,783	\$1,909
	SUBPROGRAM REQUIREMENTS			
0570525	E-Waste Recycling Fee Program			
	State Operations:			
3065	Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	\$4,197	\$5,423	\$5,521
	Totals, State Operations	\$4,197	\$5,423	\$5,521
	SUBPROGRAM REQUIREMENTS			
0570550	Lumber Fee Program			
	State Operations:			
3212	Timber Regulation and Forest Restoration Fund	\$1,234	\$2,261	\$2,069
	Totals, State Operations	\$1,234	\$2,261	\$2,069
	SUBPROGRAM REQUIREMENTS			
0570575	Insurance Tax Program			
	State Operations:			
0001	General Fund	\$308	\$329	\$330
	Totals, State Operations	\$308	\$329	\$330
	SUBPROGRAM REQUIREMENTS			
0570600	Natural Gas Surcharge Program			
	State Operations:			
3015	Gas Consumption Surcharge Fund	\$788	\$889	\$1,066
	Totals, State Operations	\$788	\$889	\$1,066
	SUBPROGRAM REQUIREMENTS			
0570625	Appeals from Other Governmental Programs			
	State Operations:			
0001	General Fund	\$3,234	\$2,323	\$2,342
	Totals, State Operations	\$3,234	\$2,323	\$2,342
	SUBPROGRAM REQUIREMENTS			
0570650	Prepaid Mobile Telephony Program			
	State Operations:			
3251	Prepaid Mobile Telephony Services Surcharge Fund	\$-	\$2,371	\$2,089
3270	Local Charges for Prepaid Mobile Telephony Service Fund	-	3,283	1,759
	Totals, State Operations	\$-	\$5,654	\$3,848
	SUBPROGRAM REQUIREMENTS			
0570675	Regional Railroad Accident Preparedness and Immediate Response Program			
	State Operations:			
0995	Reimbursements	-	821	-
3260	Regional Railroad Accident Preparedness and Immediate Response Fund	-	-	579
	Totals, State Operations	\$-	\$821	\$579
	PROGRAM REQUIREMENTS			
9900	ADMINISTRATION - TOTAL			
	State Operations:			
0995	Reimbursements	32	417	417

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0860 State Board of Equalization - Continued

		2014-15*	2015-16*	2016-17*
Totals, State Operations		\$32	\$417	\$417
SUBPROGRAM REQUIREMENTS				
9900100	Administration			
State Operations:				
0001	General Fund	\$-	\$64,479	\$65,215
0995	Reimbursements	<u>32</u>	<u>417</u>	<u>417</u>
Totals, State Operations		\$32	\$64,896	\$65,632
SUBPROGRAM REQUIREMENTS				
9900200	Administration - Distributed			
State Operations:				
0001	General Fund	<u>\$-</u>	<u>-\$64,479</u>	<u>-\$65,215</u>
Totals, State Operations		\$-	-\$64,479	-\$65,215
TOTALS, EXPENDITURES				
State Operations		<u>561,313</u>	<u>599,360</u>	<u>616,856</u>
Totals, Expenditures		\$561,313	\$599,360	\$616,856

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	4,848.6	4,852.6	4,849.2	\$304,635	\$305,684	\$305,441
Budget Position Transparency	-	-232.9	-173.5	-	-7,336	-7,093
Total Adjustments	<u>-234.4</u>	<u>2.4</u>	<u>39.9</u>	<u>-13,201</u>	<u>7,876</u>	<u>12,280</u>
Net Totals, Salaries and Wages	4,614.2	4,622.1	4,715.6	\$291,434	\$306,224	\$310,588
Staff Benefits	-	-	-	137,806	146,670	151,525
Totals, Personal Services	4,614.2	4,622.1	4,715.6	\$429,240	\$452,894	\$462,113
OPERATING EXPENSES AND EQUIPMENT				<u>\$132,073</u>	<u>\$146,466</u>	<u>\$154,743</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$561,313	\$599,360	\$616,856

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$317,144	\$323,619	\$327,584
Allocation for employee compensation	3,179	4,454	-
Allocation for staff benefits	1,410	2,598	-
Allocation for staff benefits - reimbursements	-1	-	-
Budget Position Transparency	-	-6,599	-
Expenditure by Category Redistribution	-	6,599	-
Past year adjustments	-15,340	-	-
Reimbursement Authority Fund Adjustment	-	-23,562	-
Section 3.60 pension contribution adjustment	4,854	1,563	-
Tenant Rent Adjustment	<u>-</u>	<u>-29</u>	<u>-</u>
Totals Available	\$311,246	\$308,643	\$327,584

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0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Unexpended balance, estimated savings	-12,298	-	-
TOTALS, EXPENDITURES	\$298,948	\$308,643	\$327,584
0004 Breast Cancer Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$803	\$819	\$722
Allocation for employee compensation	3	4	-
Allocation for staff benefits	1	2	-
Budget Position Transparency	-	-5	-
Expenditure by Category Redistribution	-	5	-
Section 3.60 pension contribution adjustment	5	1	-
Totals Available	\$812	\$826	\$722
Unexpended balance, estimated savings	-74	-	-
TOTALS, EXPENDITURES	\$738	\$826	\$722
0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,576	\$1,742	\$1,909
Allocation for employee compensation	20	22	-
Allocation for staff benefits	7	12	-
Budget Position Transparency	-	-16	-
Expenditure by Category Redistribution	-	16	-
Section 3.60 pension contribution adjustment	30	7	-
Totals Available	\$1,633	\$1,783	\$1,909
Unexpended balance, estimated savings	-403	-	-
TOTALS, EXPENDITURES	\$1,230	\$1,783	\$1,909
0061 Motor Vehicle Fuel Account, Transportation Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$27,782	\$28,591	\$31,287
Allocation for employee compensation	226	286	-
Allocation for staff benefits	103	155	-
Budget Position Transparency	-	-335	-
Expenditure by Category Redistribution	-	335	-
Section 3.60 pension contribution adjustment	352	90	-
Totals Available	\$28,463	\$29,122	\$31,287
Unexpended balance, estimated savings	-1,461	-	-
TOTALS, EXPENDITURES	\$27,002	\$29,122	\$31,287
0063 Motor Vehicle Transportation Tax Account, Transportation Tax Fund			
APPROPRIATIONS			
011 Budget Act appropriation (Transfer to the General Fund)	(\$1)	(\$0)	(-)
Past year adjustments	(-9)	(-)	(-)
TOTALS, EXPENDITURES	\$-	\$-	\$-
0070 Occupational Lead Poisoning Prevention Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$844	\$869	\$901
Allocation for employee compensation	3	12	-
Allocation for staff benefits	2	7	-
Budget Position Transparency	-	-13	-
Expenditure by Category Redistribution	-	13	-
Section 3.60 pension contribution adjustment	6	4	-

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0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Totals Available	\$855	\$892	\$901
Unexpended balance, estimated savings	-74	-	-
TOTALS, EXPENDITURES	\$781	\$892	\$901
0080 Childhood Lead Poisoning Prevention Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$596	\$616	\$629
Allocation for employee compensation	4	8	-
Allocation for staff benefits	2	4	-
Budget Position Transparency	-	-7	-
Expenditure by Category Redistribution	-	7	-
Section 3.60 pension contribution adjustment	6	3	-
Totals Available	\$608	\$631	\$629
Unexpended balance, estimated savings	-154	-	-
TOTALS, EXPENDITURES	\$454	\$631	\$629
0230 Cigarette and Tobacco Products Surtax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$9,970	\$10,154	\$8,954
Allocation for employee compensation	40	53	-
Allocation for staff benefits	18	29	-
Budget Position Transparency	-	-71	-
Expenditure by Category Redistribution	-	71	-
Section 3.60 pension contribution adjustment	59	18	-
Totals Available	\$10,087	\$10,254	\$8,954
Unexpended balance, estimated savings	-925	-	-
TOTALS, EXPENDITURES	\$9,162	\$10,254	\$8,954
0320 Oil Spill Prevention and Administration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$301	\$671	\$710
Adjustment per Chapter 35, Statutes of 2014, Section 192	153	-	-
Allocation for employee compensation	8	9	-
Allocation for staff benefits	2	5	-
Budget Position Transparency	-	-8	-
Expenditure by Category Redistribution	-	8	-
Map Values from Invisible Account Codes	-1	-	-
Section 3.60 pension contribution adjustment	11	3	-
Totals Available	\$474	\$688	\$710
Unexpended balance, estimated savings	-189	-	-
TOTALS, EXPENDITURES	\$285	\$688	\$710
0387 Integrated Waste Management Account, Integrated Waste Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$547	\$575	\$611
Allocation for employee compensation	6	8	-
Allocation for staff benefits	4	4	-
Budget Position Transparency	-	-5	-
Expenditure by Category Redistribution	-	5	-
Section 3.60 pension contribution adjustment	10	3	-
Totals Available	\$567	\$590	\$611
Unexpended balance, estimated savings	-61	-	-

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0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
TOTALS, EXPENDITURES	\$506	\$590	\$611
0439 Underground Storage Tank Cleanup Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,523	\$3,627	\$3,840
Allocation for employee compensation	21	41	-
Allocation for staff benefits	10	23	-
Budget Position Transparency	-	-41	-
Expenditure by Category Redistribution	-	41	-
Section 3.60 pension contribution adjustment	35	14	-
Totals Available	\$3,589	\$3,705	\$3,840
Unexpended balance, estimated savings	-310	-	-
TOTALS, EXPENDITURES	\$3,279	\$3,705	\$3,840
0465 Energy Resources Programs Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$297	\$308	\$338
Allocation for employee compensation	3	4	-
Allocation for staff benefits	2	2	-
Budget Position Transparency	-	-3	-
Expenditure by Category Redistribution	-	3	-
Section 3.60 pension contribution adjustment	4	1	-
Totals Available	\$306	\$315	\$338
Unexpended balance, estimated savings	-44	-	-
TOTALS, EXPENDITURES	\$262	\$315	\$338
0623 California Children and Families First Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$17,578	\$17,939	\$15,506
Allocation for employee compensation	80	105	-
Allocation for staff benefits	35	58	-
Budget Position Transparency	-	-130	-
Expenditure by Category Redistribution	-	130	-
Section 3.60 pension contribution adjustment	118	36	-
Totals Available	\$17,811	\$18,138	\$15,506
Unexpended balance, estimated savings	-1,596	-	-
TOTALS, EXPENDITURES	\$16,215	\$18,138	\$15,506
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$439	\$435	\$437
Budget Position Transparency	-	-5	-
Expenditure by Category Redistribution	-	5	-
Totals Available	\$439	\$435	\$437
Unexpended balance, estimated savings	-301	-	-
TOTALS, EXPENDITURES	\$138	\$435	\$437
0965 Timber Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,407	\$2,468	\$2,498
Allocation for employee compensation	6	15	-
Allocation for staff benefits	4	8	-
Budget Position Transparency	-	-27	-

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0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Expenditure by Category Redistribution	-	27	-
Section 3.60 pension contribution adjustment	10	3	-
Totals Available	\$2,427	\$2,494	\$2,498
Unexpended balance, estimated savings	-701	-	-
TOTALS, EXPENDITURES	\$1,726	\$2,494	\$2,498
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$182,821	\$194,791	\$191,310
TOTALS, EXPENDITURES	\$182,821	\$194,791	\$191,310
3015 Gas Consumption Surcharge Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$879	\$871	\$1,066
Allocation for employee compensation	5	10	-
Allocation for staff benefits	1	5	-
Budget Position Transparency	-	-9	-
Expenditure by Category Redistribution	-	9	-
Section 3.60 pension contribution adjustment	7	3	-
Totals Available	\$892	\$889	\$1,066
Unexpended balance, estimated savings	-104	-	-
TOTALS, EXPENDITURES	\$788	\$889	\$1,066
3058 Water Rights Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$484	\$504	\$524
Allocation for employee compensation	4	6	-
Allocation for staff benefits	2	4	-
Budget Position Transparency	-	-6	-
Expenditure by Category Redistribution	-	6	-
Section 3.60 pension contribution adjustment	6	2	-
Totals Available	\$496	\$516	\$524
Unexpended balance, estimated savings	-89	-	-
TOTALS, EXPENDITURES	\$407	\$516	\$524
3063 State Responsibility Area Fire Prevention Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$8,882	\$8,697	\$8,501
Allocation for employee compensation	112	182	-
Allocation for staff benefits	45	112	-
Budget Position Transparency	-	-40	-
Expenditure by Category Redistribution	-	40	-
Section 3.60 pension contribution adjustment	188	77	-
TOTALS, EXPENDITURES	\$9,227	\$9,068	\$8,501
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,117	\$5,344	\$5,521
Allocation for employee compensation	50	44	-
Allocation for staff benefits	22	22	-
Budget Position Transparency	-	-60	-
Expenditure by Category Redistribution	-	60	-

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0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Section 3.60 pension contribution adjustment	81	13	-
Totals Available	\$5,270	\$5,423	\$5,521
Unexpended balance, estimated savings	-1,073	-	-
TOTALS, EXPENDITURES	\$4,197	\$5,423	\$5,521
3067 Cigarette and Tobacco Products Compliance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,622	\$1,985	\$7,512
Allocation for employee compensation	105	138	-
Allocation for staff benefits	48	73	-
Budget Position Transparency	-	-31	-
Expenditure by Category Redistribution	-	31	-
Section 3.60 pension contribution adjustment	138	46	-
TOTALS, EXPENDITURES	\$1,913	\$2,242	\$7,512
3212 Timber Regulation and Forest Restoration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,209	\$2,239	\$2,069
Allocation for employee compensation	15	12	-
Allocation for staff benefits	7	7	-
Budget Position Transparency	-	-30	-
Expenditure by Category Redistribution	-	30	-
Section 3.60 pension contribution adjustment	26	3	-
Totals Available	\$2,257	\$2,261	\$2,069
Unexpended balance, estimated savings	-1,023	-	-
TOTALS, EXPENDITURES	\$1,234	\$2,261	\$2,069
3251 Prepaid Mobile Telephony Services Surcharge Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$2,358	\$2,089
Allocation for employee compensation	-	7	-
Allocation for staff benefits	-	4	-
Budget Position Transparency	-	44	-
Expenditure by Category Redistribution	-	-44	-
Section 3.60 pension contribution adjustment	-	2	-
TOTALS, EXPENDITURES	\$-	\$2,371	\$2,089
3260 Regional Railroad Accident Preparedness and Immediate Response Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$579
TOTALS, EXPENDITURES	\$-	\$-	\$579
3270 Local Charges for Prepaid Mobile Telephony Service Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$3,265	\$1,759
Allocation for employee compensation	-	10	-
Allocation for staff benefits	-	5	-
Budget Position Transparency	-	61	-
Expenditure by Category Redistribution	-	-61	-
Section 3.60 pension contribution adjustment	-	3	-
TOTALS, EXPENDITURES	\$-	\$3,283	\$1,759
Total Expenditures, All Funds, (State Operations)	\$561,313	\$599,360	\$616,856

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0860 State Board of Equalization - Continued

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
0063 Motor Vehicle Transportation Tax Account, Transportation Tax Fund^s			
BEGINNING BALANCE	\$1	-	-
Prior Year Adjustments	8	-	-
Adjusted Beginning Balance	\$9	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Revenue Transfer from Motor Vehicle Transportation Tax Account, Transportation Tax Fund (0063) to General Fund (0001) per Budget Act Item 0860-011-0063, Budget Acts of 2013 and 2014	-9	-	-
Total Revenues, Transfers, and Other Adjustments	-\$9	-	-
FUND BALANCE	-	-	-
0965 Timber Tax Fundⁿ			
BEGINNING BALANCE	\$2,332	\$2,227	\$1,352
Prior Year Adjustments	1,053	-	-
Adjusted Beginning Balance	\$3,385	\$2,227	\$1,352
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4153000 Sale of Natural Resources	8,541	9,594	9,594
Total Revenues, Transfers, and Other Adjustments	\$8,541	\$9,594	\$9,594
Total Resources	\$11,926	\$11,821	\$10,946
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0860 State Board of Equalization (State Operations)	1,726	2,494	2,498
8880 Financial Information System for California (State Operations)	2	4	3
9350 Shared Revenues (Local Assistance)	7,971	7,971	7,971
Total Expenditures and Expenditure Adjustments	\$9,699	\$10,469	\$10,472
FUND BALANCE	\$2,227	\$1,352	\$474
Reserve for economic uncertainties	2,227	1,352	474
3067 Cigarette and Tobacco Products Compliance Fund^s			
BEGINNING BALANCE	\$9,003	\$8,923	\$8,436
Prior Year Adjustments	25	-	-
Adjusted Beginning Balance	\$9,028	\$8,923	\$8,436
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129000 Other Fees and Licenses	1,808	1,758	1,758
Total Revenues, Transfers, and Other Adjustments	\$1,808	\$1,758	\$1,758
Total Resources	\$10,836	\$10,681	\$10,194
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0860 State Board of Equalization (State Operations)	1,912	2,242	7,512
8880 Financial Information System for California (State Operations)	1	3	3
Total Expenditures and Expenditure Adjustments	\$1,913	\$2,245	\$7,515
FUND BALANCE	\$8,923	\$8,436	\$2,679
Reserve for economic uncertainties	8,923	8,436	2,679
3251 Prepaid Mobile Telephony Services Surcharge Fund^s			
BEGINNING BALANCE	-	-	10,629

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0860 State Board of Equalization - Continued

	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
Adjusted Beginning Balance	-	-	\$10,629
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	-	13,000	51,000
Total Revenues, Transfers, and Other Adjustments	-	\$13,000	\$51,000
Total Resources	-	\$13,000	\$61,629
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0860 State Board of Equalization (State Operations)	-	2,371	2,089
8880 Financial Information System for California (State Operations)	-	-	3
Total Expenditures and Expenditure Adjustments	-	\$2,371	\$2,092
FUND BALANCE	-	\$10,629	\$59,537
Reserve for economic uncertainties	-	10,629	59,537
3270 Local Charges for Prepaid Mobile Telephony Service Fund ^s			
BEGINNING BALANCE	-	-	14,717
Adjusted Beginning Balance	-	-	\$14,717
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	-	18,000	72,000
Total Revenues, Transfers, and Other Adjustments	-	\$18,000	\$72,000
Total Resources	-	\$18,000	\$86,717
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0860 State Board of Equalization (State Operations)	-	3,283	1,759
8880 Financial Information System for California (State Operations)	-	-	4
Total Expenditures and Expenditure Adjustments	-	\$3,283	\$1,763
FUND BALANCE	-	\$14,717	\$84,954
Reserve for economic uncertainties	-	14,717	84,954

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
Baseline Positions	4,848.6	4,852.6	4,849.2	\$304,635	\$305,684	\$305,441
Budget Position Transparency	-	-232.9	-173.5	-	-7,336	-7,093
Salary and Other Adjustments	-234.4	-	-45.0	-13,201	7,714	3,099
Workload and Administrative Adjustments						
Appeals Division's Business Taxes Program and Settlement Workload						
Assoc Govtl Program Analyst	-	-	1.0	-	-	62
Bus Taxes Spec II	-	-	13.0	-	-	1,052
Bus Taxes Spec III	-	-	7.0	-	-	652
Tax Counsel III (Spec)	-	-	7.0	-	-	766
Tax Counsel IV	-	-	1.0	-	-	122
Tax Techn III	-	-	1.0	-	-	42
BOE Audit and Reporting						
Account Clk II	-	-	-0.5	-	-	-15
Assoc Bus Mgmt Analyst	-	-	-0.7	-	-	-44
Asst Info Sys Analyst	-	-	-0.6	-	-	-37
Office Svcs Mgr I	-	-	-1.2	-	-	-64

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0860 State Board of Equalization - Continued

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Office Techn (Gen)	-	-	-0.7	-	-	-27
Office Techn (Typing)	-	-	-1.2	-	-	-54
Sr Info Sys Analyst (Spec)	-	-	-0.7	-	-	-57
Staff Svcs Analyst (Gen)	-	-	-0.7	-	-	-32
Various	-	-	-0.7	-	-	-44
BOE Audit and Reporting Reimbursements						
Account Clk II	-	-	-0.5	-	-	-18
Assoc Bus Mgmt Analyst	-	-	-0.3	-	-	-19
Asst Info Sys Analyst	-	-	-0.4	-	-	-15
Office Svcs Mgr I	-	-	-0.8	-	-	-46
Office Techn (Gen)	-	-	-0.3	-	-	-11
Office Techn (Typing)	-	-	-0.8	-	-	-23
Sr Info Sys Analyst (Spec)	-	-	-0.3	-	-	-24
Staff Svcs Analyst (Gen)	-	-	-0.3	-	-	-14
Various	-	-	-0.3	-	-	-19
CROS Project Implementation						
Bus Taxes Administrator II	-	-	1.0	-	-	85
Bus Taxes Spec II	-	-	1.5	-	-	122
Dp Mgr II	-	-	1.0	-	-	85
Office Techn (Typing)	-	-	1.0	-	-	38
Overtime	-	-	-	-	-	2,166
Sr Info Sys Analyst (Spec)	-	-	4.0	-	-	323
Sr Info Sys Analyst (Supvr)	-	-	1.0	-	-	85
Staff Info Sys Analyst (Spec)	-	-	3.5	-	-	258
Sys Software Spec I (Tech)	-	-	1.0	-	-	73
Sys Software Spec II (Tech)	-	-	8.5	-	-	686
Temporary Help	-	-	20.6	-	-	1,518
Various	-	-	-	-	-	312
Joint Operations Center - Ensuring Fuel Tax Compliance						
Bus Taxes Spec I	-	-	1.0	-	-	75
Bus Taxes Spec II	-	-	1.0	-	-	81
Permanent Establishment of Fire Prevention Fee Limited Term Positions						
Bus Taxes Rep	-	-	6.6	-	-	328
Overtime	-	-	-	-	-	82
Tax Techn III	-	-	2.0	-	-	83
Temporary Help	-	-	7.2	-	-	302
Prepaid Mobile Telephony Surcharge Implementation (AB 1717)						
Bus Taxes Spec I	-	-	-0.5	-	-	-37
Staff Info Sys Analyst (Spec)	-	-	1.0	-	-	73
Staff Programmer Analyst (Spec)	-	-	2.0	-	-	146
Tax Techn II	-	-	1.0	-	-	39
Temporary Help	-	-	0.2	-	-	10
Regional Railroad Accident Preparedness and Immediate Response Program						

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0860 State Board of Equalization - Continued

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Accounting Administrator I (Spec)	-	-	1.0	-	-	68
Overtime	-	-	-	-	-	27
Temporary Help	-	-	0.3	-	-	20
Regional Railroad Accident Preparedness and Immediate Response Program Reimbursement						
Accounting Administrator I (Spec)	-	0.5	-	-	34	-
Bus Taxes Spec II	-	0.3	-	-	24	-
Staff Info Sys Analyst (Spec)	-	0.5	-	-	37	-
Staff Programmer Analyst (Spec)	-	0.5	-	-	37	-
Temporary Help	-	0.6	-	-	30	-
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	2.4	84.9	\$-	\$162	\$9,181
Totals, Adjustments	-234.4	-230.5	-133.6	-\$13,201	\$540	\$5,147
TOTALS, SALARIES AND WAGES	4,614.2	4,622.1	4,715.6	\$291,434	\$306,224	\$310,588

0890 Secretary of State

The Secretary of State, a constitutionally established office, is the chief elections officer of the state and is responsible for the administration and enforcement of election laws. The Office also is responsible for administering and enforcing laws pertaining to filing documents associated with corporations, limited liability companies, partnerships, limited partnerships, unincorporated associations and pertaining to filing bonds and perfecting security agreements. In addition, the Office is responsible for commissioning notaries public, enforcing the notary laws, and in conjunction with being the home of the State Archives, administering a state records management program and preserving documents and records having historical significance. The Secretary of State is the filing officer for lobbying and campaign registration and disclosure documents filed under the Political Reform Act. The Secretary of State also operates the Safe At Home program, maintains the Domestic Partners and Advance Health Care Directives registries, provides support functions for the Voting Modernization Board, and is home to the California Museum.

