

0890 Secretary of State

The Secretary of State, a constitutionally established office, is the chief elections officer of the state and is responsible for the administration and enforcement of election laws. The Office also is responsible for administering and enforcing laws pertaining to filing documents associated with corporations, limited liability companies, partnerships, limited partnerships, unincorporated associations and pertaining to filing bonds and perfecting security agreements. In addition, the Office is responsible for commissioning notaries public, enforcing the notary laws, and in conjunction with being the home of the State Archives, administering a state records management program and preserving documents and records having historical significance. The Secretary of State is the filing officer for lobbying and campaign registration and disclosure documents filed under the Political Reform Act. The Secretary of State also operates the Safe At Home program, maintains the Domestic Partners and Advance Health Care Directives registries, provides support functions for the Voting Modernization Board, and is home to the California Museum.

Policy associated with the administration of the Office is accomplished through the divisions of Elections, Political Reform, Business Programs, Archives, Information Technology, and Management Services.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0700 Filings and Registrations	302.1	283.4	279.4	\$56,521	\$60,836	\$55,891
0705 Elections	56.9	59.0	61.0	39,361	77,296	87,918
0710 Archives	25.6	21.3	21.3	7,298	8,229	8,174
0715 DOJ Legal Services	-	-	-	251	333	333
9900100 Administration	130.2	130.2	134.2	20,183	24,331	24,467
9900200 Administration - Distributed	-	-	-	-20,183	-24,331	-24,467
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	514.8	493.9	495.8	\$103,431	\$146,694	\$152,316

FUNDING				2014-15*	2015-16*	2016-17*
0001	General Fund			\$27,900	\$48,109	\$36,338
0228	Secretary of States Business Fees Fund			53,177	56,628	51,100
0890	Federal Trust Fund			19,956	38,830	59,423
0995	Reimbursements			8	8	-
3042	Victims of Corporate Fraud Compensation Fund			1,813	1,530	1,571
3244	Political Disclosure, Accountability, Transparency, and Access Fund			77	89	1,845
3254	Business Programs Modernization Fund			500	1,500	2,039
TOTALS, EXPENDITURES, ALL FUNDS				\$103,431	\$146,694	\$152,316

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

The California Constitution, Article 5, Section 11, Government Code Sections 12159-12179.1.

PROGRAM AUTHORITY

0700-Filings and Registrations:

Corporations Code, Titles 1-3; Government Code, Sections 960.3, 960.4, 1363, 6205-6210, 6215-6216, 6503.5, 6503.7, 6518, 7171, 7220, 7222, 7223, 7225, 7226, 7227, 7229, 8200, 8201, 8201.1, 8201.2, 8201.5, 8202.5, 8203.1-8203.4, 8204.1, 8205, 8206, 8207, 8207.1, 8207.2, 8207.3, 8213, 8213.6, 8214.1, 8214.15, 8214.4, 8214.5, 8214.8, 8219.5, 8220, 8222, 8228, 12160, 12163, 12168, 12168.5, 12168.7, 12176, 12177, 12178.1, 12179.1, 12180, 12181, 12182, 12182.1, 12183-12197, 12260-12263, 23712-23714, 34459-34461, and 53051; Business and Professions Code, Sections 14207, 14209, 14215, 14217, 14220, 14230, 14235, 14240, 14245, 14417, 14427, 14461, 14482, 14483, 14492, 17550 et seq., 18896 et seq., 22441.1, 22442.4, 22443.1, 22443.2, 22908-22912, 22914, 22916, 22917, 22920, 22921, and 22923; Civil Code, Sections 912, 1185, 1189, 1195, 1789.10 et seq., 1812.50 et seq., 1812.80 et seq., 1812.100 et seq., 1812.214, 1812.503., 1812.510, 1812.515, 1812.525, 1812.600, 1812.607, 2945.45, 3344.1, 3440.5, 4225, 4280, 5405 6622, and 6760; Commercial Code, Sections 9501, 9509, 9512-9516, 9518, 9519-9523, and 9525-9528; Code of Civil Procedure, Sections 416.10-416.40, 488.375, 488.405, 488.730, 697.510, 697.550, 697.570, 697.580, 697.640-697.670, 724.060, 2101, and 2103-2105; Family Code, Sections 297, 297.1, 298, 298.5, 298.6, 298.7, 299, 535, 6345, 17520, and 17523; Financial Code, Sections 1102-1106, 4966, 5403, 5703-5705, 5715, 5716, 5758, 5760, 14100, 14101, 14101.6, 14101.8, 14102.2, 14102.4, 14102.8, 15302, 15304, 15252, 15258, 18101.5, and 18102; Insurance Code, Sections 881, 881.3, 1556, 1560.10, 1560.17, 1560.18, 4093, 4097.11, 4097.18, 4097.19, 5053, 7049, 7084, 7085, 9103, 11542, 11546, and 11547; Food and Agriculture Code, Sections 3951, 3952, 5304, 6047.109, 6047.119, 6273, 6286, 8704, 8757, 54081-54083, 55702, 57405, 57408-57411, 57414, 57512, 57516-57517, 57519, 57530, 57531, 57535, 57537, 57540, 57541, 57545, 57561 et seq.,

