

4440 Department of State Hospitals

The Department of State Hospitals (DSH) manages the nation's largest inpatient forensic mental health hospital system. Its mission is to provide evaluation and treatment in a safe and responsible manner, seeking innovation and excellence in state hospital operations, across a continuum of care and settings. DSH is responsible for the daily care and provision of mental health treatment of its patients. In 2014-15, DSH served almost 13,000 patients and the average daily inpatient census was approximately 6,800 in a 24 hours a day/seven days a week hospital setting. Additionally, approximately 600 individuals are served in the conditional release program.

DSH oversees five state hospitals and three psychiatric programs located in state prisons. DSH's five state hospitals are Atascadero, Coalinga, Metropolitan - Los Angeles, Napa and Patton. The three psychiatric programs are operated through an interagency agreement with the California Department of Corrections and Rehabilitation (CDCR), treating inmates in Vacaville, Salinas Valley and Stockton. Additionally, DSH provides services in jail-based competency treatment programs and conditional release programs serving all 58 counties.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on DHS's Capital Outlay Program, see "Infrastructure Overview."

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
4380 In-Patient Services	10,745.7	10,197.3	10,372.8	\$1,623,918	\$1,724,165	\$1,764,574
4385 Evaluation and Forensic Services	66.0	72.0	72.0	19,694	22,244	22,264
4390 Legal Services	32.0	37.0	37.0	6,209	7,007	7,015
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	10,843.7	10,306.3	10,481.8	\$1,649,821	\$1,753,416	\$1,793,853
FUNDING				2014-15*	2015-16*	2016-17*
0001 General Fund				\$1,525,443	\$1,615,302	\$1,653,545
0814 California State Lottery Education Fund				141	24	24
0995 Reimbursements				124,237	138,090	140,284
TOTALS, EXPENDITURES, ALL FUNDS				\$1,649,821	\$1,753,416	\$1,793,853

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Welfare and Institutions Code, Divisions 4-8 (commencing with Section 4000).

MAJOR PROGRAM CHANGES

- Jail-Based Competency Treatment Beds-The Budget includes \$4.2 million General Fund to expand the jail-based competency treatment program by 35 beds and provide additional oversight of the restoration of competency program. With this increase, DSH will support a total of 183 restoration of competency beds to serve incompetent to stand trial patients outside of the state hospitals, at a total cost of approximately \$22.6 million General Fund in 2016-17. Despite recent measures to increase capacity both in the state hospitals and at jail-based programs, referrals continue to outpace admissions and discharges and the pending placement list was over 430 individuals as of June 2016.
- Activation of State Hospital Beds-The Budget provides an increase of \$18.1 million General Fund and 175.5 positions to activate an additional 60 beds at Napa State Hospital and 36 beds at Metropolitan State Hospital. The activation of these beds improves DSH's ability to address the immediate needs of pending placements.

DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Napa 60-Bed Expansion	\$-	\$-	-	\$12,857	\$-	113.8
• Unified Hospital Communications-Public Address System Phase 1	-	-	-	6,496	-	2.0
• Metropolitan 36-Bed Expansion	-	-	-	5,277	2,262	61.7

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

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	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Jail-Based Restoration of Competency Program Expansion	-	-	-	4,192	-	1.0
• Conditional Release Program Caseload	-	-	-	3,647	-	-
• Third Party Patient Cost Recovery	-	-	-	3,169	-	15.0
• CONREP Transitional Housing	-	-	-	1,586	-	-
• Patient Management Unit Extension	-	-	-	1,128	-	10.0
• Coleman Monitoring Team	-	-	-	876	-	4.0
• Injury and Illness Prevention Program Extension	-	-	-	522	-	5.0
• Evaluators for San Bernardino Restoration of Competency Program	-	-	-	336	-	2.0
• 2014 Napa Earthquake Current Year Savings	-4,736	-	-	-	-	-
• Restoration of Competency Program Delayed Activation Savings	-1,175	-	-	-	-	-
• 2014 Napa Earthquake Repair Funding	-	-	-	-989	-	-
Totals, Workload Budget Change Proposals	-\$5,911	\$-	-	\$39,097	\$2,262	214.5
Other Workload Budget Adjustments						
• Expenditure by Category Redistribution	\$210,713	\$-	-	\$208,625	\$-	-
• Section 6.10 deferred maintenance adjustment	7,000	-	-	-	-	-
• Limited-Term Positions/Expiring Programs	-	-	-	-3,441	-	-30.0
• Salary Adjustments	24,464	-	-	24,371	-	-
• Benefit Adjustments	10,539	-	-	13,715	-	-
• Miscellaneous Baseline Adjustments	-607	-17,176	-	7,125	-1	-
• Retirement Rate Adjustments	2,753	-	-	2,753	-	-
• Lease Revenue Debt Service Adjustment	-25	-	-	-38	-1	-
• Budget Position Transparency	-210,713	-	-1,189.7	-208,625	-	-1,189.7
Totals, Other Workload Budget Adjustments	\$44,124	-\$17,176	-1,189.7	\$44,485	-\$2	-1,219.7
Totals, Workload Budget Adjustments	\$38,213	-\$17,176	-1,189.7	\$83,582	\$2,260	-1,005.2
Totals, Budget Adjustments	\$38,213	-\$17,176	-1,189.7	\$83,582	\$2,260	-1,005.2