Policy associated with the administration of the Office is accomplished through the divisions of Elections, Political Reform, Business Programs, Archives, Information Technology, and Management Services.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0700 Filings and Registrations	302.1	283.4	279.4	\$56,521	\$60,836	\$55,891
0705 Elections	56.9	59.0	61.0	39,361	77,296	87,918
0710 Archives	25.6	21.3	21.3	7,298	8,229	8,174
0715 DOJ Legal Services	-	-	-	251	333	333
9900100 Administration	130.2	130.2	134.2	20,183	24,331	24,467
9900200 Administration - Distributed	-	-	-	-20,183	-24,331	-24,467
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	514.8	493.9	495.8	\$103,431	\$146,694	\$152,316
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$27,900	\$48,109	\$36,338
0228 Secretary of States Business Fees Fund				53,177	56,628	51,100
0890 Federal Trust Fund				19,956	38,830	59,423
0995 Reimbursements				8	8	-
3042 Victims of Corporate Fraud Compensation Fund				1,813	1,530	1,571
3244 Political Disclosure, Accountability, Transparency, and Access Fund				77	89	1,845
3254 Business Programs Modernization Fund				500	1,500	2,039
TOTALS, EXPENDITURES, ALL FUNDS				\$103,431	\$146,694	\$152,316

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0890 Secretary of State - Continued

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

The California Constitution, Article 5, Section 11, Government Code Sections 12159-12179.1.

PROGRAM AUTHORITY

0700-Filings and Registrations:

Corporations Code, Titles 1-3; Government Code, Sections 960.3, 960.4, 1363, 6205-6210, 6215-6216, 6503.5, 6503.7, 6518, 7171, 7220, 7222, 7223, 7225, 7226, 7227, 7229, 8200, 8201, 8201.1, 8201.2, 8201.5, 8202.5, 8203.1-8203.4, 8204.1, 8205, 8206, 8207, 8207.1, 8207.2, 8207.3, 8213, 8213.6, 8214.1, 8214.15, 8214.4, 8214.5, 8214.8, 8219.5, 8220, 8222, 8228, 12160, 12163, 12168, 12168.5, 12168.7, 12176, 12177, 12178.1, 12179.1, 12180, 12181, 12182, 12182.1, 12183-12197, 12260-12263, 23712-23714, 34459-34461, and 53051; Business and Professions Code, Sections 14207, 14209, 14215, 14217, 14220, 14230, 14235, 14240, 14245, 14417, 14427, 14461, 14482, 14483, 14492, 17550 et seq., 18896 et seq., 22441.1, 22442.4, 22443.1, 22443.2, 22908-22912, 22914, 22916, 22917, 22921, and 22923; Civil Code, Sections 912, 1185, 1189, 1195, 1789.10 et seq., 1812.50 et seq., 1812.80 et seq., 1812.100 et seq., 1812.214, 1812.503., 1812.510, 1812.515, 1812.525, 1812.600, 1812.607, 2945.45, 3344.1, 3440.5, 4225, 4280, 5405 6622, and 6760; Commercial Code, Sections 9501, 9509, 9512-9516, 9518, 9519-9523, and 9525-9528; Code of Civil Procedure, Sections 416.10-416.40, 488.375, 488.405, 488.730, 697.510, 697.550, 697.570, 697.580, 697.640-697.670, 724.060, 2101, and 2103-2105; Family Code, Sections 297, 297.1, 298, 298.5, 298.6, 298.7, 299, 535, 6345, 17520, and 17523; Financial Code, Sections 1102-1106, 4966, 5403, 5703-5705, 5715, 5716, 5758, 5760, 14100, 14101, 14101.6, 14101.8, 14102.2, 14102.4, 14102.8, 15302, 15304, 15252, 15258, 18101.5, and 18102; Insurance Code, Sections 881, 881.3, 1556, 1560.10, 1560.17, 1560.18, 4093, 4097.11, 4097.18, 4097.19, 5053, 7049, 7084, 7085, 9103, 11542, 11546, and 11547; Food and Agriculture Code, Sections 3951, 3952, 5304, 6047.109, 6047.119, 6273, 6286, 8704, 8757, 54081-54083, 55702, 57405, 57408-57411, 57414, 57512, 57516-57517, 57519, 57530, 57531, 57535, 57537, 57540, 57541, 57545, 57561 et seq., 57590, 57591, and 57595; Probate Code, Sections 4717, 4800-4806, 6389, and 8574; Vehicle Code, Section 40305.5; Penal Code, Sections 4056-4058, 4067, 4206, 4207, 4208 and 4227; Health and Safety Code, Sections 1341, 1341.2, 2043, 2832, 2834, 4927, 9043, 33103, 33103.5, 33104, 33142, 34126, 34117, 51153, 103435, and 123371; California Code of Regulations, Title 2, Sections 20800 et seq., 21000 et seq., 21900 et seq., 22000 et seq., 22100 et seq., 22500 et seq., 22600 et seq., and 22610.1 et seq.

0705-Elections:

California Constitution, Article XVI, Section 1; California Elections Code, Section 10; California Elections Code 9082.7; California Government Code, Sections 12172.5, and 81000 et seq.; Help America Vote Act of 2002 (Public Law 107-252) and other federal elections law; Government Code, Title 9.

0710-Archives:

Chapter 1, Statutes of 1850; Government Code, Sections 6204, 6268, 9080, 9149.9, 11347.3, 12153, 12174, 12220-12237, and 12270-12279; Civil Code, Sections 1798.24, and 1798.64; Evidence Code, Section 1605, and Military and Veterans Code, Section 1360.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Ballot on Demand Systems: Electronic Poll Books (SB 439)	\$-	\$-	-	\$93	\$-	1.0
• Placement Agent Lobby Registration Workload	-	-	-	79	-	1.0
• Vote-by-mail Ballot Drop-off Location Regulations (SB 365)	-	-	-	55	-	-
• Secretary of State Headquarters Building Security Improvements	-	-	-	54	172	2.0
• Help America Vote Act Spending Plan	-	-	-	-	54,085	-
• Business Programs Division Filings Process	-	-	-	-	5,500	52.0
• Help America Vote Act - VoteCal	-	-	-	-	5,338	-
• California Business Connect Project	-	-	-	-	2,605	-
• CAL-ACCESS Replacement Project	-	-	-	-	1,757	-

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0890 Secretary of State - Continued

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$281	\$69,457	56.0
Other Workload Budget Adjustments						
• 2016 Elections Funding - Voter Information Guide	\$-	\$-	-	\$7,300	\$-	-
• Expenditure by Category Redistribution	1,051	2,636	-	427	990	-
• Chapter 11, Statutes of 2016 (AB 120)	16,288	-	-	-	-	-
• Revised Expenditure Authority per Provision 1, Item 0890-101-0890	-	1,212	-	-	-	-
• Salary Adjustments	215	533	-	216	489	-
• Benefit Adjustments	124	328	-	160	446	-
• Retirement Rate Adjustments	77	190	-	77	190	-
• Pro Rata	-	-	-	-	736	-
• SWCAP	-	-	-	-	28	-
• Miscellaneous Baseline Adjustments	703	8	-	-38	-6,304	-54.0
• Budget Position Transparency	-1,051	-2,636	-70.4	-427	-990	-70.4
Totals, Other Workload Budget Adjustments	\$17,407	\$2,271	-70.4	\$7,715	-\$4,415	-124.4
Totals, Workload Budget Adjustments	\$17,407	\$2,271	-70.4	\$7,996	\$65,042	-68.4
Totals, Budget Adjustments	\$17,407	\$2,271	-70.4	\$7,996	\$65,042	-68.4

PROGRAM DESCRIPTIONS

0700 - FILINGS AND REGISTRATIONS

The Business Programs Division is comprised of three primary areas: Business Entities, Uniform Commercial Code, and Notary Public and Special Filings.

Business Entities examines, files, and maintains formation documents for new California business entities and qualifies out-of-state and international entities to conduct business in California; and also examines, files and maintains changes to the records of domestic or qualified foreign business entities to ensure the business entities are properly formed, merged, converted, amended, and dissolved in compliance with California law. Statements of Information containing key officers and contact information are also processed and maintained for public and governmental use.

Uniform Commercial Code files certain financing statements allowing lending institutions to protect their financial interest in personal property and established priority in case of debtor default or bankruptcy. Notices of judgment liens, attachment liens, agricultural liens, and equipment liens are also filed in Uniform Commercial Code, as well as notices of various tax liens submitted by state and federal taxing agencies such as the Franchise Tax Board and the Internal Revenue Service.

Notary Public and Special Filings appoints and commissions qualified notaries public for four-year terms, reviews and approves notary public education courses, and certifies to the authenticity of signatures of notaries public and public officials on documents for use in foreign countries. Special Filings include trademarks and service marks as well as surety bonds that certain business entities are required to file, notices of joint power agreements, city and county charters, and claims for successor-in-interest. This area also has disciplinary functions with regard to notaries public and immigration consultants.

The Advance Health Care Directive (AHCD) Registry maintains AHCDs, which allow a person to indicate their medical treatment preferences if they cannot speak or make decisions for themselves. The AHCD also may designate someone else to make decisions regarding medical treatment. AHCDs and related information can be provided upon request to the registrant's health care provider, public guardian, or legal representative.

The Domestic Partners Registry registers same-sex couples regardless of the age of the partners, and opposite-sex couples in which one partner is at least 62 years old, as domestic partners in California.

The Victims of Corporate Fraud Compensation Fund provides limited restitution to victims of corporate fraud who are unable to collect through other means on a judgment against a corporation for corporate fraud.

Safe at Home is a confidential mail forwarding program designed to assist victims of domestic violence, sexual assault, stalking, or a reproductive healthcare employee, patient, or volunteer. Participants in the Safe at Home program are provided with a free post office box to use as a mailing address thereby protecting their actual residential address. Once enrolled into the program, Safe at Home serves as a liaison for those participants who qualify for additional confidential services offered by various state and local agencies.

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0890 Secretary of State - Continued**0705 - ELECTIONS**

The Secretary of State, as California's chief elections officer, has broad responsibility to administer the election process. This program oversees all federal and state elections within California, maintaining a statewide database of all registered voters, tracks and certifies ballot measures, certifies candidates, and certifies election results. In addition, the program oversees the modernization of voting equipment and the improvement of current electoral database systems, enhancing the voting processes, providing accessibility to voters, working to increase people's knowledge of the electoral system, and investigates election related criminal violations as provided by California Elections Code.

This program includes the campaign and lobbying registration and disclosure provisions of the Political Reform Act of 1974. Under this law, the Office registers all levels of campaign committees, and reviews for compliance with statutory requirements all state-level candidates and campaign recipients, major donor, slate mailer, political party, and independent expenditure committees. The program registers lobbyists (including placement agents), lobbying firms and lobbyist employers, reviews for compliance with statutory requirements the periodic financial disclosure reports filed by lobbying entities, and publishes a Lobbying Directory on the Internet. This program also supports the electronic filing requirements of the Political Reform Act and posts relevant registration and disclosure information to the Office's web site so the public can track where campaign money is raised and spent and what lobbying activity is occurring in state government. A searchable database of campaign and lobbying financial activity is also provided through the web site.

0710 - ARCHIVES

The California State Archives establishes and administers a records management program that applies efficient and economical management methods to the creation, utilization, maintenance, retention, preservation, and disposal of state records and acquires, indexes, preserves, and provides reference access to irreplaceable historic materials from the three branches of state government. The Archives acquires records, with legal, administrative or evidentiary value, or that have historical significance. Materials are transferred to the Archives for permanent preservation.

9900 - ADMINISTRATION AND TECHNOLOGY

This program develops and manages overall policy. It serves as the liaison to other state agencies, the Legislature, the federal government, and other states' Secretaries of State. This program responds to constituent correspondence and requests from the public and advocates in the Legislature, administers the Secretary's role as a member of the National Association of Secretaries of State, in the management of task forces and advisory committees, in the implementation of new federal and state statutory requirements, and coordinates and disseminates information about the Office and its initiatives to the public. It also provides the Office with the necessary personnel, general administrative, budgeting, fiscal services, and information technology expertise to plan, develop, implement, and operate innovative and effective business and information systems solutions.

DETAILED EXPENDITURES BY PROGRAM

	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
PROGRAM REQUIREMENTS			
0700	FILINGS AND REGISTRATIONS		
	State Operations:		
0001	\$1,031	\$1,178	\$1,181
0228	53,177	56,628	51,100
3042	1,813	1,530	1,571
3254	500	1,500	2,039
	\$56,521	\$60,836	\$55,891
PROGRAM REQUIREMENTS			
0705	ELECTIONS		
	State Operations:		
0001	\$19,320	\$22,081	\$26,650
0890	17,649	33,997	8,423
0995	8	8	-
3244	77	89	1,845
	\$37,054	\$56,175	\$36,918
	Local Assistance:		
0001	\$-	\$16,288	\$-
0890	2,307	4,833	51,000
	\$2,307	\$21,121	\$51,000

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0890 Secretary of State - Continued

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
PROGRAM REQUIREMENTS				
0710	ARCHIVES			
	State Operations:			
0001	General Fund	<u>\$7,298</u>	<u>\$8,229</u>	<u>\$8,174</u>
	Totals, State Operations	\$7,298	\$8,229	\$8,174
PROGRAM REQUIREMENTS				
0715	DOJ LEGAL SERVICES			
	State Operations:			
0001	General Fund	<u>\$251</u>	<u>\$333</u>	<u>\$333</u>
	Totals, State Operations	\$251	\$333	\$333
SUBPROGRAM REQUIREMENTS				
9900100	Administration			
	State Operations:			
0001	General Fund	<u>\$20,183</u>	<u>\$24,331</u>	<u>\$24,467</u>
	Totals, State Operations	\$20,183	\$24,331	\$24,467
SUBPROGRAM REQUIREMENTS				
9900200	Administration - Distributed			
	State Operations:			
0001	General Fund	<u>-\$20,183</u>	<u>-\$24,331</u>	<u>-\$24,467</u>
	Totals, State Operations	-\$20,183	-\$24,331	-\$24,467
TOTALS, EXPENDITURES				
	State Operations	101,124	125,573	101,316
	Local Assistance	<u>2,307</u>	<u>21,121</u>	<u>51,000</u>
	Totals, Expenditures	\$103,431	\$146,694	\$152,316

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
PERSONAL SERVICES						
Baseline Positions	562.0	564.3	564.3	\$31,058	\$30,656	\$28,386
Budget Position Transparency	-	-70.4	-70.4	-	-3,687	-1,417
Total Adjustments	<u>-47.2</u>	<u>-</u>	<u>2.0</u>	<u>-2,484</u>	<u>1,458</u>	<u>3,192</u>
Net Totals, Salaries and Wages	514.8	493.9	495.9	\$28,574	\$28,427	\$30,161
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>14,477</u>	<u>19,725</u>	<u>17,231</u>
Totals, Personal Services	514.8	493.9	495.9	\$43,051	\$48,152	\$47,392
OPERATING EXPENSES AND EQUIPMENT				\$57,823	\$77,421	\$53,924
SPECIAL ITEMS OF EXPENSES				<u>250</u>	<u>-</u>	<u>-</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$101,124	\$125,573	\$101,316
	2 Local Assistance			Expenditures		
				<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
Grants and Subventions - Governmental				<u>\$2,307</u>	<u>\$21,121</u>	<u>\$51,000</u>
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)				\$2,307	\$21,121	\$51,000

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0890 Secretary of State - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$28,174	\$30,702	\$36,338
Allocation for Contingencies and Emergencies (Ballot Pamphlet Printing and Mailing)	1,924	-	-
Allocation for Employee Compensation	186	215	-
Allocation for Staff Benefits	19	124	-
Allocation of Funding Pursuant to the Political Reform Act, Item 8640-001-0001	703	703	-
Budget Position Transparency	-	-1,051	-
Expenditure by Category Redistribution	-	1,051	-
Section 3.60 Pension Contribution Adjustment	259	77	-
Totals Available	\$31,265	\$31,821	\$36,338
Unexpended balance, estimated savings	-3,365	-	-
TOTALS, EXPENDITURES	\$27,900	\$31,821	\$36,338
0228 Secretary of States Business Fees Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$52,607	\$55,579	\$51,100
Allocation for Employee Compensation	492	532	-
Allocation for Staff Benefits	59	328	-
Budget Position Transparency	-	-2,636	-
Expenditure by Category Redistribution	-	2,636	-
Section 3.60 Pension Contribution Adjustment	645	189	-
Totals Available	\$53,803	\$56,628	\$51,100
Unexpended balance, estimated savings	-626	-	-
TOTALS, EXPENDITURES	\$53,177	\$56,628	\$51,100
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$17,178	\$33,997	\$8,423
HAVA Spending Plan and VoteCal SWCAP Funding Alignment	1,247	-	-
Revised Expenditure Authority per Provision 4, Item 0890-001-0890 and Provision 1, Item 0890-101-0890	6,917	-	-
SWCAP Current Service Levels Adjustment	-1,247	-	-
Totals Available	\$24,095	\$33,997	\$8,423
Unexpended balance, estimated savings	-6,446	-	-
TOTALS, EXPENDITURES	\$17,649	\$33,997	\$8,423
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$8	\$8	-
TOTALS, EXPENDITURES	\$8	\$8	\$-
3042 Victims of Corporate Fraud Compensation Fund			
APPROPRIATIONS			
Corporations Code section 2280	\$1,630	\$1,530	\$1,571
Totals Available	\$1,630	\$1,530	\$1,571
Unexpended balance, estimated savings	183	-	-
TOTALS, EXPENDITURES	\$1,813	\$1,530	\$1,571
3244 Political Disclosure, Accountability, Transparency, and Access Fund			
APPROPRIATIONS			

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0890 Secretary of State - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
001 Budget Act appropriation	\$76	\$87	\$1,845
Allocation for Employee Compensation	1	1	-
Section 3.60 Pension Contribution Adjustment	<u>3</u>	<u>1</u>	<u>-</u>
Totals Available	\$80	\$89	\$1,845
Unexpended balance, estimated savings	<u>-3</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$77	\$89	\$1,845
3254 Business Programs Modernization Fund			
APPROPRIATIONS			
001 Budget Act Appropriation	<u>\$500</u>	<u>\$1,500</u>	<u>\$2,039</u>
TOTALS, EXPENDITURES	\$500	\$1,500	\$2,039
Total Expenditures, All Funds, (State Operations)	\$101,124	\$125,573	\$101,316
2 LOCAL ASSISTANCE			
0001 General Fund			
APPROPRIATIONS			
Chapter 11, Statutes of 2016 (AB 120)	<u>-</u>	<u>\$16,288</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$16,288	\$-
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,734	\$3,621	\$51,000
Revised Expenditure Authority per Provision 1, Item 0890-101-0890	-	1,212	-
Revised Expenditure Authority per Provision 4, Item 0890-001-0890 and Provision 1, Item 0890-101-0890	<u>42</u>	<u>-</u>	<u>-</u>
Totals Available	\$2,776	\$4,833	\$51,000
Unexpended balance, estimated savings	<u>-469</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$2,307	\$4,833	\$51,000
Total Expenditures, All Funds, (Local Assistance)	\$2,307	\$21,121	\$51,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$103,431	\$146,694	\$152,316

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
0228 Secretary of States Business Fees Fund ^s			
BEGINNING BALANCE	\$1,000	\$1,001	\$1,000
Prior Year Adjustments	<u>776</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,776	\$1,001	\$1,000
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4120800 Corporation Fees - Domestic Corporations	9,687	8,500	8,500
4121000 Corporation Fees - Foreign Corporations	1,232	1,070	1,070
4122800 Filing Financing Statements	2,274	2,200	2,200
4125800 Notary Public License Fees	991	925	925
4129200 Other Regulatory Fees	8,659	7,350	7,350
4145500 Secretary of State - Fees	29,589	25,985	25,985
4163000 Investment Income - Surplus Money Investments	34	17	17
4171000 Cost Recoveries - Delinquent Receivables	18	-	18
4172500 Miscellaneous Revenue	18,619	14,000	14,000
4173500 Settlements and Judgments - Other	13	-	13
Transfers and Other Adjustments			

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0890 Secretary of State - Continued