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57590, 57591, and 57595; Probate Code, Sections 4717, 4800-4806, 6389, and 8574; Vehicle Code, Section 40305.5; Penal Code, Sections 4056-4058, 4067, 4206, 4207, 4208 and 4227; Health and Safety Code, Sections 1341, 1341.2, 2043, 2832, 2834, 4927, 9043, 33103, 33103.5, 33104, 33142, 34126, 34117, 51153, 103435, and 123371; California Code of Regulations, Title 2, Sections 20800 et seq., 21000 et seq., 21900 et seq., 22000 et seq., 22100 et seq., 22500 et seq., 22600 et seq., and 22610.1 et seq.

0705-Elections:

California Constitution, Article XVI, Section 1; California Elections Code, Section 10; California Elections Code 9082.7; California Government Code, Sections 12172.5, and 81000 et seq.; Help America Vote Act of 2002 (Public Law 107-252) and other federal elections law; Government Code, Title 9.

0710-Archives:

Chapter 1, Statutes of 1850; Government Code, Sections 6204, 6268, 9080, 9149.9, 11347.3, 12153, 12174, 12220-12237, and 12270-12279; Civil Code, Sections 1798.24, and 1798.64; Evidence Code, Section 1605, and Military and Veterans Code, Section 1360.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Ballot on Demand Systems: Electronic Poll Books (SB 439)	\$-	\$-	-	\$93	\$-	1.0
• Placement Agent Lobby Registration Workload	-	-	-	79	-	1.0
• Vote-by-mail Ballot Drop-off Location Regulations (SB 365)	-	-	-	55	-	-
• Secretary of State Headquarters Building Security Improvements	-	-	-	54	172	2.0
• Help America Vote Act Spending Plan	-	-	-	-	54,085	-
• Business Programs Division Filings Process	-	-	-	-	5,500	52.0
• Help America Vote Act - VoteCal	-	-	-	-	5,338	-
• California Business Connect Project	-	-	-	-	2,605	-
• CAL-ACCESS Replacement Project	-	-	-	-	1,757	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$281	\$69,457	56.0
Other Workload Budget Adjustments						
• 2016 Elections Funding - Voter Information Guide	\$-	\$-	-	\$7,300	\$-	-
• Expenditure by Category Redistribution	1,051	2,636	-	427	990	-
• Chapter 11, Statutes of 2016 (AB 120)	16,288	-	-	-	-	-
• Revised Expenditure Authority per Provision 1, Item 0890-101-0890	-	1,212	-	-	-	-
• Salary Adjustments	215	533	-	216	489	-
• Benefit Adjustments	124	328	-	160	446	-
• Retirement Rate Adjustments	77	190	-	77	190	-
• Pro Rata	-	-	-	-	736	-
• SWCAP	-	-	-	-	28	-
• Miscellaneous Baseline Adjustments	703	8	-	-38	-6,304	-54.0
• Budget Position Transparency	-1,051	-2,636	-70.4	-427	-990	-70.4
Totals, Other Workload Budget Adjustments	\$17,407	\$2,271	-70.4	\$7,715	-\$4,415	-124.4
Totals, Workload Budget Adjustments	\$17,407	\$2,271	-70.4	\$7,996	\$65,042	-68.4
Totals, Budget Adjustments	\$17,407	\$2,271	-70.4	\$7,996	\$65,042	-68.4

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0890 Secretary of State - Continued**PROGRAM DESCRIPTIONS****0700 - FILINGS AND REGISTRATIONS**

The Business Programs Division is comprised of three primary areas: Business Entities, Uniform Commercial Code, and Notary Public and Special Filings.