PROGRAM DESCRIPTIONS

4380 - IN-PATIENT SERVICES PROGRAM

The In-Patient Services Program administers the California state hospital system, the Conditional Release Program, the Sex Offender Commitment Program, the Restoration of Competency Program, and the treatment and evaluation of judicially and civilly committed patients.

Program Administration

Program Administration includes headquarters functions that support the state hospital system, including policy development and management, licensing oversight, patients' rights coordination, clinical oversight, data research and fiscal management.

In-Patient Services

The state hospital system includes five state hospitals: Atascadero, Metropolitan-Los Angeles, Napa, Patton, and Coalinga. In addition, this program includes three inpatient psychiatric programs located at the California Medical Facility in Vacaville, Salinas Valley State Prison in Salinas Valley and within the California Health Care Facility in Stockton. The three psychiatric programs provide treatment services to CDCR inmates. Mental health treatment services at all facilities are delivered by clinical teams who provide full-time inpatient care to the most serious mentally ill and those incapable of living in the community.

Conditional Release

The Conditional Release Program (CONREP) is the statewide system of community-based services for specified forensic

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patients. Mandated as a state responsibility by the Governor's Mental Health Initiative of 1984, the program began operations on January 1, 1986.

The goal of CONREP is to ensure greater public protection in California communities via an effective and standardized community outpatient treatment system.

Restoration of Competency

The Restoration of Competency (ROC) Program focuses on defendants deemed Incompetent to Stand Trial in accordance with Penal Code Section 1370. The ROC Program provides mental health treatment to defendants in a jail-based or community setting, restoring them to competency, and allowing for participation in court proceedings and ensuring due process.

4385 - EVALUATION AND FORENSIC SERVICES

Evaluation and Forensic Services is comprised of the Mentally Disordered Offender (MDO) and the Sexual Offender Commitment Program (SOCP). The MDO program applies only to prisoners whose crimes were committed on or after January 1, 1986. Penal Code Sections 2960-2981 require that a prisoner who meets six specific MDO criteria be ordered by the Board of Prison Terms to be treated by DSH as a condition of parole. Welfare and Institutions Code Section 6600 et seq. (Chapter 793, Statutes of 1995) was enacted January 1, 1996, establishing a new category of civil commitment for persons found, upon release from prison, to be sexually violent predators. The program serving these patients is the SOCP.

4390 - LEGAL SERVICES

The Legal Services Division is responsible for providing DSH with a full range of comprehensive legal services, including, but not limited to, developing legislation; providing litigation, Health Information Portability and Accountability Act (HIPAA) and contract law advice to the state hospitals, psychiatric programs and headquarters; representing DSH at various court and administrative law proceedings involving involuntary medication, orders to show cause, and personnel issues throughout the state.