	2014-15*	2015-16*	2016-17*
Revenue Transfer from the Business Fees Fund (0228) to the General Fund (0001)Per Government Code Section 12176.	-18,680	-3,326	-9,528
Total Revenues, Transfers, and Other Adjustments	<u>\$52,436</u>	<u>\$56,721</u>	<u>\$50,550</u>
Total Resources	\$54,212	\$57,722	\$51,550
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	53,177	56,628	51,100
8880 Financial Information System for California (State Operations)	<u>34</u>	<u>94</u>	<u>70</u>
Total Expenditures and Expenditure Adjustments	<u>\$53,211</u>	<u>\$56,722</u>	<u>\$51,170</u>
FUND BALANCE	\$1,001	\$1,000	\$380
Reserve for economic uncertainties	1,001	1,000	380
3042 Victims of Corporate Fraud Compensation Fund^s			
BEGINNING BALANCE	\$1,223	\$12,294	\$12,274
Prior Year Adjustments	<u>844</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,067	\$12,294	\$12,274
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	1,810	1,500	1,500
4150500 Interest Income - Interfund Loans	225	-	225
4163000 Investment Income - Surplus Money Investments	5	10	9
Transfers and Other Adjustments			
Loan Repayment from General Fund (0001) to Victims of Corporate Fraud Compensation Fund (3042) per Item 0890-011-3042, Budget Act of 2010.	10,000	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$12,040</u>	<u>\$1,510</u>	<u>\$1,734</u>
Total Resources	\$14,107	\$13,804	\$14,008
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	1,813	1,530	1,571
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>-</u>	<u>1</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,813</u>	<u>\$1,530</u>	<u>\$1,572</u>
FUND BALANCE	\$12,294	\$12,274	\$12,436
Reserve for economic uncertainties	12,294	12,274	12,436
3244 Political Disclosure, Accountability, Transparency, and Access Fund^s			
BEGINNING BALANCE	\$886	\$1,403	\$1,760
Prior Year Adjustments	<u>-1</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$885	\$1,403	\$1,760
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	531	370	460
4163000 Investment Income - Surplus Money Investments	3	1	1
4173000 Penalty Assessments - Other	<u>61</u>	<u>75</u>	<u>38</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$595</u>	<u>\$446</u>	<u>\$499</u>
Total Resources	\$1,480	\$1,849	\$2,259
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	<u>77</u>	<u>89</u>	<u>1,845</u>
Total Expenditures and Expenditure Adjustments	<u>\$77</u>	<u>\$89</u>	<u>\$1,845</u>
FUND BALANCE	\$1,403	\$1,760	\$414

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0890 Secretary of State - Continued

	2014-15*	2015-16*	2016-17*
Reserve for economic uncertainties	1,403	1,760	414
3254 Business Programs Modernization Fund ^s			
BEGINNING BALANCE	\$892	\$2,205	\$2,215
Prior Year Adjustments	<u>-1</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$891	\$2,205	\$2,215
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	1,810	1,500	1,500
4163000 Investment Income - Surplus Money Investments	<u>4</u>	<u>10</u>	<u>10</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,814</u>	<u>\$1,510</u>	<u>\$1,510</u>
Total Resources	\$2,705	\$3,715	\$3,725
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	500	1,500	2,039
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>-</u>	<u>1</u>
Total Expenditures and Expenditure Adjustments	<u>\$500</u>	<u>\$1,500</u>	<u>\$2,040</u>
FUND BALANCE	\$2,205	\$2,215	\$1,685
Reserve for economic uncertainties	2,205	2,215	1,685

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	562.0	564.3	564.3	\$31,058	\$30,656	\$28,386
Budget Position Transparency	-	-70.4	-70.4	-	-3,687	-1,417
Salary and Other Adjustments	-47.2	-	-54.0	-2,484	1,458	-1,704
Workload and Administrative Adjustments						
Ballot on Demand Systems: Electronic Poll Books (SB 439)	-	-	1.0	-	-	51
Business Programs Division Filings Process	-	-	52.0	-	-	2,214
California Business Connect Project						
Temporary Help	-	-	-	-	-	473
Help America Vote Act - VoteCal	-	-	-	-	-	907
Help America Vote Act Spending Plan	-	-	-	-	-	1,025
Placement Agent Lobby Registration Workload	-	-	1.0	-	-	42
Secretary of State Headquarters Building Security Improvements	-	-	2.0	-	-	129
Vote-by-mail Ballot Drop-off Location Regulations (SB 365)	-	-	-	-	-	55
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	<u>-</u>	<u>-</u>	<u>56.0</u>	<u>\$-</u>	<u>\$-</u>	<u>\$4,896</u>
Totals, Adjustments	<u>-47.2</u>	<u>-70.4</u>	<u>-68.4</u>	<u>-2,484</u>	<u>-2,229</u>	<u>\$1,775</u>
TOTALS, SALARIES AND WAGES	514.8	493.9	495.9	\$28,574	\$28,427	\$30,161

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0911 Citizens Redistricting Initiative

The "Voters First Act" (Proposition 11) and the "Voters First Act for Congress" (Proposition 20) reformed the redistricting process and established an independent 14-member Citizens Redistricting Commission to draw the decennial district boundaries for California's Congressional delegation, state Senate, state Assembly, and Board of Equalization.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0730 Support	0.5	0.5	0.5	\$93	\$90	\$90
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	0.5	0.5	0.5	\$93	\$90	\$90
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$93	\$90	\$90
TOTALS, EXPENDITURES, ALL FUNDS				\$93	\$90	\$90

LEGAL CITATIONS AND AUTHORITY

Government Code Title 2, Division 1, Chapter 3.2, Sections 8251 to 8253.6, as added by Proposition 11, with amendments as a result of Proposition 20.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$1	\$-	-	\$1	\$-	-
• Benefit Adjustments	1	-	-	1	-	-
• Retirement Rate Adjustments	1	-	-	1	-	-
• Salary Adjustments	1	-	-	1	-	-
• Budget Position Transparency	-1	-	-	-1	-	-
Totals, Other Workload Budget Adjustments	\$3	\$-	-	\$3	\$-	-
Totals, Workload Budget Adjustments	\$3	\$-	-	\$3	\$-	-
Totals, Budget Adjustments	\$3	\$-	-	\$3	\$-	-

PROGRAM DESCRIPTIONS

0730 - The Citizens Redistricting Commission shall draw California's new congressional and state Assembly, Senate, and Board of Equalization districts following the completion of the decennial census. The districts shall be based on strict, non-partisan rules delineated in California's Constitution that are designed to ensure fair representation and shall be defended by the commission as the sole legal defender.

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0730	SUPPORT			
	State Operations:			
0001	General Fund	\$93	\$90	\$90
	Totals, State Operations	\$93	\$90	\$90
TOTALS, EXPENDITURES				
	State Operations	93	90	90
	Totals, Expenditures	\$93	\$90	\$90

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0911 Citizens Redistricting Initiative - Continued

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	0.5	0.5	0.5	\$38	\$38	\$38
Budget Position Transparency	-	-	-	-	-1	-1
Total Adjustments	-	-	-	1	3	3
Net Totals, Salaries and Wages	0.5	0.5	0.5	\$39	\$40	\$40
Staff Benefits	-	-	-	20	19	19
Totals, Personal Services	0.5	0.5	0.5	\$59	\$59	\$59
OPERATING EXPENSES AND EQUIPMENT				\$34	\$31	\$31
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$93	\$90	\$90

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$85	\$87	\$90
Allocation for Employee Compensation	1	1	-
Allocation for Staff Benefit	-	1	-
Budget Position Transparency	-	-1	-
Expenditure by Category Redistribution	-	1	-
Revised Expenditure Authority per Provision 1, Budget Act of 2014	20	-	-
Section 3.60 Pension Contribution Adjustment	1	1	-
Totals Available	\$107	\$90	\$90
Unexpended balance, estimated savings	-14	-	-
TOTALS, EXPENDITURES	\$93	\$90	\$90
Total Expenditures, All Funds, (State Operations)	\$93	\$90	\$90

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	0.5	0.5	0.5	\$38	\$38	\$38
Budget Position Transparency	-	-	-	-	-1	-1
Salary and Other Adjustments	-	-	-	1	3	3
Totals, Adjustments	-	-	-	\$1	\$2	\$2
TOTALS, SALARIES AND WAGES	0.5	0.5	0.5	\$39	\$40	\$40

0950 State Treasurer

The State Treasurer, a constitutionally established office, provides banking services for state government with goals to minimize interest and service costs and to maximize yield on investments. The Treasurer is responsible for the custody of all monies and securities belonging to or held in trust by the state; investment of temporarily idle state monies; administration of the sale of state bonds, their redemption and interest payments; and payment of warrants or checks drawn by the State Controller and other state agencies.

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0950 State Treasurer - Continued

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0740010 Investment Services	13.0	18.0	18.0	\$3,644	\$3,481	\$3,489
0740019 Centralized Treasury & Securities Management	62.5	63.5	65.5	12,644	13,528	13,731
0740028 Public Finance	56.1	53.3	56.3	9,949	11,251	16,139
9900100 Administration	84.9	90.9	92.9	13,967	15,770	15,728
9900200 Administration - Distributed	-	-	-	-11,079	-9,935	-9,926
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	216.5	225.7	232.7	\$29,125	\$34,095	\$39,161
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$3,358	\$4,939	\$4,708
0995 Reimbursements				23,124	26,431	31,493
9740 Central Service Cost Recovery Fund				2,643	2,725	2,960
TOTALS, EXPENDITURES, ALL FUNDS				\$29,125	\$34,095	\$39,161

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution Article XVI, Sections 1.5 and 7; and Government Code Sections 12300-12333, 16300-16600, 53661.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Debt Management System (DMS) II	\$-	\$-	-	\$-	\$6,265	6.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$6,265	6.0
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$1,850	\$-	-	\$1,499	\$-	-
• Salary Adjustments	66	368	-	65	366	-
• Benefit Adjustments	35	192	-	44	243	-
• Retirement Rate Adjustments	24	128	-	24	128	-
• Lease Revenue Debt Service Adjustment	-1	-	-	-2	-	-
• Miscellaneous Baseline Adjustments	-	-	-	-245	441	1.0
• Budget Position Transparency	-1,850	-	-22.7	-1,499	-	-18.7
Totals, Other Workload Budget Adjustments	\$124	\$688	-22.7	-\$114	\$1,178	-17.7
Totals, Workload Budget Adjustments	\$124	\$688	-22.7	-\$114	\$7,443	-11.7
Totals, Budget Adjustments	\$124	\$688	-22.7	-\$114	\$7,443	-11.7

PROGRAM DESCRIPTIONS

0740010 - INVESTMENT SERVICES

The Investment Division is responsible for investment of state monies from the date of receipt through the date of redemption. During the 2014-15 fiscal year, this Division handled 7,436 security investment transactions totaling \$324.3 billion. The Pooled Money Investment Board (PMIB) program accounted for 5,774 of these transactions totaling \$287.8 billion; time deposits accounted for 1,247 transactions totaling \$27.8 billion. The remaining \$8.7 billion is invested on behalf of the state's special funds, such as those associated with the California Housing Finance Agency, the Department of Fish and Wildlife, the state's retirement system, etc. The Division also administers the Local Agency Investment Fund (LAIF), a

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0950 State Treasurer - Continued

voluntary investment program created to offer California local agencies greater access to the financial markets through the PMIB program. In the 2014-15 fiscal year, 2,531 local agencies participated in LAIF, with deposits averaging \$20.2 billion for the fiscal year.

0740019 - CENTRALIZED TREASURY AND SECURITIES MANAGEMENT

In 1949, the California Legislature amended Government Code Section 16305 to create the Centralized Treasury System (CTS) thereby requiring agencies of the State to deposit their money in trust with the Treasurer. The legislation also requires the Treasurer to safeguard the money and make safe and prudent investments. In 1955, the Legislature created the PMIB, giving the Board the responsibility to designate the amount of money available for the investment in securities, bank deposits, and loans to the General Fund.

The Centralized Treasury and Securities Management Division (CTSMD) oversees all banking aspects of the CTS. The goal of the CTSMD is to maximize the earning of interest consistent with safe and prudent treasury management, and to ensure that the depository banks provide the state with proper and adequate security for the deposit of state monies. The State Treasurer maintains demand bank accounts with eight banks for the purpose of providing necessary statewide depository coverage for the remittance of funds collected by the various state agencies.

The CTSMD 1) monitors the cash flow of all state funds, forecasts cash balances, revenue, expenditures, and the amounts available for daily investments, 2) ensures accurate and timely agency deposits, 3) administers and executes the wire transfer of funds, 4) reconciles state accounts with depository banks and redeems all state items submitted by presenting banks for payments, 5) executes the clearance and income collection for state investments (excluding PERS and STRS) and securities pledged to the state, 6) fulfills the clearance and settlement of securities pledged to the state for the time, demand, and other state agency programs, and 7) is responsible for the safekeeping of securities and other personal property owned by or pledged to the state.

0740028 - PUBLIC FINANCE

The Public Finance Division is responsible for selling State of California general obligation bonds, commercial paper notes, revenue anticipation notes, revenue bonds, and any other indebtedness for all state agencies. The Division provides debt administration services for most state bonds and is responsible for disseminating information to bondholders through the Investor Relations Program.

The Division also assures compliance with federal tax laws applicable to state debt so there is no adverse consequences to bondholders or increased borrowing costs to the state. The post-issuance tax compliance for various state debt includes monitoring the use of bond proceeds, monitoring use of bond financed property, tracking expenditures, managing rebate and yield restriction compliance, coordinating necessary financial disclosure, and ensuring proper record keeping.

9900 - ADMINISTRATION AND INFORMATION SERVICES

The Administration Division, Executive Office, and the Information Services Division provide executive direction and support services to programs in the State Treasurer's Office. Services include budgeting, personnel, accounting, information systems, business services, technical support, and production operations.

DETAILED EXPENDITURES BY PROGRAM

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
PROGRAM REQUIREMENTS				
0740	STATE TREASURER'S OFFICE			
	State Operations:			
0001	General Fund	\$2,902	\$4,090	\$3,855
0995	Reimbursements	20,691	21,445	26,544
9740	Central Service Cost Recovery Fund	<u>2,644</u>	<u>2,725</u>	<u>2,960</u>
	Totals, State Operations	\$26,237	\$28,260	\$33,359
SUBPROGRAM REQUIREMENTS				
0740010	Investment Services			
	State Operations:			
0001	General Fund	\$429	\$444	\$420
0995	Reimbursements	2,933	2,751	2,757
9740	Central Service Cost Recovery Fund	<u>282</u>	<u>286</u>	<u>312</u>
	Totals, State Operations	\$3,644	\$3,481	\$3,489
SUBPROGRAM REQUIREMENTS				
0740019	Centralized Treasury & Securities Management			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0950 State Treasurer - Continued

		2014-15*	2015-16*	2016-17*
State Operations:				
0001	General Fund	\$1,119	\$2,281	\$2,153
0995	Reimbursements	10,087	9,740	9,947
9740	Central Service Cost Recovery Fund	1,438	1,507	1,631
Totals, State Operations		\$12,644	\$13,528	\$13,731
SUBPROGRAM REQUIREMENTS				
0740028	Public Finance			
State Operations:				
0001	General Fund	\$1,354	\$1,365	\$1,282
0995	Reimbursements	7,671	8,954	13,840
9740	Central Service Cost Recovery Fund	924	932	1,017
Totals, State Operations		\$9,949	\$11,251	\$16,139
PROGRAM REQUIREMENTS				
9900	ADMINISTRATION - TOTAL			
State Operations:				
0001	General Fund	\$456	\$849	\$853
0995	Reimbursements	2,433	4,986	4,949
9740	Central Service Cost Recovery Fund	-1	-	-
Totals, State Operations		\$2,888	\$5,835	\$5,802
SUBPROGRAM REQUIREMENTS				
9900100	Administration			
State Operations:				
0001	General Fund	\$11,535	\$10,784	\$10,779
0995	Reimbursements	2,433	4,986	4,949
9740	Central Service Cost Recovery Fund	-1	-	-
Totals, State Operations		\$13,967	\$15,770	\$15,728
SUBPROGRAM REQUIREMENTS				
9900200	Administration - Distributed			
State Operations:				
0001	General Fund	-\$11,079	-\$9,935	-\$9,926
Totals, State Operations		-\$11,079	-\$9,935	-\$9,926
TOTALS, EXPENDITURES				
State Operations		29,125	34,095	39,161
Totals, Expenditures		\$29,125	\$34,095	\$39,161

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	236.4	248.4	244.4	\$16,901	\$17,812	\$17,461
Budget Position Transparency	-	-22.7	-18.7	-	-1,850	-1,499
Total Adjustments	-19.9	-	7.0	-1,210	434	999
Net Totals, Salaries and Wages	216.5	225.7	232.7	\$15,691	\$16,396	\$16,961
Staff Benefits	-	-	-	7,036	10,260	10,114
Totals, Personal Services	216.5	225.7	232.7	\$22,727	\$26,656	\$27,075
OPERATING EXPENSES AND EQUIPMENT				\$6,398	\$7,439	\$11,991
SPECIAL ITEMS OF EXPENSES				-	-	95

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0950 State Treasurer - Continued

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$29,125	\$34,095	\$39,161

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,665	\$4,815	\$4,708
Allocation for employee compensation	52	66	-
Allocation for staff benefits	20	35	-
Budget Position Transparency	-	-1,850	-
Expenditure by Category Redistribution	-	1,850	-
Section 3.60 pension contribution adjustment	79	24	-
Tenant Rent Adjustment	-	-1	-
Totals Available	\$4,816	\$4,939	\$4,708
Unexpended balance, estimated savings	-1,458	-	-
TOTALS, EXPENDITURES	\$3,358	\$4,939	\$4,708
0467 State Notes Expense Account			
APPROPRIATIONS			
Government Code 17311	\$779	-	-
TOTALS, EXPENDITURES	\$779	\$-	\$-
Less funding provided by General Fund	-779	-	-
NET TOTALS, EXPENDITURES	\$-	\$-	\$-
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$23,124	\$26,431	\$31,493
TOTALS, EXPENDITURES	\$23,124	\$26,431	\$31,493
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,564	\$2,652	\$2,960
Allocation for employee compensation	27	39	-
Allocation for staff benefits	11	20	-
Section 3.60 pension contribution adjustment	41	14	-
TOTALS, EXPENDITURES	\$2,643	\$2,725	\$2,960
Total Expenditures, All Funds, (State Operations)	\$29,125	\$34,095	\$39,161

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
0467 State Notes Expense Account ^s			
BEGINNING BALANCE	\$250	\$250	\$250
Adjusted Beginning Balance	\$250	\$250	\$250
Total Resources	\$250	\$250	\$250
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0950 State Treasurer (State Operations)	779	-	-
Expenditure Adjustments:			
Less funding provided by General Fund (State Operations)	-779	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0950 State Treasurer - Continued

	2014-15*	2015-16*	2016-17*
FUND BALANCE	\$250	\$250	\$250
Reserve for economic uncertainties	250	250	250
3059 Fiscal Recovery Fund^s			
BEGINNING BALANCE	\$645,638	\$998,127	\$126,613
Prior Year Adjustments	-1,061	-	-
Adjusted Beginning Balance	\$644,577	\$998,127	\$126,613
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4117200 Retail Sales and Use Tax - Fiscal Recovery	1,584,475	966,472	-
4163000 Investment Income - Surplus Money Investments	1,689	3,000	-
Total Revenues, Transfers, and Other Adjustments	\$1,586,164	\$969,472	-
Total Resources	\$2,230,741	\$1,967,599	\$126,613
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
9210 Local Government Financing (Local Assistance)	-	845,000	-
9618 Economic Recovery Financing Committee (State Operations)	16,577	-	-
9618 Economic Recovery Financing Committee (Unclassified)	1,216,037	995,986	-
Total Expenditures and Expenditure Adjustments	\$1,232,614	\$1,840,986	-
FUND BALANCE	\$998,127	\$126,613	\$126,613
Reserve for economic uncertainties	998,127	126,613	126,613

3090 Deficit Recovery Bond Retirement Sinking Fund Subaccount, Budget**Stabilization Account^s**

BEGINNING BALANCE	\$115,641	1,260	-
Prior Year Adjustments	-57,975	-	-
Adjusted Beginning Balance	\$57,666	\$1,260	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	61	-	-
4170400 Capital Asset Sales Proceeds	1,260	-	-
Transfers and Other Adjustments			
Revenue Transfer from Budget Stabilization Account (1011) to Deficit Recovery Bond Retirement Sinking Fund Subaccount, Budget Stabilization Account (3090) per Article XVI, Sec. 20 of the California Constitution	1,606,422	-	-
Total Revenues, Transfers, and Other Adjustments	\$1,607,743	-	-
Total Resources	\$1,665,409	\$1,260	-
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
9618 Economic Recovery Financing Committee (Unclassified)	1,664,149	1,260	-
Total Expenditures and Expenditure Adjustments	\$1,664,149	\$1,260	-
FUND BALANCE	\$1,260	-	-
Reserve for economic uncertainties	1,260	-	-

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	236.4	248.4	244.4	\$16,901	\$17,812	\$17,461
Budget Position Transparency	-	-22.7	-18.7	-	-1,850	-1,499
Salary and Other Adjustments	-19.9	-	1.0	-1,210	434	509
Workload and Administrative Adjustments						

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0950 State Treasurer - Continued

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Debt Management System (DMS) II						
Assoc Info Sys Analyst (Spec)	-	-	1.0	-	-	67
Dp Mgr III	-	-	1.0	-	-	98
Sr Info Sys Analyst (Spec)	-	-	1.0	-	-	81
Sr Programmer Analyst (Spec)	-	-	1.0	-	-	81
Sys Software Spec II (Tech)	-	-	1.0	-	-	81
Treasury Program Mgr II	-	-	1.0	-	-	82
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	6.0	\$-	\$-	\$490
Totals, Adjustments	-19.9	-22.7	-11.7	-\$1,210	-\$1,416	-\$500
TOTALS, SALARIES AND WAGES	216.5	225.7	232.7	\$15,691	\$16,396	\$16,961

0954 Scholarshare Investment Board

The ScholarShare Investment Board administers the Golden State ScholarShare College Savings Trust Program (ScholarShare), the Governor's Scholarship Programs (GSP), and the California Memorial Scholarship Program (CMS). ScholarShare is a way for families to save for higher education costs using a tax-advantaged 529 college savings plan. GSP granted scholarships to students who attained certain scores on state tests. CMS provides scholarships to surviving dependents of California residents killed in the terrorist attacks of September 11, 2001.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0780 Golden State Scholarshare Trust Program	10.2	9.0	9.0	\$2,276	\$2,732	\$2,722
0785 Governor's Scholarship Program	1.0	1.0	1.0	351	370	370
0790 California Memorial Scholarship Programs	-	-	-	-	-	236
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	11.2	10.0	10.0	\$2,627	\$3,102	\$3,328
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$351	\$365	\$365
0564 Scholarshare Administrative Fund				2,276	2,737	2,727
3033 California Memorial Scholarship Fund				-	-	236
TOTALS, EXPENDITURES, ALL FUNDS				\$2,627	\$3,102	\$3,328

LEGAL CITATIONS AND AUTHORITY

PROGRAM AUTHORITY

0780-Golden State ScholarShare College Savings Trust Program:

Education Code Sections 69980 to 69994.

0785-Governor's Scholarship Programs:

Education Code Sections 69999.6 to 69999.8.

0790-California Memorial Scholarship Programs:

Education Code Sections 70010 to 70011.9, and Vehicle Code Section 5066.