Business Entities examines, files, and maintains formation documents for new California business entities and qualifies out-of-state and international entities to conduct business in California; and also examines, files and maintains changes to the records of domestic or qualified foreign business entities to ensure the business entities are properly formed, merged, converted, amended, and dissolved in compliance with California law. Statements of Information containing key officers and contact information are also processed and maintained for public and governmental use.

Uniform Commercial Code files certain financing statements allowing lending institutions to protect their financial interest in personal property and established priority in case of debtor default or bankruptcy. Notices of judgment liens, attachment liens, agricultural liens, and equipment liens are also filed in Uniform Commercial Code, as well as notices of various tax liens submitted by state and federal taxing agencies such as the Franchise Tax Board and the Internal Revenue Service.

Notary Public and Special Filings appoints and commissions qualified notaries public for four- year terms, reviews and approves notary public education courses, and certifies to the authenticity of signatures of notaries public and public officials on documents for use in foreign countries. Special Filings include trademarks and service marks as well as surety bonds that certain business entities are required to file, notices of joint power agreements, city and county charters, and claims for successor-in-interest. This area also has disciplinary functions with regard to notaries public and immigration consultants.

The Advance Health Care Directive (AHCD) Registry maintains AHCDs, which allow a person to indicate their medical treatment preferences if they cannot speak or make decisions for themselves. The AHCD also may designate someone else to make decisions regarding medical treatment. AHCDs and related information can be provided upon request to the registrant's health care provider, public guardian, or legal representative.

The Domestic Partners Registry registers same-sex couples regardless of the age of the partners, and opposite-sex couples in which one partner is at least 62 years old, as domestic partners in California.

The Victims of Corporate Fraud Compensation Fund provides limited restitution to victims of corporate fraud who are unable to collect through other means on a judgment against a corporation for corporate fraud.

Safe at Home is a confidential mail forwarding program designed to assist victims of domestic violence, sexual assault, stalking, or a reproductive healthcare employee, patient, or volunteer. Participants in the Safe at Home program are provided with a free post office box to use as a mailing address thereby protecting their actual residential address. Once enrolled into the program, Safe at Home serves as a liaison for those participants who qualify for additional confidential services offered by various state and local agencies.

0705 - ELECTIONS

The Secretary of State, as California's chief elections officer, has broad responsibility to administer the election process. This program oversees all federal and state elections within California, maintaining a statewide database of all registered voters, tracks and certifies ballot measures, certifies candidates, and certifies election results. In addition, the program oversees the modernization of voting equipment and the improvement of current electoral database systems, enhancing the voting processes, providing accessibility to voters, working to increase people's knowledge of the electoral system, and investigates election related criminal violations as provided by California Elections Code.

This program includes the campaign and lobbying registration and disclosure provisions of the Political Reform Act of 1974. Under this law, the Office registers all levels of campaign committees, and reviews for compliance with statutory requirements all state-level candidates and campaign recipients, major donor, slate mailer, political party, and independent expenditure committees. The program registers lobbyists (including placement agents), lobbying firms and lobbyist employers, reviews for compliance with statutory requirements the periodic financial disclosure reports filed by lobbying entities, and publishes a Lobbying Directory on the Internet. This program also supports the electronic filing requirements of the Political Reform Act and posts relevant registration and disclosure information to the Office's web site so the public can track where campaign money is raised and spent and what lobbying activity is occurring in state government. A searchable database of campaign and lobbying financial activity is also provided through the web site.

0710 - ARCHIVES

The California State Archives establishes and administers a records management program that applies efficient and economical management methods to the creation, utilization, maintenance, retention, preservation, and disposal of state records and acquires, indexes, preserves, and provides reference access to irreplaceable historic materials from the three branches of state government. The Archives acquires records, with legal, administrative or evidentiary value, or that have historical significance. Materials are transferred to the Archives for permanent preservation.

9900 - ADMINISTRATION AND TECHNOLOGY

This program develops and manages overall policy. It serves as the liaison to other state agencies, the Legislature, the

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federal government, and other states' Secretaries of State. This program responds to constituent correspondence and requests from the public and advocates in the Legislature, administers the Secretary's role as a member of the National Association of Secretaries of State, in the management of task forces and advisory committees, in the implementation of new federal and state statutory requirements, and coordinates and disseminates information about the Office and its initiatives to the public. It also provides the Office with the necessary personnel, general administrative, budgeting, fiscal services, and information technology expertise to plan, develop, implement, and operate innovative and effective business and information systems solutions.