DETAILED EXPENDITURES BY PROGRAM

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
PROGRAM REQUIREMENTS				
4380	IN-PATIENT SERVICES			
	State Operations:			
0001	General Fund	\$1,499,721	\$1,586,232	\$1,624,447
0814	California State Lottery Education Fund	141	24	24
0995	Reimbursements	<u>124,056</u>	<u>137,909</u>	<u>140,103</u>
	Totals, State Operations	\$1,623,918	\$1,724,165	\$1,764,574
PROGRAM REQUIREMENTS				
4385	EVALUATION AND FORENSIC SERVICES			
	State Operations:			
0001	General Fund	<u>\$19,694</u>	<u>\$22,244</u>	<u>\$22,264</u>
	Totals, State Operations	\$19,694	\$22,244	\$22,264
PROGRAM REQUIREMENTS				
4390	LEGAL SERVICES			
	State Operations:			
0001	General Fund	\$6,028	\$6,826	\$6,834
0995	Reimbursements	<u>181</u>	<u>181</u>	<u>181</u>
	Totals, State Operations	\$6,209	\$7,007	\$7,015
TOTALS, EXPENDITURES				
	State Operations	<u>1,649,821</u>	<u>1,753,416</u>	<u>1,793,853</u>
	Totals, Expenditures	\$1,649,821	\$1,753,416	\$1,793,853

EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES							

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1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	11,234.0	11,496.0	11,487.0	\$1,026,244	\$1,047,284	\$1,045,196
Budget Position Transparency	-	-1,189.7	-1,189.7	-	-210,713	-208,625
Total Adjustments	-390.3	-	184.5	-123,180	24,464	36,607
Net Totals, Salaries and Wages	10,843.7	10,306.3	10,481.8	\$903,064	\$861,035	\$873,178
Staff Benefits	-	-	-	373,399	412,523	422,256
Totals, Personal Services	10,843.7	10,306.3	10,481.8	\$1,276,463	\$1,273,558	\$1,295,434
OPERATING EXPENSES AND EQUIPMENT				\$373,358	\$479,858	\$498,419
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,649,821	\$1,753,416	\$1,793,853

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
0001 General Fund			
APPROPRIATIONS			
003 Budget Act appropriation	\$39,254	\$38,458	\$38,419
Lease Revenue Debt Service Adjustment	-311	-24	-
Tenant Rent Adjustment	-	-1	-
011 Budget Act appropriation (State Hospitals)	1,460,995	1,537,001	1,613,469
Allocation for employee compensation	18,375	24,354	-
Allocation for staff benefits	1,283	10,468	-
BU 6 Contract - Benefits	-	65	-
BU 6 Contract - Salaries	-	95	-
Budget Position Transparency	-	-210,713	-
Expenditure by Category Redistribution	-	210,713	-
Past year adjustments	-1,431	-	-
Section 3.60 pension contribution adjustment	17,570	2,748	-
Section 6.10 deferred maintenance adjustment	-	7,000	-
017 Budget Act appropriation	1,095	1,130	1,157
Allocation for employee compensation	16	15	-
Allocation for staff benefits	-	6	-
Section 3.60 pension contribution adjustment	19	5	-
Welfare and Institutions Code section 4112(b)	500	500	500
Past year adjustments	153	-	-
Totals Available	\$1,537,518	\$1,621,820	\$1,653,545
Unexpended balance, estimated savings	-12,075	-6,518	-
TOTALS, EXPENDITURES	\$1,525,443	\$1,615,302	\$1,653,545
0814 California State Lottery Education Fund			
APPROPRIATIONS			
Government Code section 8880.5	\$91	\$25	\$24
Miscellaneous Adjustment	-	-1	-
Past year adjustments	50	-	-
TOTALS, EXPENDITURES	\$141	\$24	\$24
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$124,237	\$138,090	\$140,284
TOTALS, EXPENDITURES	\$124,237	\$138,090	\$140,284