DETAILED BUDGET ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board - Continued

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Funding for California Memorial Scholarship Program	\$-	\$-	-	\$-	\$236	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$236	-
Other Workload Budget Adjustments						
• Salary Adjustments	\$2	\$15	-	\$2	\$15	-
• Benefit Adjustments	1	9	-	1	11	-
• Retirement Rate Adjustments	1	5	-	1	5	-
• Pro Rata	-	-	-	-	-12	-
Totals, Other Workload Budget Adjustments	\$4	\$29	-	\$4	\$19	-
Totals, Workload Budget Adjustments	\$4	\$29	-	\$4	\$255	-
Totals, Budget Adjustments	\$4	\$29	-	\$4	\$255	-

PROGRAM DESCRIPTIONS

0780 - GOLDEN STATE SCHOLARSHARE COLLEGE SAVINGS TRUST PROGRAM

The Golden State ScholarShare College Savings Trust Program is California's state-sponsored 529 college savings plan established to help families save for higher education. Earnings are tax-free when used for qualified higher education expenses (such as tuition, fees, supplies, books, and certain room and board expenses) at eligible educational institutions.

0785 - GOVERNOR'S SCHOLARSHIP PROGRAMS

The Governor's Scholarship Programs (GSP) rewarded public school students who demonstrated high academic achievement with scholarships. Students may have earned multiple \$1,000 Governor's Scholars Awards and a one-time \$2,500 Governor's Distinguished Mathematics and Science Scholars Award for scores attained on tests taken in 2000, 2001, and 2002. GSP became inoperative and was repealed after 2002. ScholarShare administers scholarship awards that were already earned.

0790 - CALIFORNIA MEMORIAL SCHOLARSHIP PROGRAM

The California Memorial Scholarship Program provides scholarships to surviving dependents of California residents killed as a result of the terrorist attacks of September 11, 2001.

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0780	GOLDEN STATE SCHOLARSHARE TRUST PROGRAM			
	State Operations:			
0564	Scholarshare Administrative Fund	\$2,276	\$2,732	\$2,722
	Totals, State Operations	\$2,276	\$2,732	\$2,722
PROGRAM REQUIREMENTS				
0785	GOVERNOR'S SCHOLARSHIP PROGRAM			
	State Operations:			
0001	General Fund	\$351	\$365	\$365
0564	Scholarshare Administrative Fund	-	5	5
	Totals, State Operations	\$351	\$370	\$370
PROGRAM REQUIREMENTS				
0790	CALIFORNIA MEMORIAL SCHOLARSHIP PROGRAMS			
	Local Assistance:			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board - Continued

	2014-15*	2015-16*	2016-17*
3033 California Memorial Scholarship Fund	\$-	\$-	\$236
Totals, Local Assistance	\$-	\$-	\$236
TOTALS, EXPENDITURES			
State Operations	2,627	3,102	3,092
Local Assistance	-	-	236
Totals, Expenditures	\$2,627	\$3,102	\$3,328

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions					
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	10.0	10.0	10.0	\$691	\$706	\$706
Total Adjustments	1.2	-	-	145	17	17
Net Totals, Salaries and Wages	11.2	10.0	10.0	\$836	\$723	\$723
Staff Benefits	-	-	-	297	313	315
Totals, Personal Services	11.2	10.0	10.0	\$1,133	\$1,036	\$1,038
OPERATING EXPENSES AND EQUIPMENT				\$1,494	\$2,066	\$2,054
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,627	\$3,102	\$3,092

2 Local Assistance

	Expenditures		
	2014-15*	2015-16*	2016-17*
Grants and Subventions - Governmental	\$-	\$-	\$236
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$-	\$-	\$236

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$356	\$360	\$365
Allocation for employee compensation	1	2	-
Allocation for staff benefits	-	1	-
Past year adjustments	1	-	-
Section 3.60 pension contribution adjustment	2	1	-
Technical adjustment	-	1	-
Totals Available	\$360	\$365	\$365
Unexpended balance, estimated savings	-9	-	-
TOTALS, EXPENDITURES	\$351	\$365	\$365
0564 Scholarshare Administrative Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,505	\$2,706	\$2,727
Allocation for employee compensation	17	15	-
Allocation for staff benefits	-	9	-
Section 3.60 pension contribution adjustment	19	5	-
Technical adjustment	-	2	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board - Continued

1 STATE OPERATIONS	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
Totals Available	\$2,541	\$2,737	\$2,727
Unexpended balance, estimated savings	-265	-	-
TOTALS, EXPENDITURES	\$2,276	\$2,737	\$2,727
3033 California Memorial Scholarship Fund			
Prior Year Balances Available:			
Chapter 677, Statutes of 2005	27	27	27
Totals Available	\$27	\$27	\$27
Balance available in subsequent years	-27	-27	-27
TOTALS, EXPENDITURES	\$-	\$-	\$-
Total Expenditures, All Funds, (State Operations)	\$2,627	\$3,102	\$3,092
2 LOCAL ASSISTANCE	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
3033 California Memorial Scholarship Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$236
TOTALS, EXPENDITURES	\$-	\$-	\$236
Total Expenditures, All Funds, (Local Assistance)	\$0	\$0	\$236
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$2,627	\$3,102	\$3,328

FUND CONDITION STATEMENTS

	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
3033 California Memorial Scholarship Fund^s			
BEGINNING BALANCE	\$21	\$18	\$18
Prior Year Adjustments	-3	-	-
Adjusted Beginning Balance	\$18	\$18	\$18
Total Resources	\$18	\$18	\$18
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0954 Scholarshare Investment Board (Local Assistance)	-	-	236
Total Expenditures and Expenditure Adjustments	-	-	\$236
FUND BALANCE	\$18	\$18	-\$218
Reserve for economic uncertainties	18	18	-218

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
Baseline Positions	10.0	10.0	10.0	\$691	\$706	\$706
Salary and Other Adjustments	1.2	-	-	145	17	17
Totals, Adjustments	1.2	-	-	\$145	\$17	\$17
TOTALS, SALARIES AND WAGES	11.2	10.0	10.0	\$836	\$723	\$723

0956 California Debt and Investment Advisory Commission

The mission of the California Debt and Investment Advisory Commission (CDIAC) is to support and improve the practice of public finance in California by providing responsive and reliable information, analysis, and training. CDIAC assists California state and local governments to monitor, issue, and manage public debt and to safely and prudently invest public funds.

The Commission consists of nine members including the State Treasurer, who serves as chairperson; the Governor or, upon his designation, the Director of Finance; the State Controller; two local government finance officers appointed by the State Treasurer; two members of the Assembly appointed by the Speaker of the Assembly; and two members of the Senate appointed by the Senate Committee on Rules.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0956 California Debt and Investment Advisory Commission - Continued

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0800	California Debt and Investment Advisory Commission	19.3	18.0	18.0	\$2,681	\$3,466	\$3,506
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		19.3	18.0	18.0	\$2,681	\$3,466	\$3,506
FUNDING					2014-15*	2015-16*	2016-17*
0171	California Debt and Investment Advisory Commission Fund				\$2,627	\$3,286	\$3,326
0995	Reimbursements				54	180	180
TOTALS, EXPENDITURES, ALL FUNDS					\$2,681	\$3,466	\$3,506

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 8855-8859.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Expenditure by category redistribution	\$-	\$136	-	\$-	\$136	-
• Pro Rata	-	-	-	-	34	-
• Salary Adjustments	-	32	-	-	32	-
• Benefit Adjustments	-	19	-	-	25	-
• Retirement Rate Adjustments	-	11	-	-	11	-
• Miscellaneous Baseline Adjustments	-	1	-	-	1	-
• Budget Position Transparency	-	-136	-2.0	-	-136	-2.0
Totals, Other Workload Budget Adjustments	\$-	\$63	-2.0	\$-	\$103	-2.0
Totals, Workload Budget Adjustments	\$-	\$63	-2.0	\$-	\$103	-2.0
Totals, Budget Adjustments	\$-	\$63	-2.0	\$-	\$103	-2.0

PROGRAM DESCRIPTIONS

0800 - CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION

CDIAC's primary objective is to enhance the knowledge of public officials with respect to the administration and issuance of public debt and the investment of public funds. CDIAC achieves this objective by performing functions in three principal areas, including:

- Data Collection and Analysis - CDIAC serves as a clearinghouse of debt issued by California public entities and nonprofit student loan corporations.
- Continuing Education - CDIAC provides educational seminars, workshops, and conferences to public officials on municipal debt and public fund investment topics. In addition, CDIAC provides technical assistance to public officials and taxpayers through direct interaction and public forums to disseminate relevant information.
- Policy Research - CDIAC undertakes original research on the issuance and administration of public debt and on the investment of public funds. Research results are published in the form of reports, issue briefs, or articles and are disseminated in printed and electronic forms.

DETAILED EXPENDITURES BY PROGRAM

	2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0956 California Debt and Investment Advisory Commission - Continued

	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
0800 CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION			
State Operations:			
0171 California Debt and Investment Advisory Commission Fund	\$2,627	\$3,286	\$3,326
0995 Reimbursements	<u>54</u>	<u>180</u>	<u>180</u>
Totals, State Operations	\$2,681	\$3,466	\$3,506
TOTALS, EXPENDITURES			
State Operations	<u>2,681</u>	<u>3,466</u>	<u>3,506</u>
Totals, Expenditures	\$2,681	\$3,466	\$3,506

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions			Expenditures		
	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
PERSONAL SERVICES						
Baseline Positions	20.0	20.0	20.0	\$1,298	\$1,298	\$1,298
Budget Position Transparency	-	-2.0	-2.0	-	-136	-136
Total Adjustments	<u>-0.7</u>	<u>-</u>	<u>-</u>	<u>-22</u>	<u>32</u>	<u>32</u>
Net Totals, Salaries and Wages	19.3	18.0	18.0	\$1,276	\$1,194	\$1,194
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>586</u>	<u>588</u>	<u>594</u>
Totals, Personal Services	19.3	18.0	18.0	\$1,862	\$1,782	\$1,788
OPERATING EXPENSES AND EQUIPMENT				<u>\$819</u>	<u>\$1,684</u>	<u>\$1,718</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,681	\$3,466	\$3,506

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0171 California Debt and Investment Advisory Commission Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,864	\$3,223	\$3,326
Adjustment to current service level	-	1	-
Allocation for employee compensation	25	32	-
Allocation for staff benefits	11	19	-
Budget position transparency	-	-136	-
Expenditure by category redistribution	-	136	-
Section 3.60 pension contribution adjustment	<u>39</u>	<u>11</u>	<u>-</u>
Totals Available	\$2,939	\$3,286	\$3,326
Unexpended balance, estimated savings	<u>-312</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$2,627	\$3,286	\$3,326
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$54</u>	<u>\$180</u>	<u>\$180</u>
TOTALS, EXPENDITURES	\$54	\$180	\$180
Total Expenditures, All Funds, (State Operations)	\$2,681	\$3,466	\$3,506

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0956 California Debt and Investment Advisory Commission - Continued**FUND CONDITION STATEMENTS**

	2014-15*	2015-16*	2016-17*
0171 California Debt and Investment Advisory Commission Fund^s			
BEGINNING BALANCE	\$3,893	\$3,426	\$5,011
Prior Year Adjustments	<u>-5</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$3,888	\$3,426	\$5,011
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	2,157	2,500	2,400
4150500 Interest Income - Interfund Loans	-	362	-
4163000 Investment Income - Surplus Money Investments	9	13	14
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the California Debt and Investment Advisory Commission Fund (0171) per Budget Act Item 0956-001-0171, Budget Act of 2013	-	2,000	-
Total Revenues, Transfers, and Other Adjustments	<u>\$2,166</u>	<u>\$4,875</u>	<u>\$2,414</u>
Total Resources	\$6,054	\$8,301	\$7,425
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0956 California Debt and Investment Advisory Commission (State Operations)	2,626	3,285	3,325
8880 Financial Information System for California (State Operations)	<u>2</u>	<u>5</u>	<u>4</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,628</u>	<u>\$3,290</u>	<u>\$3,329</u>
FUND BALANCE	\$3,426	\$5,011	\$4,096
Reserve for economic uncertainties	3,426	5,011	4,096

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	20.0	20.0	20.0	\$1,298	\$1,298	\$1,298
Budget Position Transparency	-	-2.0	-2.0	-	-136	-136
Salary and Other Adjustments	<u>-0.7</u>	<u>-</u>	<u>-</u>	<u>-22</u>	<u>32</u>	<u>32</u>
Totals, Adjustments	<u>-0.7</u>	<u>-2.0</u>	<u>-2.0</u>	<u>-\$22</u>	<u>-\$104</u>	<u>-\$104</u>
TOTALS, SALARIES AND WAGES	19.3	18.0	18.0	\$1,276	\$1,194	\$1,194

0959 California Debt Limit Allocation Committee

The California Debt Limit Allocation Committee's ("Committee") mission is to allocate tax-exempt private activity bond authority for the State of California. Private activity bonds may only be used by the private sector for projects and programs that provide a public benefit. The major public benefit in California is the creation of affordable housing.

The federal government limits the amount of tax-exempt private activity bond authority that can be issued in a state on an annual basis. One limit of bond authority, which applies to all programs, except the Qualified Public Educational Facility Bond Program, is calculated by multiplying the state population by \$100. California has the largest population, and thus has the largest debt (or tax-exempt bond) limit, which totaled over \$3.83 billion in 2015. In addition, the limit for the Qualified Public Educational Facility Bond Program is calculated by multiplying the state population by \$10. This tax-exempt private activity bond authority of \$383 million for 2015 is exclusive of the \$3.83 billion in tax-exempt private activity bonds for 2015.

The Committee's allocation of tax-exempt bond authority results in the issuance of bonds by cities, counties, joint powers authorities, and state agencies. The bonds are purchased and used by the private sector and are not an obligation of the state or of the federal government.

The Committee administers ten programs that are funded through the allocation and issuance of tax-exempt private activity bonds. Those programs are: (1) the Qualified Residential Rental Project Program, (2) the Single-Family Housing Program, (3) the Home Improvement and Rehabilitation Bond Program, (4) the Extra Credit Home Purchase Program, (5) the Industrial Development Bond Project Program, (6) the Exempt Facility Program, (7) the Student Loan Program, (8) the Beginning Farmer Program, (9) the Qualified Public Educational Facility Program, and (10) the Qualified Energy

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0959 California Debt Limit Allocation Committee - Continued

Conservation Bond Program.

The Committee is also responsible for the reallocation of Qualified Energy Conservation Bond (QECB) authority originally provided to qualified localities, but later waived back to the State. This bond program, made available through the American Recovery and Reinvestment Act of 2009, provides tax incentives and lower borrowing costs for local governments and private entities to promote job creation and economic recovery in areas particularly affected by employment decline and to facilitate renewable energy conservation programs and projects throughout the State.

The Committee is comprised of the State Treasurer as Chairperson, the Governor, or upon his designation, the Director of Finance, and the State Controller. The Committee is funded on a fee-supported basis.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0810 California Debt Limit Allocation Committee	8.7	8.3	9.3	\$1,356	\$1,493	\$1,561
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	8.7	8.3	9.3	\$1,356	\$1,493	\$1,561
FUNDING				2014-15*	2015-16*	2016-17*
0169 California Debt Limit Allocation Committee Fund				\$1,356	\$1,493	\$1,561
TOTALS, EXPENDITURES, ALL FUNDS				\$1,356	\$1,493	\$1,561

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Section 8869.80 et seq.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Increases in Workload for Existing CDLAC Programs	\$-	\$-	-	\$-	\$132	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$132	1.0
Other Workload Budget Adjustments						
• Expenditure By Category Redistribution	\$-	\$66	-	\$-	\$66	-
• Salary Adjustments	-	15	-	-	15	-
• Benefit Adjustments	-	8	-	-	10	-
• Retirement Rate Adjustments	-	5	-	-	5	-
• Miscellaneous Baseline Adjustments	-	1	-	-	1	-
• Budget Position Transparency	-	-66	-0.7	-	-66	-0.7
• Pro Rata	-	-	-	-	-66	-
Totals, Other Workload Budget Adjustments	\$-	\$29	-0.7	\$-	-\$35	-0.7
Totals, Workload Budget Adjustments	\$-	\$29	-0.7	\$-	\$97	0.3
Totals, Budget Adjustments	\$-	\$29	-0.7	\$-	\$97	0.3

PROGRAM DESCRIPTIONS

0810 - CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE

Qualified Residential Rental Project Program:

State and local government agencies and joint powers authorities can issue tax-exempt housing revenue bonds. These bonds assist developers of multifamily rental housing units to acquire land and construct new units or purchase and rehabilitate existing units. The tax-exempt bonds lower the interest rate on a mortgage to be paid by the developers. The

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0959 California Debt Limit Allocation Committee - Continued

developers in turn produce affordable and market rate rental housing for low and very low-income households by reducing rental rates to these individuals and families. Projects that receive an award of bond authority have the right to apply for non-competitive four-percent tax credits.

Single-Family Housing Program:

State and local government agencies and joint powers authorities can issue tax-exempt mortgage revenue bonds (MRBs) or mortgage credit certificates (MCCs) to assist first-time homebuyers with purchasing homes. These agencies and authorities may issue MRBs, the proceeds of which back below-market interest rate mortgages. As an alternative to issuing MRBs, state and local government agencies and joint powers authorities may issue MCCs. Homebuyers use the MCCs to reduce their federal tax liability by applying the credit to their net tax due. Homebuyers may purchase single-family homes, either freestanding detached, condominiums or townhouses. Program participants must meet program income limits and must purchase a home that falls within the program's purchase price limitations.

Home Improvement and Rehabilitation Bond Program:

State and local government agencies and joint powers authorities can issue MRBs or MCCs to assist homeowners with home improvement financing. These agencies and authorities may issue MRBs, the proceeds of which back below-market interest rate home improvement or qualified rehabilitation loans. As an alternative to issuing MRBs, state and local government agencies and joint powers authorities may issue MCCs. Homeowners use the MCCs to reduce their federal tax liability by applying the credit to their net tax due. Homeowners may improve single-family homes, either freestanding detached, condominiums or townhouses. Program participants must meet program income limits, and in certain cases, must own a home that falls within the program's rehabilitation cost and age-of-home limitations.

Extra Credit Home Purchase Program:

State and local government agencies and joint powers authorities can issue MRBs or MCCs to assist teachers, principals and other eligible school staff with purchasing homes. These agencies and authorities may issue MRBs, the proceeds of which back below-market interest rate mortgages. As an alternative to issuing MRBs, state and local governmental agencies and joint powers authorities may issue MCCs. Homebuyers use the MCC to reduce their federal tax liability by applying the credit to their net tax due.

Industrial Development Bond Project Program:

Small-Issue Industrial Development Bonds (IDBs) are tax-exempt private activity bonds that are issued through state and local government agencies to assist manufacturing facilities finance capital expenditures. IDBs offer interest rate savings to small and midsize manufacturers in contrast to conventional loans. When used by manufacturers, IDBs serve to retain and create new jobs within their communities.

Exempt Facility Program:

Exempt Facility Bonds are tax-exempt private activity bonds that are issued by state and local government agencies to finance solid waste disposal and waste recycling facilities. The tax-exempt bonds provide facility owners with low-cost financing in the form of below-market interest rate loans. The interest rate savings enable the project owners to maintain lower customer rates or minimize customer rate increases, while at the same time assisting the communities they serve meet their mandated requirements to protect and enhance the environment.

Student Loan Program:

Student Loan Bonds are tax-exempt private activity bonds issued by authorized agencies for the purpose of either financing direct loans to college students and their parents or purchasing bundles of already-originated loans on the secondary market. When used for direct lending programs, tax-exempt bond allocation allows lenders to pass on interest rate savings to financially needy students via below-market interest rate loans. Financially needy students are borrowers for whom the cost to attend college exceeds their ability to pay, as determined by their school's financial aid office.

Beginning Farmer Program:

Beginning Farmer Bonds are tax-exempt private activity bonds that are issued through state and local government agencies to back below-market interest rate financing for eligible agricultural land, construction/improvements, breeder livestock and equipment for qualified farmers. Eligibility, permissible items and loan limits are set by the United States Internal Revenue Code. Under the Program, a conduit bond issuer applies to the Committee for an allocation of Beginning Farmer Bonds. If the Committee approves the allocation, the issuer then brings together farmers, financial institutions, contract sellers or investors to negotiate terms of a transaction. The issuer then sells the bonds to finance the loan, sale or investment.

Qualified Public Educational Facility Bond Program:

Qualified Public Educational Facility Bonds (QPEF's) are tax-exempt private activity bonds that are issued by state and local government agencies to finance the construction, renovation, and furnishing of primary and secondary school facilities. This form of financing will reduce the cost of financing for schools as interest earned by the lender will be tax exempt.

Qualified Energy Conservation Bond Program:

Qualified Energy Conservation Bonds (QECBs) may be issued as either tax-exempt private activity bonds or governmental purpose taxable bonds. When issued as a governmental purpose taxable bond, QECBs provide the issuer with either a direct or tax credit interest subsidy. QECBs may be issued to finance a broad array of qualified conservation purposes such as capital expenditures, research facilities and research grant programs, mass commuting facilities, public education campaigns, and demonstration projects. There is no sunset date for this program.