DETAILED EXPENDITURES BY PROGRAM

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
PROGRAM REQUIREMENTS				
0700	FILINGS AND REGISTRATIONS			
	State Operations:			
0001	General Fund	\$1,031	\$1,178	\$1,181
0228	Secretary of States Business Fees Fund	53,177	56,628	51,100
3042	Victims of Corporate Fraud Compensation Fund	1,813	1,530	1,571
3254	Business Programs Modernization Fund	500	1,500	2,039
	Totals, State Operations	\$56,521	\$60,836	\$55,891
PROGRAM REQUIREMENTS				
0705	ELECTIONS			
	State Operations:			
0001	General Fund	\$19,320	\$22,081	\$26,650
0890	Federal Trust Fund	17,649	33,997	8,423
0995	Reimbursements	8	8	-
3244	Political Disclosure, Accountability, Transparency, and Access Fund	77	89	1,845
	Totals, State Operations	\$37,054	\$56,175	\$36,918
	Local Assistance:			
0001	General Fund	\$-	\$16,288	\$-
0890	Federal Trust Fund	2,307	4,833	51,000
	Totals, Local Assistance	\$2,307	\$21,121	\$51,000
PROGRAM REQUIREMENTS				
0710	ARCHIVES			
	State Operations:			
0001	General Fund	\$7,298	\$8,229	\$8,174
	Totals, State Operations	\$7,298	\$8,229	\$8,174
PROGRAM REQUIREMENTS				
0715	DOJ LEGAL SERVICES			
	State Operations:			
0001	General Fund	\$251	\$333	\$333
	Totals, State Operations	\$251	\$333	\$333
SUBPROGRAM REQUIREMENTS				
9900100	Administration			
	State Operations:			
0001	General Fund	\$20,183	\$24,331	\$24,467
	Totals, State Operations	\$20,183	\$24,331	\$24,467
SUBPROGRAM REQUIREMENTS				
9900200	Administration - Distributed			
	State Operations:			
0001	General Fund	-\$20,183	-\$24,331	-\$24,467
	Totals, State Operations	-\$20,183	-\$24,331	-\$24,467

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	<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
TOTALS, EXPENDITURES			
State Operations	101,124	125,573	101,316
Local Assistance	<u>2,307</u>	<u>21,121</u>	<u>51,000</u>
Totals, Expenditures	\$103,431	\$146,694	\$152,316

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	562.0	564.3	564.3	\$31,058	\$30,656	\$28,386
Budget Position Transparency	-	-70.4	-70.4	-	-3,687	-1,417
Total Adjustments	<u>-47.2</u>	<u>-</u>	<u>2.0</u>	<u>-2,484</u>	<u>1,458</u>	<u>3,192</u>
Net Totals, Salaries and Wages	514.8	493.9	495.9	\$28,574	\$28,427	\$30,161
Staff Benefits	-	-	-	14,477	19,725	17,231
Totals, Personal Services	514.8	493.9	495.9	\$43,051	\$48,152	\$47,392
OPERATING EXPENSES AND EQUIPMENT				\$57,823	\$77,421	\$53,924
SPECIAL ITEMS OF EXPENSES				<u>250</u>	<u>-</u>	<u>-</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$101,124	\$125,573	\$101,316

2 Local Assistance	Expenditures		
	2014-15*	2015-16*	2016-17*
Grants and Subventions - Governmental	<u>\$2,307</u>	<u>\$21,121</u>	<u>\$51,000</u>
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$2,307	\$21,121	\$51,000

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$28,174	\$30,702	\$36,338
Allocation for Contingencies and Emergencies (Ballot Pamphlet Printing and Mailing)	1,924	-	-
Allocation for Employee Compensation	186	215	-
Allocation for Staff Benefits	19	124	-
Allocation of Funding Pursuant to the Political Reform Act, Item 8640-001-0001	703	703	-
Budget Position Transparency	-	-1,051	-
Expenditure by Category Redistribution	-	1,051	-
Section 3.60 Pension Contribution Adjustment	<u>259</u>	<u>77</u>	<u>-</u>
Totals Available	\$31,265	\$31,821	\$36,338
Unexpended balance, estimated savings	<u>-3,365</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$27,900	\$31,821	\$36,338
0228 Secretary of States Business Fees Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$52,607	\$55,579	\$51,100
Allocation for Employee Compensation	492	532	-
Allocation for Staff Benefits	59	328	-