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1 STATE OPERATIONS				2014-15*	2015-16*	2016-17*
Total Expenditures, All Funds, (State Operations)				\$1,649,821	\$1,753,416	\$1,793,853
CHANGES IN AUTHORIZED POSITIONS						
	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Baseline Positions	11,234.0	11,496.0	11,487.0	\$1,026,244	\$1,047,284	\$1,045,196
Budget Position Transparency	-	-1,189.7	-1,189.7	-	-210,713	-208,625
Salary and Other Adjustments	-390.3	-	-30.0	-123,180	24,464	20,930
Workload and Administrative Adjustments						
Coleman Monitoring Team						
Atty III	-	-	1.0	-	-	107
Sr Psychiatrist (Supvr) (Safety)	-	-	1.0	-	-	277
Sr Psychologist (Hlth Facility) (Supvr)	-	-	1.0	-	-	117
Supvng Registered Nurse (Safety)	-	-	1.0	-	-	101
Evaluators for San Bernardino Restoration of Competency Program						
Consulting Psychologist	-	-	2.0	-	-	336
Injury and Illness Prevention Program Extension						
Assoc Govtl Program Analyst	-	-	5.0	-	-	311
Jail-Based Restoration of Competency Program Expansion						
Sr Psychologist (Hlth Facility) (Supvr)	-	-	1.0	-	-	117
Metropolitan 36-Bed Expansion						
Clinical Soc Worker (Hlth/CF)-Safety	-	-	2.9	-	-	236
Custodian	-	-	1.0	-	-	30
Food Svc Techn I	-	-	1.5	-	-	44
Hlth Recd Techn I	-	-	1.0	-	-	42
Hosp Police Officer	-	-	5.3	-	-	271
Lab Asst	-	-	1.4	-	-	44
Laundry Worker	-	-	0.7	-	-	23
Personnel Spec	-	-	1.0	-	-	43
Psych Techn (Safety)	-	-	19.7	-	-	1,247
Psychologist (Hlth Facility-Clinical-Safety)	-	-	2.9	-	-	296
Registered Nurse (Safety)	-	-	12.0	-	-	1,145
Rehab Therapist (Art-Safety)	-	-	2.9	-	-	221
Sr Psych Techn (Safety)	-	-	5.3	-	-	358
Staff Psychiatrist (Safety)	-	-	2.9	-	-	770
Unit Supvr (Safety)	-	-	1.2	-	-	105
Napa 60-Bed Expansion						
Clinical Lab Technologist (Safety)	-	-	2.3	-	-	115
Clinical Soc Worker (Hlth/CF)-Safety	-	-	5.6	-	-	379
Custodian	-	-	4.0	-	-	99
Food Svc Techn I	-	-	5.0	-	-	121
Hlth Recd Techn I	-	-	1.7	-	-	61
Hosp Police Officer	-	-	5.3	-	-	259
Laundry Worker	-	-	1.1	-	-	30
Personnel Spec	-	-	1.7	-	-	60
Physician & Surgeon (Safety)	-	-	1.0	-	-	173

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	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
Psych Techn (Safety)	-	-	32.2	-	-	1,699
Psychologist (Hlth Facility-Clinical-Safety)	-	-	5.6	-	-	476
Registered Dietitian (Safety)	-	-	1.0	-	-	50
Registered Nurse (Safety)	-	-	8.0	-	-	636
Rehab Therapist (Recr-Safety)	-	-	5.6	-	-	357
Sr Psych Techn (Safety)	-	-	10.6	-	-	627
Sr Psychologist (Hlth Facility) (Spec)	-	-	2.4	-	-	215
Staff Psychiatrist (Safety)	-	-	5.6	-	-	1,162
Unit Supvr (Safety)	-	-	15.1	-	-	1,128
Patient Management Unit Extension						
Assoc Govtl Program Analyst	-	-	5.0	-	-	297
Nursing Consultant - Program Review	-	-	1.0	-	-	83
Office Techn (Gen)	-	-	1.0	-	-	36
Psychologist (Clinical)	-	-	1.0	-	-	94
Research Analyst II	-	-	1.0	-	-	63
Staff Svcs Mgr I	-	-	1.0	-	-	68
Third Party Patient Cost Recovery						
Atty III	-	-	2.0	-	-	220
Staff Svcs Analyst (Gen)	-	-	9.0	-	-	470
Staff Svcs Mgr I	-	-	2.0	-	-	143
Staff Svcs Mgr II (Supvry)	-	-	2.0	-	-	157
Unified Hospital Communications-Public Address System Phase 1						
Sys Software Spec II (Tech)	-	-	2.0	-	-	158
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	214.5	\$-	\$-	\$15,677
Totals, Adjustments	-390.3	-1,189.7	-1,005.2	-\$123,180	-\$186,249	-\$172,018
TOTALS, SALARIES AND WAGES	10,843.7	10,306.3	10,481.8	\$903,064	\$861,035	\$873,178

INFRASTRUCTURE OVERVIEW

The Department of State Hospitals operates and maintains five state hospitals throughout California including: Atascadero (San Luis Obispo County), Coalinga (Fresno County), Metropolitan (Los Angeles County), Napa (Napa County), and Patton (San Bernardino County). These five facilities comprise more than 6.6 million gross square feet of space on 2,600 acres of land. Each state hospital provides inpatient treatment services for California's mentally ill.