DETAILED EXPENDITURES BY PROGRAM

	2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0959 California Debt Limit Allocation Committee - Continued

		2014-15*	2015-16*	2016-17*
0810	CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE			
	State Operations:			
0169	California Debt Limit Allocation Committee Fund	\$1,356	\$1,493	\$1,561
	Totals, State Operations	\$1,356	\$1,493	\$1,561
	TOTALS, EXPENDITURES			
	State Operations	1,356	1,493	1,561
	Totals, Expenditures	\$1,356	\$1,493	\$1,561

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	9.0	9.0	9.0	\$592	\$592	\$592
Budget Position Transparency	-	-0.7	-0.7	-	-66	-66
Total Adjustments	-0.3	-	1.0	-22	15	77
Net Totals, Salaries and Wages	8.7	8.3	9.3	\$570	\$541	\$603
Staff Benefits	-	-	-	259	278	308
Totals, Personal Services	8.7	8.3	9.3	\$829	\$819	\$911
OPERATING EXPENSES AND EQUIPMENT				\$527	\$674	\$650
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,356	\$1,493	\$1,561

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0169 California Debt Limit Allocation Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,411	\$1,464	\$1,561
Allocation for employee compensation	11	15	-
Allocation for staff benefits	5	8	-
Budget Position Transparency	-	-66	-
Expenditure By Category Redistribution	-	66	-
Past year adjustments	1	-	-
Rounding adjustments	2	1	-
Section 3.60 pension contribution adjustment	17	5	-
Totals Available	\$1,447	\$1,493	\$1,561
Unexpended balance, estimated savings	-91	-	-
TOTALS, EXPENDITURES	\$1,356	\$1,493	\$1,561
Total Expenditures, All Funds, (State Operations)	\$1,356	\$1,493	\$1,561

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
0169 California Debt Limit Allocation Committee Fund [§]			
BEGINNING BALANCE	\$3,000	\$2,617	\$4,708
Prior Year Adjustments	2	-	-
Adjusted Beginning Balance	\$3,002	\$2,617	\$4,708

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0959 California Debt Limit Allocation Committee - Continued

	2014-15*	2015-16*	2016-17*
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	963	1,208	1,675
4150500 Interest Income - Interfund Loans	-	366	-
4163000 Investment Income - Surplus Money Investments	7	11	11
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to California Debt Limit Allocation Committee Fund (0169) per Provision 2, Item 0959-001-0169, Budget Act of 2013	-	2,000	-
Total Revenues, Transfers, and Other Adjustments	\$970	\$3,585	\$1,686
Total Resources	\$3,972	\$6,202	\$6,394
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0959 California Debt Limit Allocation Committee (State Operations)	1,354	1,492	1,560
8880 Financial Information System for California (State Operations)	1	2	1
Total Expenditures and Expenditure Adjustments	\$1,355	\$1,494	\$1,561
FUND BALANCE	\$2,617	\$4,708	\$4,833
Reserve for economic uncertainties	2,617	4,708	4,833

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	9.0	9.0	9.0	\$592	\$592	\$592
Budget Position Transparency	-	-0.7	-0.7	-	-66	-66
Salary and Other Adjustments	-0.3	-	-	-22	15	15
Workload and Administrative Adjustments						
Increases in Workload for Existing CDLAC Programs						
Assoc Govtl Program Analyst	-	-	1.0	-	-	62
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	1.0	\$-	\$-	\$62
Totals, Adjustments	-0.3	-0.7	0.3	-\$22	-\$51	\$11
TOTALS, SALARIES AND WAGES	8.7	8.3	9.3	\$570	\$541	\$603

0964 California Transportation Financing Authority

The California Transportation Financing Authority (CTFA) was established by Chapter 474, Statutes of 2009 (AB 798), to increase the construction of new capacity or improvements for the state transportation system in a manner that will help meet the state's greenhouse gas reduction goals, air quality improvement goals, and natural resource conservation goals. The CTFA consists of seven members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Director of Transportation, the Executive Director of the California Transportation Commission, a local agency representative appointed by the Senate Committee on Rules, and a local agency representative appointed by the Speaker of the Assembly.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Division 3 (commencing with Section 64100) of Title 6.7.

PROGRAM DESCRIPTIONS

0820 - CALIFORNIA TRANSPORTATION FINANCING AUTHORITY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0964 California Transportation Financing Authority - Continued

CTFA was established to assist transportation agencies in obtaining financing to develop transportation projects. To meet this objective, statutes allow CTFA to issue, or to approve specified project sponsors to issue, revenue bonds to fund transportation projects backed in whole or in part, by various revenue streams of transportation funds (including toll revenues). Statutes provide CTFA with the authority to approve the imposition and collection of tolls on proposed transportation projects under certain conditions. The planning, programming, and allocation of transportation projects are performed by the California Transportation Commission and the California Department of Transportation, as appropriate.

0965 California Industrial Development Financing Advisory Commission

The California Industrial Development Financing Advisory Commission (CIDFAC) creates employment opportunities and supports local economic development by approving local entities' issuance of Industrial Development Bonds (IDBs). The IDBs provide manufacturers with a low-cost financing option to build, expand, or equip their facilities in California. CIDFAC independently reviews IDB applications to ensure compliance with federal tax law and state statutes and regulations and approves the sale of IDBs by local authorities. Additionally, CIDFAC provides technical assistance to local issuers of IDBs, including cities, counties, industrial development authorities, and joint powers authorities.

The State Treasurer serves as chairperson of the CIDFAC. The other members are the Director of the Department of Finance, the State Controller, the Director of the Governor's Office of Business and Economic Development, and the Commissioner of the Department of Business Oversight.

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0830	California Industrial Development Financing Advisory Commission	-	1.0	1.0	\$3	\$125	\$40
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		-	1.0	1.0	\$3	\$125	\$40
FUNDING					2014-15*	2015-16*	2016-17*
0215	Industrial Development Fund				\$3	\$125	\$40
TOTALS, EXPENDITURES, ALL FUNDS					\$3	\$125	\$40

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 91500 to 91574, Insurance Code Section 1192.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
• Augmentation per Provision 1 of Item 0965-001-0215, Budget Act of 2015	\$-	\$85	-	\$-	\$-	-
Totals, Other Workload Budget Adjustments	\$-	\$85	-	\$-	\$-	-
Totals, Workload Budget Adjustments	\$-	\$85	-	\$-	\$-	-
Totals, Budget Adjustments	\$-	\$85	-	\$-	\$-	-

PROGRAM DESCRIPTIONS

0830 - CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION

The core program area is the IDB Program, under which CIDFAC, as required by state law, serves as the mandatory approval agency for local IDB issuers. Once potential borrowers (manufacturers and processing companies) apply through their local government agency for low cost tax-exempt financing, CIDFAC carefully reviews the public benefits generated by the proposed project, particularly those benefits associated with job creation, and determines whether these benefits will

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0965 California Industrial Development Financing Advisory Commission - Continued

significantly outweigh any detrimental public effects. Eligible capital expenditures include the acquisition of land, building construction, building renovation, and the purchase of machinery and equipment. Bond proceeds also can be used to cover the cost of architects, engineers, attorneys, permits, and the cost of bond issuance. The local issuer can be a city, county, economic development authority, redevelopment agency, or a joint power authority.

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0830	CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION			
State Operations:				
0215	Industrial Development Fund	\$3	\$125	\$40
	Totals, State Operations	\$3	\$125	\$40
TOTALS, EXPENDITURES				
	State Operations	3	125	40
	Totals, Expenditures	\$3	\$125	\$40

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	1.0	1.0	1.0	\$-	\$-	\$-
Total Adjustments	-1.0	-	-	-	85	-
Net Totals, Salaries and Wages	-	1.0	1.0	\$-	\$85	\$-
Staff Benefits	-	-	-	-	-	-
Totals, Personal Services	-	1.0	1.0	\$-	\$85	\$-
OPERATING EXPENSES AND EQUIPMENT				\$3	\$40	\$40
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$3	\$125	\$40

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0215 Industrial Development Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$41	\$41	\$40
Adjustment to current service level	-	-1	-
Augmentation per Provision 1 of Item 0965-001-0215, Budget Act of 2015	-	85	-
Totals Available	\$41	\$125	\$40
Unexpended balance, estimated savings	-38	-	-
TOTALS, EXPENDITURES	\$3	\$125	\$40
Total Expenditures, All Funds, (State Operations)	\$3	\$125	\$40

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
0215 Industrial Development Fund ^s			
BEGINNING BALANCE	\$32	\$124	\$23
Prior Year Adjustments	-3	-	-
Adjusted Beginning Balance	\$29	\$124	\$23

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0965 California Industrial Development Financing Advisory Commission - Continued

	2014-15*	2015-16*	2016-17*
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	98	24	36
Total Revenues, Transfers, and Other Adjustments	\$98	\$24	\$36
Total Resources	\$127	\$148	\$59
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0965 California Industrial Development Financing Advisory Commission (State Operations)	3	125	40
Total Expenditures and Expenditure Adjustments	\$3	\$125	\$40
FUND BALANCE	\$124	\$23	\$19
Reserve for economic uncertainties	124	23	19

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	1.0	1.0	1.0	\$-	\$-	\$-
Salary and Other Adjustments	-1.0	-	-	-	85	-
Totals, Adjustments	-1.0	-	-	\$-	\$85	\$-
TOTALS, SALARIES AND WAGES	-	1.0	1.0	\$-	\$85	\$-

0968 California Tax Credit Allocation Committee

The mission of the California Tax Credit Allocation Committee (CTCAC) is to fairly allocate federal and state tax credits to create and maintain safe quality affordable rental housing for low-income households in California by forming partnerships with developers, investors and public entities.

CTCAC works in public/private partnerships to assist with project development, while fulfilling its responsibilities as a credit agency through project compliance monitoring. CTCAC coordinates its functions with state and local housing fund providers and with private fund investors, when providing and maintaining quality, affordable housing.

The CTCAC consists of seven members, including the Treasurer who is designated as Chairperson, the Governor or Director of Finance, the State Controller, the Director of the Department of Housing and Community Development, the Executive Director of the California Housing Finance Agency, and two local government representatives.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0840 California Tax Credit Allocation Committee	38.7	37.9	44.9	\$5,964	\$7,708	\$8,387
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	38.7	37.9	44.9	\$5,964	\$7,708	\$8,387
FUNDING				2014-15*	2015-16*	2016-17*
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account				\$3,759	\$4,699	\$5,099
0457 Tax Credit Allocation Fee Account				2,090	2,899	3,178
0995 Reimbursements				115	110	110
TOTALS, EXPENDITURES, ALL FUNDS				\$5,964	\$7,708	\$8,387

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Internal Revenue Code Section 42; California Health and Safety Code Sections 50199.4 through 50199.22; and California Revenue and Taxation Code Sections 12205, 12206, 17057.5, 17058, 23610.4, and 23610.5; Federal Tax Reform Act of 1986, Section 252 of Public Law No. 99-514 (October 22, 1986); Chapter 658, California Statutes of 1987, as amended; Chapter 1138, California Statutes of 1987, as amended; Internal Revenue Code, Title 26, Subtitle A, Chapter 1, Subchapter

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0968 California Tax Credit Allocation Committee - Continued

X, Section 1400E et seq., as amended.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Compliance Monitoring Staff Augmentation	\$-	\$-	-	\$-	\$586	4.0
• Development Section Staff Augmentation	-	-	-	-	393	3.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$979	7.0
Other Workload Budget Adjustments						
• Expenditure By Category Redistribution	\$-	\$220	-	\$-	\$220	-
• Salary Adjustments	-	64	-	-	64	-
• Benefit Adjustments	-	36	-	-	47	-
• Retirement Rate Adjustments	-	22	-	-	22	-
• Miscellaneous Baseline Adjustments	-	3	-	-	3	-
• Budget Position Transparency	-	-220	-2.1	-	-220	-2.1
• Pro Rata	-	-	-	-	-311	-
Totals, Other Workload Budget Adjustments	\$-	\$125	-2.1	\$-	-\$175	-2.1
Totals, Workload Budget Adjustments	\$-	\$125	-2.1	\$-	\$804	4.9
Totals, Budget Adjustments	\$-	\$125	-2.1	\$-	\$804	4.9

PROGRAM DESCRIPTIONS

0840 - CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE

The Federal Low Income Housing Tax Credit Program:

Congress authorized the federal program in 1986. It replaced traditional housing tax incentives, such as accelerated depreciation, with a tax credit that enables developers of affordable rental housing to raise project equity through the "sale" of tax benefits to investors.

As of 2015, each state has an annual housing credit ceiling of \$2.30 per state resident, and may qualify for a share of credits available annually in a national pool comprised of states' unused credits. The annual housing credit ceiling is indexed for inflation. Investors can take the annual credit against their federal income tax liability each year for a ten-year period.

The State Low Income Housing Tax Credit Program:

Recognizing the high cost of developing housing in California, the California Legislature authorized the State Low Income Housing Tax Credit Program to augment the federal tax credit program. Specifically, projects that are not receiving an increase in their federal tax credit basis may apply for and receive state tax credits. However, an exception to this rule is in place for projects serving Special Needs populations (as defined in Section 10325 of the CTCAC regulations). Those projects may apply for and receive state tax credits even if they are receiving an increase in their federal tax credit basis. The project's "eligible basis" is the portion of the total project cost that is used to calculate the amount of the tax credit.

Of the state credit authority amount, \$500,000 annually is available as credit for farmworker housing projects.

The annual state credit ceiling is currently \$93.8 million, indexed for inflation (in addition to any unused or returned credits from previous years). Investors take the state credit over a four-year period in contrast to the ten-year federal allocation period. The full four-year state credit allocated to a project is deducted from the annual state credit ceiling.

Tax-Exempt Bond Financed Program:

Developments financed with tax-exempt bond proceeds may also receive federal tax credit. The sponsors of such projects must apply to the Committee and must conform to applicable federal and state statutory and regulatory requirements, but there is no annual "cap" on the amount of credit that may be awarded by the state to such developments. The annual credit available is based on approximately four percent (instead of the nine percent for projects that are not financed with tax-exempt bond proceeds) of the "qualified basis" of the development. Qualified basis includes those costs attributable to the units that will be income and rent restricted for a minimum of 30 years.

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0968 California Tax Credit Allocation Committee - Continued

Under federal law, credit projects must remain affordable for at least 30 years; however, California's program generally requires maintaining affordability for 55 years. Land use agreements are recorded against each credit project to ensure compliance.

Farmworker Housing Tax Credit Program:

Chapter 521, Statutes of 2008 (SB 1267) folded the previous Farmworker Housing Assistance tax credits into the general state low-income housing tax credit program. While \$500,000 annually continues to be authorized for state tax credits in support of farmworker housing, the program now operates under the rules of the general state low-income housing tax credit program.

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0840	CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE			
	State Operations:			
0448	Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account	\$3,759	\$4,699	\$5,099
0457	Tax Credit Allocation Fee Account	1,901	2,709	2,988
0995	Reimbursements	115	110	110
	Totals, State Operations	\$5,775	\$7,518	\$8,197
	Local Assistance:			
0457	Tax Credit Allocation Fee Account	\$189	\$190	\$190
	Totals, Local Assistance	\$189	\$190	\$190
	TOTALS, EXPENDITURES			
	State Operations	5,775	7,518	8,197
	Local Assistance	189	190	190
	Totals, Expenditures	\$5,964	\$7,708	\$8,387

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions					
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	40.0	40.0	40.0	\$2,564	\$2,566	\$2,566
Budget Position Transparency	-	-2.1	-2.1	-	-220	-220
Total Adjustments	-1.3	-	7.0	-6	64	498
Net Totals, Salaries and Wages	38.7	37.9	44.9	\$2,558	\$2,410	\$2,844
Staff Benefits	-	-	-	1,170	1,258	1,462
Totals, Personal Services	38.7	37.9	44.9	\$3,728	\$3,668	\$4,306
OPERATING EXPENSES AND EQUIPMENT				\$2,047	\$3,844	\$3,885
SPECIAL ITEMS OF EXPENSES				-	6	6
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$5,775	\$7,518	\$8,197

	2 Local Assistance			Expenditures		
				2014-15*	2015-16*	2016-17*
Grants and Subventions - Governmental				\$189	\$190	\$190
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)				\$189	\$190	\$190

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0968 California Tax Credit Allocation Committee - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,116	\$4,620	\$5,099
Allocation for employee compensation	32	42	-
Allocation for staff benefits	14	23	-
Budget Position Transparency	-	-143	-
Expenditure By Category Redistribution	-	143	-
Past year adjustments	-24	-	-
Section 3.60 pension contribution adjustment	50	14	-
Totals Available	\$4,188	\$4,699	\$5,099
Unexpended balance, estimated savings	-429	-	-
TOTALS, EXPENDITURES	\$3,759	\$4,699	\$5,099
0457 Tax Credit Allocation Fee Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,266	\$2,660	\$2,982
Allocation for employee compensation	17	22	-
Allocation for staff benefits	7	13	-
Budget Position Transparency	-	-77	-
Expenditure By Category Redistribution	-	77	-
Past year adjustments	-291	-	-
Section 3.60 pension contribution adjustment	27	8	-
Health and Safety Code section 50199.9(b)	6	6	6
Totals Available	\$2,032	\$2,709	\$2,988
Unexpended balance, estimated savings	-131	-	-
TOTALS, EXPENDITURES	\$1,901	\$2,709	\$2,988
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$115	\$110	\$110
TOTALS, EXPENDITURES	\$115	\$110	\$110
Total Expenditures, All Funds, (State Operations)	\$5,775	\$7,518	\$8,197
2 LOCAL ASSISTANCE	2014-15*	2015-16*	2016-17*
0457 Tax Credit Allocation Fee Account			
APPROPRIATIONS			
Health and Safety Code section 50199.9(b)	\$190	\$190	\$190
Past year adjustments	-1	-	-
TOTALS, EXPENDITURES	\$189	\$190	\$190
Total Expenditures, All Funds, (Local Assistance)	\$189	\$190	\$190
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$5,964	\$7,708	\$8,387

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account^s			
BEGINNING BALANCE	\$20,965	\$22,779	\$24,627
Prior Year Adjustments	-25	-	-

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0968 California Tax Credit Allocation Committee - Continued

	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
Adjusted Beginning Balance	\$20,940	\$22,779	\$24,627
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	5,543	6,500	6,500
4163000 Investment Income - Surplus Money Investments	<u>58</u>	<u>54</u>	<u>54</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$5,601</u>	<u>\$6,554</u>	<u>\$6,554</u>
Total Resources	\$26,541	\$29,333	\$31,181
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0968 California Tax Credit Allocation Committee (State Operations)	3,759	4,699	5,099
8880 Financial Information System for California (State Operations)	<u>3</u>	<u>7</u>	<u>5</u>
Total Expenditures and Expenditure Adjustments	<u>\$3,762</u>	<u>\$4,706</u>	<u>\$5,104</u>
FUND BALANCE	\$22,779	\$24,627	\$26,077
Reserve for economic uncertainties	22,779	24,627	26,077
0457 Tax Credit Allocation Fee Account ^s			
BEGINNING BALANCE	\$11,855	\$14,865	\$18,472
Prior Year Adjustments	<u>-56</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$11,799	\$14,865	\$18,472
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	5,072	6,400	6,400
4150500 Interest Income - Interfund Loans	-	-	294
4163000 Investment Income - Surplus Money Investments	82	80	80
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	4	30	-
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to Tax Credit Allocation Fee Account (0457) per Provision 4, Item 0968-001-0457 of the Budget Act of 2013	-	-	13,000
Total Revenues, Transfers, and Other Adjustments	<u>\$5,158</u>	<u>\$6,510</u>	<u>\$19,774</u>
Total Resources	\$16,957	\$21,375	\$38,246
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0968 California Tax Credit Allocation Committee (State Operations)	1,901	2,709	2,988
0968 California Tax Credit Allocation Committee (Local Assistance)	189	190	190
8880 Financial Information System for California (State Operations)	<u>2</u>	<u>4</u>	<u>3</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,092</u>	<u>\$2,903</u>	<u>\$3,181</u>
FUND BALANCE	\$14,865	\$18,472	\$35,065
Reserve for economic uncertainties	14,865	18,472	35,065

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	40.0	40.0	40.0	\$2,564	\$2,566	\$2,566
Budget Position Transparency	-	-2.1	-2.1	-	-220	-220
Salary and Other Adjustments	-1.3	-	-	-6	64	64
Workload and Administrative Adjustments						
Compliance Monitoring Staff Augmentation						
Assoc Govtl Program Analyst	-	-	4.0	-	-	248
Development Section Staff Augmentation						
Assoc Govtl Program Analyst	-	-	3.0	-	-	186

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0968 California Tax Credit Allocation Committee - Continued

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	7.0	\$-	\$-	\$434
Totals, Adjustments	-1.3	-2.1	4.9	-\$6	-\$156	\$278
TOTALS, SALARIES AND WAGES	38.7	37.9	44.9	\$2,558	\$2,410	\$2,844

0971 California Alternative Energy and Advanced Transportation Financing Authority

The California Alternative Energy and Advanced Transportation Financing Authority was established by Chapter 908, Statutes of 1980, as the California Alternative Energy Source Financing Authority, to "promote the prompt and efficient development of energy sources which are renewable or which more efficiently utilize and conserve scarce energy resources." Recognizing the importance of developing a secure energy future to protect the environment and ensure economic stability, the intent of the legislation was to promote energy sources designed to reduce the degradation of the environment. The Authority later became the California Alternative Energy and Advanced Transportation Financing Authority (CAEATFA) in 1994, when the statute was amended to include development and commercialization of advanced transportation technologies. CAEATFA's mission is to advance the state's goals of reducing greenhouse gas emissions, increasing the deployment of sustainable and renewable energy sources, implementing measures that increase the efficiency of the use of energy, creating high quality employment opportunities, and lessening the state's dependence on fossil fuels. To support these goals, CAEATFA provides financing and credit enhancements to promote the establishment of facilities that use alternative methods and sources of energy, and facilities needed for the development and commercialization of advanced transportation technologies.

CAEATFA consists of five members: the State Treasurer, who serves as the chairperson of CAEATFA, the State Controller, the Director of the Department of Finance, the Chairperson of the State Energy Resources Conservation and Development Commission (the California Energy Commission), and the President of the Public Utilities Commission.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0850 California Alternative Energy and Advanced Transportation Financing Authority	10.8	14.0	15.0	\$11,716	\$5,145	\$6,165
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	10.8	14.0	15.0	\$11,716	\$5,145	\$6,165
FUNDING				2014-15*	2015-16*	2016-17*
0382 Renewable Resource Trust Fund				\$21	\$-	\$-
0465 Energy Resources Programs Account				10,291	560	571
0528 California Alternative Energy Authority Fund				-9	1,787	1,711
0995 Reimbursements				1,413	2,798	3,883
TOTALS, EXPENDITURES, ALL FUNDS				\$11,716	\$5,145	\$6,165

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Resources Code Sections 26000-26082.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$-	\$265	-	\$-	\$62	-
• Pro Rata	-	-	-	-	49	-
• Salary Adjustments	-	24	-	-	24	-

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0971 California Alternative Energy and Advanced Transportation Financing Authority - Continued

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Benefit Adjustments	-	13	-	-	13	-
• Retirement Rate Adjustments	-	8	-	-	8	-
• Budget Position Transparency	-	-265	-3.0	-	-62	-1.0
• Miscellaneous Baseline Adjustments	-	-	-	-	-447	-5.0
Totals, Other Workload Budget Adjustments	\$-	\$45	-3.0	\$-	-\$353	-6.0
Totals, Workload Budget Adjustments	\$-	\$45	-3.0	\$-	-\$353	-6.0
Totals, Budget Adjustments	\$-	\$45	-3.0	\$-	-\$353	-6.0

PROGRAM DESCRIPTIONS

0850 - ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY

Financial assistance can take the form of loans, loan loss reserves, interest rate reductions, proceeds of bonds issued by the authority, bond insurance, loan guarantees or other credit enhancements or liquidity facilities, contributions of money, or a combination thereof, as determined and approved by the resolution of the board.

In 2010, CAEATFA launched a sales and use tax exclusion (STE) program for advanced transportation and alternative source manufacturing in California (SB 71, Chapter 10, Statutes of 2010). In 2012, CAEATFA's STE program was expanded to include advanced manufacturing (SB 1128, Chapter 677, Statutes of 2012), with an annual cap of \$100 million available for STE awards. In 2015, AB 199, Chapter 768, Statutes of 2015, expanded the program to also include equipment primarily used to process recycled feedstock and equipment that primarily utilizes recycled feedstock in the production of another product. The objective of CAEATFA's sales and use tax exclusion program is to promote the creation of California-based manufacturing, businesses, and jobs that are aimed to reduce greenhouse gases, air and water pollution, and energy consumption.