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1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Budget Position Transparency	-	-2,636	-
Expenditure by Category Redistribution	-	2,636	-
Section 3.60 Pension Contribution Adjustment	<u>645</u>	<u>189</u>	<u>-</u>
Totals Available	\$53,803	\$56,628	\$51,100
Unexpended balance, estimated savings	<u>-626</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$53,177	\$56,628	\$51,100
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$17,178	\$33,997	\$8,423
HAVA Spending Plan and VoteCal SWCAP Funding Alignment	1,247	-	-
Revised Expenditure Authority per Provision 4, Item 0890-001-0890 and Provision 1, Item 0890-101-0890	6,917	-	-
SWCAP Current Service Levels Adjustment	<u>-1,247</u>	<u>-</u>	<u>-</u>
Totals Available	\$24,095	\$33,997	\$8,423
Unexpended balance, estimated savings	<u>-6,446</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$17,649	\$33,997	\$8,423
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$8</u>	<u>\$8</u>	<u>-</u>
TOTALS, EXPENDITURES	\$8	\$8	\$-
3042 Victims of Corporate Fraud Compensation Fund			
APPROPRIATIONS			
Corporations Code section 2280	<u>\$1,630</u>	<u>\$1,530</u>	<u>\$1,571</u>
Totals Available	\$1,630	\$1,530	\$1,571
Unexpended balance, estimated savings	<u>183</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,813	\$1,530	\$1,571
3244 Political Disclosure, Accountability, Transparency, and Access Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$76	\$87	\$1,845
Allocation for Employee Compensation	1	1	-
Section 3.60 Pension Contribution Adjustment	<u>3</u>	<u>1</u>	<u>-</u>
Totals Available	\$80	\$89	\$1,845
Unexpended balance, estimated savings	<u>-3</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$77	\$89	\$1,845
3254 Business Programs Modernization Fund			
APPROPRIATIONS			
001 Budget Act Appropriation	<u>\$500</u>	<u>\$1,500</u>	<u>\$2,039</u>
TOTALS, EXPENDITURES	\$500	\$1,500	\$2,039
Total Expenditures, All Funds, (State Operations)	\$101,124	\$125,573	\$101,316
2 LOCAL ASSISTANCE	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
Chapter 11, Statutes of 2016 (AB 120)	<u>-</u>	<u>\$16,288</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$16,288	\$-
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,734	\$3,621	\$51,000
Revised Expenditure Authority per Provision 1, Item 0890-101-0890	-	1,212	-

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2 LOCAL ASSISTANCE	2014-15*	2015-16*	2016-17*
Revised Expenditure Authority per Provision 4, Item 0890-001-0890 and Provision 1, Item 0890-101-0890	42	-	-
Totals Available	\$2,776	\$4,833	\$51,000
Unexpended balance, estimated savings	-469	-	-
TOTALS, EXPENDITURES	\$2,307	\$4,833	\$51,000
Total Expenditures, All Funds, (Local Assistance)	\$2,307	\$21,121	\$51,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$103,431	\$146,694	\$152,316

FUND CONDITION STATEMENTS

	2014-15*	2015-16*	2016-17*
0228 Secretary of States Business Fees Fund ^s			
BEGINNING BALANCE	\$1,000	\$1,001	\$1,000
Prior Year Adjustments	776	-	-
Adjusted Beginning Balance	\$1,776	\$1,001	\$1,000
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4120800 Corporation Fees - Domestic Corporations	9,687	8,500	8,500
4121000 Corporation Fees - Foreign Corporations	1,232	1,070	1,070
4122800 Filing Financing Statements	2,274	2,200	2,200
4125800 Notary Public License Fees	991	925	925
4129200 Other Regulatory Fees	8,659	7,350	7,350
4145500 Secretary of State - Fees	29,589	25,985	25,985
4163000 Investment Income - Surplus Money Investments	34	17	17
4171000 Cost Recoveries - Delinquent Receivables	18	-	18
4172500 Miscellaneous Revenue	18,619	14,000	14,000
4173500 Settlements and Judgments - Other	13	-	13
Transfers and Other Adjustments			
Revenue Transfer from the Business Fees Fund (0228) to the General Fund (0001)Per Government Code Section 12176.	-18,680	-3,326	-9,528
Total Revenues, Transfers, and Other Adjustments	<u>\$52,436</u>	<u>\$56,721</u>	<u>\$50,550</u>
Total Resources	\$54,212	\$57,722	\$51,550
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	53,177	56,628	51,100
8880 Financial Information System for California (State Operations)	34	94	70
Total Expenditures and Expenditure Adjustments	<u>\$53,211</u>	<u>\$56,722</u>	<u>\$51,170</u>
FUND BALANCE			
Reserve for economic uncertainties	1,001	1,000	380
3042 Victims of Corporate Fraud Compensation Fund ^s			
BEGINNING BALANCE	\$1,223	\$12,294	\$12,274
Prior Year Adjustments	844	-	-
Adjusted Beginning Balance	\$2,067	\$12,294	\$12,274
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	1,810	1,500	1,500
4150500 Interest Income - Interfund Loans	225	-	225
4163000 Investment Income - Surplus Money Investments	5	10	9
Transfers and Other Adjustments			