SUMMARY OF PROJECTS

		State Building Program Expenditures	2014-15*	2015-16*	2016-17*
4395	CAPITAL OUTLAY Projects				
0000030	Atascadero: East West Corridor Seismic Upgrade		325	442	5,288
	Preliminary Plans		325	-	-
	Working Drawings		-	442	-
	Construction		-	-	5,288
0000033	Metropolitan: Fire Alarm System Upgrade		712	7,634	-
	Working Drawings		712	-	-
	Construction		-	7,634	-
0000034	Napa: Construct New Main Kitchen		24,681	4,223	-
	Construction		24,681	4,223	-

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State Building Program Expenditures		2014-15*	2015-16*	2016-17*
0000035	Napa: Courtyard Gates and Security Fencing	191	2,029	-
	Working Drawings	191	-	-
	Construction	-	2,029	-
0000036	Napa: Fire Alarm Replacement System	900	-	-
	Construction	900	-	-
0000037	Patton: Construct New Main Kitchen	-	32,750	-
	Construction	-	32,750	-
0000041	Statewide: Enhanced Treatment Units	1,233	-	12,336
	Preliminary Plans	1,233	-	-
	Working Drawings	-	-	869
	Construction	-	-	11,467
0000717	Metropolitan: Increased Secured Bed Capacity	-	1,930	32,888
	Preliminary Plans	-	1,930	-
	Working Drawings	-	-	1,706
	Construction	-	-	31,182
0000718	Patton: Fire Alarm System Upgrade	-	731	554
	Preliminary Plans	-	731	-
	Working Drawings	-	-	554
0000719	Coalinga: Courtyard Expansion	-	219	603
	Preliminary Plans	-	219	-
	Working Drawings	-	-	603
TOTALS, EXPENDITURES, ALL PROJECTS		\$28,042	\$49,958	\$51,669
FUNDING		2014-15*	2015-16*	2016-17*
0001	General Fund	\$3,361	\$12,985	\$51,669
0660	Public Buildings Construction Fund	24,681	36,973	-
TOTALS, EXPENDITURES, ALL FUNDS		\$28,042	\$49,958	\$51,669

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY		2014-15*	2015-16*	2016-17*
0001 General Fund				
APPROPRIATIONS				
301	Budget Act appropriation	\$17,848	\$27,200	\$37,627
	0000755 - Napa Plant Operations Seismic Retrofit - Reversion per Item 4440-301-0001 Provision 1, Budget Act of 2015 - PWC	-	-1,042	-
Prior Year Balances Available:				
	Item 4440-301-0001, Budget Act of 2012	5,924	-	-
	Item 4440-301-0001, Budget Act of 2014	-	869	869
	Item 4440-301-0001, Budget Act of 2015	-	-	13,173
Totals Available		\$23,772	\$27,027	\$51,669
Unexpended balance, estimated savings		-19,542	-	-
Balance available in subsequent years		-869	-14,042	-
TOTALS, EXPENDITURES		\$3,361	\$12,985	\$51,669
0660 Public Buildings Construction Fund				
Prior Year Balances Available:				
	Item 4440-301-0660, Budget Act of 2007 as reappropriated by Item 4440-491, Budget Act of 2012	293	-	-

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3 CAPITAL OUTLAY	2014-15*	2015-16*	2016-17*
Item 4440-301-0660, Budget Act of 2008, as reappropriated by Item 4440-490, Budget Act of 2010 and Item 4440-491, Budget Act of 2012	62,020	-	-
Patton: Construct New Main Kitchen	-386	-	-
Various Projects: Carryover Adjustments	-	4,223	-
Various Projects: Miscellaneous Baseline Adjustments	<u>778</u>	<u>32,750</u>	<u>-</u>
Totals Available	\$62,705	\$36,973	\$-
Unexpended balance, estimated savings	-1,050	-	-
Balance available in subsequent years	<u>-36,974</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$24,681</u>	<u>\$36,973</u>	<u>\$-</u>
Total Expenditures, All Funds, (Capital Outlay)	\$28,042	\$49,958	\$51,669

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