In addition, legislation in 2010 (SB 77, Chapter 15, Statutes of 2010), 2011 (ABx1 14, Chapter 9, Statutes of 2011), and 2013 (SB 96, Chapter 356, Statutes of 2013) provided CAEATFA with additional statutory authority to provide financial assistance to encourage energy efficiency retrofits. Under ABx1 14, which sunset in January 2015, CAEATFA developed a loan loss reserve program to assist financial institutions in making loans to homeowners in the state for residential whole house energy efficiency and renewable energy projects. Under SB 96, CAEATFA developed a risk mitigation program for residential Property Assessed Clean Energy programs in California.

Additionally, CAEATFA received authorization in the Budget Act of 2014 to administer the California Hub for Energy Efficiency Financing on the behalf of, and in collaboration with, the California Public Utilities Commission. These energy efficiency financing pilots programs will employ various types of credit enhancements to encourage and leverage private capital lending (in both the residential and commercial sectors) for energy efficiency improvements needed to help achieve California's energy efficiency goals.

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0850	CALIFORNIA ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY			
State Operations:				
0382	Renewable Resource Trust Fund	\$21	\$-	\$-
0465	Energy Resources Programs Account	291	560	571
0528	California Alternative Energy Authority Fund	-9	1,787	1,711
0995	Reimbursements	1,413	2,798	3,883
	Totals, State Operations	\$1,716	\$5,145	\$6,165
Local Assistance:				
0465	Energy Resources Programs Account	10,000	-	-
	Totals, Local Assistance	\$10,000	\$-	\$-
TOTALS, EXPENDITURES				

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0971 California Alternative Energy and Advanced Transportation Financing Authority
- Continued

	2014-15*	2015-16*	2016-17*
State Operations	1,716	5,145	6,165
Local Assistance	10,000	-	-
Totals, Expenditures	\$11,716	\$5,145	\$6,165

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	19.5	17.0	21.0	\$1,087	\$977	\$1,200
Budget Position Transparency	-	-3.0	-1.0	-	-265	-62
Total Adjustments	-8.7	-	-5.0	-464	24	-253
Net Totals, Salaries and Wages	10.8	14.0	15.0	\$623	\$736	\$885
Staff Benefits	-	-	-	305	462	424
Totals, Personal Services	10.8	14.0	15.0	\$928	\$1,198	\$1,309
OPERATING EXPENSES AND EQUIPMENT				\$787	\$3,047	\$4,106
SPECIAL ITEMS OF EXPENSES				1	900	750
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,716	\$5,145	\$6,165

2 Local Assistance

	Expenditures		
	2014-15*	2015-16*	2016-17*
Other Special Items of Expense	\$10,000	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$10,000	\$-	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0382 Renewable Resource Trust Fund			
Prior Year Balances Available:			
Public Resources Code section 26140	82	-	-
Adjustments to current year service level	-24	-	-
Totals Available	\$58	\$-	\$-
Unexpended balance, estimated savings	-37	-	-
TOTALS, EXPENDITURES	\$21	\$-	\$-
0465 Energy Resources Programs Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$612	\$554	\$571
Allocation for employee compensation	4	3	-
Allocation for staff benefits	2	2	-
Section 3.60 pension contribution adjustment	7	1	-
Totals Available	\$625	\$560	\$571
Unexpended balance, estimated savings	-334	-	-
TOTALS, EXPENDITURES	\$291	\$560	\$571
0528 California Alternative Energy Authority Fund			
APPROPRIATIONS			

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0971 California Alternative Energy and Advanced Transportation Financing Authority - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
001 Budget Act appropriation	\$1,558	\$1,763	\$1,711
Allocation for employee compensation	16	12	-
Allocation for staff benefits	6	8	-
Budget Position Transparency	-	-265	-
Expenditure by Category Redistribution	-	265	-
Section 3.60 pension contribution adjustment	25	4	-
Totals Available	\$1,605	\$1,787	\$1,711
Unexpended balance, estimated savings	-1,614	-	-
TOTALS, EXPENDITURES	-\$9	\$1,787	\$1,711
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,413	\$2,798	\$3,883
TOTALS, EXPENDITURES	\$1,413	\$2,798	\$3,883
Total Expenditures, All Funds, (State Operations)	\$1,716	\$5,145	\$6,165
2 LOCAL ASSISTANCE			
0382 Renewable Resource Trust Fund			
Prior Year Balances Available:			
Public Resources Code section 26140	16,950	-	-
Adjustments to current year service level	2,937	-	-
Past year adjustments	13	-	-
Totals Available	\$19,900	\$-	\$-
Unexpended balance, estimated savings	-19,900	-	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
0465 Energy Resources Programs Account			
Prior Year Balances Available:			
Item 0971-101-0465, Budget Act of 2013 as reappropriated by Item 0971-490, Budget Act of 2014	5,000	-	-
Adjustments to current year service level	5,000	-	-
TOTALS, EXPENDITURES	\$10,000	\$-	\$-
Total Expenditures, All Funds, (Local Assistance)	\$10,000	\$0	\$0
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$11,716	\$5,145	\$6,165

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	19.5	17.0	21.0	\$1,087	\$977	\$1,200
Budget Position Transparency	-	-3.0	-1.0	-	-265	-62
Salary and Other Adjustments	-8.7	-	-5.0	-464	24	-253
Totals, Adjustments	-8.7	-3.0	-6.0	-\$464	-\$241	-\$315
TOTALS, SALARIES AND WAGES	10.8	14.0	15.0	\$623	\$736	\$885

0974 California Pollution Control Financing Authority

California Pollution Control Financing Authority (CPCFA) is committed to stimulating local economies in California by making capital more accessible to small businesses, and delivering innovative financing for projects that protect and restore the environment. CPCFA was founded in 1973 to assist the solid waste, recycling, water, and wastewater industries through its Pollution Control Tax-Exempt Bond Program. Over the last twenty years, CPCFA has evolved to provide financing assistance to California's small business sector through its California Capital Access Program (CalCAP), and to promote the

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0974 California Pollution Control Financing Authority - Continued

reuse and redevelopment of brownfields through the California Recycle Underutilized Sites Program (CALReUSE).

CPCFA consists of the State Treasurer (Chairperson), the State Controller, and the Director of the Department of Finance.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0860 Pollution Control Tax-Exempt Bond Program	3.5	3.0	3.0	\$739	\$556	\$557
0865 Capital Access Program for Small Businesses	12.4	13.0	13.0	11,702	42,581	2,812
0870 California Recycle Underutilized Sites Program	6.2	7.1	7.1	1,566	8,254	19,327
0876 California Capital Access Program for Compliance with the Americans with Disabilities Act	-	-	-	-	10,000	-
0877 California Seismic Safety Capital Access Loan Program	-	-	-	-	-	10,000
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	22.1	23.1	23.1	\$14,007	\$61,391	\$32,696
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$-	\$10,000	\$10,000
0890 Federal Trust Fund				-	28,666	-
0930 Pollution Control Financing Authority Fund				14,007	22,725	22,696
TOTALS, EXPENDITURES, ALL FUNDS				\$14,007	\$61,391	\$32,696

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Health and Safety Code Sections 44500-44563.

MAJOR PROGRAM CHANGES

- California Seismic Safety Capital Access Loan Program

An increase of \$10 million General Fund to the California Pollution Control Financing Authority. This funding will cover losses to banks and financial institutions on qualified loans to participating residential property owners and small businesses for costs related to seismic retrofits.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$-	\$347	-	\$-	\$347	-
• Credit enhancement funding for small businesses (AB 1230)	10,000	-	-	-	-	-
• Elimination of one-time cost for the federal State Small Business Credit Initiative	-	-	-	-	-28,666	-
• Miscellaneous Baseline Adjustments	-	139	1.0	-	139	1.0
• Salary Adjustments	-	39	-	-	39	-
• Benefit Adjustments	-	18	-	-	22	-
• Retirement Rate Adjustments	-	14	-	-	14	-
• Pro Rata	-	-	-	-	-33	-
• Budget Position Transparency	-	-347	-5.7	-	-347	-5.7
Totals, Other Workload Budget Adjustments	\$10,000	\$210	-4.7	\$-	-\$28,485	-4.7

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0974 California Pollution Control Financing Authority - Continued

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Workload Budget Adjustments	\$10,000	\$210	-4.7	\$-	-\$28,485	-4.7
Policy Adjustments						
• CalCAP financing for seismic retrofitting of residential and commercial buildings	\$-	\$-	-	\$10,000	\$-	-
Totals, Policy Adjustments	\$-	\$-	-	\$10,000	\$-	-
Totals, Budget Adjustments	\$10,000	\$210	-4.7	\$10,000	-\$28,485	-4.7

PROGRAM DESCRIPTIONS

0860 - POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM

The objective of this program is to provide bond financing to California businesses, irrespective of company size, for the acquisition, construction, or installation of qualified pollution control, waste disposal, water furnishing, wastewater treatment, waste recovery facilities, and the acquisition and installation of new equipment. Tax-exempt financing issued by CPCFA assists municipalities in complying with waste diversion mandates of the California Integrated Waste Management Act of 1989. As of June 30, 2015, bonds totaling approximately \$14.4 billion have been issued by CPCFA.

0865 - CALIFORNIA CAPITAL ACCESS PROGRAM (CalCAP) FOR SMALL BUSINESSES

The objective of this program is to encourage banks and other financial institutions to make loans to small businesses. CalCAP for Small Businesses is a form of loan portfolio insurance that may provide up to 100 percent coverage on certain loan defaults. By participating in CalCAP, lenders have available to them a proven financing mechanism to meet the financing needs of California's small businesses. The strength of CalCAP rests in its simplicity and its leverage of federal and state funds. The program works through the creation of a loan loss-reserve fund for each participating financial institution. The CPCFA funds this reserve together with the financial institution and the borrower. Additional incentives are provided to lend to businesses located in specified economically depressed areas. The reserve serves to reduce loan-loss risk, allowing banks to lend to targeted California small businesses. Chapter 731, Statutes of 2010, appropriated \$6 million General Fund to CalCAP to increase lending efforts. As of June 30, 2015, approximately \$2.6 million of the \$6 million has been used to assist small businesses.

In addition, California was awarded an allocation of federal funds in the amount of \$168.6 million from the federal State Small Business Credit Initiative (SSBCI). CPCFA and the Governor's Office of Business and Economic Development (Go-BIZ) equally share this allocation and have available \$84.3 million each. These funds have enabled CPCFA to significantly expand the availability of its CalCAP program statewide. In addition, in the first quarter of 2013, CPCFA launched the Collateral Support Program to assist more small businesses and utilize the SSBCI Federal funds. The funds are allocated to California in three installments based on program progress, with CPCFA receiving approximately \$27 million in March 2011, \$27 million in December 2013, and \$29 million in August 2015.

Utilizing the CalCAP model, CPCFA has partnered with the Air Resources Board (ARB) to assist diesel truck and equipment owners in meeting new clean air requirements by directing a total of \$70 million in Air Quality Improvement Program funds towards the purchase or retrofit of diesel trucks to comply with ARB's Statewide Truck and Bus Rule. CPCFA has also partnered with the California Energy Commission to implement a \$2 million pilot program to provide credit enhancements and rebates to small businesses borrowers who install electric vehicle charging stations. Similarly, CPCFA is partnering with the Department of Resources, Recycling, and Recovery to assist with the financing of important state recycling objectives.

0870 - CALIFORNIA RECYCLE UNDERUTILIZED SITES (CALReUSE) PROGRAM

The CALReUSE program assists with the reuse and redevelopment of underutilized properties with real or perceived contamination issues (brownfields). CALReUSE addresses a funding and information gap in the development of brownfields to help bring these properties into productive reuse. Beginning in 2000, the CALReUSE Assessment Program provided forgivable loans of up to \$300,000 per brownfield site. The funds assisted with brownfield site assessment and characterization, technical assistance, remedial action plans and site access. Eligible projects included sites with potential beneficial reuse not currently redeveloped due to lack of information about real or perceived contamination, uncertainty about clean-up costs, or concerns regarding time frames and the regulatory process.

Additionally, Proposition 1C, the Housing and Emergency Shelter Trust Fund Act of 2006, included provisions to fund brownfield cleanup that resulted in the expansion of CALReUSE. The CALReUSE Remediation Program received \$60 million in Proposition 1C funds and is providing up to \$5 million per project in loans and grants for the purpose of brownfield cleanup that promotes infill residential and mixed-used development, including affordable housing, consistent with regional and local land use plans.

0875 - ALTERNATIVE FINANCING PROGRAMS

Under its authority to provide alternative methods of financing facilities for pollution control, clean water supply and alternative energy production, CPCFA is developing alternative financing models to assist sister agencies with achieving the

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0974 California Pollution Control Financing Authority - Continued

state's environmental goals. CPCFA is authorized to receive and accept contributions from other sources including federal and state agencies to carry out the purposes of its statutory authority.

0876 - CALIFORNIA CAPITAL ACCESS PROGRAM (CalCAP) FOR COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT

The objective of this program, created by Chapter 787, Statutes of 2015 (AB 1230), is to help small businesses comply with the federal Americans with Disabilities Act and increase access for their employees and customers by encouraging lenders and other financial institutions to make loans to very small businesses to finance tenant improvements. The Legislature provided CPCFA a continuous appropriation of \$10 million effective January 1, 2016 to administer a credit enhancement program following the CalCAP model and create loan loss reserve accounts for lenders that enroll qualifying loans into the program.

0877 - CALIFORNIA SEISMIC SAFETY CAPITAL ACCESS LOAN PROGRAM

The California Seismic Safety Capital Access Loan Program provides an accessible loan program to qualified small businesses and residential property owners for costs related to seismic retrofitting. The Program encourages banks and other financial institutions to participate by creating loan loss reserve accounts for lenders that enroll qualifying loans into the program. The loans to small businesses and residential property owners are for financing seismic retrofits of real property to reduce losses and mitigate seismic damage.

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
	PROGRAM REQUIREMENTS			
0860	POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM			
	State Operations:			
0930	Pollution Control Financing Authority Fund	\$739	\$556	\$557
	Totals, State Operations	\$739	\$556	\$557
	PROGRAM REQUIREMENTS			
0865	CAPITAL ACCESS PROGRAM FOR SMALL BUSINESSES			
	State Operations:			
0930	Pollution Control Financing Authority Fund	\$11,702	\$2,915	\$2,812
	Totals, State Operations	\$11,702	\$2,915	\$2,812
	Local Assistance:			
0890	Federal Trust Fund	\$-	\$28,666	\$-
0930	Pollution Control Financing Authority Fund	-	11,000	-
	Totals, Local Assistance	\$-	\$39,666	\$-
	PROGRAM REQUIREMENTS			
0870	CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM			
	State Operations:			
0930	Pollution Control Financing Authority Fund	\$1,566	\$1,254	\$19,327
	Totals, State Operations	\$1,566	\$1,254	\$19,327
	Local Assistance:			
0930	Pollution Control Financing Authority Fund	\$-	\$7,000	\$-
	Totals, Local Assistance	\$-	\$7,000	\$-
	PROGRAM REQUIREMENTS			
0876	CALIFORNIA CAPITAL ACCESS PROGRAM FOR COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT			
	Local Assistance:			
0001	General Fund	\$-	\$10,000	\$-
	Totals, Local Assistance	\$-	\$10,000	\$-
	PROGRAM REQUIREMENTS			

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0974 California Pollution Control Financing Authority - Continued

		2014-15*	2015-16*	2016-17*
0877	CALIFORNIA SEISMIC SAFETY CAPITAL ACCESS LOAN PROGRAM			
	Local Assistance:			
0001	General Fund	\$-	\$-	\$10,000
	Totals, Local Assistance	\$-	\$-	\$10,000
	TOTALS, EXPENDITURES			
	State Operations	14,007	4,725	22,696
	Local Assistance	-	56,666	10,000
	Totals, Expenditures	\$14,007	\$61,391	\$32,696

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions					
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	24.8	27.8	27.8	\$1,476	\$1,617	\$1,617
Budget Position Transparency	-	-5.7	-5.7	-	-347	-347
Total Adjustments	-2.7	1.0	1.0	-177	116	116
Net Totals, Salaries and Wages	22.1	23.1	23.1	\$1,299	\$1,386	\$1,386
Staff Benefits	-	-	-	590	761	765
Totals, Personal Services	22.1	23.1	23.1	\$1,889	\$2,147	\$2,151
OPERATING EXPENSES AND EQUIPMENT				\$1,632	\$2,578	\$20,545
SPECIAL ITEMS OF EXPENSES				10,486	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$14,007	\$4,725	\$22,696

	2 Local Assistance			Expenditures		
				2014-15*	2015-16*	2016-17*
Grants and Subventions - Governmental				\$-	\$46,666	\$-
Other Special Items of Expense				-	10,000	10,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)				\$-	\$56,666	\$10,000

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0930 Pollution Control Financing Authority Fund			
APPROPRIATIONS			
Health and Safety Code section 44526	\$19,799	\$2,393	\$20,573
Adjustment for current service level	127	-	-
Allocation for employee compensation	14	19	-
Allocation for staff benefits	6	9	-
Budget Position Transparency	-	-160	-
Expenditure by Category Redistribution	-	160	-
Past year adjustments	-17,471	-	-
Pro Rata adjustment	-190	-	-
Section 3.60 pension contribution adjustment	20	6	-
Health and Safety Code section 44526 (California Capital Access Program for Small Businesses)	1,839	2,121	2,123

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0974 California Pollution Control Financing Authority - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Add position for CalCAP	-	140	-
Adjustment for current service level	63	-	-
Allocation for employee compensation	14	20	-
Allocation for staff benefits	6	9	-
Budget Position Transparency	-	-187	-
Expenditure by Category Redistribution	-	187	-
Past year adjustments	9,758	-	-
Section 3.60 pension contribution adjustment	<u>22</u>	<u>8</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$14,007</u>	<u>\$4,725</u>	<u>\$22,696</u>
Total Expenditures, All Funds, (State Operations)	<u>\$14,007</u>	<u>\$4,725</u>	<u>\$22,696</u>
2 LOCAL ASSISTANCE	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation (transfer to California Seismic Safety Capital Access Loan Program Fund)	-	-	\$10,000
Credit enhancement funding for small businesses (AB 1230)	<u>-</u>	<u>10,000</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$-</u>	<u>\$10,000</u>	<u>\$10,000</u>
0890 Federal Trust Fund			
APPROPRIATIONS			
Health and Safety Code section 44526 (e) and (f)	\$28,666	\$28,666	-
Past year adjustments	<u>-28,666</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$-</u>	<u>\$28,666</u>	<u>\$-</u>
0930 Pollution Control Financing Authority Fund			
APPROPRIATIONS			
Health and Safety Code section 44526	<u>-</u>	<u>\$18,000</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$-</u>	<u>\$18,000</u>	<u>\$-</u>
8098 California Americans with Disabilities Act Small Business Capital Access Loan Program Fund			
APPROPRIATIONS			
Credit enhancement funding for small businesses (AB 1230)	<u>-</u>	<u>\$10,000</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$-</u>	<u>\$10,000</u>	<u>\$-</u>
Less funding provided by General Fund	<u>-</u>	<u>-10,000</u>	<u>-</u>
NET TOTALS, EXPENDITURES	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
8102 California Seismic Safety Capital Access Loan Program Fund			
APPROPRIATIONS			
Pending Legislation	<u>-</u>	<u>-</u>	<u>\$10,000</u>
TOTALS, EXPENDITURES	<u>\$-</u>	<u>\$-</u>	<u>\$10,000</u>
Less funding provided by General Fund	<u>-</u>	<u>-</u>	<u>-10,000</u>
NET TOTALS, EXPENDITURES	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
Total Expenditures, All Funds, (Local Assistance)	<u>\$0</u>	<u>\$56,666</u>	<u>\$10,000</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	<u>\$14,007</u>	<u>\$61,391</u>	<u>\$32,696</u>

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	24.8	27.8	27.8	\$1,476	\$1,617	\$1,617
Budget Position Transparency	-	-5.7	-5.7	-	-347	-347
Salary and Other Adjustments	-2.7	1.0	1.0	-177	116	116

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0974 California Pollution Control Financing Authority - Continued

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Totals, Adjustments	-2.7	-4.7	-4.7	-\$177	-\$231	-\$231
TOTALS, SALARIES AND WAGES	22.1	23.1	23.1	\$1,299	\$1,386	\$1,386

0977 California Health Facilities Financing Authority

The mission of the California Health Facilities Financing Authority (CHFFA) is to help eligible and credit worthy nonprofit and public health facilities reduce their cost of capital, and promote health care improvement and cost containment objectives by providing cost-effective tax-exempt bond, low-cost loan, and direct grant programs.

CHFFA was established by Chapter 1033, Statutes of 1979, and consists of nine members: the State Treasurer, the Director of the Department of Finance, the State Controller, two public members appointed by the Senate, two public members appointed by the Assembly, and two public members appointed by the Governor.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0880 Children's Hospital Program	2.8	3.5	3.5	\$18,830	\$130,534	\$115,535
0885 Health Facilities Grants and Loans	12.5	12.5	12.5	5,234	10,092	9,623
0890 Mental Health Wellness Grants	-	-	-	27,034	50,800	101,300
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	15.3	16.0	16.0	\$51,098	\$191,426	\$226,458

FUNDING				2014-15*	2015-16*	2016-17*
0001	General Fund			\$20,235	\$44,000	\$83,500
0904	California Health Facilities Financing Authority Fund			3,808	9,592	9,223
0995	Reimbursements			2,800	2,800	2,800
3085	Mental Health Services Fund			3,999	4,000	15,000
6046	Childrens Hospital Fund			222	30,356	40,357
6079	Childrens Hospital Bond Act Fund			18,608	100,178	75,178
8073	California Health Access Model Program Account, California Health Facilities Financing Authority Fund			1,426	500	400
TOTALS, EXPENDITURES, ALL FUNDS				\$51,098	\$191,426	\$226,458

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 15430-15462.5. Health and Safety Code Sections 1179.10-1179.43 and 1179.50-1179.72. Welfare and Institutions Code Section 5892 and 5848.5 et. seq.