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	2014-15*	2015-16*	2016-17*
Loan Repayment from General Fund (0001) to Victims of Corporate Fraud Compensation Fund (3042) per Item 0890-011-3042, Budget Act of 2010.	10,000	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$12,040</u>	<u>\$1,510</u>	<u>\$1,734</u>
Total Resources	\$14,107	\$13,804	\$14,008
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	1,813	1,530	1,571
8880 Financial Information System for California (State Operations)	-	-	<u>1</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,813</u>	<u>\$1,530</u>	<u>\$1,572</u>
FUND BALANCE	\$12,294	\$12,274	\$12,436
Reserve for economic uncertainties	12,294	12,274	12,436
3244 Political Disclosure, Accountability, Transparency, and Access Fund^s			
BEGINNING BALANCE	\$886	\$1,403	\$1,760
Prior Year Adjustments	<u>-1</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$885	\$1,403	\$1,760
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	531	370	460
4163000 Investment Income - Surplus Money Investments	3	1	1
4173000 Penalty Assessments - Other	<u>61</u>	<u>75</u>	<u>38</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$595</u>	<u>\$446</u>	<u>\$499</u>
Total Resources	\$1,480	\$1,849	\$2,259
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	<u>77</u>	<u>89</u>	<u>1,845</u>
Total Expenditures and Expenditure Adjustments	<u>\$77</u>	<u>\$89</u>	<u>\$1,845</u>
FUND BALANCE	\$1,403	\$1,760	\$414
Reserve for economic uncertainties	1,403	1,760	414
3254 Business Programs Modernization Fund^s			
BEGINNING BALANCE	\$892	\$2,205	\$2,215
Prior Year Adjustments	<u>-1</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$891	\$2,205	\$2,215
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	1,810	1,500	1,500
4163000 Investment Income - Surplus Money Investments	<u>4</u>	<u>10</u>	<u>10</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,814</u>	<u>\$1,510</u>	<u>\$1,510</u>
Total Resources	\$2,705	\$3,715	\$3,725
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	500	1,500	2,039
8880 Financial Information System for California (State Operations)	-	-	<u>1</u>
Total Expenditures and Expenditure Adjustments	<u>\$500</u>	<u>\$1,500</u>	<u>\$2,040</u>
FUND BALANCE	\$2,205	\$2,215	\$1,685
Reserve for economic uncertainties	2,205	2,215	1,685

CHANGES IN AUTHORIZED POSITIONS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0890 Secretary of State - Continued

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	562.0	564.3	564.3	\$31,058	\$30,656	\$28,386
Budget Position Transparency	-	-70.4	-70.4	-	-3,687	-1,417
Salary and Other Adjustments	-47.2	-	-54.0	-2,484	1,458	-1,704
Workload and Administrative Adjustments						
Ballot on Demand Systems: Electronic Poll Books (SB 439)						
	-	-	1.0	-	-	51
Business Programs Division Filings Process						
	-	-	52.0	-	-	2,214
California Business Connect Project						
Temporary Help	-	-	-	-	-	473
Help America Vote Act - VoteCal						
	-	-	-	-	-	907
Help America Vote Act Spending Plan						
	-	-	-	-	-	1,025
Placement Agent Lobby Registration Workload						
	-	-	1.0	-	-	42
Secretary of State Headquarters Building Security Improvements						
	-	-	2.0	-	-	129
Vote-by-mail Ballot Drop-off Location Regulations (SB 365)						
	-	-	-	-	-	55
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	56.0	\$-	\$-	\$4,896
Totals, Adjustments	<u>-47.2</u>	<u>-70.4</u>	<u>-68.4</u>	<u>-\$2,484</u>	<u>-\$2,229</u>	<u>\$1,775</u>
TOTALS, SALARIES AND WAGES	514.8	493.9	495.9	\$28,574	\$28,427	\$30,161

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