MAJOR PROGRAM CHANGES

- Community Infrastructure Grants - The Budget includes \$67.5 million General Fund for one-time community infrastructure grants to promote public safety diversion programs and services by increasing the number of mental health, substance use disorder, and trauma-related services facilities. Grants will be awarded by the California Health Facilities Financing Authority (CHFFA) on a competitive basis to cities and/or counties. The grants will aim to expand local resources for facility acquisition or renovation, equipment purchases, and applicable program startup or expansion costs to increase the availability of these programs.
- Children's Mental Health Crisis - The Budget includes \$30 million (\$16 million General Fund) on a one-time basis for grant programs at CHFFA and the Mental Health Services Oversight and Accountability Commission. The CHFFA grants will be awarded to local governments and are intended to increase the number of facilities providing a continuum of crisis services. Grants provided by the Commission will fund supports and training for families as well as triage personnel serving children and youth under the age of 21.

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0977 California Health Facilities Financing Authority - Continued

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Increase in Proposition 61 (2004) bond fund distribution for children's hospital projects	\$-	\$30,000	-	\$-	\$40,000	-
• Expenditure by Category Redistribution	-	100	-	-	100	-
• Continuing appropriation for Mental Health Wellness Grants Program	50,000	-	-	-	-	-
• Salary Adjustments	-	28	-	-	28	-
• Benefit Adjustments	-	14	-	-	18	-
• Retirement Rate Adjustments	-	10	-	-	10	-
• Budget Position Transparency	-	-100	-1.5	-	-100	-1.5
• Pro Rata	-	-	-	-	-372	-
• Miscellaneous Baseline Adjustments	-	502	-	-	-24,598	-
Totals, Other Workload Budget Adjustments	\$50,000	\$30,554	-1.5	\$-	\$15,086	-1.5
Totals, Workload Budget Adjustments	\$50,000	\$30,554	-1.5	\$-	\$15,086	-1.5
Policy Adjustments						
• Community Services Infrastructure Grants	\$-	\$-	-	\$67,500	\$-	-
• Children's Mental Health Crisis Services	-	-	-	10,000	-	-
• Item 0977-101-0001, Budget Act of 2013 as reappropriated by Item 0977-490, Budget Act of 2016	-6,000	-	-	6,000	-	-
• Children's Mental Health Crisis Services	-	-	-	-	11,000	-
Totals, Policy Adjustments	-\$6,000	\$-	-	\$83,500	\$11,000	-
Totals, Budget Adjustments	\$44,000	\$30,554	-1.5	\$83,500	\$26,086	-1.5

PROGRAM DESCRIPTIONS

0880 - CHILDREN'S HOSPITAL PROGRAM

In 2004, California voters approved Proposition 61, which established the Children's Hospital Program. In 2008, Proposition 3 established the second Children's Hospital Program. The purpose of both programs is to improve the health and welfare of California's critically ill children by providing a stable and ready source of funds for capital improvement projects for children's hospitals. Children's Hospital Program grant funding is derived from the issuance of general obligation bonds, up to \$750 million under Proposition 61 and \$980 million under Proposition 3.

0885 - HEALTH FACILITIES GRANTS AND LOANS

CHFFA serves as a conduit provider of tax-exempt bonds and a direct provider of financial assistance to health institutions.

Tax-Exempt Bond Program

Bonds issued under this conduit program are not a debt or liability or a pledge of the full faith and credit of the taxing power of the state or any of its political subdivisions. The full faith and credit of the participating institutions are pledged for repayment of the bonds. To qualify for funding, the proposed project must be a health facility, operated by a private nonprofit corporation or association, city, city and county, county, or hospital district.

Clinic Grant Programs

Chapter 99, Statutes of 2000 (AB 2875), established the Cedillo-Alarcon Community Clinic Investment Act of 2000 and allocated \$50 million dollars to CHFFA for the purpose of awarding grants to eligible primary care clinics for capital outlay projects. In 2004, as part of the Anthem-Well Point merger, \$35 million dollars was allocated to CHFFA for the purpose of awarding grants to eligible health care facilities providing service to underserved communities throughout California. To qualify for funding, the proposed project must be a health facility, operated by a private nonprofit corporation or association, city, city and county, county, or hospital district.

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0977 California Health Facilities Financing Authority - Continued

HELP II Loan Program

CHFFA administers the Healthcare Expansion Loan Program II (HELP II) to assist small and rural health facilities in obtaining financing for their capital needs. The HELP II Program provides two percent (2%) and three percent (3%) fixed interest rate loans of up to \$1,500,000 to California's nonprofit small and rural health facilities in an efficient, timely, and cost effective manner. The 2% interest rate applies to loans approved from April 30, 2015 - April 30, 2017, and is effective for the life of the loan. Refinancing loans are not eligible for the 2% interest rate. Refinancing loans are eligible up to \$1,000,000. HELP II loans may be used to purchase or construct new facilities, remodel or renovate existing facilities, purchase equipment and/or furnishings, and refinance existing debt.

California Health Access Model Program

Chapter 23, Statutes of 2012 (AB 1467) authorized CHAMP, a new grant program, intended to support innovative methods of delivering health care services and improve health outcomes for vulnerable populations and communities by bringing services to individuals where they live or congregate.

0890 - MENTAL HEALTH WELLNESS GRANTS

Chapter 34, Statutes of 2013 (SB 82), the Investment in Mental Health Wellness Act of 2013 (the "Act") and related legislation provided \$142.5 million in one-time General Fund, and \$4 million in ongoing Mental Health Services Act ("MHSA") funding to provide grants for community based mental health crisis support, which may also be eligible for matching federal funding.

The Act established a new grant program to disburse funds to California counties or to their nonprofit or public agency designates for the purpose of developing mental health crisis support programs. Specifically, funds will increase capacity for client assistance and services in crisis intervention, crisis stabilization, crisis residential treatment, rehabilitative mental health services, and mobile crisis support teams. CHFFA grants will support capital improvement, expansion and limited start-up costs.

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0880	CHILDREN'S HOSPITAL PROGRAM			
State Operations:				
6046	Childrens Hospital Fund	\$222	\$356	\$357
6079	Childrens Hospital Bond Act Fund	150	178	178
Totals, State Operations		\$372	\$534	\$535
Local Assistance:				
6046	Childrens Hospital Fund	\$-	\$30,000	\$40,000
6079	Childrens Hospital Bond Act Fund	18,458	100,000	75,000
Totals, Local Assistance		\$18,458	\$130,000	\$115,000
PROGRAM REQUIREMENTS				
0885	HEALTH FACILITIES GRANTS AND LOANS			
State Operations:				
0904	California Health Facilities Financing Authority Fund	\$1,606	\$3,092	\$2,723
Totals, State Operations		\$1,606	\$3,092	\$2,723
Local Assistance:				
0904	California Health Facilities Financing Authority Fund	\$2,202	\$6,500	\$6,500
8073	California Health Access Model Program Account, California Health Facilities Financing Authority Fund	1,426	500	400
Totals, Local Assistance		\$3,628	\$7,000	\$6,900
PROGRAM REQUIREMENTS				
0890	MENTAL HEALTH WELLNESS GRANTS			
State Operations:				
0001	General Fund	\$22	\$-	\$1,952
3085	Mental Health Services Fund	-	-	185
Totals, State Operations		\$22	\$-	\$2,137
Local Assistance:				

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0977 California Health Facilities Financing Authority - Continued

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
0001	General Fund	\$20,213	\$44,000	\$81,548
0995	Reimbursements	2,800	2,800	2,800
3085	Mental Health Services Fund	3,999	4,000	14,815
	Totals, Local Assistance	\$27,012	\$50,800	\$99,163
	TOTALS, EXPENDITURES			
	State Operations	2,000	3,626	5,395
	Local Assistance	49,098	187,800	221,063
	Totals, Expenditures	\$51,098	\$191,426	\$226,458

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
PERSONAL SERVICES						
Baseline Positions	17.5	17.5	17.5	\$1,177	\$1,178	\$1,178
Budget Position Transparency	-	-1.5	-1.5	-	-100	-100
Total Adjustments	<u>-2.2</u>	<u>-</u>	<u>-</u>	<u>-85</u>	<u>28</u>	<u>2,165</u>
Net Totals, Salaries and Wages	15.3	16.0	16.0	\$1,092	\$1,106	\$3,243
Staff Benefits	-	-	-	472	529	533
Totals, Personal Services	15.3	16.0	16.0	\$1,564	\$1,635	\$3,776
OPERATING EXPENSES AND EQUIPMENT				\$686	\$1,991	\$1,619
SPECIAL ITEMS OF EXPENSES				-250	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,000	\$3,626	\$5,395

2 Local Assistance

	Expenditures		
	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
Grants and Subventions - Governmental	\$49,098	\$187,800	\$221,063
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$49,098	\$187,800	\$221,063

4 Unclassified

	Expenditures		
	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
TOTALS, EXPENDITURES, ALL FUNDS (Unclassified)	\$-	\$-	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$1,952
Prior Year Balances Available:			
Chapter 34, Statutes of 2013	150	-	-
Carryover adjustment	-21	-	-
Past Year Expenditure Adjustments	<u>-107</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$22	\$-	\$1,952
0904 California Health Facilities Financing Authority Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0977 California Health Facilities Financing Authority - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Government Code section 15439	\$2,662	\$3,051	\$2,723
Allocation for employee compensation	17	22	-
Allocation for staff benefits	7	11	-
Budget Position Transparency	-	-77	-
Expenditure by Category Redistribution	-	77	-
Miscellaneous Baseline Adjustment	-	1	-
Past Year Expenditure Adjustments	-1,106	-	-
Section 3.60 pension contribution	26	-	-
Section 3.60 pension contribution adjustment	-	7	-
TOTALS, EXPENDITURES	\$1,606	\$3,092	\$2,723
3085 Mental Health Services Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$185
TOTALS, EXPENDITURES	\$-	\$-	\$185
6046 Childrens Hospital Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$337	\$347	\$357
Allocation for employee compensation	3	4	-
Allocation for staff benefits	1	2	-
Budget Position Transparency	-	-15	-
Expenditure by Category Redistribution	-	15	-
Miscellaneous Baseline Adjustment	-	1	-
Past Year Expenditure Adjustments	1	-	-
Section 3.60 pension contribution	5	-	-
Section 3.60 pension contribution adjustment	-	2	-
Totals Available	\$347	\$356	\$357
Unexpended balance, estimated savings	-125	-	-
TOTALS, EXPENDITURES	\$222	\$356	\$357
6079 Childrens Hospital Bond Act Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$166	\$172	\$178
Allocation for employee compensation	2	2	-
Allocation for staff benefits	1	1	-
Budget Position Transparency	-	-8	-
Expenditure by Category Redistribution	-	8	-
Miscellaneous Baseline Adjustment	-	2	-
Past Year Expenditure Adjustments	-22	-	-
Section 3.60 pension contribution	3	-	-
Section 3.60 pension contribution adjustment	-	1	-
TOTALS, EXPENDITURES	\$150	\$178	\$178
Total Expenditures, All Funds, (State Operations)	\$2,000	\$3,626	\$5,395
2 LOCAL ASSISTANCE			
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$75,548
Prior Year Balances Available:			
Item 0977-101-0001, Budget Act of 2013	92,000	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0977 California Health Facilities Financing Authority - Continued

2 LOCAL ASSISTANCE	2014-15*	2015-16*	2016-17*
Carryover adjustment	-20,831	-	-
Continuing appropriation for Mental Health Wellness Grants Program	-	50,000	-
Item 0977-101-0001, Budget Act of 2013 as reappropriated by 0977-490, Budget Act of 2016	-	-	6,000
Totals Available	\$71,169	\$50,000	\$81,548
Unexpended balance, estimated savings	-956	-6,000	-
Balance available in subsequent years	-50,000	-	-
TOTALS, EXPENDITURES	\$20,213	\$44,000	\$81,548
0904 California Health Facilities Financing Authority Fund			
APPROPRIATIONS			
Government Code section 15439	\$6,500	\$6,500	\$6,500
Past Year Expenditure Adjustments	-4,298	-	-
TOTALS, EXPENDITURES	\$2,202	\$6,500	\$6,500
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,800	\$2,800	\$2,800
TOTALS, EXPENDITURES	\$2,800	\$2,800	\$2,800
3085 Mental Health Services Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$4,000	\$4,000	\$14,815
Totals Available	\$4,000	\$4,000	\$14,815
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$3,999	\$4,000	\$14,815
6046 Childrens Hospital Fund			
APPROPRIATIONS			
Health and Safety Code sections 1179.10 - 1179.43	-	-	\$40,000
Increase in Proposition 61 (2004) bond fund distribution for children's hospital projects	-	30,000	-
TOTALS, EXPENDITURES	\$-	\$30,000	\$40,000
6079 Childrens Hospital Bond Act Fund			
APPROPRIATIONS			
Health and Safety Code section 1179.53	\$120,000	\$100,000	\$75,000
Past Year Expenditure Adjustments	-101,542	-	-
TOTALS, EXPENDITURES	\$18,458	\$100,000	\$75,000
8073 California Health Access Model Program Account, California Health Facilities Financing Authority Fund			
Prior Year Balances Available:			
Government Code section 15438.10 (c)(1)	5,000	-	-
Miscellaneous Budget Adjustments	-	500	400
Past Year Expenditure Adjustments	-3,574	-	-
TOTALS, EXPENDITURES	\$1,426	\$500	\$400
Total Expenditures, All Funds, (Local Assistance)	\$49,098	\$187,800	\$221,063
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$51,098	\$191,426	\$226,458

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	17.5	17.5	17.5	\$1,177	\$1,178	\$1,178
Budget Position Transparency	-	-1.5	-1.5	-	-100	-100
Salary and Other Adjustments	-2.2	-	-	-85	28	28
Proposed New Positions						
Children's Mental Health Crisis Services						

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0977 California Health Facilities Financing Authority - Continued

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Various	-	-	-	-	-	265
Children's Mental Health Crisis Services						
Various	-	-	-	-	-	185
Community Services Infrastructure Grants						
Various	-	-	-	-	-	1,687
TOTALS, PROPOSED NEW POSTIONS	-	-	-	\$-	\$-	\$2,137
Totals, Adjustments	-2.2	-1.5	-1.5	-\$85	-\$72	\$2,065
TOTALS, SALARIES AND WAGES	15.3	16.0	16.0	\$1,092	\$1,106	\$3,243

0981 California ABLE Act Board

The California Achieving a Better Life Experience Act Board was established by Chapter 796, Statutes of 2015 (SB 324), to create a statewide Qualified ABLE Program, to assist individuals and families with saving private funds for the purpose of supporting persons with disabilities to maintain their health, independence, and quality of life.

The Board consists of the State Treasurer (Chair), the Director of Finance, the Controller, the Director of Developmental Services, the Chairperson of the State Council on Developmental Disabilities, the Director of Rehabilitation, and the Chair of the State Independent Living Council.

FUNDING	2014-15*	2015-16*	2016-17*
8101 California ABLE Administrative Fund	\$-	\$-	\$850
TOTALS, EXPENDITURES, ALL FUNDS	\$-	\$-	\$850

LEGAL CITATIONS AND AUTHORITY

California Welfare and Institutions Code, Sections 4875-4884; and California Revenue and Taxation Code, Section 23711.4.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Achieving a Better Life Experience (ABLE) Program	\$-	\$-	-	\$-	\$850	3.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$850	3.0
Totals, Workload Budget Adjustments	\$-	\$-	-	\$-	\$850	3.0
Totals, Budget Adjustments	\$-	\$-	-	\$-	\$850	3.0

PROGRAM DESCRIPTIONS**0895 - CALIFORNIA ABLE ACT BOARD**

On December 19, 2014, President Obama signed the Stephen Beck Jr., Achieving a Better Life Experience Act of 2014 (the ABLE Act), which allows individuals who became blind or disabled before reaching age 26, to create tax-free savings accounts. Individuals can make nondeductible cash contributions to an ABLE account in the name of a specified beneficiary with tax-free earnings. ABLE account distributions are also not included in the beneficiary's income, as long as they are used for qualified services. Chapter 774, Statutes of 2015 (AB 449), implements the ABLE Act in California, and directs the State Treasurer to administer ABLE accounts on behalf of qualified Californians.

The California Achieving a Better Life Experience Act Board and the California ABLE Program Trust were established by Chapter 796, Statutes of 2015 (SB 324), for the purpose of creating a statewide program known as the Qualified ABLE Program (the Program). Under the Program, a person may make contributions of up to \$100,000 for a taxable year, for the benefit of an individual who is an eligible individual for that taxable year, to an ABLE account that is established for the purpose of meeting the qualified expenses of the designated beneficiary of the account.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0981 California ABLE Act Board - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2014-15*	2015-16*	2016-17*
	8101 California ABLE Administrative Fund			
APPROPRIATIONS				
	001 Budget Act appropriation	-	-	\$850
TOTALS, EXPENDITURES		-\$	-\$	\$850
Total Expenditures, All Funds, (State Operations)		\$0	\$0	\$850

0983 California Urban Waterfront Area Restoration Financing Authority

Created in 1983, the California Urban Waterfront Area Restoration Financing Authority (CUWARFA) was established to restore, revitalize and develop in an environmentally and economically sound manner, the coastal and inland urban waterfront areas of the state, in cooperation with local governments. CUWARFA consists of five members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Secretary of the Natural Resources Agency, and the Executive Director of the State Coastal Conservancy.

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0900	California Urban Waterfront Restoration Financing Program	-	-	-	\$-	\$-	\$-
		-	-	-	\$-	\$-	\$-

The CUWARFA funding is not subject to Budget Act appropriation. This budget is for informational purposes only. The informational budget presented here reflects the department's best estimate of the budgets for 2015-16 and 2016-17. Public Resource Code section 32054 authorizes an Executive Director; however, the position is currently vacant.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Resources Code, Division 22, Sections 32000-32208.

PROGRAM DESCRIPTIONS

0900 - The CUWARFA was established to issue up to \$650 million in conduit financing to make loans or acquire title to property and to underwrite or undertake directly a variety of urban waterfront development projects within the coastal zone, the Sacramento-Yolo Port District, the Stockton Port District, and those metropolitan statistical areas meeting specified conditions. The Federal Tax Reform Act of 1986 requires that bonds authorized by CUWARFA generally be within an allocation from the state's "private activity" bond limit in order for the bonds to be federally tax-exempt, unless the issuer qualifies as a private, nonprofit business.

Financing is available for both publicly and privately sponsored projects that provide visitor-serving facilities, waterfront-dependent industries, public recreation, and erosion control facilities. The State Coastal Conservancy must approve both the specific project and a master plan for urban waterfront restoration before any project can obtain CUWARFA approval for revenue bond financing. The Authority has sold \$3.33 million in revenue bonds to date.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0984 California Secure Choice Retirement Savings Investment Board

The California Secure Choice Retirement Savings Investment Board (Board) was established by Chapter 734, Statutes of 2012 (SB 1234), to study the feasibility of implementing a state-administered retirement savings program for private sector employees in California with no access to workplace retirement savings plans. The Board consists of nine members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, a retirement savings and investment expert appointed by the Senate Committee on Rules, an employee representative appointed by the Speaker of the Assembly, a small business representative appointed by the Governor, a public member appointed by the Governor, and two additional members appointed by the Governor.

Additionally, Chapter 737, Statutes of 2012 (SB 923), provides that the California Secure Choice Retirement Savings Program (Program) cannot be implemented until a subsequent authorizing statute is enacted that expresses the approval of the Legislature for the Program to be fully implemented.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0910 California Secure Choice Retirement Savings Investment Board	-	-	3.0	\$274	\$800	\$2,100
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	3.0	\$274	\$800	\$2,100
FUNDING				2014-15*	2015-16*	2016-17*
8081 Secure Choice Retirement Savings Program Fund				\$274	\$800	\$2,100
TOTALS, EXPENDITURES, ALL FUNDS				\$274	\$800	\$2,100

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 100000-100044.

MAJOR PROGRAM CHANGES

- The Budget includes a \$1.9 million loan from the General Fund, subject to the enactment of SB 1234 (2015-16 Regular Session), to support the administrative costs of the Secure Choice Retirement Savings Investment Board.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Item 0984-001-8081, Budget Act of 2015 as reappropriated by Item 0984-490, Budget Act of 2016	\$-	-\$200	-	\$-	\$200	-
Totals, Workload Budget Change Proposals	\$-	-\$200	-	\$-	\$200	-
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$-	\$-	-	\$-	\$1,900	3.0
Totals, Other Workload Budget Adjustments	\$-	\$-	-	\$-	\$1,900	3.0
Totals, Workload Budget Adjustments	\$-	-\$200	-	\$-	\$2,100	3.0
Totals, Budget Adjustments	\$-	-\$200	-	\$-	\$2,100	3.0

DETAILED EXPENDITURES BY PROGRAM

	2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS			
0910 CALIFORNIA SECURE CHOICE RETIREMENT SAVINGS INVESTMENT BOARD			
State Operations:			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0984 California Secure Choice Retirement Savings Investment Board - Continued

	2014-15*	2015-16*	2016-17*
8081 Secure Choice Retirement Savings Program Fund	\$274	\$800	\$2,100
Totals, State Operations	\$274	\$800	\$2,100
TOTALS, EXPENDITURES			
State Operations	274	800	2,100
Totals, Expenditures	\$274	\$800	\$2,100

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Total Adjustments	-	-	3.0	-	-	256
Net Totals, Salaries and Wages	-	-	3.0	\$-	\$-	\$256
Staff Benefits	-	-	-	-	-	-
Totals, Personal Services	-	-	3.0	\$-	\$-	\$256
OPERATING EXPENSES AND EQUIPMENT				\$274	\$800	\$200
SPECIAL ITEMS OF EXPENSES				-	-	1,644
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$274	\$800	\$2,100

4 Unclassified	Expenditures		
	2014-15*	2015-16*	2016-17*
TOTALS, EXPENDITURES, ALL FUNDS (Unclassified)	\$-	\$-	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
8081 Secure Choice Retirement Savings Program Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$1,000	\$1,900
Prior Year Balances Available:			
Item 0984-001-8081, Budget Act of 2013 as reappropriated by Item 0984-490, Budget Act of 2014	750	-	-
Item 0984-001-8081, Budget Act of 2013 as reappropriated by Item 0984-490, Budget Act of 2014	245	-	-
Item 0984-001-8081, Budget Act of 2015 as reappropriated by Item 0984-490, Budget Act of 2016	-	-	200
Totals Available	\$995	\$1,000	\$2,100
Unexpended balance, estimated savings	-721	-	-
Balance available in subsequent years	-	-200	-
TOTALS, EXPENDITURES	\$274	\$800	\$2,100
Total Expenditures, All Funds, (State Operations)	\$274	\$800	\$2,100

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	-	-	-	\$-	\$-	\$-
Salary and Other Adjustments	-	-	3.0	-	-	256
Totals, Adjustments	-	-	3.0	\$-	\$-	\$256

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0984 California Secure Choice Retirement Savings Investment Board - Continued

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
TOTALS, SALARIES AND WAGES	-	-	3.0	\$-	\$-	\$256

0985 California School Finance Authority

The California School Finance Authority (CSFA), created in 1985, provides facilities and working capital financing to school districts, community college districts, county offices of education, and charter schools. The State Treasurer serves as chair of CSFA, and the Superintendent of Public Instruction and the Director of Finance serve as members. CSFA has offices in Los Angeles and Sacramento.

CSFA serves as the primary state agency for California charter schools seeking facility and working capital assistance by providing access to low-cost financing through several State and federally funded programs.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0918 Smart Bonds	-	1.0	1.0	\$189	\$233	\$223
0920 Charter School Facilities Program	3.6	2.5	2.5	650	1,203	1,203
0925 State Charter School Facilities Incentive Grants Program	1.0	1.0	3.0	19,060	20,187	20,402
0930 Charter School Facility Grant Program	3.0	3.0	4.0	92,226	112,318	112,450
0935 Charter School Revolving Loan Program	1.0	1.0	1.0	12,881	12,496	12,496
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	8.6	8.5	11.5	\$125,006	\$146,437	\$146,774

FUNDING				2014-15*	2015-16*	2016-17*
0001	General Fund			\$276	\$397	\$529
0001	General Fund, Proposition 98			92,031	112,031	112,031
0526	California School Finance Authority Fund			189	233	223
0606	Charter School Revolving Loan Fund			10,800	12,386	12,386
0890	Federal Trust Fund			19,060	20,187	20,402
6044	2004 State School Facilities Fund			482	657	657
6057	2006 State School Facilities Fund			167	546	546
8000	Charter School Security Fund			2,000	-	-
9734	2004 Charter School Facilities Account, 2004 State School Facilities Fund			1	-	-
TOTALS, EXPENDITURES, ALL FUNDS				\$125,006	\$146,437	\$146,774

LEGAL CITATIONS AND AUTHORITY

PROGRAM AUTHORITY

Education Code Sections 17078.52-17078.66, 17170-17199.5, 41365, 41366.5, 41366.7, 41367, and 47614.5

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Charter School Facility Grant Program Workload	\$-	\$-	-	\$132	\$-	1.0
• State Charter School Facilities Incentive Grant Program Workload	-	-	-	-	230	2.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$132	\$230	3.0

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority - Continued

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$-	\$153	-	\$-	\$153	-
• Establish New Position for Bond Program	-	132	1.0	-	122	1.0
• Salary Adjustments	7	10	-	7	10	-
• Benefit Adjustments	3	5	-	3	5	-
• Retirement Rate Adjustments	2	4	-	2	4	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-
• SWCAP	-	-	-	-	-13	-
• Budget Position Transparency	-	-153	-2.5	-	-153	-2.5
Totals, Other Workload Budget Adjustments	\$12	\$151	-1.5	\$12	\$128	-1.5
Totals, Workload Budget Adjustments	\$12	\$151	-1.5	\$144	\$358	1.5
Totals, Budget Adjustments	\$12	\$151	-1.5	\$144	\$358	1.5

PROGRAM DESCRIPTIONS

0918 - SMART BONDS PROGRAM

Chapter 1438, Statutes of 1985, as amended by Chapter 598, Statutes of 1987, established CSFA and authorized the issuance revenue bonds or other debt instruments. The proceeds from the sale of the bonds were available for loans to school and community college districts to assist with the acquisition of equipment and new school sites, construction of new facilities, reconstruction of existing facilities, capital improvements, and to provide working capital. Chapter 325, Statutes of 2006 (AB 2717), allows CSFA to issue debt on behalf of California charter schools for capital improvements and working capital needs.

0920 - CHARTER SCHOOL FACILITIES PROGRAM

Chapter 935, Statutes of 2002, as amended by Chapter 587, Statutes of 2003, created the Charter School Facilities Program (CSFP), which provides funding for the new construction, renovation or rehabilitation of charter school facilities. CSFA and the Office of Public School Construction jointly administer the program. CSFP was funded through the Kindergarten-University Public Education Facilities Bond Acts of 2002, 2004, and 2006, for a total of \$900 million.

The program provides a 50% state subsidy for the charter school facilities project costs, with the balance of the project costs being repaid (to the state) by the charter school in the form of a long-term lease. Recipient charter schools must provide site-based instruction, be deemed financially sound by CSFA, and meet other program eligibility requirements.

0925 - STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM

In 2004, and 2014, CSFA was awarded a grant under the United States Department of Education's State Charter School Facilities Incentive Grants Program (CFDA 84.282D) to provide California charter schools with assistance for facilities costs. Grant funds are used toward a charter school's cost of rent, lease, mortgage or debt service payments for existing or new facilities or toward the costs of acquiring land and constructing or renovating a facility. Grants are awarded to charter schools based on preference points allotted for the percentage of low-income students; percentage overcrowded; not-for-profit status; and demonstrated student performance.

Preference is also granted to charter schools providing better educational opportunities than surrounding traditional public schools.

0930 - CHARTER SCHOOL FACILITY GRANT PROGRAM

The Charter School Facility Grant Program provides Proposition 98 General Fund grants to assist charter schools with rent and lease costs. This program targets schools and communities with significant populations of economically disadvantaged students. Eligible charter schools are provided up to \$750 per unit of eligible average daily attendance, not to exceed 75 percent of the total annual facilities rent or lease costs.

0935 - CHARTER SCHOOL REVOLVING LOAN PROGRAM

New non-conversion charter schools may receive low-interest loans from the Charter School Revolving Loan Program to use for start-up costs. The program provides loans of up to \$250,000 to be paid back over a time period not to exceed five years. The interest collected is deposited into the Charter School Security Fund and may be used to replenish the Charter School Revolving Loan Fund and offset any loan defaults.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority - Continued

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
PROGRAM REQUIREMENTS				
0918	SMART BONDS			
	State Operations:			
0526	California School Finance Authority Fund	\$189	\$233	\$223
	Totals, State Operations	\$189	\$233	\$223
PROGRAM REQUIREMENTS				
0920	CHARTER SCHOOL FACILITIES PROGRAM			
	State Operations:			
6044	2004 State School Facilities Fund	\$482	\$657	\$657
6057	2006 State School Facilities Fund	167	546	546
9734	2004 Charter School Facilities Account, 2004 State School Facilities Fund	1	-	-
	Totals, State Operations	\$650	\$1,203	\$1,203
PROGRAM REQUIREMENTS				
0925	STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM			
	State Operations:			
0890	Federal Trust Fund	\$118	\$187	\$402
	Totals, State Operations	\$118	\$187	\$402
	Local Assistance:			
0890	Federal Trust Fund	\$18,942	\$20,000	\$20,000
	Totals, Local Assistance	\$18,942	\$20,000	\$20,000
PROGRAM REQUIREMENTS				
0930	CHARTER SCHOOL FACILITY GRANT PROGRAM			
	State Operations:			
0001	General Fund	\$195	\$287	\$419
	Totals, State Operations	\$195	\$287	\$419
	Local Assistance:			
0001	General Fund	\$92,031	\$112,031	\$112,031
	Totals, Local Assistance	\$92,031	\$112,031	\$112,031
PROGRAM REQUIREMENTS				
0935	CHARTER SCHOOL REVOLVING LOAN PROGRAM			
	State Operations:			
0001	General Fund	\$81	\$110	\$110
8000	Charter School Security Fund	2,000	-	-
	Totals, State Operations	\$2,081	\$110	\$110
	Local Assistance:			
0606	Charter School Revolving Loan Fund	\$10,800	\$12,386	\$12,386
	Totals, Local Assistance	\$10,800	\$12,386	\$12,386
TOTALS, EXPENDITURES				
	State Operations	3,233	2,020	2,357
	Local Assistance	121,773	144,417	144,417
	Totals, Expenditures	\$125,006	\$146,437	\$146,774

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority - Continued

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	10.0	10.0	10.0	\$627	\$634	\$634
Budget Position Transparency	-	-2.5	-2.5	-	-153	-153
Total Adjustments	-1.4	1.0	4.0	-86	71	233
Net Totals, Salaries and Wages	8.6	8.5	11.5	\$541	\$552	\$714
Staff Benefits	-	-	-	254	317	391
Totals, Personal Services	8.6	8.5	11.5	\$795	\$869	\$1,105
OPERATING EXPENSES AND EQUIPMENT				\$2,436	\$1,151	\$1,252
SPECIAL ITEMS OF EXPENSES				2	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$3,233	\$2,020	\$2,357

2 Local Assistance	Expenditures		
	2014-15*	2015-16*	2016-17*
Grants and Subventions - Governmental	\$110,973	\$132,031	\$132,031
Other Special Items of Expense	10,800	12,386	12,386
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$121,773	\$144,417	\$144,417

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$372	\$385	\$529
Allocation for Employee Compensation	4	7	-
Allocation for Staff Benefits	1	3	-
Past Year Adjustments	1	-	-
Section 3.60 Pension Contribution Adjustment	7	2	-
Totals Available	\$385	\$397	\$529
Unexpended balance, estimated savings	-109	-	-
TOTALS, EXPENDITURES	\$276	\$397	\$529
0526 California School Finance Authority Fund			
APPROPRIATIONS			
Education Code section 17181	\$101	\$101	\$223
Establish New Position for Bond Program	-	132	-
Past Year Adjustments	88	-	-
TOTALS, EXPENDITURES	\$189	\$233	\$223
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$146	\$183	\$402
Allocation for Employee Compensation	-	2	-
Allocation for Staff Benefits	-	1	-
Past Year Adjustments	3	-	-

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0985 California School Finance Authority - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Section 3.60 Pension Contribution Adjustment	-	1	-
Totals Available	\$149	\$187	\$402
Unexpended balance, estimated savings	-31	-	-
TOTALS, EXPENDITURES	\$118	\$187	\$402
6044 2004 State School Facilities Fund			
APPROPRIATIONS			
Education Code Section 17078.52(b)(2) (Transfer to 2004 Charter School Facilities Account, 2004 State School Facilities Fund)	-	\$642	\$657
Current Year Adjustments	-	15	-
Education Code Section 17078.52(b)(2) (Transfer to 2004 Charter School Facilities Account, 2004 State School Facilities Fund)	640	-	-
Past Year Adjustments	-1	-	-
Totals Available	\$639	\$657	\$657
Unexpended balance, estimated savings	-157	-	-
TOTALS, EXPENDITURES	\$482	\$657	\$657
6057 2006 State School Facilities Fund			
APPROPRIATIONS			
Education Code Section 17078.52(b)(3) (Transfer to 2006 Charter School Facilities Account, 2006 State School Facilities Fund)	-	\$546	\$546
Education Code Section 17078.52(b)(3) (Transfer to 2006 Charter School Facilities Account, 2006 State School Facilities Fund)	429	-	-
Totals Available	\$429	\$546	\$546
Unexpended balance, estimated savings	-262	-	-
TOTALS, EXPENDITURES	\$167	\$546	\$546
8000 Charter School Security Fund			
Unexpended balance, estimated savings	2,000	-	-
TOTALS, EXPENDITURES	\$2,000	\$-	\$-
9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$624	\$642	\$657
Allocation for Employee Compensation	-	8	-
Allocation for Staff Benefits	-	4	-
Budget Position Transparency	-	-153	-
Expenditure by Category Redistribution	-2	153	-
Past Year Adjustments	18	-	-
Section 3.60 Pension Contribution Adjustment	-	3	-
Totals Available	\$640	\$657	\$657
Unexpended balance, estimated savings	-157	-	-
TOTALS, EXPENDITURES	\$483	\$657	\$657
Less funding provided by 2004 State School Facilities Fund	-482	-657	-657
NET TOTALS, EXPENDITURES	\$1	\$-	\$-
9735 2006 Charter School Facilities Account, 2006 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$429	\$546	\$546
Totals Available	\$429	\$546	\$546
Unexpended balance, estimated savings	-262	-	-
TOTALS, EXPENDITURES	\$167	\$546	\$546
Less funding provided by 2006 State School Facilities Fund	-167	-546	-546
NET TOTALS, EXPENDITURES	\$-	\$-	\$-

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0985 California School Finance Authority - Continued

1 STATE OPERATIONS	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
Total Expenditures, All Funds, (State Operations)	\$3,233	\$2,020	\$2,357
2 LOCAL ASSISTANCE	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
0001 General Fund, Proposition 98			
APPROPRIATIONS			
220 Budget Act appropriation	<u>\$92,031</u>	<u>\$112,031</u>	<u>\$112,031</u>
TOTALS, EXPENDITURES	\$92,031	\$112,031	\$112,031
0606 Charter School Revolving Loan Fund			
APPROPRIATIONS			
Education Code section 41365	<u>\$12,386</u>	<u>\$12,386</u>	<u>\$12,386</u>
Totals Available	\$12,386	\$12,386	\$12,386
Unexpended balance, estimated savings	<u>-1,586</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$10,800	\$12,386	\$12,386
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$20,000</u>	<u>\$20,000</u>	<u>\$20,000</u>
Totals Available	\$20,000	\$20,000	\$20,000
Unexpended balance, estimated savings	<u>-1,058</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$18,942	\$20,000	\$20,000
Total Expenditures, All Funds, (Local Assistance)	\$121,773	\$144,417	\$144,417
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$125,006	\$146,437	\$146,774

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
Baseline Positions	10.0	10.0	10.0	\$627	\$634	\$634
Budget Position Transparency	-	-2.5	-2.5	-	-153	-153
Salary and Other Adjustments	-1.4	1.0	1.0	-86	71	71
Workload and Administrative Adjustments						
Charter School Facility Grant Program Workload						
Assoc Govtl Program Analyst	-	-	1.0	-	-	62
State Charter School Facilities Incentive Grant Program Workload						
Assoc Govtl Program Analyst	-	-	1.0	-	-	62
Office Techn (Typing)	-	-	1.0	-	-	38
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	3.0	\$-	\$-	\$162
Totals, Adjustments	-1.4	-1.5	1.5	-\$86	-\$82	\$80
TOTALS, SALARIES AND WAGES	8.6	8.5	11.5	\$541	\$552	\$714

0989 California Educational Facilities Authority

The California Educational Facilities Authority (CEFA) provides qualified nonprofit higher education institutions with assistance to reduce the costs of financing academic facilities through a tax-exempt revenue bond program. CEFA may also issue bonds, notes, and other forms of indebtedness for student loans to support students' higher education costs.

CEFA consists of the following five members: the Director of Finance, the State Controller, the State Treasurer, and two public members appointed by the Governor.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0989 California Educational Facilities Authority - Continued

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0940 Bond Financing	4.2	5.0	5.0	\$716	\$1,032	\$930
0945 Student Loan Programs	-	-	-	31	-	-
0955 College Access Tax Credit Program	-	1.0	1.0	-	248	238
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	4.2	6.0	6.0	\$747	\$1,280	\$1,168
FUNDING				2014-15*	2015-16*	2016-17*
0911 Educational Facilities Authority Fund				\$716	\$1,032	\$930
0954 Student Loan Authority Fund				31	-	-
3263 College Access Tax Credit Fund				-	248	238
TOTALS, EXPENDITURES, ALL FUNDS				\$747	\$1,280	\$1,168

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Education Code Sections 94100 to 94213.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Salary Adjustments	\$-	\$10	-	\$-	\$10	-
• Benefit Adjustments	-	5	-	-	6	-
• Retirement Rate Adjustments	-	4	-	-	4	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-10	-
• Pro Rata	-	-	-	-	-103	-
Totals, Other Workload Budget Adjustments	\$-	\$19	-	\$-	-\$93	-
Totals, Workload Budget Adjustments	\$-	\$19	-	\$-	-\$93	-
Totals, Budget Adjustments	\$-	\$19	-	\$-	-\$93	-

PROGRAM DESCRIPTIONS

0940 - BOND FINANCING

CEFA issues revenue bonds to assist private educational institutions of higher learning construct educational facilities. Because it is authorized to issue tax-exempt bonds, the Authority may be able to provide more favorable financing terms than might otherwise be obtainable. CEFA also may include qualifying nonprofit entities as eligible program participants for the construction of student and faculty housing. Bonds issued by CEFA are not a debt, liability, or claim on the faith and credit or the taxing power of the State of California, or any of its political subdivisions. The full faith and credit of the participating institution is normally pledged to the payment of the bonds. Bonds issued for this purpose are not subject to the state's "private activity" bond ceiling as specified in the Federal Tax Reform Act of 1986.

For purposes of the California Educational Facilities Act, "private college" or "private participating college" means a private college that does not restrict the admission of a student based on his or her race or ethnicity, provided that the financing does not violate constitutional provisions.

"Bond" means bonds, notes, debentures, securities, or other evidences of indebtedness. This broad definition allows CEFA to issue tax-exempt direct or private placement loans for colleges and universities, which may provide greater cost savings than these colleges and universities might otherwise realize on a traditional bond financing.

0945 - STUDENT LOAN PROGRAMS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0989 California Educational Facilities Authority - Continued

Pursuant to Chapter 917, Statutes of 1995, the California Student Loan Authority (CSLA) was abolished, and the assets, obligations, and functions were transferred to CEFA. The purpose of the CSLA was to purchase federally reinsured educational loans from eligible lending institutions by issuing tax-exempt revenue bonds. Pursuant to Chapter 917, CEFA's functions were expanded to include direct student lending from proceeds of tax-exempt revenue bonds. As of June 30, 2015, there were no loans or bonds outstanding.

Chapter 318, Statutes of 2005, added the requirement that not-for-profit corporations must seek approval from CEFA before applying for an allocation of a portion of the state's annual private activity volume cap for the purposes of issuing Qualified Scholarship Funding Bonds for Student Loan Programs. Bonds issued for student loans are not a debt, liability, or a pledge of the full faith and credit of the taxing power of the state or any of its political subdivisions. This is a trust activity, and all operating expenses must be paid from revenues and other moneys available to CEFA.

Chapter 34, Statutes of 2014, provided that, for purposes of the California Educational Facilities Authority Act, "student loan" may also mean a loan assumption pursuant to the Assumption Program of Loans for Education program administered by the California Student Aid Commission.

Chapter 816, Statutes of 2014, established the California Student Loan Refinancing Program to help eligible college graduates refinance student loan debt at favorable rates. Chapter 816 authorizes the authority to contract with any financial institution, as defined, for the purpose of allowing the financial institution to participate in the program and establishes eligibility requirements for qualified borrowers to participate in the program.

0955 - COLLEGE ACCESS TAX CREDIT FUND

Chapter 367, Statutes of 2014, required CEFA to administer the College Access Tax Credit Fund and allocate and certify the tax credits for taxable years beginning on or after January 1, 2014, and before January 1, 2017. Chapter 22, Statutes of 2015, added a requirement that CEFA continue to allocate and certify the tax credits for taxable years beginning on or after January 1, 2017, and before January 1, 2018.

DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
	PROGRAM REQUIREMENTS			
0940	BOND FINANCING			
	State Operations:			
0911	Educational Facilities Authority Fund	\$716	\$1,032	\$930
	Totals, State Operations	\$716	\$1,032	\$930
	PROGRAM REQUIREMENTS			
0945	STUDENT LOAN PROGRAMS			
	State Operations:			
0954	Student Loan Authority Fund	\$31	\$-	\$-
	Totals, State Operations	\$31	\$-	\$-
	PROGRAM REQUIREMENTS			
0955	COLLEGE ACCESS TAX CREDIT PROGRAM			
	State Operations:			
3263	College Access Tax Credit Fund	\$-	\$248	\$238
	Totals, State Operations	\$-	\$248	\$238
	TOTALS, EXPENDITURES			
	State Operations	747	1,280	1,168
	Totals, Expenditures	\$747	\$1,280	\$1,168

EXPENDITURES BY CATEGORY

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
1 State Operations						
PERSONAL SERVICES						
Baseline Positions	5.0	6.0	6.0	\$356	\$417	\$417
Total Adjustments	-0.8	-	-	-78	10	10
Net Totals, Salaries and Wages	4.2	6.0	6.0	\$278	\$427	\$427

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0989 California Educational Facilities Authority - Continued

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Staff Benefits	-	-	-	126	206	207
Totals, Personal Services	4.2	6.0	6.0	\$404	\$633	\$634
OPERATING EXPENSES AND EQUIPMENT				\$343	\$647	\$534
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$747	\$1,280	\$1,168

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0911 Educational Facilities Authority Fund			
APPROPRIATIONS			
Education Code sections 94140-94141	\$850	\$1,016	\$930
Allocation for employee compensation	7	8	-
Allocation for staff benefits	3	4	-
Past year adjustments	-154	-	-
Section 3.60 pension contribution adjustment	10	3	-
Technical adjustment	-	1	-
TOTALS, EXPENDITURES	\$716	\$1,032	\$930
0954 Student Loan Authority Fund			
APPROPRIATIONS			
Education Code section 94102	\$61	-	-
Funding shift to California Student Aid Commission per 2014 Budget Act	-61	-	-
Past year adjustments	31	-	-
TOTALS, EXPENDITURES	\$31	\$-	\$-
3263 College Access Tax Credit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$244	\$238
Allocation for employee compensation	-	2	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	1	-
TOTALS, EXPENDITURES	\$-	\$248	\$238
Total Expenditures, All Funds, (State Operations)	\$747	\$1,280	\$1,168

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
3263 College Access Tax Credit Fund^s			
BEGINNING BALANCE	-	\$2,454	\$5,864
Adjusted Beginning Balance	-	\$2,454	\$5,864
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	\$6	-	-
4171300 Donations	6,199	13,807	20,000
Transfers and Other Adjustments			
Revenue Transfer from College Access Tax Credit Fund (3263) to the General Fund (0001) per Revenue and Taxation Code Section 17053.86 (Ch. 367/2014)	-3,751	-8,231	-11,000
Total Revenues, Transfers, and Other Adjustments	\$2,454	\$5,576	\$9,000
Total Resources	\$2,454	\$8,030	\$14,864
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			

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0989 California Educational Facilities Authority - Continued

	2014-15*	2015-16*	2016-17*
Expenditures:			
0989 California Educational Facilities Authority (State Operations)	-	248	238
6980 California Student Aid Commission (Local Assistance)	-	1,918	5,102
Total Expenditures and Expenditure Adjustments	-	\$2,166	\$5,340
FUND BALANCE	\$2,454	\$5,864	\$9,524
Reserve for economic uncertainties	2,454	5,864	9,524

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	5.0	6.0	6.0	\$356	\$417	\$417
Salary and Other Adjustments	-0.8	-	-	-78	10	10
Totals, Adjustments	-0.8	-	-	-\$78	\$10	\$10
TOTALS, SALARIES AND WAGES	4.2	6.0	6.0	\$278	\$427	\$427

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